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EN

Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.

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II

(Non-legislative acts)

INTERNATIONAL AGREEMENTS

COUNCIL DECISION (EU) 2019/1332

of 25 June 2019

on the signing, on behalf of the Union, and provisional application of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia and of the Protocol on the implementation of that Partnership Agreement

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43 in conjunction with Article 218(5) thereof,

Having regard to the proposal from the European Commission,

Having regard to the Commission recommendation and the Council decision authorising the opening of negotiations,

Whereas:

- (1) The Commission has negotiated on behalf of the Union a Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia ('the Partnership Agreement') as well as a Protocol on the implementation of the Partnership Agreement ('the Protocol'). The Partnership Agreement and the Protocol were initialled on 19 October 2018.
- (2) The Partnership Agreement repeals the Agreement between the European Economic Community and the Government of the Republic of the Gambia on fishing off the Gambia ⁽¹⁾, which entered into force on 2 June 1987.
- (3) The objective of the Protocol is to enable the Union and The Gambia to work together more closely in promoting a sustainable fisheries policy and responsible exploitation of fisheries resources in Gambian waters.
- (4) The Partnership Agreement and the Protocol should be signed. In order to ensure an expeditious start to fishing activities by Union vessels, the Partnership Agreement and the Protocol should be applied on a provisional basis as from the signature thereof, pending the completion of the procedures necessary for their entry into force,

HAS ADOPTED THIS DECISION:

Article 1

The signing, on behalf of the Union, of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia and of the Protocol on the implementation of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia is hereby authorised, subject to the conclusion of those acts.

The texts of the Partnership Agreement and the Protocol are attached to this Decision.

⁽¹⁾ OJ L 146, 6.6.1987, p. 3.

Article 2

The President of the Council is hereby authorised to designate the person(s) empowered to sign the Partnership Agreement and the Protocol on behalf of the Union.

Article 3

In accordance with Article 14 of the Partnership Agreement, the Partnership Agreement shall be applied on a provisional basis as from the signature thereof, pending the completion of the procedures necessary for its entry into force ⁽²⁾.

Article 4

In accordance with Article 13 of the Protocol, the Protocol shall be applied on a provisional basis as from the signature thereof, pending the completion of the procedures necessary for its entry into force ⁽³⁾.

Article 5

This Decision shall enter into force on the date of its adoption.

Done at Luxembourg, 25 June 2019.

For the Council

The President

A. ANTON

⁽²⁾ The date from which the Partnership Agreement will be provisionally applied will be published in the *Official Journal of the European Union* by the General Secretariat of the Council.

⁽³⁾ The date from which the Protocol will be provisionally applied will be published in the *Official Journal of the European Union* by the General Secretariat of the Council.

**SUSTAINABLE FISHERIES PARTNERSHIP AGREEMENT BETWEEN THE EUROPEAN UNION
AND THE REPUBLIC OF THE GAMBIA**

THE EUROPEAN UNION,

hereinafter referred to as 'the Union', and

THE REPUBLIC OF THE GAMBIA,

hereinafter referred to as 'The Gambia',

both hereinafter referred to as 'the Parties',

CONSIDERING the close working relationship between the Union and The Gambia, particularly in the context of the Partnership Agreement between the members of the African, Caribbean and Pacific Group of States of the one part, and the European Community and its Member States, of the other part, signed in Cotonou on 23 June 2000 (hereinafter referred to as 'the Cotonou Agreement'), and their mutual desire to intensify that relationship,

HAVING REGARD TO the United Nations Convention on the Law of the Sea of 10 December 1982 (UNCLOS) and the Agreement on the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks of 1995,

DETERMINED to apply the decisions and recommendations taken by the International Commission for the Conservation of Atlantic Tunas (ICCAT) and other relevant regional fisheries organisations,

AWARE of the importance of the principles laid down by the Code of Conduct for Responsible Fisheries adopted at the Food and Agriculture Organization (FAO) Conference in 1995,

DETERMINED to cooperate, in their mutual interest, in promoting the introduction of responsible fisheries to ensure the long-term conservation and sustainable exploitation of marine biological resources,

CONVINCED that such cooperation should be based on initiatives and measures which, whether taken jointly or individually, are complementary and consistent with policy and ensure synergy of efforts,

INTENDING, to these ends, to commence a dialogue on the sectoral fisheries policy of the Government of The Gambia and to identify the appropriate means of ensuring that this policy is effectively implemented and that economic operators and civil society are involved in the process,

DESIROUS of establishing terms and conditions governing the fishing activities of Union vessels in Gambian waters and Union support for developing sustainable fishing in that zone,

DESIROUS of establishing an agreement of mutual benefit to the Union and The Gambia,

DETERMINED to pursue closer economic cooperation in the fishing industry and related activities between the Parties,

HAVE AGREED AS FOLLOWS:

Article 1

Definitions

For the purposes of this Agreement, the following definitions shall apply:

- (a) 'the Gambian authorities' means the ministry in charge of fisheries in the Republic of The Gambia;
- (b) 'Union authorities' means the European Commission;
- (c) 'this Agreement' means this Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia,
- (d) 'the Protocol' means the Protocol on the implementation of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia, the Annex to the Protocol and the Appendices to that Annex;

- (e) 'fishing activity' means: searching for fish; shooting, setting, towing and hauling of a fishing gear; taking catch on board; processing on board, transferring, caging, fattening and landing of fish and fishery products;
- (f) 'fishing vessel' means any vessel equipped for commercial exploitation of marine biological resources;
- (g) 'Union vessel' means a fishing vessel flying the flag of a Member State of the Union and registered in the Union;
- (h) 'support vessel' means any Union vessel providing assistance to fishing vessels which is not equipped for catching fish or used for transshipment operations;
- (i) 'the Gambian fishing zone' means the part of the waters under the sovereignty or jurisdiction of The Gambia where The Gambia authorises Union vessels to engage in fishing activities;
- (j) 'sustainable fishing' means fishing in accordance with the objectives and principles laid down by the Code of Conduct for Responsible Fisheries adopted at the FAO Conference in 1995.

Article 2

Scope

This Agreement establishes the principles, rules and procedures governing:

- (a) the conditions under which Union vessels may engage in fishing activities in the Gambian fishing zone;
- (b) economic, financial, technical and scientific cooperation in the fisheries sector with a view to promoting sustainable fishing in the Gambian fishing zone and the development of the Gambian fisheries and maritime sectors;
- (c) cooperation on management, control and surveillance measures in the Gambian fishing zone with a view to ensuring that the above rules and conditions are complied with and that the measures for the conservation of fish stocks and management of fishing activities, in particular those concerning the fight against illegal, unreported and unregulated (IUU) fishing, are effective;
- (d) partnerships between operators aimed at developing economic activities in the fisheries sector and related activities, in the common interest.

Article 3

Principles and objectives for the implementation of this Agreement

1. The Parties undertake to promote sustainable fisheries in the Gambian fishing zone on the basis of the principle of non-discrimination between the different vessels present in that zone.
2. The Gambian authorities undertake not to give more favourable conditions than those accorded under this Agreement to other foreign vessels operating in the Gambian fishing zone which have the same characteristics and target the same species as those covered by this Agreement and the Protocol. These conditions refer to the conservation, development and management of resources, financial arrangements, fees and rights relating to the issuing of fishing authorisations. The Gambian authorities undertake to grant an appropriate share of the surplus of marine biological resources for the Union vessels, where relevant.
3. In the interest of transparency, The Gambia undertakes to make public and exchange information relating to any agreement authorising foreign vessels in its fishing zone and the resulting fishing effort, in particular the number of fishing authorisations issued and the catches reported.
4. The Parties agree that Union vessels shall fish only the surplus of the allowable catch referred to in Article 62(2) and (3) of UNCLOS and established in a clear and transparent manner on the basis of available and relevant scientific advice and relevant information exchanged between the Parties concerning the total fishing effort on the relevant stocks by all vessels operating in the fishing area.
5. With respect to straddling or highly migratory fish stocks, the Parties shall comply with regional scientific assessments and conservation and management measures adopted by relevant regional fisheries management organisations.

6. The Parties undertake to ensure that this Agreement is implemented in accordance with Article 9 of the Cotonou Agreement concerning the essential elements of respect for human rights, democratic principles and the rule of law, and the fundamental element of good governance.
7. The Parties shall cooperate with a view to implementing a sectoral fisheries policy adopted by the Government of The Gambia and shall initiate a policy dialogue on the necessary reforms.
8. The Declaration of the International Labour Organization (ILO) on fundamental principles and rights at work shall be fully applicable to African, Caribbean and Pacific (ACP) seamen signed on to Union vessels, in particular as regards the freedom of association and collective bargaining of workers and the elimination of discrimination in respect of employment and occupation.
9. The Parties shall consult one another prior to adopting any decision that may affect the activities of Union vessels under this Agreement.

Article 4

Access to the Gambian fishing zone

The Gambian authorities undertake to authorise Union vessels to engage in fishing activities in the Gambian fishing zone in accordance with this Agreement and applicable laws of The Gambia.

Article 5

Conditions for carrying out fishing activities and exclusivity clause

1. Union vessels may engage in fishing activities in the Gambian fishing zone only if they hold a fishing authorisation (defined as 'licence' as per Gambian legislation) issued under this Agreement. All fishing activities not covered by this Agreement are forbidden.
2. The Gambian authorities shall issue fishing authorisations to Union vessels only under this Agreement. The issue of any fishing authorisation to Union vessels not covered by this Agreement, in particular in the form of a direct authorisation, is forbidden.
3. The procedure for obtaining a fishing authorisation for a Union vessel, the fees applicable and the method of payment to be used by the vessel owner shall be defined in the Protocol.
4. The Parties shall ensure the proper application of these conditions and arrangements through appropriate administrative cooperation between their competent authorities.

Article 6

Applicable law

1. The fishing activities of Union vessels operating in the Gambian fishing zone shall be subject to the applicable laws and regulations of The Gambia, unless otherwise provided in this Agreement or the Protocol. The Gambia shall provide the Union authorities with the applicable laws and regulations.
2. The Gambia shall take the appropriate steps required for the effective application of the fisheries monitoring, control and surveillance provisions in this Agreement. Union vessels shall cooperate with the Gambian authorities responsible for carrying out such monitoring, control and surveillance.
3. The Gambian authorities shall notify to the Union authorities any changes to existing legislation or new legislation with a potential impact on the activities of Union vessels. Such legislation shall be enforceable with respect to Union vessels from the 60th day following the day on which the notification is received by the Union authorities from The Gambia.
4. The Union shall take the appropriate steps required to ensure that its vessels comply with this Agreement and the legislation governing fisheries in the Gambian fishing zone.
5. The Union authorities shall notify without delay to the Gambian authorities any changes to Union law with a potential impact on the activities of Union vessels under this Agreement.

*Article 7***Financial contribution**

1. The Union shall grant The Gambia a financial contribution under this Agreement in order to:
 - (a) support part of the access costs of Union vessels to the Gambian fishing zone and fisheries resources, without prejudice to the access costs borne by the vessel owners;
 - (b) reinforce The Gambia's capacity to develop a sustainable fisheries policy through sectoral support.
2. The financial contribution for sectoral support shall be dissociated from the payments regarding access costs and shall be determined and conditioned by the achievements of the objectives of The Gambia's sectoral support in accordance with the Protocol and the annual and multiannual programming for its implementation.
3. The financial contribution granted by the Union shall be paid each year in accordance with the Protocol.

The amount of the contribution referred to in point (a) of paragraph 1 may be revised by the Joint Committee in respect of:

- (a) a reduction in the fishing opportunities granted to Union vessels for the purposes of managing the stocks concerned, where this is considered necessary for the conservation and sustainable exploitation of resources on the basis of the best available scientific advice;
- (b) an increase in the fishing opportunities granted to Union vessels, where the best available scientific advice concurs that the state of resources so permits.

The amount of the contribution referred to in point (b) of paragraph 1 may be revised as a result of a reassessment of the terms of the financial contribution for implementing the sectoral fisheries policy of The Gambia, where this is warranted by the specific results of the annual and multiannual programming observed by both Parties.

The contribution may be suspended as a result of:

- (a) the application of Article 15 of this Agreement;
- (b) the application of Article 16 of this Agreement.

*Article 8***Promoting cooperation among economic operators and civil society**

1. The Parties shall encourage economic and technical cooperation in the fisheries sector and related sectors. They shall consult one another with a view to coordinating the different measures that might be taken to this end.
2. The Parties undertake to promote the exchange of information on fishing techniques, gear, preservation methods and the industrial processing of fisheries products.
3. The Parties shall endeavour, where appropriate, to create conditions favourable to the promotion of relations between their enterprises in the technical, economic and commercial spheres, by encouraging the establishment of an environment favourable to the development of business and investment.
4. The parties shall cooperate with a view to promoting the landing of catches from Union vessels operating in The Gambia.
5. The Parties shall encourage the setting-up of joint enterprises in the field of fisheries and maritime economy.

*Article 9***Joint Committee**

1. A Joint Committee composed of representatives of the Union and the Gambian authorities shall be set up to monitor the application of this Agreement. The Joint Committee may adopt modifications to the Protocol.

2. The Joint Committee's functions shall consist in particular of:
 - (a) monitoring the performance, interpretation and application of this Agreement and, in particular, the definition of the annual and multiannual programming referred to in Article 7(2) and evaluation of its implementation;
 - (b) providing the necessary liaison for matters of mutual interest relating to fisheries, in particular statistical analysis of data on catches;
 - (c) acting as a forum for the amicable settlement of any disputes regarding the interpretation or application of this Agreement.
3. The decision-making function of the Joint Committee shall consist of approving modifications to the Protocol with regard to:
 - (a) the review of fishing opportunities and, consequently, of the relevant financial contribution;
 - (b) the sectoral support procedures;
 - (c) the technical conditions and modalities under which Union vessels carry out their fishing activities.
4. The Joint Committee shall exercise its functions in accordance with the objectives of this Agreement and with the relevant rules adopted by ICCAT and other regional fisheries organisations, where relevant.
5. The Joint Committee shall meet at least once a year, alternately in The Gambia and in the Union, or as otherwise agreed by common accord, and shall be chaired by the Party hosting the meeting. It shall hold a special meeting at the request of either Party. Decisions shall be taken by consensus and shall be attached to the agreed minutes of the meeting.

Article 10

Cooperation in the area of combating IUU fishing

The Parties undertake to cooperate in the fight against IUU fishing activities with a view to the implementation of responsible and sustainable fishing.

Article 11

Scientific cooperation

1. The Parties shall encourage scientific cooperation to regularly assess the status of fish stocks in The Gambia's waters in collaboration with regional and subregional scientific bodies.
2. The Parties undertake to consult one another, if necessary, in the framework of ICCAT and other relevant regional fisheries organisations to reinforce the management and conservation of marine biological resources in the Gambian fishing zone.

Article 12

Geographical area of application

This Agreement shall apply, on the one hand, to the territories in which the Treaty on European Union and the Treaty on the Functioning of the European Union apply, under the conditions laid down in those Treaties, and, on the other, to the territory of The Gambia.

Article 13

Duration and tacit renewal

This Agreement shall apply for six years from the date of the start of its provisional application. It shall be tacitly renewed, unless notice of termination is given in accordance with Article 16.

Article 14

Provisional application

This Agreement shall be provisionally applied as from the date of its signature.

Article 15

Suspension

1. Application of this Agreement may be suspended at the initiative of either Party in one or more of the following cases:
 - (a) where circumstances, other than natural phenomena, which are beyond the reasonable control of one of the Parties arise and are such as to prevent fishing in the Gambian fishing zone;
 - (a) where a dispute arises between the Parties over the interpretation or implementation of this Agreement;
 - (b) where one of the Parties ascertains a breach of essential and fundamental elements on human rights as laid out by Article 9 of the Cotonou Agreement and following the procedure set out in Articles 8 and 96 thereof.
2. Suspension of the application of this Agreement shall be notified by the interested Party to the other Party in writing and shall take effect three months after receipt of notification. The receipt of the notification shall open consultations between the Parties with a view to finding an amicable solution to their dispute within three months.
3. Where differences are not resolved amicably and suspension is implemented, the Parties shall continue to consult each other with a view to finding a settlement to their dispute. Once such settlement is reached, implementation of this Agreement shall resume and the amount of the financial contribution referred to in Article 7 shall, unless otherwise agreed, be reduced proportionately and *pro rata temporis* according to the period during which implementation was suspended.

Article 16

Termination

1. This Agreement may be terminated by either Party in one or more of the following cases:
 - (a) where circumstances, other than natural phenomena, which are beyond the reasonable control of one of the Parties arise and are such as to prevent fishing activities in the Gambian fishing zone;
 - (b) a degradation of the stocks concerned on the basis of best available independent and reliable scientific advice;
 - (c) a reduced level of exploitation of the fishing opportunities granted to Union vessels;
 - (d) violation of the commitments made by the Parties with regard to combating IUU fishing.
2. Termination of this Agreement shall be notified in writing by the interested Party to the other Party and shall take effect six months after receipt of notification, except if the Parties decide by common accord to extend this period. The Parties shall enter into consultations from the moment of notification of termination with a view to finding an amicable settlement to their dispute within the six-month period.
3. In the event of termination, payment of the amount of the financial contribution referred to in Article 7 for the year in which the termination takes effect shall be reduced proportionately and *pro rata temporis*.

Article 17

Repeal

The Agreement between the European Economic Community and the Government of the Republic of the Gambia on fishing off the Gambia, which entered into force on 2 June 1987, is hereby repealed.

Article 18

Entry into force

This Agreement shall enter into force on the date on which the Parties notify each other that they have completed the necessary procedures for that purpose.

Article 19

Languages

This Agreement shall be drawn up in duplicate in the Bulgarian, Czech, Croatian, Danish, Dutch, English, Estonian, Finnish, French, German, Greek, Hungarian, Irish, Italian, Latvian, Lithuanian, Maltese, Polish, Portuguese, Romanian, Slovak, Slovenian, Spanish and Swedish languages, each text being equally authentic.

Съставено в Брюксел на тридесет и първи юли две хиляди и деветнадесета година.
 Hecho en Bruselas, el treinta y uno de julio de dos mil diecinueve.
 V Bruselu dne třicátého prvního července dva tisíce devatenáct.
 Udfærdiget i Bruxelles den enogtredivte juli to tusind og nitten.
 Geschehen zu Brüssel am einunddreißigsten Juli zweitausendneunzehn.
 Kahe tuhande üheksateistkümnenda aasta juulikuu kolmekümne esimesel päeval Brüsselis.
 Έγινε στις Βρυξέλλες, στις τριάντα μία Ιουλίου δύο χιλιάδες δεκαεννέα.
 Done at Brussels on the thirty first day of July in the year two thousand and nineteen.
 Fait à Bruxelles, le trente et un juillet deux mille dix-neuf.
 Sastavljeno u Bruxellesu trideset prvog srpnja godine dvije tisuće devetnaeste.
 Fatto a Bruxelles, addì trentuno luglio duemiladiciannove.
 Briselē, divi tūkstoši deviņpadsmitā gada trīsdesmit pirmajā jūlijā.
 Priimta du tūkstančiai devynioliktų metų liepos trisdešimt pirmą dieną Briuselyje.
 Kelt Brüsszelben, a kétezertizenkilencedik év július havának harmincegyedik napján.
 Magħmul fi Brussell, fil-wiehed u tletin jum ta' Lulju fis-sena elfejn u dsatax.
 Gedaan te Brussel, eenendertig juli tweeduizend negentien.
 Sporządzono w Brukseli dnia trzydziestego pierwszego lipca roku dwa tysiące dziewiętnastego.
 Feito em Bruxelas, em trinta e um de julho de dois mil e dezanove.
 Întocmit la Bruxelles la treizeci și unu iulie două mii nouăsprezece.
 V Bruseli tridsiateho prvého júla dvetisícdevätnásť.
 V Bruslju, dne enaintridesetega julija leta dva tisoč devetnajst.
 Tehty Brysselissä kolmantenakymmenentenäensimmäisenä päivänä heinäkuuta vuonna kaksituhattayhdeksäntoista.
 Som skedde i Bryssel den trettioförsta juli år tjugohundranitton.

За Европейския съюз
 Por la Unión Europea
 Za Evropskou unii
 For Den Europæiske Union
 Für die Europäische Union
 Euroopa Liidu nimel
 Για την Ευρωπαϊκή Ένωση
 For the European Union
 Pour l'Union européenne
 Za Europejską uniję
 Per l'Unione europea
 Eiropas Savienības vārdā –
 Europos Sąjungos vardu
 Az Európai Unió részéről
 Għall-Unjoni Ewropea
 Voor de Europese Unie
 W imieniu Unii Europejskiej
 Pela União Europeia
 Pentru Uniunea Europeană
 Za Európsku úniu
 Za Evropsko unijo
 Euroopan unionin puolesta
 För Europeiska unionen



За Република Гамбия
Por la República de Gambia
Za Gambijskou republiku
For vegne af Republikken Gambia
Für die Republik Gambia
Gambia Vabariigi nimel
Για τη Δημοκρατία της Γκάμπια
For the Republic of The Gambia
Pour la République de Gambie
Za Republiku Gambiju
Per la Repubblica della Gambia
Gambijas Republikas vārdā –
Gambijos Respublikos vardu
A Gambiai Köztársaság részéről
Għar-Repubblika tal-Gambja
Voor de Republiek Gambia
W imieniu Republiki Gambii
Pela República da Gâmbia
Pentru Republica Gambia
Za Gambijskú republiku
Za Republiko Gambijo
Gambian tasavallan puolesta
För Republiken Gambias vägnar

Offaitetu

PROTOCOL
on the implementation of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of the Gambia

Article 1

Definitions

For the purposes of this Protocol, the definitions laid down in Article 1 of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia ('the Agreement') shall apply and be supplemented by the following definitions:

- (a) 'this Protocol' means this Protocol on the implementation of the Agreement, the Annex hereto and the Appendices to the Annex;
- (b) 'landing' means the unloading of any quantity of fisheries products from on board a fishing vessel to land;
- (c) 'transhipment' means the unloading of all or some of the fisheries products on board a vessel to another vessel;
- (d) 'observer' means any person authorised by a national authority, in accordance with the Annex, to observe the implementation of the rules applicable to a fishing activity, or to observe the activity for scientific purposes;
- (e) 'fishing authorisation' means an administrative authorisation issued by the Department of Fisheries to the vessel owner against an annual or quarterly fee, entitling it to fish in the Gambian fishing zone during the period for which it was granted;
- (f) 'operator' means a natural or legal person who operates or holds an undertaking carrying out activities related to any stage of the production, processing, marketing, distribution or retailing of fisheries and aquaculture products;
- (g) 'highly migratory species' means species listed in Annex 1 to the 1982 United Nations Convention on the Law of the Sea, excluding species protected or prohibited by the International Commission for the Conservation of Atlantic Tunas (ICCAT);
- (h) 'force majeure' means any sudden, unforeseeable and inevitable event which endangers or prevents the exercise of normal fishing activities in the Gambian fishing zone.

Article 2

Objective

The purpose of this Protocol is to implement the provisions of the Agreement, laying down in particular the conditions for access by Union vessels to the Gambian fishing zone defined in point (i) of Article 1 thereof, and the implementing provisions of the Agreement.

Article 3

Fishing opportunities

1. The fishing opportunities granted to Union vessels shall be as follows:
 - highly migratory species:
 - (a) 28 freezer tuna seiners;
 - (b) 10 pole-and-lines;
 - deep-water demersal fish (as referred to in Appendix 2b to the Annex to this Protocol):
 - (c) 3 trawlers.
2. Paragraph 1 of this Article shall apply subject to Articles 5 and 7 of this Protocol.
3. In accordance with Article 5 of the Agreement, Union vessels may engage in fishing activities in the Gambian fishing zone only if they are in possession of a fishing authorisation issued under this Protocol in accordance with the Annex.

*Article 4***Financial contribution**

1. For the period referred to in Article 12 of this Protocol, the total financial contribution paid by the Union shall be set at EUR 3 300 000. This financial contribution shall comprise EUR 550 000 per year under the financial contribution referred to in Article 7 of the Agreement, allocated as follows:

- (a) an annual amount for access to the fisheries resources in the Gambian fishing zone of EUR 275 000 equivalent to a reference tonnage, for highly migratory species, of 3 300 tonnes per year, and
- (b) specific annual amount for the support of the implementation of The Gambia's sectoral fisheries policy of EUR 275 000 per year.

In addition, the annual financial contribution paid by vessel owners shall be set at EUR 315 000, corresponding to the estimated annual amount of fees due by vessel owners in respect of fishing authorisations issued pursuant to Article 5 of the Agreement and in accordance with the arrangements laid down in Section 2 of Chapter II of the Annex.

2. Paragraph 1 of this Article shall apply subject to Articles 5, 6, 7, 8 and 14 of this Protocol and Articles 15 and 16 of the Agreement.

3. The Gambia shall ensure that the activities of the Union vessels in its fishing zones are monitored in order to ensure appropriate management of the reference tonnage set out in point (a) of paragraph 1 for highly migratory species and of the total admissible catch for demersal species indicated in the corresponding technical sheet annexed to this Protocol, taking into account the state of the stocks and any available surplus.

4. Both the Union and The Gambia shall ensure that catches made by Union vessels are monitored on regular basis. In the case of demersal species, as soon as the catch level reaches 80 % of the total allowable catch, The Gambia shall notify the Union authorities. On receipt of this notification, the Union shall likewise inform the Member States thereof. Member States shall then act appropriately to ensure that catch levels of demersal species do not surpass the total allowable catch.

5. If the annual quantity of catches of highly migratory species by Union vessels in Gambian waters exceeds the annual reference tonnage indicated in point (a) paragraph 1, the total amount of the annual financial contribution shall be increased by EUR 50 for each additional tonne caught.

6. However, the total annual amount paid by the Union shall not be more than twice the amount indicated in point (a) of paragraph 1. Where the quantities caught by Union vessels exceed the quantities corresponding to twice the total annual amount, the amount due for the quantity exceeding that limit shall be paid the following year.

7. Payment of the financial contribution laid down in point (a) of paragraph 1 on access by Union vessels to Gambian fishery resources shall be made by the Union no later than three months after the start of the provisional application for the first year and, for the following years, no later than the anniversary date of the provisional application of this Protocol.

8. The financial contribution indicated in point (a) of paragraph 1 shall be paid into a Public Treasury account of The Gambia. The financial contribution indicated in point (b) of paragraph 1, earmarked for sectoral support, shall be placed at the disposal of the Department of Fisheries in an open-deposit Public Treasury account. The Gambian authorities shall notify the European Commission of the relevant bank account numbers on an annual basis.

*Article 5***Review of fishing opportunities and financial compensation**

1. The Joint Committee may reassess and decide to review the fishing opportunities referred to in Article 3 insofar as the recommendations and resolutions adopted within ICCAT and other regional scientific bodies indicate that such a review is compatible with the sustainable management of the fisheries resources covered by this Protocol. In this case, the financial contribution referred to in point (a) of Article 4(1) shall be adjusted proportionately and pro rata temporis by decision of the Joint Committee. However, the total annual amount paid by the Union shall not be more than twice the figure indicated in point (a) of Article 4(1).

2. The Joint Committee may, where necessary, examine and adapt the provisions governing the pursuit of fishing activities and the rules for implementing this Protocol and its Annex.

*Article 6***Sectoral support**

1. No later than three months after the date of entry into force or the provisional application of this Protocol, the Joint Committee shall agree on a multiannual sectoral programme and detailed implementing rules covering, in particular:

- (a) annual and multiannual guidelines for using the specific amount of the financial contribution referred to in point (b) of Article 4(1);
- (b) the objectives, both annual and multiannual, to be achieved, over time, with a view to developing responsible and sustainable fishing activities taking into account the priorities expressed by The Gambia in its national policies relating to or having an impact on the following areas:
 - (i) support and management measures for fisheries, aquaculture and artisanal fisheries;
 - (ii) sanitary and quality management with a view to developing export capacities;
 - (iii) fisheries monitoring, control and surveillance and fight against illegal, unreported and unregulated (IUU) fishing;
 - (iv) the development and strengthening of scientific capacity in the field of fisheries;
 - (v) measures to protect fragile ecosystems or ecosystems that have made a significant contribution to the health of stocks, such as the establishment and management of marine protected areas;
- (c) criteria and procedures including, where appropriate, quality and financial indicators for evaluating the results obtained each year.

2. The use of the specific amount of the financial contribution referred to in point (b) of Article 4(1) shall be based on the identification by the Joint Committee of the objectives to be achieved and the annual and multiannual programming to attain them.

3. Any proposed amendments to the multiannual sectoral programme shall be agreed on by the Joint Committee. Urgent changes to the annual sectoral programme required by the Gambian authorities may be done through the Joint Committee, including by exchange of letters.

4. The Gambian authorities shall report annually to the Joint Committee on progress made in the implementation of sectoral support.

5. Before this Protocol expires, the Gambian authorities shall submit a final report on the implementation of sectoral support under this Protocol.

6. The specific amount of the financial contribution referred to in point (b) of Article 4(1) shall be paid in instalments. For the first year of application of this Protocol, the instalment shall be paid on the basis of the needs identified as part of the agreed programming. For the subsequent years of application, the instalments shall be paid on the basis of the needs identified as part of the agreed programming and on the basis of an analysis of the results achieved in the implementation of the sectoral support.

7. The Union reserves the right to revise and/or suspend, in part or in full, payment of the specific financial contribution provided for in point (b) of Article 4(1) of this Protocol:

- (a) if the results obtained are inconsistent with the programming, following an evaluation by the Joint Committee;
- (b) in the event of failure to implement this financial contribution as determined by the Joint Committee.

8. Payment of the financial contribution shall resume, after consultation between the parties and agreement by the Joint Committee, where it is justified on the basis of the results of the implementation of the agreed programming referred to in paragraph 1 of this Article. Nevertheless, the specific financial contribution provided for in point (b) of Article 4(1) may not be paid out beyond a period of six months after this Protocol expires.

9. The two Parties undertake to ensure the promotion and the visibility of the measures implemented with sectoral support.

*Article 7***Scientific cooperation on responsible fishing**

1. The Parties shall comply with the recommendations and resolutions of ICCAT and take into account the scientific advice of other relevant regional organisations.
2. The Parties may convene a joint scientific meeting when required in order to examine all scientific issues relating to the implementation of this Protocol. This can be done in conjunction with other regional and subregional scientific bodies.
3. Based on the recommendations and resolutions taken within ICCAT and the best available scientific advice and, where appropriate, the conclusions of the joint scientific meeting, the Joint Committee may adopt a decision on measures to ensure sustainable management of the fisheries resources covered by this Protocol as far as the activities of Union vessels are concerned.

*Article 8***Exploratory fisheries and new fishing opportunities**

1. At the request of one of the Parties, the Joint Committee may consider the possibility of exploratory fishing campaigns in the Gambian fishing zone with a view to testing the technical feasibility and economic viability of new fisheries not provided for in Article 3. To this end, the Joint Committee shall determine on a case-by-case basis the species, the conditions and any other relevant parameters.
2. Taking into account best available scientific advice and on the basis of the results of the exploratory campaigns, if the Union becomes interested in new fishing opportunities, the Joint Committee shall convene to discuss and prescribe the conditions applicable to such new fishing activities.
3. Following authorisation by The Gambia for these new fishing activities, the Joint Committee shall make corresponding amendments to this Protocol and the Annex thereto.

*Article 9***Cooperation between economic operators**

The Parties shall, in accordance with the applicable legislation and regulations, work together in order to foster cooperation between economic operators in the following areas:

- (a) development of fisheries-related activities, in particular fish processing, the manufacturing of fishing gear and materials and naval construction and repair;
- (b) promoting the exchange of professional expertise and training of actors in the fishing sector;
- (c) marketing and sale of fishery products;
- (d) the blue economy, including aquaculture.

The parties shall cooperate together in order to ensure a favourable business environment for the promotion of investments in the areas referred to in points (a) to (d) of the first subparagraph.

*Article 10***Electronic exchanges of data**

1. The Gambia and the Union undertake to implement the necessary systems for the electronic exchange of all information and documents related to the implementation of the Agreement and this Protocol.
2. The electronic form of a document at any point shall be considered equivalent to the paper version.
3. Both Parties will immediately notify the other Party of any disruption of a computer system impeding such exchanges. In these circumstances, the information and documents related to the implementation of the Agreement and this Protocol shall be replaced automatically by their paper version in the manner defined in the Annex.

*Article 11***Confidentiality**

1. The Parties undertake to ensure that all nominative data relating to Union vessels and their fishing activities obtained under the Agreement, including data collected by observers, are processed in accordance with confidentiality and data protection principles.
2. The Parties shall ensure that only aggregated data relating to fishing activities in the Gambian fishing zone are made public.
3. Data which may be considered confidential shall be used by the competent authorities exclusively for the purposes of implementing the Agreement and for fisheries management, control and monitoring.
4. With regard to personal data transmitted by the Union, appropriate safeguards and legal remedies may be established by the Joint Committee in accordance with Regulation (EU) 2016/679 of the European Parliament and of the Council ⁽¹⁾ (General Data Protection Regulation).

*Article 12***Duration**

This Protocol shall apply for a period of six years from the date of the start of its provisional application.

*Article 13***Provisional application**

This Protocol shall be applied on a provisional basis as from the date of its signature.

*Article 14***Suspension**

The application of this Protocol may be suspended at the initiative of either Party under the conditions referred to in Article 15 of the Agreement.

*Article 15***Termination**

This Protocol may be terminated at the initiative of either Party under the conditions referred to in Article 16 of the Agreement.

*Article 16***Entry into force**

This Protocol shall enter into force on the date on which the Parties notify each other of the completion of the procedures necessary for that purpose.

⁽¹⁾ Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) (OJ EU L 119, 4.5.2016, p. 1).

Съставено в Брюксел на тридесет и първи юли две хиляди и деветнадесета година.
Hecho en Bruselas, el treinta y uno de julio de dos mil diecinueve.
V Bruselu dne třicátého prvního července dva tisíce devatenáct.
Udfærdiget i Bruxelles den enogtredivte juli to tusind og nitten.
Geschehen zu Brüssel am einunddreißigsten Juli zweitausendneunzehn.
Kahe tuhande üheksateistkümnenda aasta juulikuu kolmekümne esimesel päeval Brüsselis.
Έγινε στις Βρυξέλλες, στις τριάντα μία Ιουλίου δύο χιλιάδες δεκαεννέα.
Done at Brussels on the thirty first day of July in the year two thousand and nineteen.
Fait à Bruxelles, le trente et un juillet deux mille dix-neuf.
Sastavljeno u Bruxellesu trideset prvog srpnja godine dvije tisuće devetnaeste.
Fatto a Bruxelles, addì trentuno luglio duemiladiciannove.
Briselē, divi tūkstoši deviņpadsmitā gada trīsdesmit pirmajā jūlijā.
Priimta du tūkstančiai devynioliktų metų liepos trisdešimt pirmą dieną Briuselyje.
Kelt Brüsszelben, a kétezer-tizenkilencedik év július havának harmincegyedik napján.
Magħmul fi Brussell, fil-wiehed u tletin jum ta' Lulju fis-sena elfejn u dsatax.
Gedaan te Brussel, eenendertig juli tweeduizend negentien.
Sporządzono w Brukseli dnia trzydziestego pierwszego lipca roku dwa tysiące dziewiętnastego.
Feito em Bruxelas, em trinta e um de julho de dois mil e dezanove.
Întocmit la Bruxelles la treizeci și unu iulie două mii nouăsprezece.
V Bruseli tridsiateho prvého júla dvetisícdevätnásť.
V Bruslju, dne enaintridesetega julija leta dva tisoč devetnajst.
Tehty Brysselissä kolmantenakymmenentenäensimmäisenä päivänä heinäkuuta vuonna kaksituhattayhdeksäntoista.
Som skedde i Bryssel den trettioförsta juli år tjugohundranitton.

За Европейския съюз
Por la Unión Europea
Za Evropskou unii
For Den Europæiske Union
Für die Europäische Union
Euroopa Liidu nimel
Για την Ευρωπαϊκή Ένωση
For the European Union
Pour l'Union européenne
Za Europejską uniję
Per l'Unione europea
Eiropas Savienības vārdā –
Europos Sąjungos vardu
Az Európai Unió részéről
Għall-Unjoni Ewropea
Voor de Europese Unie
W imieniu Unii Europejskiej
Pela União Europeia
Pentru Uniunea Europeană
Za Európsku úniu
Za Evropsko unijo
Euroopan unionin puolesta
För Europeiska unionen



За Република Гамбия
Por la República de Gambia
Za Gambijskou republiku
For vegne af Republikken Gambia
Für die Republik Gambia
Gambia Vabariigi nimel
Για τη Δημοκρατία της Γκάμπια
For the Republic of The Gambia
Pour la République de Gambie
Za Republiku Gambiju
Per la Repubblica della Gambia
Gambijas Republikas vārdā –
Gambijos Respublikos vardu
A Gambiai Köztársaság részéről
Għar-Repubblika tal-Gambja
Voor de Republiek Gambia
W imieniu Republiki Gambii
Pela República da Gâmbia
Pentru Republica Gambia
Za Gambijskú republiku
Za Republiko Gambijo
Gambian tasavallan puolesta
För Republiken Gambias vägnar

Offaitetu

ANNEX

CONDITIONS FOR FISHING ACTIVITIES BY UNION VESSELS IN THE GAMBIAN FISHING ZONE

CHAPTER I

GENERAL PROVISIONS

Designation of competent authority

1. For the purposes of this Annex and unless otherwise specified, any reference to Union or to The Gambia as a competent authority shall mean:
 - for the Union: the European Commission, where applicable via the Delegation of the European Union to The Gambia (EU Delegation);
 - for The Gambia: Ministry of Fisheries, Water Resources and National Assembly Matters of The Gambia (MoFWR&NAMs).

The Gambian fishing zone

2. The geographic coordinates of the Gambian fishing zone are as defined in point (i) of Article 1 of the Agreement.
3. The competent Gambian authorities shall notify the competent Union services, before the provisional application of the Protocol, of the geographical coordinates of the Gambian baseline, the Gambian fishing zone and zones closed to shipping and fishing.
4. The Gambia shall notify the vessel owners of the coordinates of these zones when the fishing authorisation is issued.
5. The Gambia shall notify the Union, at least two months before enforcement, of any changes to the zones closed to shipping and fishing.

Payments by vessel owners

6. Before provisional application of the Protocol, The Gambia shall notify the Union of the details of the Government bank account(s) into which the financial sums payable by Union vessels under the Agreement must be paid. The associated bank transfer costs shall be borne by the vessel owners.

Contacts

7. The contact details of the Gambian authorities are included in Appendix 6 to this Annex.

CHAPTER II

FISHING AUTHORISATIONS

SECTION 1

APPLICATION AND ISSUING OF FISHING AUTHORISATIONS

Conditions for obtaining a fishing authorisation

1. Only eligible vessels may obtain an authorisation to fish in the Gambian fishing zone.
2. For a vessel to be eligible, neither the owner, the master nor the vessel itself must be prohibited from fishing in the Gambian fishing zone. They must be in order vis-à-vis the Gambian authorities insofar as they must have fulfilled all prior obligations arising from their fishing activities in The Gambia under fisheries agreements concluded with the Union. The fishing authorisations referred to in Article 5 of the Agreement shall be issued on condition that the vessel is entered in the Union register of fishing vessels and in accordance with the provisions of Regulation (EU) 2017/2403 of the European Parliament and of the Council⁽¹⁾. Vessels must also be included in the ICCAT record of vessels, and not on the IUU listing of ICCAT or any other regional fisheries management organisations.

Application for a fishing authorisation

3. The Union shall submit, by electronic means, to Department of Fisheries, with a copy to the EU Delegation, an application for any vessel wishing to fish under the Agreement at least 15 calendar days before the date of commencement of the period of validity requested.

⁽¹⁾ Regulation (EU) 2017/2403 of the European Parliament and of the Council of 12 December 2017 on the sustainable management of external fishing fleets, and repealing Council Regulation (EC) No 1006/2008 (OJ EU L 347, 28.12.2017, p. 81).

4. Applications shall be submitted on a form drawn up in accordance with the specimen in Appendix 1 to this Annex and shall be accompanied by the following documents:
 - (a) proof of payment of the advance fee for the period of validity of the requested fishing authorisation, which is non-refundable;
 - (b) for each initial application under the Protocol, or following a technical change of the vessel concerned, a recent (12 months or less) digital colour photograph of the vessel of adequate resolution, showing a detailed lateral view of the vessel with the vessel's name and identification number visible on the hull;
5. The information provided in the application form referred to in point 4 will be used by the competent Gambian authorities to process and issue the annual seaworthiness certificate within the period referred to in point 3. The annual seaworthiness certificate should be issued before the issuing of the fishing authorisation by the competent Gambian authorities.
6. As part of their first application for a fishing authorisation under the Protocol, Union deep-sea demersal trawlers shall undergo a pre-authorisation inspection. The issuing of the first fishing authorisation shall be conditional on the successful completion of this inspection, which shall be carried out in designated ports in the subregion agreed between the Union and The Gambia, subject to authorisation by the port State concerned. Any inspections taking place outside of the Port of Banjul shall be done with all associated costs to be borne by the vessel owner.
7. For the renewal of a fishing authorisation under the Protocol in force for a vessel whose technical specifications have not been modified, the renewal application shall be accompanied only by proof of payment of the fees which are non-refundable. In the event where the technical specifications have been modified, the application shall be re-submitted with all relevant documents as specified in point 4 prior to the issuing of the fishing authorisation.

Issuing of the fishing authorisation

8. The Gambia shall issue the fishing authorisations to vessel owners or inform the Union of its refusal within 15 calendar days of receipt of all the documents referred to in point 4. The original of the fishing authorisation shall be handed over to the local agent of the vessel owners or sent to vessel owners via the EU delegation.

At the same time, in order not to delay the possibility of fishing, a copy of the fishing authorisation shall be immediately transmitted electronically to the Union, for forwarding to the vessel owner, and to the EU Delegation for information. This copy may be used for a maximum period of 60 calendar days after the issuing date of the fishing authorisation. During this period, the copy shall be considered equivalent to the original.

List of vessels authorised to fish

9. After the fishing authorisation is issued, The Gambia shall include immediately the Union vessel in the list of vessels which are authorised to fish in the Gambian fishing zone. This list shall be sent immediately to the Department of Fisheries (DoF), the Fisheries Monitoring Control and Surveillance Unit (FMCS) and the MoFWR&NAMs and to the Union. The Gambia shall update regularly the list of vessels authorised to fish. The new list shall be sent without delay to the same authorities.
10. In the event that the fishing authorisation is not issued within the timeframe specified in point 7, the vessel shall be included in the list on a provisional basis, unless there is clear evidence that it does not comply with the requirements of point 2. During this time, the vessel shall be authorised to fish.

Transfer of fishing authorisation

11. Fishing authorisations shall be issued for a specific vessel and shall not be transferable.
12. However, at the request of the Union and where force majeure is proven, in particular in the event of the loss or prolonged immobilisation of a vessel due to a serious technical failure, a fishing authorisation shall be replaced by a new authorisation for another vessel of the same fishing category as the vessel being replaced, subject to the submission of a new fishing authorisation application as required under point 4 with no further fee due. In this case, the calculation of the catch levels to determine whether an additional payment should be made shall take account of the sum of the total catches of the two vessels. A transfer of a fishing authorisation at no cost shall also be possible at the request of the Union between two vessels under the same fishing category if the licensed vessel has not started any fishing operation in the Gambian fishing zone; the normal request procedure shall be followed in these cases.

13. The vessel owner or his agent shall return the cancelled fishing authorisation to The Gambia via the EU Delegation. The new fishing authorisation shall take effect on the day on which the cancelled authorisation is returned. The EU Delegation shall be informed of the transfer of the fishing authorisation.
14. The Gambia shall update the list of vessels authorised to fish and shall send it without delay to the DoF, the FMCS and the MoFWR&NAMS and to the Union.

Period of validity of the fishing authorisation

15. Fishing authorisations for tuna seiners and pole-and-line vessels shall be valid for an annual period. Fishing authorisations for ocean-going fish trawlers (deep-water demersal species) shall be valid for a quarterly period.
16. Fishing authorisations shall be renewable.
17. In order to establish the start of the period of validity of fishing authorisations:
 - ‘annual period’ shall mean: for the first year of application of the Protocol, the period between the date of its entry into force and 31 December of the same year; then, each complete calendar year; for the last year of application of the Protocol, the period between 1 January and the date of expiry of the Protocol;
 - ‘quarterly period’ shall mean: at the start of application of the Protocol, the period between the date of its entry into force and the date of the start of the next quarter, a quarter having to begin on 1 January, 1 April, 1 July or 1 October; then, each complete quarter; at the end of application of the Protocol, the period between the end of the last full quarter and the date of expiry of the Protocol.

Documents to be carried on board

18. While the fishing vessel is in the Gambian fishing zone or in an agreed designated port in the subregion, the following documents must be carried on board the vessel at all times:
 - (a) the fishing authorisation;
 - (b) the seaworthiness certificate of the vessel;
 - (c) the vessel registration certificate;
 - (d) the certificate of tonnage;
 - (e) the certificate of insurance;
 - (f) an illustration and detailed description of the fishing gears used.
 - (g) up-to-date certified drawings or descriptions of the lay out of the fishing vessels and in particular, the number of fish holds of the fishing vessels, with the storage capacity expressed in cubic meters;
 - (h) if any modification was made to the characteristics of the fishing vessel with respect to its length overall, its gross registered tonnage, the horsepower of its main engine or engines or its hold capacity, a certificate, certified by a competent authority of the flag State of the fishing vessel, describing the nature of such modification.

SECTION 2

FISHING AUTHORISATION CONDITIONS – FLAT-RATE FEES AND ADVANCE PAYMENTS

1. Highly migratory species:
 - (a) the fee payable for tuna seiners and pole-and-line vessels, is EUR 70 per tonne caught in the Gambian fishing zone;
 - (b) fishing authorisations shall be issued once the following flat-rate fees have been paid to the competent national authorities:
 - (i) for tuna seiners: EUR 4 200 per vessel, equivalent to the price of the catches of 60 tonnes per year;
 - (ii) for pole-and-line vessels: EUR 1 400 per vessel, equivalent to the price of the catches of 20 tonnes per year;
 - (c) where the final statement is greater than the anticipated flat-rate fee paid to obtain the fishing authorisation, the vessel owner shall pay the outstanding balance based on the fee indicated in point (b) of paragraph 19 to The Gambia by the date indicated in paragraph 8 of Section 1 of Chapter IV of this Annex. Where the final statement is less than the expected flat-rate fee, the remaining amount shall not be reclaimed by the vessel owner.

2. Demersal species

The amount of the fee for demersal species is indicated in the technical sheet in Appendix 2b. Fishing authorisations shall be issued once the advance fees indicated in this sheet have been paid to the competent national authorities.

3. The advance fee shall include all national and local charges except for port taxes and service charges.
4. If the period of validity of the fishing authorisation is less than one year, in particular, because of a biological rest period, the flat-rate fee shall be adapted pro rata to the period of validity requested.

SECTION 3

SUPPORT VESSELS

1. The Gambia shall authorise Union vessels which are holders of a fishing authorisation to be assisted by support vessels. The support vessels shall fly the flag of an Union Member State, and shall not be equipped for catching fish or be used for transshipments.
2. The Gambia shall define its support activities and the conditions for obtaining authorisations and shall draw up a list of authorised support vessels and send it without delay to the national body for controlling fishing and to the Union.
3. The annual fee authorisation applicable to the support vessel is EUR 2 000 per vessel.

CHAPTER III

CONSERVATION TECHNICAL MEASURES

Conservation technical measures applicable to the vessels holding a fishing authorisation, relating to the Gambian fishing zone, fishing gear and additional catch, shall be defined for each fishing category in the technical sheets contained in Appendices 2a and 2b to this Annex.

The vessels shall comply with all the recommendations adopted by ICCAT and the provisions under the relevant Gambian legislation unless otherwise provided by the Agreement and the Protocol.

The use of drifting fish aggregating devices (FADs) in the Gambian fishing zone shall be limited to artificial supports with non-entangling underwater hanging structures. The deployment and use of such artificial drifting FADs shall be subject to the adoption by the Union of a management plan in line with the provisions adopted by ICCAT.

Union vessels shall conduct all fishing activities in a manner which will not disrupt traditional, local-based fisheries, and shall release all turtles, marine mammals, seabirds and reef fish in a manner which will provide this miscellaneous catch with the greatest chance of survival.

Union vessels and their masters and operators shall conduct all fishing operations in a manner which will not disrupt the fishing activities of other fishing vessels and shall not interfere with the fishing gear of other fishing vessels.

CHAPTER IV

CONTROL, MONITORING AND SURVEILLANCE

SECTION 1

Recording of fishing activities in the fishing logbook – transmission to the FMCS of The Gambia

1. The master shall record in a fishing logbook each day the estimated quantities of each species caught and retained on board or discarded at sea for each fishing operation. The recording of the estimated quantities of a species caught or discarded shall be made regardless of the weight involved.
2. In the event of presence in the Gambian fishing zone without fishing activity, the vessel's position at midday shall be recorded.
3. The automatic transmission of logbooks by electronic means to The Gambia (Electronic Reporting System – ERS) shall be carried out daily for any period during which the vessel is present in the Gambian fishing zone and in accordance with Appendix 5, even in the event of a zero catch.
4. The daily electronic transmission to The Gambia shall include at least the following elements:
 - (a) the vessel identification numbers and the name of the fishing vessel;
 - (b) the FAO alpha 3 code of each species and the geographical area where the catches were made;

- (c) the date and, where applicable, the time of the catches;
 - (d) the date and time of departure from and arrival in the port, and the duration of the fishing trip;
 - (e) type of equipment, technical specifications and dimensions;
 - (f) the estimated quantities of each species in kilograms, expressed in live weight equivalent or, where applicable, in number of individuals;
 - (g) estimated discards in volume in live weight equivalent;
 - (h) the conversion factors used.
5. By way of derogation from point 3, in the event of a presence in the Gambian fishing zone of less than 24 hours without catches taken nor discarded, only the data of catches entering and leaving the zone shall be reported to the FMCS of The Gambia. The corresponding automatic messages are to be sent to the FMCS of The Gambia.
6. In the absence of a system for the automatic receipt of logbooks by electronic means in The Gambia, the manual transmission of logbooks shall be made by the vessel by electronic messaging, or by alternative means, to the FMCS of The Gambia, the fisheries monitoring centre ('FMC') of the flag State and the European Commission, at the latest within 48 hours of return to port and in the format provided in Appendix 3. Coordinates for the transmission shall be provided by the Gambia and kept up to date. The Gambia shall ensure that its FMCS is in adequate conditions at any time to receive electronic copies of the logbooks.
7. Where the provisions concerning catch reporting are not complied with, The Gambia may suspend the fishing authorisation of the vessel concerned until the missing catch report is obtained and may penalise the vessel owner in accordance with the relevant provisions under the national legislation in force. If the offence is repeated, The Gambia may refuse to renew the fishing authorisation. The Gambia shall inform the Union immediately of any penalty applied in this context.

Catch declarations to The Gambia – payment of fees and contributions related to catches

8. Catches and discards quantities per vessel, aggregated over one month, shall be updated quarterly in the database maintained by the European Commission, or monthly, for species subject to a total allowable catch under the Protocol.
9. Updates shall ensure that data is cross-checked with landing, sale, inspection, scientific data or observation data and any other relevant information. Updates to the database required as a result of these verifications shall be carried out as soon as possible. Crosschecks shall use the geographical coordinates of The Gambia's fishing zone as established in accordance with the Protocol.
10. In the case of on-board processing, the equivalent live weight shall be obtained by applying a conversion factor to the processed weight measurement as communicated upon request.
11. The Union shall provide The Gambia, before the end of each quarter, with aggregated data for the previous quarters of the current year, indicating the quantities of catches per vessel, per month of catch, and per species, extracted from the database. These data shall be considered to be provisional. The Gambia shall analyse them and report any major inconsistencies with the raw data it receives, in particular the declarations from paper logbooks or those provided by ERS. Parties shall conduct investigations and ensure to update the data as necessary.
12. The Union shall provide before the 31st of March of each year, aggregated data indicating the quantities by vessel per month and per species of catches taken in the Gambian fishing zone during the previous calendar year.
13. A calculation of the fees due for each vessel shall be provided by the Union authorities to The Gambia and the flag State for guidance.
14. The Gambia shall have one month until the 30th of April to contest the data provided and provide an alternative statement of the catches of each vessel and the elements on which its challenge is based, such as inspection reports or observer data. The parties shall endeavour to settle discrepancies on the data within one month of the reply by The Gambia until 31st of May.
15. By the 15th of June, the statements based on the quantities extracted from the EU database shall be communicated to the vessel owners for payment of additional catches due within 45 days to the bank account dedicated to the payment of fishing authorisation fees. The Gambia shall monitor these payments and report to the Union any delays and incomplete payments.

16. In any case, the Parties may, subsequently or in the event of a persistent disagreement, amend the catch data for a given year on the basis of factual elements such as logbook data, data from observations made on vessels and during fishing trips or by scientific institutes. Such amendments may be made until the 1st of September of the year following the year where the catches were made and shall in that case be communicated between the Parties without delay.
17. The data shall be approved by the Parties in the Joint Committee, possibly by exchange of letters, no later than the 31st of October of the year following the year in which the catches were made. Any corrections approved by the Joint Committee shall give rise to the additional payments required. The catches approved by the Joint Committee shall become official Union catches in The Gambia for the year concerned and shall be updated in the database maintained by the European Commission.
18. If the Joint Committee finds that additional payments are due by Union vessels, they shall be made within six months of this finding. Excess payments shall either be reimbursed by The Gambia or give rise to licence credits for a vessel of the flag State concerned.
19. Any updates made by the Joint Committee shall be taken into account for the payment by the Union of the additional catch tonnages above the reference tonnage for a full year, as provided for in Article 5 of the Protocol.

SECTION 2

LANDING AND TRANSHIPMENT

Landing procedure ⁽²⁾

1. The master of a Union vessel wishing to land catches from the Gambian fishing zone in a Gambian port shall notify The Gambia, at least 48 hours before landing of the following:
 - (a) the name and international radio call sign (IRCS) of the fishing vessel which is to land,
 - (b) the port of landing,
 - (c) the planned date and time of the landing,
 - (d) the quantity (expressed in kilograms of live weight or, if necessary, the number of individual fish) of each species to be landed (identified by its FAO alpha 3 code),
 - (e) the product presentation.
2. The landing operation must be carried out in the Gambian fishing zone in a Gambian port authorised for this purpose.
3. Non-compliance with the provisions regarding the landing procedure shall lead to the application of the relevant penalties provided for under Gambian legislation.

Transshipment ⁽³⁾

4. The master of a Union vessel wishing to tranship catches from the the Gambian fishing zone in a Gambian port shall notify The Gambia, at least 48 hours before transshipment of the following:
 - (a) the name and IRCS of the donor fishing vessel;
 - (b) the name and IRCS of the receiving fishing vessel;
 - (c) the transshipment port;
 - (d) the planned date and time of transshipment;
 - (e) the quantity (expressed in kilograms of live weight or, if necessary, the number of individual fish) of each species to be transhipped (identified by its FAO alpha 3 code);
 - (f) the product presentation.

⁽²⁾ For the communication of this procedure, the form in Appendix 3 shall be used. From the date agreed between parties for the migration to ERS communications, the ERS shall then be used.

⁽³⁾ For the communication of this procedure, the form in Appendix 3 shall be used. From the date agreed between parties for the migration to ERS communications, the ERS shall then be used.

5. The transhipment operation shall be carried out in a Gambian port authorised for this purpose in the presence of Gambian inspectors. In order to avoid delays, in exceptional cases where the presence of a Gambian inspector is not possible, the master shall be authorised to commence transhipment after expiration of the notice period given in accordance with point 4. Transhipment at sea is prohibited.

SECTION 3

CONTROL AND INSPECTION

Entering and leaving the zone

1. The Gambia must be notified of any Union vessel holding a fishing authorisation which enters or exits the Gambian fishing zone at least four hours before the entry or exit.
2. When notifying its entry or exit, the Union vessel shall notify in particular:
 - (a) the date, time and point of passage scheduled;
 - (b) the quantity of all species held on board, identified by its FAO alpha 3 code and expressed in kilograms of live weight or, if necessary, the number of individual fish;
 - (c) the product presentation.
3. Notification shall be given preferably by email or, failing that, by fax or radio, to an email address, a telephone number or a frequency communicated by The Gambia as specified in Appendix 6. The Gambia shall confirm receipt thereof immediately by return email. The Gambia shall immediately inform the vessels concerned and the Union of any change to the email address, telephone number or transmission frequency.
4. Any Union vessel found to be fishing in the Gambian fishing zone without having previously notified its presence shall be considered to be a vessel fishing illegally.

Inspection in port or at sea

5. The inspection in port or while at sea in Gambian waters of Union vessels holding a fishing authorisation shall be carried out by vessels and inspectors of The Gambia who are clearly identified as being assigned to carry out fishing checks.
6. Before going on board, the authorised inspectors shall inform the Union vessel of their decision to carry out an inspection. The inspection shall be carried out by fisheries inspectors, who must provide proof of their identity and official position as an inspector before carrying out the inspection. The master of the vessel shall cooperate while the inspection procedure is being carried out.
7. The authorised inspectors shall only stay on board the Union vessel for the time necessary to carry out tasks linked to the inspection. They shall carry out the inspection in a way which minimises the impact on the vessel, its fishing activity, cargo or landing or transhipping activities.
8. The Gambia may authorise the Union to participate in the inspections as an observer.
9. At the end of each inspection, the authorised inspectors shall draw up an inspection report. The master of the Union vessel shall have the right to make comments in the inspection report. The inspection report shall be signed by the inspector drawing up the report and the master of the Union vessel.
10. The signature of the inspection report by the master shall be without prejudice to the vessel owner's right of defence in respect of an infringement. If the master refuses to sign that document, he or she shall specify the reasons for doing so in writing and the inspector shall write 'refusal to sign' on it. The authorised inspectors shall give a copy of the inspection report to the master of the Union vessel before leaving the vessel. In the case of an infringement, a copy of the notification of the infringement shall also be transmitted to the Union within eight calendar days of the inspection.

Participatory monitoring in the fight against IUU fishing

11. In order to strengthen the fight against IUU fishing, masters of Union vessels shall report the presence of any vessels in the Gambian fishing zone engaged in activities which may constitute IUU fishing, seeking for as much information as possible about what has been sighted. Sighting reports shall be sent without delay to the DoF, the FMCS and the MoFWR&NAMS and the competent authority of the Member State of the sighting vessel, which shall immediately transmit them to the Union or to the body designated by it.

12. The Gambia shall send the Union any sighting reports it has on fishing vessels engaged in activities which may constitute IUU fishing in the Gambian fishing zone.

SECTION 4

SATELLITE-BASED VESSEL MONITORING SYSTEM (VMS)

Vessel position messages – VMS system

1. Whilst they are in the Gambian fishing zone, Union vessels holding a fishing authorisation must be equipped at all times with a satellite monitoring system VMS to enable automatic and continuous communication of their position, every two hours, to the fishing control centre FMC of their flag State.
2. Each position message must contain:
 - (a) the vessel identification;
 - (b) the most recent geographical position of the vessel (longitude, latitude), with a position error of less than 100 metres, and with a confidence interval of 99 %;
 - (c) the date and time the position is recorded;
 - (d) the speed and the course of the vessel.
3. Each position message must be configured in accordance with the format included in Appendix 4 to this Annex.
4. The first position recorded after entry into the Gambian fishing zone shall be identified by the code 'ENT'. All subsequent positions shall be identified by the code 'POS', with the exception of the first position recorded after exit from the Gambian fishing zone, which shall be identified by the code 'EXI'.
5. The FMC of the flag State shall ensure the automatic processing and, if necessary, the electronic transmission of the position messages. The position messages shall be recorded in a secure manner and kept for a period of three years.

Transmission by the vessel in the event of breakdown of the VMS

6. The master shall ensure at all times that the VMS of his vessel is fully operational and that the position messages are correctly transmitted to the FMC of the flag State.
7. In the event of breakdown, the VMS of the vessel shall be repaired or replaced within 10 days. After that period, the vessel shall no longer be authorised to fish in the Gambian fishing zone.
8. Vessels fishing in the Gambian fishing zone with a defective VMS must communicate their position messages by email, radio or fax to the FMC of the flag State, at least every four hours, and must provide all the compulsory information.

Secure communication of the position messages to The Gambia

9. The FMC of the flag State shall automatically send the position messages of the vessels concerned to the FMCS of The Gambia. The FMCs of the flag State and The Gambia shall exchange their contact email addresses and inform each other without delay of any change to those addresses.
10. The transmission of position messages between the FMCs of the flag State and The Gambia shall be carried out electronically using a secure communication system.
11. The FMCS of The Gambia shall inform the FMC of the flag State and the Union of any interruption in the reception of consecutive position messages from a vessel holding a fishing authorisation, where the vessel concerned has not notified its exit from the zone.

Malfunction of the communication system

12. The Gambia shall ensure the compatibility of its electronic equipment with that of the FMC of the flag State and inform the Union immediately of any malfunction as regards the communication and reception of position messages with a view to finding a technical solution as soon as possible. The Joint Committee shall deal with any possible dispute arising.
13. The master shall be considered responsible for any proven tampering with a vessel's VMS aimed at disturbing its operation or falsifying its position messages. Any infringements shall be subject to the penalties provided for by the Gambian legislation in force.

Revision of the frequency of position messages

14. On the basis of documentary evidence proving an infringement, The Gambia may ask the FMC of the flag State, copying in the Union, to reduce the interval for sending position messages from a vessel to every 30 minutes for a set period of investigation. This documentary evidence must be sent without delay by The Gambia to the FMC of the flag State and to the Union. The FMC of the flag State shall immediately send the position messages to The Gambia at the new frequency.
15. At the end of the set investigation period, The Gambia shall immediately inform the FMC of the flag State and the Union and subsequently inform them of any follow-up.

SECTION 5

OBSERVERS

Observation of fishing activities

1. Vessels holding a fishing authorisation shall be subject to a scheme for observing their fishing activities carried out within the framework of the Agreement.
2. This observation scheme shall conform to the recommendations adopted by ICCAT.
3. Until such time as the new ICCAT Regional Observer Programme becomes operational, the following provisions on observers shall apply.

Designated vessels and observers

4. For Union deep-sea demersal trawlers it is compulsory to have an observer on board. The DoF shall designate the observer assigned to the Union deep-sea demersal trawlers at the latest 15 calendar days before the date provided for the embarkation of the observer.
5. The time spent on board Union deep-sea demersal trawlers by observers shall not exceed three months. The observers shall not spend more time on board the vessel than is necessary to carry out their duties.
6. For Union tuna vessels, the DoF may designate the vessels which must allow an observer to embark and the observer assigned to them at the latest 15 calendar days before the date provided for the embarkation of the observer. A maximum of 15 % of authorised Union tuna vessels shall have an observer on board.
7. The DoF shall endeavour not to designate observers for Union tuna vessels which already have an observer on board, or which are already formally obliged to allow an observer to embark during the fishing season in question as part of their activities in fishing zones other than the Gambian fishing zone.
8. The time spent on board Union tuna vessels by observers shall be one fishing trip or, at the express request of the vessel owner, more than one fishing trip for a particular vessel. The observers shall not spend more time on board the vessel than is necessary to carry out their duties.
9. When the fishing authorisation is issued, the DoF shall inform the Union and the vessel owner, or his agent, of the designated vessels and the observers who will be present on board each vessel. The time and port of embarkation, which may be a non-Gambian port, shall be chosen by the vessel owner. The DoF shall immediately inform the Union and the vessel owner or his agent of any change in the designated vessels and observers.

Flat-rate financial contribution

10. At the time the annual advance fee is paid, owners of freezer tuna seiners and pole-and-lines vessels shall pay The Gambia a flat-rate sum of EUR 300 per year for each vessel.
11. At the time the quarterly flat-rate fee is paid, owners of deep-sea demersal trawlers shall also pay The Gambia a flat-rate sum of EUR 75 per vessel for the proper functioning of the observer programme.

Observer's salary

12. The salary and social contributions of the observer shall be borne by The Gambia.

Embarkation conditions

13. The embarkation conditions for the observer, in particular the duration of presence on board, shall be defined by mutual agreement between the vessel owner or his agent and the DoF.
14. Observers shall be treated on board as officers. However, receiving the observer on board shall take into account the technical structure of the vessel.
15. The vessel owner shall bear the costs of providing accommodation and food for the observer on board, including access to washing and toilet facilities, of a quality at least as good as that supplied to officers on the fishing vessel.
16. The master shall take all the measures for which he is responsible to guarantee the physical safety and general wellbeing of the observer.
17. The master shall ensure that the observer has access to the facilities, gear and equipment on board the vessel which the observer needs to carry out his duties, including:
 - (a) the bridge and the communications and navigation equipment of the vessel;
 - (b) the documents and records, including all logbooks of the vessel, whether required to be carried and maintained under the Fisheries Regulation of The Gambia or otherwise for purposes of record inspection and copying.
18. The master shall permit the observer at all times to:
 - (a) receive and transmit messages and communicate with the shore and other vessels by means of the vessel's communications equipment;
 - (b) take, measure, remove from the vessel and retain samples or whole specimens of any fish;
 - (c) store samples and whole specimens on the vessel, including samples and whole specimens held in the vessel's freezing facilities;
 - (d) take photographs of the fishing activities, including fish, gear, equipment, documents, charts and records, and remove from the vessel such photographs or film as the observer may have taken or used on board the vessel.
19. Whilst they are on board observers shall:
 - (a) take all appropriate measures so as not to interrupt or hinder fishing operations;
 - (b) respect on-board property and equipment;
 - (c) respect the confidential nature of any document belonging to the vessel.

Embarkation and landing of observers

20. The vessel owner or his agent shall notify The Gambia, with a notice period of 10 calendar days before the embarkation, of the date, time and port of embarkation of the observer. If the observer is embarked in a foreign country, their travel costs to the port of embarkation shall be borne by the vessel owner.
21. If the observer does not arrive to embark within 12 hours of the date and time set, the vessel owner shall be automatically discharged from his obligation to allow the observer to embark. The vessel shall be free to leave the port and start fishing operations.
22. Where the observer is not disembarked in a Gambian port, the vessel owner shall bear the costs of repatriation of the observer to The Gambia as soon as possible.
23. If the vessel does not arrive at the agreed time at the previously agreed port to receive an observer, the vessel owner shall pay the costs at a daily subsistence rate of EUR 80 for the number of days relating to the observer's inability to board while waiting at the port (accommodation, food, etc.).
24. If the vessel fails to appear, without having provided the DoF and the FMCS with prior notification, The Gambia may take appropriate action in accordance with the applicable Gambian legislation.

Observer's duties

25. The observer shall carry out the following duties:
 - (a) observe the fishing activities of the vessel;
 - (b) the species, quantity, size and condition of fish taken;

- (c) the methods by which, the areas in which and the depths at which fish are taken;
- (d) the effects of fishing methods on fish, and the environment;
- (e) processing, transportation, transshipment, storage, or disposal of any fish;
- (f) verify the position of the vessel during fisheries operations;
- (g) perform biological sampling in the context of a scientific programme;
- (h) note the fishing gear used;
- (i) verify the catch data for the Gambian fishing zone recorded in the logbook;
- (j) verify the percentages of by-catch and estimate the discarded catch;
- (k) communicate observations by radio, fax or email at least once a week while the vessel is fishing in the Gambian fishing zone, including the quantity of catch and by-catch on board.

Observer's report

- 26. Before leaving the vessel, the observer shall submit a summary report of his observations to the master of the vessel, the content of which shall be agreed on by the Joint Committee. The master of the vessel shall have the right to make comments in the observer's report. The report shall be signed by the observer and the master. The master shall receive a copy of the observer's report.
- 27. The observer shall send his report to the DOF, which shall send a copy of it to the Union within 15 calendar days of the observer's disembarkation.
- 28. The information contained in the observer report may be used for both science and compliance analysis by the competent Gambian and Union authorities.

SECTION 6

INFRINGEMENTS

Handling of infringements

- 1. Any infringement committed by a Union vessel holding a fishing authorisation in accordance with the provisions of this Annex must be referred to in an infringement or inspection report produced by the competent Gambian authority. The notification of the infringement and the relevant applicable sanctions served on the master or the fishing company shall be sent directly to the vessel owner following the procedure set in the applicable Gambian legislation. A copy of the notification shall be sent to the flag State of the vessel and to the Union within 24 hours.
- 2. The signature of the inspection report by the master shall be without prejudice to the vessel owner's right of defence in respect of an infringement. The master of the vessel shall cooperate while the inspection procedure is being carried out.

Detention of a vessel – Information meeting

- 3. Where permitted under the Gambian legislation in force regarding the infringement, any Union vessel having committed an infringement may be forced to cease its fishing activity and, where the vessel is at sea, to return to a Gambian port.
- 4. The Gambia shall notify the Union within 24 hours of any detention of a Union vessel holding a fishing authorisation. That notification shall include documentary evidence supporting the detention of the vessel.
- 5. Before taking any measure against the vessel, the master, the crew or the cargo, with the exception of measures aimed at protecting evidence, The Gambia shall organise, at the request of the Union, within one working day of notification of the detention of the vessel, an information meeting to clarify the facts which have led to the vessel being detained and to explain what further action may be taken. A representative of the flag State of the vessel may attend that information meeting.

Penalties for infringements – compromise procedure

- 6. The penalty for the infringement shall be set by The Gambia according to the provisions of the national legislation in force.

7. In the event that the vessel owner does not accept the fines, and provided that the infringement does not involve a criminal act, a compromise procedure shall be undertaken between the Gambian authorities and the Union vessel to settle the issue amicably prior to launching the legal proceedings. A representative of the flag State of the vessel and of the Union may participate in that compromise procedure. The compromise procedure shall finish at the latest three calendar days after the notification of the detention of the vessel.

Legal proceedings – bank security

8. If the compromise procedure fails and the infringement is brought before the competent court, the owner of the vessel which committed the infringement shall deposit a bank security at a bank designated by The Gambia, the amount of which, as shall be set by The Gambia, shall cover the costs linked to the detention of the vessel, the estimated fine and any compensation. The bank security may not be recovered until the legal proceedings have been concluded.
9. The bank security shall be released and returned to the vessel owner without delay after the judgment has been delivered:
 - (a) in full, if no penalty has been imposed;
 - (b) for the amount of the remaining balance, if the penalty is a fine which is lower than the amount of the bank security.
10. The Gambia shall inform the Union of the outcome of the legal proceedings within eight calendar days of the judgment being delivered.

Release of the vessel and the crew

11. The vessel and its crew shall be authorised to leave the port once the penalty has been paid in a compromise procedure, or once the bank security has been deposited.

CHAPTER V

SIGNING-ON OF SEAMEN

1. Owners of fishing vessels shall employ ACP nationals, subject to the following conditions and limits:
 - for the fleet of tuna seiners, at least 20 % of the seamen signed on during the tuna-fishing season in the Gambian fishing zone shall be from The Gambia or alternatively from an ACP country;
 - for the fleet of pole-and-lines, at least 20 % of the seamen signed on during the tuna-fishing season in the Gambian fishing zone shall be from The Gambia or alternatively from an ACP country;
 - for the fleet of deep-sea demersal trawlers, at least 20 % of the seamen signed on during the fishing season in the Gambian fishing zone shall be from The Gambia.
2. Vessel owners shall endeavour to sign on qualified Gambian seamen. The vessel owner shall be free to select the seamen they take on board their vessels from the names on the list submitted by the DoF to the Union.
3. The International Labour Organisation (ILO) Declaration on Fundamental Principles and Rights at Work shall apply as of right to seamen signed on by Union vessels. This concerns in particular the freedom of association and the effective recognition of the right to collective bargaining, and the elimination of discrimination in respect of employment and occupation.
4. The employment contracts of seamen from the Gambia or alternatively from ACP countries shall be drawn up between the vessel owners' representative(s) and the seamen and/or their trade unions or representatives. A copy shall be given to the signatories, the DoF and the Labour Department. These contracts shall guarantee the seamen the social security cover applicable to them, including life assurance and sickness and accident insurance.
5. The wages of the seamen from the ACP countries shall be paid by the vessel owners. They shall be fixed, before fishing authorisations are issued, by mutual agreement among the vessel owners or their representatives and the seamen and/or their trade unions or representatives. However, the wage conditions granted to the seamen shall not be lower than those applied to their respective countries and shall under no circumstances be below ILO standards.
6. All seamen employed aboard Union vessels shall report to the master of the vessel designated on the day before their proposed embarkation date. Where a seaman fails to report at the date and time agreed for embarkation, vessel owners shall be automatically absolved of their obligation to take the seaman on board.
7. Where a Gambian seaman is not disembarked in a Gambian port, the vessel owner shall bear the costs of repatriation of the seaman to The Gambia as soon as possible.

8. If the vessel does not arrive at the agreed time at a previously agreed port to receive a Gambian seaman, the vessel owner shall pay the costs at a daily subsistence rate of EUR 80 for the number of days relating to the Gambian seaman's inability to board while waiting at the port (accommodation, food, etc.).
 9. Vessels owners shall transmit on an annual basis information on seamen signed on. This information shall include the number of seamen who are nationals:
 - (a) of the Union;
 - (b) of an ACP country, distinguishing Gambians from other ACP nationals; and
 - (c) of non-ACP and non-Union countries.
-

Appendices to this Annex

Appendix 1 – Fishing authorisation application form for fishing and support vessels

Appendix 2a – Technical sheet for highly migratory species

Appendix 2b – Technical sheet for deep-water demersal species

Appendix 3 – Highly migratory species: fishing logbook – EU model (Annex VI to Commission Implementing Regulation (EU) No 404/2011)

Appendix 4 – Communication of VMS messages to The Gambia – position report

Appendix 5 – Implementation of the electronic system for declaring fishing activities (ERS system); alternative arrangements

Appendix 6 – Contact details of the Gambian authorities

Appendix 1

FISHING AUTHORISATION APPLICATION FORM FOR FISHING AND SUPPORT VESSELS

I – APPLICANT

1. Name of vessel owner:
2. Address of vessel owner:
3. Name of the vessel owner's association or agent (if applicable):
.....
4. Address of the vessel owner's association or agent (if applicable):
.....
5. Telephone: Fax: Email:
6. Name of master:
Nationality: Email:
7. Name and address of agent resident in The Gambia:
.....

II – VESSEL IDENTIFICATION

1. Vessel name:
2. Flag State:
3. External registration number:
4. Port of registry: MMSI: IMO Number:
5. Date on which current flag was acquired: .../.../...
Previous flag, if any:
6. Year and place of construction: .../.../... in
Radio call sign:
7. Call frequency: Satellite telephone number:
8. Hull construction material: ☐ Steel ☐ Wood ☐ Polyester ☐ Other

III – TECHNICAL CHARACTERISTICS AND EQUIPMENT

1. Overall length: Width:
2. Tonnage (expressed in GT): Net tonnage:
3. Power of main engine in kW: Make: Type:
4. Vessel Type: ☐ Tuna seiner ☐ Longliner ☐ Pole and line ☐ Support Vessel
5. Fishing gear types:
6. Fishing zones: Target species:
7. Designated port for landing operations:

8. Crew complement:
9. Method of preservation on board: ☐ Cooling ☐ Refrigeration ☐ Mixed ☐ Freezing
10. Freezing capacity in tonnes/24 hours: Hold capacity: Number:
11. VMS transponder:
- Manufacturer: Model: Serial No.:
- Software version: Satellite operator:
12. Navigation and position fixing aids:

IV – OTHER INFORMATION

I, the undersigned, certify that the information provided in this application is true and given in good faith.

Done at, .../.../...

Name of applicant

Appendix 2a

Technical sheet for highly migratory species

Fishing zone:

Beyond 12 nautical miles from the baseline, excluding zones closed to shipping and fishing.

Authorised categories:

Tuna purse seine vessels

Pole-and-lines

By-catch:

Compliance with ICCAT and FAO recommendations.

Fees and tonnage:

Annual advance fee (including all national and local charges except port taxes and service charges) and tonnage covered:

-
- Tuna seiners: EUR 4 200 per year, for the duration of the Protocol, corresponding to 60 tonnes
 - Pole-and-lines: EUR 1 400 per year, for the duration of the Protocol corresponding to 20 tonnes
-

Fee per additional tonne caught

Tuna seiners and pole-and-lines:
— EUR 70 per tonne and per year

Number of vessels authorised to fish

28 tuna seiners
10 pole-and-lines

Other:

Support vessel authorisation fee: EUR 2 000 per vessel per year;

Observer flat-rate financial contribution: EUR 300 per vessel per year;

Appendix 2b

Technical sheet for deep-water demersal species

Fishing zone:

Beyond 12 nautical miles from the baseline, excluding zones closed to shipping and fishing.

Target species:

Deep-water hake (*Merluccius senegalensis* and *Merluccius polli*)

Authorised categories:

Conventional demersal or hake trawl, minimum mesh size 70 mm. No methods or devices may be used to seek to obstruct the mesh of the nets or reduce their selective effect. However, in the interests of reducing wear or damage, protective aprons of netting or other material may be attached, but only to the underside of the codend of a bottom trawl. Such aprons must be attached only to the forward and lateral edges of the codend of the trawl. Protective devices may be used for the top of the trawl, but these must consist of a single section of net of the same material as the codend, with the mesh measuring at least 300 millimetres when stretched out. Doubling of the codend's netting yarn, whether single or multiple, is prohibited.

By-catch ⁽¹⁾:

15 % cephalopods, 7 % crustaceans, and 25 % other deep-water demersal fish.

The above percentages of authorised by-catches shall be calculated at the end of each trip, in relation to the total catch weight, in accordance with Gambian regulations.

The retention on board, transshipment, landing, storage and sale of all or some of the elasmobranchs protected by the EU Plan of Action for the Conservation and Management of Sharks and by the regional fisheries management organisations and the competent regional fisheries organisations, i.e. of the oceanic whitetip shark (*Carcharhinus longimanus*), silky shark (*Carcharhinus falciformis*), white shark (*Carcharodon carcharias*), basking shark (*Cetorhinus maximus*), porbeagle (*Lamna nasus*), bigeye thresher shark (*Alopias superciliosus*), angel shark (*Squatina squatina*), giant manta ray (*Manta birostris*) and hammerhead shark species (*Sphyrnidae*) are prohibited.

Elasmobranch species not allowed on board, if accidentally caught, must not be injured. Specimens caught must be promptly released.

The retention on board, transshipment, landing, storage and sale of pelagic species, among which *Trachurus* spp., *Sardina pilchardus*, *Scomber* spp. and *Sardinella* spp., are prohibited.

Fees and tonnage:

Authorised catch volume:	750 tonnes per year
Fee:	EUR 75/tonne

The fee shall be calculated at the end of each period of three months in which the vessel is authorised to fish, taking into account the catches made during that period.

The licence shall be granted on advance payment of EUR 500 per vessel, to be deducted from the total amount of the fee. The advance payment shall be made at the beginning of each three-month period in which the vessel is authorised to fish.

Observer flat-rate financial contribution: EUR 75 per vessel at the time the quarterly flat-rate fee is paid.

Other:

Number of vessels:	3 vessels
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⁽¹⁾ This provision shall be reviewed after one year of application.

Type of vessels authorised to fish:	Ocean-going fish trawlers (deep-water demersal species)
Signing-on of seamen from The Gambia:	20 % of the crew
Biological rest period:	1 May to 30 June ⁽²⁾
For Union deep-sea demersal trawlers it is compulsory to have an observer on board.	

⁽²⁾ The biological rest period, like other technical conservation measures, shall be reviewed after the Protocol has been in force for one year and, should the Joint Scientific Working Group so recommend, may be adapted to take account of fish stocks.

Appendix 3

Highly migratory species: fishing logbook – EU model

(Annex VI to Commission Implementing Regulation (EU) No 404/2011 ⁽¹⁾ ⁽²⁾)[illegible]

*) Delete whichever does not apply. Comments: _____

(¹) Commission Implementing Regulation (EU) No 404/2011 of 8 April 2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ EU L 112, 30.4.2011, p. 1).

(2) Annex X to Regulation (EU) No 404/2011 provides instructions to masters of Union vessels on how to complete a fishing logbook.

Appendix 4

Communication of VMS messages to The Gambia

Position report

Data Element	Code	Mandatory or optional	Remarks
Start record	SR	M	System detail indicating start of record
Addressee	AD	M	Message detail – Addressee Alpha-3 country code (ISO-3166)
From	FR	M	Message detail – Sender Alpha-3 country code (ISO-3166)
Flag State	FS	M	Message detail – Flag State Alpha-3 code (ISO-3166)
Type of message	TM	M	Message detail – Message type (ENT, POS, EXI)
Radio call sign (IRCS)	RC	M	Vessel detail – Vessel international radio call sign (IRCS)
Contracting Party internal reference number	IR	O	Vessel detail – Unique contracting party number Alpha-3 code (ISO-3166) followed by number
External registration number	XR	M	Vessel detail – Number on side of vessel (ISO 8859.1)
Latitude	LT	M	Vessel position detail – Position in degrees and decimal degrees N/S DD.ddd (WGS84)
Longitude	LG	M	Vessel position detail – Position in degrees and decimal degrees E/W DD.ddd (WGS84)
Course	CO	M	Vessel course 360° scale
Speed	SP	M	Vessel speed in tenths of knots
Date	DA	M	Vessel position detail – Date of record of UTC position (YYYYMMDD)
Time	TI	M	Vessel position detail – Time of record of UTC position (HHMM)
End record	ER	M	System detail indicating end of record

M = Mandatory data element

O = Optional data element

Each data transmission is structured as follows:

- 1) Characters used must comply with the ISO 8859.1 standard.
- 2) A double slash (//) and the characters 'SR' indicate the start of a message.
- 3) Each data element is identified by its code and separated from the other data elements by a double slash (//).
- 4) A single slash (/) separates the field code and the data.
- 5) The 'ER' code followed by a double slash (//) indicates the end of the message.
- 6) The optional data elements must be inserted between the start and the end of the message.

Appendix 5

Implementation of the electronic system for declaring fishing activities (ERS system); alternative arrangements

1. Every Union vessel licensed under this Protocol shall, when in the Gambian fishing zone, be equipped with a system capable of recording and transmitting electronic data relating to fishing activities. This system is hereinafter referred to as the 'ERS system', and the data transmitted shall be referred to as the 'ERS data'.
2. A Union vessel which is not equipped with an ERS system, or whose ERS system is not functional, shall not be authorised to enter the Gambia fishing zone to carry out fishing activities.
3. The ERS data shall be transmitted by the vessel to its flag State, which shall receive and record it in a computerised database allowing it to be kept securely for at least 36 months.
4. The Gambia shall indicate the date from which its FMCS is equipped with a system capable of receiving ERS data from Union vessels, in the format described in the data register kept by the European Commission and the implementing arrangements. The Gambia shall indicate the date from which the system is able to receive, if necessary after the appropriate test phases, ERS data from flag States.
5. From that date, the flag State shall ensure that ERS data from activities in the fishing zone are accessible to The Gambia without delay at least 36 months after the date of those activities.
6. The data shall be made available and communicated electronically. To this end, the flag State and The Gambia shall ensure that their FMCs are equipped with the necessary hardware and software for the automated transmission of ERS data in the format detailed in paragraph 9.
7. Any change in this format shall be clearly indicated in the register of reference data, which shall also indicate the date on which the change will have taken effect. This shall take effect at the earliest six months after the notification of the change in the register of reference data. In the event of a change, the European Commission shall notify The Gambia. The Gambia shall notify the Commission of the planned date of update of its data reception system and shall set the trial period for its data reception system. At the end of this trial period, The Gambia and the Union shall determine in the Joint Committee or by exchange of letters the date of effective implementation of the new format. Within one month of this confirmation, all vessels will be required to comply with the new format for ERS transmission.
8. A transitional phase during which both automatic and manual transmission of paper journals are possible may be agreed between the parties. The flag Member State shall ensure that the FMCS of The Gambia receives data in one mode or another for each of its vessels.
9. The ERS data will be in UN/CEFACT format and shall use the FLUX TL transportation network made available by the European Commission.
10. In the case of manual transmission, the fishing logbook data shall be presented in an electronic format compatible with the software used by the FMC of the partner country, or in paper format, clearly indicating:
 - the date, time and place of catch;
 - information identifying the master, the vessel (name, flag, radio call sign, external identification, CFR number, IMO number), the fishing trip (departure and arrival dates);
 - information on fishing activity: type of gear, number of fishing operations, position in the area and identification of the partner country's fishing zone (ISO alpha 3 code); catches by species retained on board or discarded at sea, in kg live weight equivalent (per FAO alpha 3 code);
 - certification of the accuracy and completeness of the master's data, date and signature, electronic if applicable.
11. The partner country shall treat all data on the fishing activities of individual vessels in a confidential and secure manner.

FMC-to-FMC communications – ERS system malfunction on board the vessel or communication system

12. The competent authorities of the flag State and the FMCS of The Gambia shall inform each other without delay of any event likely to affect the transmission of ERS data from one or more vessels.
 13. The flag State and The Gambia shall each designate an ERS correspondent, with respective contact details, to serve as contact points for matters related to the implementation of this Appendix. The flag State and The Gambia shall update this information regularly and without delay.
 14. If The Gambia's FMCS does not receive the data required by a vessel, it shall promptly inform the flag State's FMC. The flag State's FMC, or its ERS correspondent, shall investigate the causes of the failure to receive ERS data as soon as possible and shall inform The Gambia of the result of the investigation.
 15. Where the competent authorities of the flag State have not received the data to be transmitted under point 4, they shall notify the master or operator of the vessel, or their representative(s), without delay. On receipt of this notification, the master of the vessel shall transmit all missing data to the competent authorities of the flag State as soon as possible by any appropriate means of telecommunication. The flag State FMC shall enter these data into the electronic database it maintains in accordance with point 3, and make it immediately available to The Gambia in accordance with point 5.
 16. In the event of a malfunction of the ERS system installed on board the vessel, the master or the ship operator shall ensure the repair or replacement of the ERS system within 10 days of the detection of the malfunction. After this period, the vessel is no longer authorised to fish in the Gambian fishing zone and must leave the zone or call at a partner country port within 24 hours. The vessel shall only be authorised to leave this port or return to the Gambian fishing zone after the FMC of its flag State has established that the ERS system is functioning properly again. All data not received shall be forwarded to the Gambian FMCS by the flag State FMC.
 17. Until the ship's ERS system is functioning properly again and from the time when its malfunction has been detected, the master of the ship shall transmit, by any appropriate alternative means of telecommunication, the information to be transmitted pursuant to point 8 to the competent authorities of the flag State, on a daily basis, not later than 24 hours. The flag State's FMC shall enter these data into the electronic database it maintains in accordance with point 3, with a view to making them immediately available to The Gambia.
 18. If the lack of receipt of ERS data by The Gambia is due to a malfunction of the electronic systems under the control of the EU or The Gambia, the Party concerned shall promptly take any measure likely to resolve the malfunction as soon as possible. The other party shall be notified immediately of the resolution of the problem. The Gambia's FMCS shall be provided as soon as the problem is resolved with any missing data.
 19. In the event that the malfunction concerns systems under the control of the EU and provided that the malfunction does not prevent the flag State from accessing the data, the flag State's FMC shall send to The Gambia's FMCS every 24 hours, by any available electronic means of communication, all ERS data received since the last transmission. The same procedure may be requested by The Gambia in the event of a maintenance operation lasting more than 24 hours that affects systems under the control of the EU. The Gambia shall inform its competent control services so that Union vessels are not considered to be in a situation of failure to transmit their ERS data.
 20. On the date agreed by the Parties, the ERS messages transmitting catches on entry and catches on exit shall replace the notifications of entry into and exit from the Gambian fishing zone and the paper transmissions of fishing logbooks provided for in Appendix 3 to the Annex to the Protocol.
-

Appendix 6

Contact details of the Gambian authorities

1. Ministry of Fisheries, Water Resources and National Assembly Matters of The Gambia:

Address: 7 Marina Parade, Banjul, The Gambia

Email: bamba.banja@yahoo.co.uk

Telephone: +2209922960/7722907/+2204227773

2 Fishing authorisation authority: Department of Fisheries

Address: 6 Marina Parade, Banjul, The Gambia

Email: darboefams@yahoo.com

Telephone: +2206313375/+2204201515

3 Fishing Control, Monitoring and Surveillance Unit:

Address: 6 Marina Parade, Banjul, The Gambia

Email: darboefams@yahoo.com

Telephone: +2206313375/+2204201515

Notification of entry and exit:

Email: bamba.banja@yahoo.co.uk / darboefams@yahoo.com

REGULATIONS

COUNCIL REGULATION (EU) 2019/1333

of 25 June 2019

on the allocation of fishing opportunities under the Protocol on the implementation of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(3) thereof,

Having regard to the proposal from the European Commission,

Whereas:

- (1) In accordance with Council Decision (EU) 2019/1332 ⁽¹⁾, the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia ('the Partnership Agreement') and the Protocol on the implementation of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia ('the Protocol') were signed on 31 July 2019.
- (2) The Partnership Agreement repeals the Agreement between the European Economic Community and the Government of the Republic of the Gambia on fishing off the Gambia ⁽²⁾, which entered into force on 2 June 1987.
- (3) The Protocol covers a period of six years as from the date of its application.
- (4) The fishing opportunities provided for in the Protocol should be allocated among the Member States for the duration of application of the Protocol.
- (5) The Protocol will apply on a provisional basis as from the signature thereof in order to ensure an expeditious start to fishing activities by Union vessels. This Regulation should therefore apply from the same date,

HAS ADOPTED THIS REGULATION:

Article 1

The fishing opportunities established under the Protocol on the implementation of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia shall be allocated among the Member States as follows:

(a) tuna seiners:

Spain	16 vessels
France	12 vessels

(b) pole-and-line vessels:

Spain	8 vessels
France	2 vessel

⁽¹⁾ Council Decision (EU) 2019/1332 of 25 June 2019 on the signing, on behalf of the Union, and provisional application of the Sustainable Fisheries Partnership Agreement between the European Union and the Republic of The Gambia and of the Protocol on the implementation of that Partnership Agreement (see page 1 of this Official Journal).

⁽²⁾ OJ L 146, 6.6.1987, p. 3.

(c) deep-water demersal trawlers:

Member State	maximum number of deep-water demersal trawlers active at any given moment	Number of quarterly fishing authorisations per year ⁽¹⁾	Tonnes of target species as defined in Appendix 2b to the Annex to the Protocol
Spain	3	10	625
Greece		2 (in two different quarters)	125

⁽¹⁾ The concerned Member States shall cooperate with the Commission in order to coordinate the utilisation of quarterly fishing authorisations.

Article 2

This Regulation shall enter into force on the day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 31 July 2019.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Luxembourg, 25 June 2019.

For the Council
The President
A. ANTON

DECISIONS

COMMISSION IMPLEMENTING DECISION (EU) 2019/1334

of 7 August 2019

concerning certain protective measures relating to African swine fever in Slovakia

(notified under document C(2019) 5989)

(Only the Slovak text is authentic)

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Directive 89/662/EEC of 11 December 1989 concerning veterinary checks in intra-Community trade with a view to the completion of the internal market ⁽¹⁾, and in particular Article 9(4) thereof,

Having regard to Council Directive 90/425/EEC of 26 June 1990 concerning veterinary checks applicable in intra-Union trade in certain live animals and products with a view to the completion of the internal market ⁽²⁾, and in particular Article 10(4) thereof,

Whereas:

- (1) African swine fever is an infectious viral disease affecting domestic and feral pig populations and can have a severe impact on the profitability of pig farming causing disturbance to trade within the Union and exports to third countries.
- (2) In the event of an outbreak of African swine fever, there is a risk that the disease agent may spread to other pig holdings and to feral pigs. As a result, it may spread from one Member State to another Member State and to third countries through trade in live pigs or their products.
- (3) Council Directive 2002/60/EC ⁽³⁾ lays down minimum measures to be applied within the Union for the control of African swine fever. Article 9 of Directive 2002/60/EC provides for the establishment of protection and surveillance zones in the event of outbreaks of that disease, where the measures laid down in Articles 10 and 11 of that Directive are to apply.
- (4) Following outbreaks of African swine fever in the district of Trebišov in Slovakia, that Member State has informed the Commission of the African swine fever situation in its territory, and in accordance with Article 9 of Directive 2002/60/EC, it established protection and surveillance zones where the measures referred to in Articles 10 and 11 of that Directive are applied.
- (5) Commission Implementing Decision (EU) 2019/1273 ⁽⁴⁾ was adopted following the establishment of the protection and surveillance zones in Slovakia, in accordance with Article 9 of Directive 2002/60/EC.
- (6) Since the date of adoption of Implementing Decision (EU) 2019/1273, the epidemiological situation in Slovakia has evolved with respect to African swine fever with the occurrence of a new outbreak in the district of Trebišov, and accordingly Slovakia has implemented the required control measures and it has collected additional surveillance data.

⁽¹⁾ OJ L 395, 30.12.1989, p. 13.

⁽²⁾ OJ L 224, 18.8.1990, p. 29.

⁽³⁾ Council Directive 2002/60/EC of 27 June 2002 laying down specific provisions for the control of African swine fever and amending Directive 92/119/EEC as regards Teschen disease and African swine fever (OJ L 192, 20.7.2002, p. 27).

⁽⁴⁾ Commission Implementing Decision (EU) 2019/1273 of 26 July 2019 concerning certain interim protective measures relating to African swine fever in Slovakia (OJ L 201, 30.7.2019, p. 6).

- (7) In order to prevent any unnecessary disturbance to trade within the Union and to avoid unjustified barriers to trade being imposed by third countries, it is necessary to describe at Union level the revised areas established as protection and surveillance zones for African swine fever in Slovakia in collaboration with that Member State. These revised surveillance and protection zones take account of the current epidemiological scenario in that Member State.
- (8) Accordingly, the areas identified as protection and surveillance zones in Slovakia should be set out in the Annex to this Decision and the duration of that regionalisation fixed.
- (9) In addition, Implementing Decision (EU) 2019/1273 should be repealed and replaced by this Decision to take account of the updated disease management measures in place and the evolution in the disease situation in Slovakia as regards African swine fever.
- (10) The measures provided for in this Decision are in accordance with the opinion of the Standing Committee on Plants, Animals, Food and Feed,

HAS ADOPTED THIS DECISION:

Article 1

Slovakia shall ensure that the protection and surveillance zones established in accordance with Article 9 of Directive 2002/60/EC comprise at least the areas listed as the protection and surveillance zones in the Annex to this Decision.

Article 2

Implementing Decision (EU) 2019/1273 is repealed.

Article 3

This Decision shall apply until 18 November 2019.

Article 4

This Decision is addressed to the Slovak Republic.

Done at Brussels, 7 August 2019.

For the Commission
Vytenis ANDRIUKAITIS
Member of the Commission

ANNEX

The Slovak Republic	Areas as referred to in Article 1	Date applicable until
Protection zone	Municipalities of Strážne, Veľký Kamenec, Malý Kamenec, Somotor, Veľký Horeš, Streda nad Bodrogom.	18 November 2019
Surveillance zone	Municipalities of Viničky, Klín nad Bodrogom, Ladmovce, Zemplín, Svätá Mária, Rad, Svinice, Svätuš, Malý Horeš, Pribeník, Borša, Bara, Dobrá, Kráľovský Chlmec, Vojka, Cejkov, Malá Trňa, Slovenské Nové Mesto, Čerhov, Kašov, Veľká Trňa, Luhňa, Veľatý, Michalany, Hrčel, Kysta, Novosad, Zemplínske Jastrabie, Brehov, Zátin, Bol', Solníčka, Poľany, Leles, Bačka, Biel, Veľké Trakany, Malé Trakany, Čierna nad Tisou, Čierna, Bot'any, Ptrukša, Byšta, Brezina, Kazimír, Lastovce, Stanča, Zemplínska Nová Ves, Zemplínsky Branč, Kožuchov, Zemplínske Hradište, Hraň, Sirník, Malčice, Petrikovce, Veľké Raškovce, Ižkovce, Beša, Čičarovce, Veľké Kapušany, Maťovské Vojkovce, Budince, Kapušianske Kľačany, Ruská, Veľké Slemence.	18 November 2019

GUIDELINES

GUIDELINE (EU) 2019/1335 OF THE EUROPEAN CENTRAL BANK

of 7 June 2019

amending Guideline (EU) 2018/876 on the Register of Institutions and Affiliates Data (ECB/2019/17)

THE GOVERNING COUNCIL OF THE EUROPEAN CENTRAL BANK,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 127(2) and (5) thereof,

Having regard to the Statute of the European System of Central Banks and of the European Central Bank, and in particular Articles 5.1, 12.1 and 14.3 thereof,

Whereas:

- (1) The European Central Bank (ECB) maintains the Register of Institutions and Affiliates Data (RIAD) which is a shared dataset for the European System of Central Banks (ESCB) of reference data on legal and other statistical institutional units.
- (2) RIAD should contain data necessary for some changes in benchmarks in money markets to better support business processes across the Eurosystem and the performance of the tasks of the ESCB. In this context, RIAD should contain up-to-date relevant data for the determination of the euro short-term rate in accordance with Article 8(5) of Guideline (EU) 2019/1265 of the European Central Bank (ECB/2019/19) ⁽¹⁾. Therefore, it is necessary to amend Article 22 of Guideline (EU) 2018/876 of the European Central Bank (ECB/2018/16) ⁽²⁾ to provide for this.
- (3) The collection and reporting of statistical information on pension funds (PFs) in accordance with Regulation (EU) 2018/231 of the European Central Bank (ECB/2018/2) ⁽³⁾ is necessary to support the ECB in carrying out monetary and financial analysis, and for the contribution of the ESCB to the stability of the financial system. Consequently, PFs should be recorded in RIAD. As RIAD data are used to prepare the official lists of financial institutions, a new list of PFs should be published. It is therefore necessary to update Chapter VI of Guideline (EU) 2018/876 (ECB/2018/16) in relation to the specific provisions on the recording of reference data for the publication of the list of PFs.
- (4) To facilitate the identification of counterparties in the common granular analytical credit database (hereinafter 'AnaCredit') by the reporting agents in accordance with Regulation (EU) 2016/867 of the European Central Bank (ECB/2016/13) ⁽⁴⁾ and Guideline (EU) 2017/2335 of the European Central Bank (ECB/2017/38) ⁽⁵⁾, an additional attribute value for the confidentiality status of data recorded in RIAD needs to be included in the RIAD data exchange model. Therefore, it is necessary to amend Article 10 of Guideline (EU) 2018/876 (ECB/2018/16) to provide for this.
- (5) The amendments to Annex I and II are necessary to reflect the new provisions on the euro short-term rate and PFs.
- (6) Therefore, Guideline (EU) 2018/876 (ECB/2018/16) should be amended accordingly,

⁽¹⁾ Guideline (EU) 2019/1265 of the European Central Bank of 10 July 2019 on the euro short-term rate (€STR) (ECB/2019/19) (OJ L 199, 26.7.2019, p. 8).

⁽²⁾ Guideline (EU) 2018/876 of the European Central Bank of 1 June 2018 on the Register of Institutions and Affiliates Data (ECB/2018/16) (OJ L 154, 18.6.2018, p. 3).

⁽³⁾ Regulation (EU) 2018/231 of the European Central Bank of 26 January 2018 on statistical reporting requirements for pension funds (ECB/2018/2) (OJ L 45, 17.2.2018, p. 3).

⁽⁴⁾ Regulation (EU) 2016/867 of the European Central Bank of 18 May 2016 on the collection of granular credit and credit risk data (ECB/2016/13) (OJ L 144, 1.6.2016, p. 44).

⁽⁵⁾ Guideline (EU) 2017/2335 of the European Central Bank of 23 November 2017 on the procedures for the collection of granular credit and credit risk data (ECB/2017/38) (OJ L 333, 15.12.2017, p. 66).

HAS ADOPTED THIS GUIDELINE:

Article 1

Amendments

Guideline (EU) 2018/876 (ECB/2018/16) is amended as follows:

1. in Article 1, paragraph 2 is replaced by the following:

‘2. RIAD shall be the ESCB’s shared dataset of reference data on individual entities and the relationships between them. RIAD shall facilitate the integration of the CSDB, the SHSDB and AnaCredit, as well as of the datasets on monetary financial institutions, investment funds, financial vehicle corporations engaged in securitisation transactions, payment statistics relevant institutions, insurance corporations and pensions funds, provided pursuant to the respective ECB legal acts on the statistical reporting requirements of these entities. RIAD will thereby support the ESCB by enabling it to derive, inter alia, consolidated banking exposures and borrowers’ indebtedness on a consolidated basis.’;

2. in Article 2, the following points are added:

‘(28) “pension fund” or “PF” has the same meaning as defined in point 1 of Article 1 of Regulation (EU) 2018/231 of the European Central Bank (ECB/2018/2) (*);

(29) “euro short-term rate” has the same meaning as defined in point 2 of Article 2 of Guideline (EU) 2019/1265 of the European Central Bank (ECB/2019/19) (**);

(30) “sponsor” has the same meaning as “sponsoring undertaking” as defined in point 3 of Article 6 of Directive (EU) 2016/2341 of the European Parliament and of the Council (**);

(31) “pension manager” has the same meaning as set out in paragraph 5.185 of Annex A to Regulation (EU) No 549/2013;

(32) “confidential statistical information” has the same meaning as defined in point 12 of Article 1 of Regulation (EC) No 2533/98;

(*) Regulation (EU) 2018/231 of the European Central Bank of 26 January 2018 on statistical reporting requirements for pension funds (ECB/2018/2) (OJ L 45, 17.2.2018, p. 3).

(**) Guideline (EU) 2019/1265 of the European Central Bank of 10 July 2019 on the euro short-term rate (€STR) (ECB/2019/19) (OJ L 199, 26.7.2019, p. 8).

(***) Directive (EU) 2016/2341 of the European Parliament and of the Council of 14 December 2016 on the activities and supervision of institutions for occupational retirement provision (IORPs) (OJ L 354, 23.12.2016, p. 37).’;

3. Article 10 is amended as follows:

(a) paragraph 1 is replaced by the following:

‘1. In accordance with the confidentiality regime set out in Article 8 of Regulation (EC) No 2533/98, confidential reference data shall not be published. Statistical information taken from sources which are available to the public in accordance with national legislation shall not be considered confidential and information recorded in RIAD is usually published by the legal entities to which it relates. Any reference data not originally collected under the ESCB legal framework for statistics will be subject to the confidentiality provisions, which apply to the data. These include for instance confidentiality provisions of non-statistical legal frameworks, under which the data have been collected, and of contracts with the relevant entity which provided the data.’;

(b) paragraph 2 is replaced by the following:

‘2. NCBs shall declare the confidentiality status of each attribute value describing an entity by selecting one of the pre-defined values:

(a) “F” meaning free, i.e. not confidential and can be made public;

(b) “N” meaning that an attribute value may be released only for the use of the ESCB and of institutions for which a memorandum of understanding is in place, i.e. not for external release;

(c) “C” meaning confidential statistical information or information not originally collected under the ESCB legal framework for statistics that is subject to confidentiality restrictions; or

(d) “R” meaning that, in addition to the uses allowed under 2(b) of this Article, an attribute value may be shared with the reporting agent, which provided that information, and — subject to any applicable confidentiality restrictions — with other reporting agents, i.e. restricted release only.;

(c) paragraph 3 is replaced by the following:

‘3. The ECB shall handle the information provided duly protecting confidentiality, so that the ECB shall not publish data marked as “C”, “N” or “R”. In respect of quantitative measures marked as “C”, “N” or “R”, the ECB may, however, publish or distribute a range of size classes.’;

(d) paragraph 4 is replaced by the following:

‘4. The LEI and all publically used identifiers, including administrative identifiers, shall always have the value “F”.’;

(e) paragraph 6 is replaced by the following:

‘6. The following attributes shall always have the value “F” for entities whose attributes are listed in Annex I and “F”, “R” or “N” for entities whose attributes are listed in Annex II:

(a) name;

(b) institutional sector.’;

4. The following Article 18a is inserted:

‘Article 18a

Recording of reference data for PFs

1. To enable the establishment and maintenance of the list of PFs referred to in Article 3 of Regulation (EU) 2018/231 (ECB/2018/2), NCBs shall record in RIAD the attributes specified in Parts 1 and 2 of Annex I to this Guideline at the intervals prescribed. NCBs shall report any updates of these attributes, in particular when a PF joins or leaves the PF population, and record them in RIAD on a quarterly basis, within three months after each quarter end for the quarterly attributes and on an annual basis, within six months after the year end for the annual attributes.

2. In the first submission of the list of PFs, NCBs shall record in RIAD complete quarterly reference data as specified in Parts 1 and 2 of Annex I to this Guideline no later than 2 October 2019. The NCBs shall record the annual attributes in RIAD by 31 March 2020.

3. Where a PF is a branch, its relationships to its non-resident headquarters shall be recorded in RIAD. Conversely, where a PF is a headquarters, its relationships with its branches resident in other Member States whose currency is the euro shall be recorded in RIAD.

4. Relationships between PFs and management companies and sponsors shall be recorded in RIAD, as applicable. For the purposes of this Article, the term “management companies” shall refer to pension managers as regards the data to be reported by these entities pursuant to Regulation (EU) 2018/231 (ECB/2018/2).’;

5. Article 19 is replaced by the following:

‘Article 19

Regular publication of datasets

The ECB shall publish the datasets listed below as follows.

1. By 18.00 CET every working day, the ECB shall make a copy of the MFI dataset available on its website.

2. By 18.00 CET on the fourth working day following the deadline for transmitting updates, the ECB shall make a copy of the IF dataset available on its website.

3. By 18.00 CET on the second working day following the deadline for transmitting updates, the ECB shall make a copy of the FVC dataset available on its website.

4. By 18.00 CET on the last working day of the month following the month of the deadline for transmitting updates, the ECB shall make a copy of the PSRI dataset available on its website.
5. By 18.00 CET on the fourth working day following the month of the deadline for transmitting updates, the ECB shall make a copy of the IC dataset available on its website.
6. By 18.00 CET on the fourth working day following the month of the deadline for transmitting updates, the ECB shall make a copy of the PF dataset available on its website.

In each case the relevant dataset shall be the list of entities referred to in the respective ECB regulation for statistical reporting by the type of entity concerned.’;

6. Article 22 is replaced by the following:

‘Article 22

Reference data relevant for Regulation (EU) No 1333/2014 (ECB/2014/48)

1. Competent NCBs shall ensure that the reference data for entities to which LEIs have been assigned and that are relevant for Regulation (EU) No 1333/2014 (ECB/2014/48) are recorded in RIAD. NCBs shall record any missing reference data within five working days of notification by the ECB of the missing reference data which is relevant for Regulation (EU) No 1333/2014 (ECB/2014/48). The ECB shall notify NCBs on a weekly basis which reference data is relevant for Regulation (EU) No 1333/2014 (ECB/2014/48). The competent NCBs shall further update the reference data for entities that are relevant for Regulation (EU) No 1333/2014 (ECB/2014/48) and are recorded in RIAD, as soon as they become aware of a change to one or more attributes.

2. The euro short-term rate is based on a subset of data reported pursuant to Regulation (EU) No 1333/2014 (ECB/2014/48). Where an entity to which an LEI has been assigned is relevant for the determination of the euro short-term rate, NCBs shall record in RIAD the European System of Accounts 2010 (“the ESA 2010”) sector classification and the other attributes listed in Annex II for that entity on the same working day of notification by the ECB of which entity is relevant for the determination of the euro short-term rate. The ECB shall notify NCBs on a daily basis which reference data is relevant for the determination of the euro short-term rate.’;

7. Annex I is replaced by Annex I to this Guideline;
8. Annex II is replaced by Annex II to this Guideline.

Article 2

Taking effect and implementation

1. This Guideline shall take effect on the day of its notification to the national central banks (NCBs) of the Member States whose currency is the euro.
2. The NCBs of the Member States whose currency is the euro shall comply with this Guideline from 2 October 2019.

Article 3

Addressees

This Guideline is addressed to the NCBs of the Member States whose currency is the euro.

Done at Frankfurt am Main, 7 June 2019.

For the Governing Council of the ECB
The President of the ECB
Mario DRAGHI

ANNEX I

‘ANNEX I

REGISTER OF INSTITUTIONS AND AFFILIATES DATA (RIAD) – LISTS FOR PUBLICATION

PART 1

Attributes to be reported for datasets maintained for publication

Attribute name ^(a)	Relevant in the context of the list of											
	MFIs		IFs		FVCs		PSRIs ^(b)		ICs		PFs	
	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency
Identifiers												
— RIAD code	M	d	M	q	M	q	M	a	M	q	M	q
— National identifier (as available)	M	d	O	q	M	q	M	a	M	q	M	q
— EGR code	O	d			O	q						
— LEI (as available)	M	d	M	q	M	q	M	a	M	q	M	q
— Bank identifier code (BIC)	O	d										
— ISINs (as available)	O	m	M	q	M	q			O	q	O	q
Name	M	d	M	q	M	q	M	a	M	q	M	q
Country of residence	M	d	M	q	M	q	M	a	M	q	M	q
Address ^(**)	M	d	O	q	O	q	M	a	M	q	M	q
Legal form ^(**)	M	d	O	q	O	q	O	a	O	q	O	q
Flag Listed	M	d	M	q	M	q	O	a	M	q	M	q
Type of supervision	M	d	M	q	M	q	M	a	M	q	M	q
Reporting requirements	M	d	M	q	M	q	M	a	M	q	M	q
Type of banking licence	M	d					O	a				

Attribute name ^(a)	Relevant in the context of the list of											
	MFIs		IFs		FVCs		PSRIs ^(a)		ICs		PFs	
	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency
Legal set-up			M	q								
Flag UCITS compliance			M	q								
Flag Sub-fund			M	q								
Nature of securitisation					M	q						
Type of Investment Funds			M	q								
Investment policy for IFs			M	q								
Type of Pension Funds											M	q
Flag “Is sponsored”											M	q
Flag Payment service provider (PSP)							M	a				
Flag Payment system operator (PSO)							M	a				
Flag small PSP							M	a				
Flag PSP derogation granted							M	a				
Type of PSP license							M	a				
PSP geographical scope							M	a				

Institutional sector	M	d	M	q	M	q	M	a	M	q	M	q
Institutional sector details	M	d	M	q	M	q	M	a	M	q	M	q
Institutional sector control	M	d	M	q	M	q	M	a	M	q	M	q
NACE code	M	d	M	q	M	q	O	a	M	q	M	q
Geographic location (NUTS) ^(***)	M	d	O	q	O	q	M	a	M	q	M	q

Attribute name ^(a)	Relevant in the context of the list of											
	MFIs		IFs		FVCs		PSRIs ^(a)		ICs		PFs	
	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency	Type	Update frequency
Employment <i>domestic</i> ⁽⁺⁾	O	a	O	a	O	a	O	a	O	a	O	a
Balance sheet total (ECB Regulation) <i>domestic</i> ⁽⁺⁾	M	a							O ^(b)	a	O	a
Net assets <i>domestic</i> ⁽⁺⁾	O	a	M	a								
Gross premiums written <i>domestic</i> ⁽⁺⁾									M	a		
Total employment ⁽⁺⁺⁾	O	a	O	a	O	a	O	a	O	a	O	a
Total balance sheet ⁽⁺⁺⁾	M	a	O	a	O	a			O ^(b)	a	O	a
Gross premiums written ⁽⁺⁺⁾									M	a		

Birth date	O	d	O	q	O	q	O	a	O	q	O	q
Closure date	M	d	M	q	M	q	M	a	M	q	M	q
Flag “Is Inactive”	M	d	M	q	M	q	M	a	M	q	M	q
Flag “Is under liquidation”	M	d	O	q	M	q	M	a	M	q	O	q

Required counterparties

Originator of FVC					M	q						
Management company (as applicable)			M	q	M	q					M ^(c)	q
Headquarters (as applicable)	M	d							M	q	M	q

⁽⁺⁾ excluding non-resident branches (or headquarters).

⁽⁺⁺⁾ including non-resident branches (if applicable).

^(***) as applicable.

^(a) Please note that the list of PSRIs may overlap with the list of MFIs.

^(b) This should be reported at least for one of the variables depending on the data collection system.

^(c) This attribute refers to pension managers as regards the data reported by these entities pursuant to Regulation (EU) 2018/231 (ECB/2018/2).

Relevance: **M** (mandatory), **O** (optional), blank (not applicable).

Frequency: **a** (annual), **q** (quarterly), **m** (monthly), **d** (daily/as soon as a change occurs).

Timeframe: for annual data is (if not specified elsewhere) one month following the reference date.

PART 2

Types of relationships between entities

	Type	Update frequency
1. Relationships within an enterprise		
Relationship between a legal unit(s) and an enterprise	O	—
2. Relationships within enterprise groups		
Control relationship between legal units	M ^(d)	q
Ownership (non-controlled) relationship between legal units	O	q
3. Other relationships		
Link between an originator and its FVC	M	q
Link between a management company and its FVC/IF/PF ^(g) (***)	M	q
Link between a non-resident branch and its resident headquarter	M	q ^(e)
Link between a resident branch and its non-resident headquarter	M	q
Link between a sub-fund and an umbrella fund (***)	M	q
Link between an entity and its ultimate parent ^(f) (***)	M	m
Link between a pension fund and its sponsor (***)	O	q

^(d) only for “banking groups” with headquarters in the euro area and for counterparties contemplated by Regulation (EU) 2016/867 (ECB/2016/13); otherwise optional.

^(e) at least quarterly, depending on the sector.

^(f) only for AnaCredit relevant entities.

^(g) except self-managed entities.

(***) as applicable.

ANNEX II

‘ANNEX II

REGISTER OF INSTITUTIONS AND AFFILIATES DATA (RIAD) - LISTS NOT FOR PUBLICATION

Attributes to be reported for datasets according to their respective legal framework referred to in Chapter VII of this Guideline

Attribute name	Individual BSI and MIR statistics (iBSI-iMIR)	Regulation (EU) No 1333/2014 (ECB/2014/48) and the euro short-term rate	Entities relevant for Collateral Management	Treasury Management System (TMS)	Security Holding Statistics Database (SHSDB) ⁽¹⁾	Centralised Securities Database (CSDB)	Common Granular Analytical Credit database (AnaCredit) ⁽²⁾
Entity Identifiers							
— RIAD code	x	x	x	x	x	x	x
— LEI ^(*)		x	x		x	x	x
— National identifiers ^(*)			x		x	x	x
— Other Identifiers			x	x	x	x	x
Instrument Identifiers							
— ISIN					x	x	
Name	x	x	x	x	x	x	x
Country of residence	x	x	x	x	x	x	x
Address							x
Legal form							x
Institutional sector	x	x	x	x	x	x	x
Institutional sector details	x	x	x	x	x	x	x
Institutional sector control	x	x	x	x	x	x	x
Collateral group			x				
NACE code					x	x	x
Geographic location (NUTS)							x
Flag CCP		x					
Reporting requirements							x

Attribute name	Individual BSI and MIR statistics (iBSI-iMIR)	Regulation (EU) No 1333/2014 (ECB/2014/48) and the euro short-term rate	Entities relevant for Collateral Management	Treasury Management System (TMS)	Security Holding Statistics Database (SHSDB) ⁽¹⁾	Centralised Securities Database (CSDB)	Common Granular Analytical Credit database (AnaCredit) ⁽²⁾
Accounting Framework							x
Total employment							x
Total balance sheet							x
Enterprise size							x
Annual turnover							x
Status of legal proceedings							x
Birth date	x	x	x	x	x	x	x
Closure date	x	x	x	x	x	x	x
Flag "Is Inactive"	x	x	x	x	x	x	
Relationships							
— Ownership relation			x				
— Branch relation			x				
Link							
— to headquarters					x		x
— to direct controlling parent					x		x
— to ultimate controlling parent					x		x
— to management company							x

⁽¹⁾ The list of mandatory attributes for the relevant SHSDB counterparty roles is specified in the respective legal acts.

⁽²⁾ The list of mandatory attributes for a specific AnaCredit counterparty depends on its role (borrower, guarantor, etc.), residency (within/outside reporting Member State), and on the date the loan was granted as specified in the respective legal acts.

^(*) "LEI" or, if not available, "National identifiers" should be provided as mandatory attributes.'

