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EN

Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.

The titles of all other acts are printed in bold type and preceded by an asterisk.

II

*(Non-legislative acts)***ACTS ADOPTED BY BODIES CREATED BY
INTERNATIONAL AGREEMENTS****DECISION No 1/2018 OF THE EU-UKRAINE ASSOCIATION COMMITTEE IN TRADE
CONFIGURATION****of 14 May 2018****updating Annex XXI to Chapter 8 on Public Procurement of Title IV — Trade and Trade-Related
Matters of the Association Agreement and giving a favourable opinion regarding the com-
prehensive roadmap on public procurement [2018/972]**

THE ASSOCIATION COMMITTEE IN TRADE CONFIGURATION,

Having regard to the Association Agreement between the European Union and the European Atomic Energy Community and their Member States, of the one part, and Ukraine, of the other part ⁽¹⁾ ('the Agreement'), and in particular Article 149, Article 153 and Article 463 thereof,

Whereas:

- (1) In accordance with Article 486 of the Agreement, parts of the Agreement, including provisions on public procurement, are applied provisionally as of 1 January 2016.
- (2) Article 149 of the Agreement provides that the value thresholds for public procurement contracts provided for in Annex XXI-P are to be revised regularly, beginning in the first even year following the entry into force of the Agreement and such revision is to be adopted by decision of the Association Committee in Trade Configuration, as set out in Article 465(4) of the Agreement.
- (3) Article 153 of the Agreement provides that Ukraine is to ensure that its public procurement legislation is gradually made compatible with the relevant Union *acquis*, in line with the schedule provided in Annex XXI to the Agreement.
- (4) Several Union acts listed in Annex XXI to the Agreement have been recast or repealed and replaced by a new Union act since the initialling of the Agreement on 30 March 2012. In particular, the Union adopted and notified to Ukraine the following acts:
 - (a) Directive 2014/23/EU of the European Parliament and of the Council ⁽²⁾;
 - (b) Directive 2014/24/EU of the European Parliament and of the Council ⁽³⁾;
 - (c) Directive 2014/25/EU of the European Parliament and of the Council ⁽⁴⁾.

⁽¹⁾ OJ L 161, 29.5.2014, p. 3.

⁽²⁾ Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts (OJ L 94, 28.3.2014, p. 1).

⁽³⁾ Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC (OJ L 94, 28.3.2014, p. 65).

⁽⁴⁾ Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC (OJ L 94, 28.3.2014, p. 243).

- (5) The abovementioned Directives amended the value thresholds for public procurement contracts provided for in Annex XXI-P, which were subsequently amended by Commission Delegated Regulations (EU) 2015/2170 ⁽¹⁾, (EU) 2015/2171 ⁽²⁾ and (EU) 2015/2172 ⁽³⁾, respectively.
- (6) Article 463(3) of the Agreement provides that the Association Council shall have the power to update or amend the Annexes to the Agreement.
- (7) It is necessary to update Annex XXI to the Agreement in order to reflect the changes made to the Union *acquis* listed in that Annex, in accordance with Articles 149, 153 and 463 of the Agreement.
- (8) The new Union *acquis* on public procurement has a new structure. It is appropriate to reflect this new structure in Annex XXI. In the interest of clarity, Annex XXI should be updated in its entirety and replaced by the Annex as set out in the Appendix to this Decision. It is furthermore appropriate to take into account the progress made by Ukraine in the process of approximation to the Union *acquis*.
- (9) Article 465(2) of the Agreement specifies that the Association Council may delegate to the Association Committee in Trade Configuration any of its powers, including the power to take binding decisions.
- (10) The EU-Ukraine Association Council empowered the Association Committee in Trade Configuration in its Decision No 3/2014 ⁽⁴⁾ of 15 December 2014 to update or amend certain trade-related annexes.
- (11) Article 152(1) of the Agreement stipulates that Ukraine shall submit to the Association Committee in Trade Configuration a comprehensive roadmap for the implementation of the legislation related to public procurement with time schedules and milestones which should include all reforms in terms of legislative approximation to the Union *acquis*.
- (12) Article 152(3) specifies that a favourable opinion by the Association Committee in Trade Configuration is needed in order for the comprehensive roadmap to become a reference document for the process of implementation, namely for the legislative approximation of the public procurement related legislation to the Union *acquis*.
- (13) It is therefore appropriate for the Association Committee in Trade Configuration to adopt a decision giving favourable opinion regarding the comprehensive roadmap,

HAS ADOPTED THIS DECISION:

Article 1

Annex XXI to Chapter 8 on Public Procurement to the Title IV on Trade and Trade-Related Matters of the Association Agreement between the European Union and the European Atomic Energy Community and their Member States of the one part, and Ukraine, of the other part, is hereby replaced by the updated version of the Annex, which is attached to this Decision.

Article 2

A favourable opinion is given regarding the comprehensive roadmap approved by the Ordinance of the Cabinet of Ministers of Ukraine of 24 February 2016 (number 175-p) adopted by the Government of Ukraine on 24 February 2016.

⁽¹⁾ Commission Delegated Regulation (EU) 2015/2170 of 24 November 2015 amending Directive 2014/24/EU of the European Parliament and of the Council in respect of the application thresholds for the procedures for the award of contracts (OJ L 307, 25.11.2015, p. 5).

⁽²⁾ Commission Delegated Regulation (EU) 2015/2171 of 24 November 2015 amending Directive 2014/25/EU of the European Parliament and of the Council in respect of the application thresholds for the procedures for the award of contracts (OJ L 307, 25.11.2015, p. 7).

⁽³⁾ Commission Delegated Regulation (EU) 2015/2172 of 24 November 2015 amending Directive 2014/23/EU of the European Parliament and of the Council in respect of the application thresholds for the procedures for the award of contracts (OJ L 307, 25.11.2015, p. 9).

⁽⁴⁾ Decision No 3/2014 of the EU-Ukraine Association Council of 15 December 2014 on the delegation of certain powers by the Association Council to the Association Committee in Trade configuration (OJ L 158, 24.6.2015, p. 4).

Article 3

This Decision shall enter into force on the date of its adoption.

Done at Brussels, 14 May 2018.

*For the Association Committee in Trade Configuration**The Chair*

Nataliya MYKOLSKA

*The Secretaries**For Ukraine*

Oleksiy ROZHKOV

For the EU

Christian Frigaard RASMUSSEN

ANNEX

'ANNEX XXI-A TO CHAPTER 8 ON PUBLIC PROCUREMENT

INDICATIVE TIME SCHEDULE FOR INSTITUTIONAL REFORM, LEGISLATIVE APPROXIMATION AND MARKET ACCESS

Phase		Indicative time schedule	Market access granted to the EU by Ukraine	Market access granted to Ukraine by the EU	
1.	Implementation of Articles 150(2) and 151 of this Agreement Agreement of the Reform Strategy set out in Article 152 of this Agreement	6 months after the entry into force of this Agreement	Supplies for central government authorities	Supplies for central government authorities	
2.	Approximation and implementation of basic elements of Directive 2014/24/EU and of Directive 89/665/EEC	3 years after the entry into force of this Agreement	Supplies for state, regional and local authorities and bodies governed by public law	Supplies for state, regional and local authorities and bodies governed by public law	Annexes XXI-B and XXI-C
3.	Approximation and implementation of basic elements of Directive 2014/25/EU and of Directive 92/13/EEC	4 years after the entry into force of this Agreement	Supplies for all contracting entities in the utilities sector	Supplies for all contracting entities	Annexes XXI-D and XXI-E
4.	Approximation and implementation of other elements of Directive 2014/24/EU. Approximation and implementation of Directive 2014/23/EU	6 years after the entry into force of this Agreement	Service and works contracts and concessions for all contracting authorities	Service and works contracts and concessions for all contracting authorities	Annexes XXI-F, XXI-G, and XXI-H
5.	Approximation and implementation of other elements of Directive 2014/25/EU	8 years after the entry into force of this Agreement	Service and works contracts for all contracting entities in the utilities sector	Service and works contracts for all contracting entities in the utilities sector	Annexes XXI-I and XXI-J

ANNEX XXI-B TO CHAPTER 8 ON PUBLIC PROCUREMENT

**BASIC ELEMENTS OF DIRECTIVE 2014/24/EU
OF 26 FEBRUARY 2014 ON PUBLIC PROCUREMENT
(PHASE 2)**

TITLE I

Scope, definitions and general principles

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Article 10	Specific exclusions for service contracts
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Article 14	Research and development services
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Article 17	Public contracts and design contests involving defence or security aspects which are awarded or organised pursuant to international rules

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Article 21	Confidentiality
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Article 43	Labels
Article 44	Test reports, certification and other means of proof: paragraphs 1, 2
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Article 46	Division of contracts into lots
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Article 49	Contract notices
Article 50	Contract award notices: paragraphs 1 and 4
Article 51	Form and manner of publication of notices: first subparagraph of paragraph 1, first subparagraph of paragraph 5
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Article 54	Invitations to candidates
Article 55	Informing candidates and tenderers
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Article 59	European Single Procurement Document: paragraph 1 <i>mutatis mutandis</i> , paragraph 4
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Article 63	Reliance on the capacities of other entities
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ANNEX XXI-C TO CHAPTER 8 ON PUBLIC PROCUREMENT

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OF 21 DECEMBER 1989 ON THE COORDINATION OF THE LAWS, REGULATIONS AND ADMINISTRATIVE PROVISIONS RELATING TO THE APPLICATION OF REVIEW PROCEDURES TO THE AWARD OF PUBLIC SUPPLY AND PUBLIC WORKS CONTRACTS (DIRECTIVE 89/665/EEC)

AS AMENDED BY DIRECTIVE 2007/66/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL OF 11 DECEMBER 2007 AMENDING COUNCIL DIRECTIVES 89/665/EEC AND 92/13/EEC WITH REGARD TO IMPROVING THE EFFECTIVENESS OF REVIEW PROCEDURES CONCERNING THE AWARD OF PUBLIC CONTRACTS (DIRECTIVE 2007/66/EC) AND BY DIRECTIVE 2014/23/EU OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL OF 26 FEBRUARY 2014 ON THE AWARD OF CONCESSION CONTRACTS (DIRECTIVE 2014/23/EU)

(PHASE 2)

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(PHASE 3)

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ANNEX XXI-F TO CHAPTER 8 ON PUBLIC PROCUREMENT

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The elements of Directive 2014/24/EU set out in this Annex are not mandatory but recommended for approximation. Ukraine may approximate these elements within the time-frame set in Annex XXI-B

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(PHASE 4)

The elements of Directive 2014/23/EU set out in this Annex are not mandatory but recommended for approximation. Ukraine may approximate these elements within the time-frame set in Annex XXI-B

TITLE I

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AS AMENDED BY DIRECTIVE 2007/66/EC AND DIRECTIVE 2014/23/EU
(PHASE 4)

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	Paragraph 5

 ANNEX XXI-I TO CHAPTER 8 ON PUBLIC PROCUREMENT
(PHASE 5)**I. OTHER MANDATORY ELEMENTS OF DIRECTIVE 2014/25/EU**

TITLE I

Scope, definitions and general principles

CHAPTER I

Subject matter and definitions

Article 2	Definitions: point 17
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CHAPTER III

Material scope

Section 1 Thresholds

Article 16 Methods for calculating the estimated value of procurement: paragraphs 5, 6

TITLE II

Rules applicable to contracts

CHAPTER I

Procedures

Article 44 Choice of procedures: paragraph 3

Article 48 Competitive dialogue

Article 49 Innovation Partnership

Article 50 Use of the negotiated procedure without prior call for competition: point (j)

CHAPTER II

Techniques and instruments for electronic and aggregated procurement

Article 51 Framework agreements

Article 52 Dynamic purchasing systems

Article 53 Electronic auctions

Article 54 Electronic catalogues

Article 56 Occasional joint procurement

CHAPTER III

Conduct of the procedure

Section 2 Publication and transparency

Article 70 Contract award notices: paragraph 2

Section 3 Choice of participants and award of contract

Subsection 1 Qualification and qualitative selection

Article 77 Qualification systems

Article 79 Reliance on the capacities of other entities: paragraph 1

TITLE III

Particular procurement regimes

CHAPTER II

Rules governing design contests

Article 95 Scope

Article 96 Notices

Article 97 Rules on the organisation of design contests, the selection of participants and the jury

Article 98 Decision of the jury

ANNEXES

ANNEX VII Information to be included in the procurement documents relating to electronic auctions (Article 53(4))

ANNEX XIX Information to be included in the design contest notice (as referred to in Article 96(1))

ANNEX XX Information to be included in the results of design contest notices (as referred to in Article 96(1))

II. OTHER NON-MANDATORY ELEMENTS OF DIRECTIVE 2014/25/EU

The further elements of Directive 2014/25/EU set out in this Annex are not mandatory but recommended for approximation. Ukraine may approximate these elements within the time-frame set in Annex XXI-B.

TITLE I

Scope, definitions and general principles

CHAPTER I

Subject matter and definitions

Article 2 Definitions: points 10 to 12

CHAPTER IV

General principles

Article 38 Reserved contracts

TITLE II

Rules applicable to contracts

CHAPTER I

Procedures

Article 55 Centralised purchasing activities and central purchasing bodies

TITLE III

Particular procurement regimes

CHAPTER I

Social and other specific services

Article 94 Reserved contracts for certain services

ANNEX XXI-J TO CHAPTER 8 ON PUBLIC PROCUREMENT

OTHER ELEMENTS OF DIRECTIVE 92/13/EEC

AS AMENDED BY DIRECTIVE 2007/66/EC AND DIRECTIVE 2014/23/EU

(PHASE 5)

Article 2b Derogations from the standstill period
Point (c) of the first paragraph of Article 2b

Article 2d Ineffectiveness
Point (c) of paragraph 1 of Article 2d,
Paragraph 5

ANNEX XXI-K TO CHAPTER 8 ON PUBLIC PROCUREMENT

I. PROVISIONS OF DIRECTIVE 2014/24/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements of Directive 2014/24/EU listed in this Annex are not subject to the process of approximation.

TITLE I

Scope, definitions and general principles

CHAPTER I

Scope and definitions

Section 1 Subject matter and definitions

Article 1 Subject matter and scope: paragraphs 3 and 4

Article 2 Definitions: paragraph 2

Section 2 Thresholds

Article 6 Revision of the thresholds and of the list of central government authorities

TITLE II

Rules on public contracts

CHAPTER I

Procedures

Article 25 Conditions relating to the GPA and other international agreements

CHAPTER II

Techniques and instruments for electronic and aggregated procurement

Article 39 Procurement involving contracting authorities from different Member States

CHAPTER III

Conduct of the procedure

Section 1 Preparation

Article 44 Test reports, certification and other means of proof: paragraph 3

Section 2 Publication and transparency

Article 51 Form and manner of publication of notices: second subparagraph of paragraph 1, paragraphs 2, 3, 4, second subparagraph of paragraph 5, paragraph 6

Article 52 Publication at national level

Section 3 Choice of participants and award of contracts

Article 61 Online repository of certificates (e-Certis)

Article 62 Quality assurance standards and environmental management standards: paragraph 3

Article 68 Life-cycle costing: paragraph 3

Article 69 Abnormally low tender: paragraph 5

TITLE IV

Governance

Article 83 Enforcement

Article 84 Individual reports on procedures for the award of contracts

Article 85 National reporting and statistical information

Article 86 Administrative Cooperation

TITLE V

Delegated powers, implementing powers and final provisions

Article 87 Exercise of the delegation of powers

Article 88 Urgency procedure

Article 89 Committee procedure

Article 90 Transposition and transitional provisions

Article 91 Repeals

Article 92 Review

Article 93 Entry into force

Article 94 Addressees

ANNEXES

ANNEX I	CENTRAL GOVERNMENT AUTHORITIES
ANNEX VIII	FEATURES CONCERNING PUBLICATION
ANNEX XI	REGISTERS
ANNEX XIII	LIST OF EU LEGISLATION REFERRED TO IN ARTICLE 68(3)
ANNEX XV	CORRELATION TABLE

II. PROVISIONS OF DIRECTIVE 2014/23/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements of Directive 2014/23/EU listed in this Annex are not subject to the process of approximation.

TITLE I

Subject matter, scope, principles and definitions

CHAPTER I

Scope, general principles and definitions

Section I	Subject matter, scope, general principles, definitions and threshold
Article 1	Subject matter and scope: paragraph 3
Article 6	Contracting authorities: paragraphs 2 and 3
Article 9	Revision of the threshold
Section II	Exclusions
Article 15	Notification of information by contracting entities
Article 16	Exclusion of activities which are directly exposed to competition

TITLE II

Rules on the award of concessions: General principles and procedural guarantees

CHAPTER I

General principles

Article 30	General principles: paragraph 4
Article 33	Form and manner of publication of notices: second subparagraph of paragraph 1, paragraphs 2, 3 and 4

TITLE IV

Amendments to Directive 89/665/EEC and 92/13/EEC

Article 46	Amendments to Directive 89/665/EEC
Article 47	Amendments to Directive 92/13/EEC

TITLE V

Delegated powers, implementing powers and final provisions

Article 48	Exercise of the delegation
Article 49	Urgency procedure
Article 50	Committee procedure
Article 51	Transposition
Article 52	Transitional provisions
Article 53	Monitoring and reporting
Article 54	Entry into force
Article 55	Addressees

ANNEX XXI-L TO CHAPTER 8 ON PUBLIC PROCUREMENT

PROVISIONS OF DIRECTIVE 2014/25/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements listed in this Annex are not subject to the process of approximation.

TITLE I

Scope, definitions and general principles

CHAPTER I

Subject matter and definitions

Article 1 Subject matter and scope: paragraphs 3 and 4

Article 3 Contracting authorities: paragraphs 2 and 3

Article 4 Contracting entities: paragraph 4

CHAPTER III

Material scope

Section 1 Thresholds

Article 17 Revision of the thresholds

Section 2 Excluded contracts and design contests: Special provisions for procurement involving defence and security aspects

Subsection 1 Exclusions applicable to all contracting entities and special exclusions for the water and energy sector

Article 18 Contracts awarded for purposes of resale or lease to third parties: paragraph 2

Article 19 Contracts and design contests awarded or organised for purposes other than the pursuit of a covered activity or for the pursuit of such an activity in a third country: paragraph 2

Subsection 3 Special relations (cooperation, affiliated undertakings and joint ventures)

Article 31 Notification of information

Subsection 4 Specific situations

Article 33 Contracts subject to special arrangements

Subsection 5 Activities directly exposed to competition and procedural provisions relating thereto

Article 34 Activities directly exposed to competition

Article 35 Procedure for establishing whether Article 34 is applicable

TITLE II

Rules applicable to contracts

CHAPTER I

Procedures

Article 43 Conditions relating to the GPA and other international agreements

CHAPTER II

Techniques and instruments for electronic and aggregated procurement

Article 57 Procurement involving contracting entities from different Member States

CHAPTER III

Conduct of the procedure

Section 2 Publication and transparency

Article 71 Form and manner of publication of notices: paragraphs 2, 3, 4, second subparagraph of paragraph 5, paragraph 6

Article 72 Publication at national level

Section 3	Choice of participants and award of contract
Article 81	Quality assurance standards and environmental management standards: paragraph 3
Article 83	Life-cycle costing: paragraph 3
Section 4	Tenders comprising products originating in third countries and relations with those countries
Article 85	Tenders comprising products originating in third countries
Article 86	Relations with third countries as regards works, supplies and service contracts
TITLE IV	
Governance	
Article 99	Enforcement
Article 100	Individual reports on procedures for the award of contracts
Article 101	National reporting and statistical information
Article 102	Administrative cooperation
TITLE V	
Delegated powers, implementing powers and final provisions	
Article 103	Exercise of the delegation
Article 104	Urgency procedure
Article 105	Committee procedure
Article 106	Transposition and transitional provisions
Article 107	Repeal
Article 108	Review
Article 109	Entry into force
Article 110	Addressees
ANNEXES	
ANNEX II	List of Union legal acts referred to in Article 4(3)
ANNEX III	List of Union legal acts referred to in Article 34(3)
ANNEX IV	Deadlines for the adoption of the implementing acts referred to in Article 35
ANNEX XV	List of Union legal acts referred to in Article 83(3)

ANNEX XXI-M TO CHAPTER 8 ON PUBLIC PROCUREMENT

PROVISIONS OF DIRECTIVE 89/665/EEC AS AMENDED BY DIRECTIVE 2007/66/EC AND DIRECTIVE 2014/23/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements listed in this Annex are not subject to the process of approximation.

Article 2b	Derogations from the standstill period Point (a) of the first paragraph of Article 2b
Article 2d	Ineffectiveness Point (a) of paragraph 1 of Article 2d, Paragraph 4
Article 3	Corrective Mechanisms
Article 3a	Content of the notice for voluntary <i>ex ante</i> transparency
Article 3b	Committee Procedure
Article 4	Implementation
Article 4a	Review

ANNEX XXI-N TO CHAPTER 8 ON PUBLIC PROCUREMENT

PROVISIONS OF DIRECTIVE 92/13/EEC AS AMENDED BY DIRECTIVE 2007/66/EC AND DIRECTIVE 2014/23/EU OUTSIDE THE SCOPE OF APPROXIMATION

The elements listed in this Annex are not subject to the process of approximation.

Article 2b	Derogations from the standstill period Point (a) of the first paragraph of Article 2b
Article 2d	Ineffectiveness Point (a) of paragraph 1 of Article 2d, Paragraph 4
Article 3a	Content of the notice for voluntary <i>ex ante</i> transparency
Article 3b	Committee Procedure
Article 8	Corrective Mechanisms
Article 12	Implementation
Article 12a	Review

ANNEX XXI-O TO CHAPTER 8 ON PUBLIC PROCUREMENT

UKRAINE: INDICATIVE LIST OF ISSUES FOR COOPERATION

1. Training, in Ukraine and EU countries, of Ukrainian officials from government bodies engaged in public procurement;
2. Training of suppliers interested participating in public procurement;
3. Exchange of information and experience on best practice and regulatory rules in the sphere of public procurement;
4. Enhancement of the functionality of the public procurement website and establishment of a system of public procurement monitoring;
5. Consultations and methodological assistance from the EU Party in application of modern electronic technologies in the sphere of public procurement;
6. Strengthening the bodies charged with guaranteeing a coherent policy in all areas related to public procurement and the independent and impartial consideration (review) of contracting authorities' decisions. (Cf. Article 150 paragraph 2 of this Agreement)

ANNEX XXI-P TO CHAPTER 8 ON PUBLIC PROCUREMENT

THRESHOLDS

1. The value thresholds mentioned in Article 149(3) of this Agreement shall be for both Parties:
 - (a) EUR 135 000 for public supply and service contracts awarded by central government authorities and design contests awarded by such authorities;
 - (b) EUR 209 000 in the case of public supply and public service contracts not covered by point (a);
 - (c) EUR 5 225 000 in the case of public works contracts;
 - (d) EUR 5 225 000 in the case of works contracts in the utilities sector;
 - (e) EUR 5 225 000 in the case of concessions;

- (f) EUR 418 000 in the case of supply and service contracts in the utilities sector;
 - (g) EUR 750 000 for public service contracts for social and other specific services;
 - (h) EUR 1 000 000 for service contracts for social and other specific services in the utilities sector.
2. The EUR thresholds quoted in paragraph 1 shall be adapted to reflect the thresholds applicable under the EU Directives at the moment of the entry into force of this Agreement.'
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