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ContentsI *Legislative acts*

## BUDGETS

2013/83/EU, Euratom:

- ★ **Definitive adoption of amending budget No 6 of the European Union for the financial year 2012** 1

Price: EUR 8

**EN**

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Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.

The titles of all other acts are printed in bold type and preceded by an asterisk.

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All amounts in this budget document are expressed in euro unless otherwise indicated.

Any revenue provided for in Article 18(1) of the Financial Regulation, entered in Titles 5 and 6 of the statement of revenue, may lead to additional appropriations being entered on the headings which bore the initial expenditure giving rise to the corresponding revenue.

Figures for outturn refer to all authorised appropriations, including budget appropriations, additional appropriations and earmarked revenue.

## I

*(Legislative acts)*

## BUDGETS

## EUROPEAN PARLIAMENT

## DEFINITIVE ADOPTION

**of amending budget No 6 of the European Union for the financial year 2012**

(2013/83/EU, Euratom)

THE PRESIDENT OF THE EUROPEAN PARLIAMENT,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 314(4)(a) and (9) thereof,

Having regard to the Treaty establishing the European Atomic Energy Community, and in particular Article 106a thereof,

Having regard to Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities <sup>(1)</sup>,

Having regard to the Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management <sup>(2)</sup>,

Having regard to the general budget of the European Union for the financial year 2012, as definitively adopted on 1 December 2011 <sup>(3)</sup>,

Having regard to draft amending budget No 6 of the European Union for the financial year 2012, which the Commission drew up on 23 October 2012,

Having regard to the position on draft amending budget No 6/2012, which the Council adopted on 6 December 2012,

Having regard to Rules 75b and 75e of the Parliament's Rules of Procedure,

Having regard to the Parliament's approval of 12 December 2012 of the Council position,

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<sup>(1)</sup> OJ L 248, 16.9.2002, p. 1.

<sup>(2)</sup> OJ C 139, 14.6.2006, p. 1.

<sup>(3)</sup> OJ L 56, 29.2.2012

DECLARES:

*Sole Article*

The procedure under Article 314 of the Treaty on the Functioning of the European Union is complete and amending budget No 6 of the European Union for the financial year 2012 has been definitively adopted.

Done at Strasbourg, 12 December 2012.

*The President*  
M. SCHULZ

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**DEFINITIVE ADOPTION OF AMENDING BUDGET No 6 OF THE EUROPEAN UNION  
FOR THE FINANCIAL YEAR 2012**

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*SECTION III*  
**COMMISSION**

## COMMISSION

## REVENUE

| Title        | Heading                                                                            | Budget 2012          | Amending<br>budget No. 6/2012 | New amount           |
|--------------|------------------------------------------------------------------------------------|----------------------|-------------------------------|----------------------|
| 4            | REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES | 986 604 274          |                               | 986 604 274          |
| 5            | REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION              | 58 500 000           | 8 500 000                     | 67 000 000           |
| 6            | CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION AGREEMENTS AND PROGRAMMES       | 50 000 000           |                               | 50 000 000           |
| 7            | INTEREST ON LATE PAYMENTS AND FINES                                                | 123 000 000          | 3 525 000 000                 | 3 648 000 000        |
| 8            | BORROWING AND LENDING OPERATIONS                                                   | 384 000              |                               | 384 000              |
| 9            | MISCELLANEOUS REVENUE                                                              | 30 000 000           |                               | 30 000 000           |
| <b>Total</b> |                                                                                    | <b>1 248 488 274</b> | <b>3 533 500 000</b>          | <b>4 781 988 274</b> |

COMMISSION

**TITLE 5****REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION**

| Title<br>Chapter       | Heading                                                                                            | Budget 2012       | Amending<br>budgetNo. 6/2012 | New amount        |
|------------------------|----------------------------------------------------------------------------------------------------|-------------------|------------------------------|-------------------|
| 5 0                    | PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY                | p.m.              |                              | p.m.              |
| 5 1                    | PROCEEDS FROM LETTING AND HIRING                                                                   | p.m.              |                              | p.m.              |
| 5 2                    | REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST                                 | 56 500 000        | 8 500 000                    | 65 000 000        |
| 5 5                    | REVENUE FROM THE SUPPLY OF SERVICES AND WORK                                                       | p.m.              |                              | p.m.              |
| 5 7                    | OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION | p.m.              |                              | p.m.              |
| 5 8                    | MISCELLANEOUS COMPENSATION                                                                         | p.m.              |                              | p.m.              |
| 5 9                    | OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS                                                       | 2 000 000         |                              | 2 000 000         |
| <b>Title 5 — Total</b> |                                                                                                    | <b>58 500 000</b> | <b>8 500 000</b>             | <b>67 000 000</b> |

## COMMISSION

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                      | Budget 2012       | Amending<br>budgetNo. 6/2012 | New amount        |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|------------------------------|-------------------|
| 5 2                                 | REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST                                                                                           |                   |                              |                   |
| 5 2 0                               | <i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i>                                                      | 6 500 000         | 3 500 000                    | 10 000 000        |
| 5 2 1                               | <i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i> | 10 000 000        | 5 000 000                    | 15 000 000        |
| 5 2 2                               | <i>Interest yielded by pre-financing</i>                                                                                                                     | 40 000 000        |                              | 40 000 000        |
| 5 2 3                               | <i>Revenue generated on Trust Accounts — Assigned revenue</i>                                                                                                | p.m.              |                              | p.m.              |
|                                     | <b>Chapter 5 2 — Total</b>                                                                                                                                   | <b>56 500 000</b> | <b>8 500 000</b>             | <b>65 000 000</b> |

5 2 0 *Revenue from investments or loans granted, bank and other interest on the institution's accounts*

| Budget 2012 | Amending budgetNo. 6/2012 | New amount |
|-------------|---------------------------|------------|
| 6 500 000   | 3 500 000                 | 10 000 000 |

*Remarks*

This revenue refers only to bank interest paid into the Commission's current accounts.

5 2 1 *Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission*

| Budget 2012 | Amending budgetNo. 6/2012 | New amount |
|-------------|---------------------------|------------|
| 10 000 000  | 5 000 000                 | 15 000 000 |

*Remarks*

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

COMMISSION

**TITLE 7****INTEREST ON LATE PAYMENTS AND FINES**

| Title<br>Chapter       | Heading                        | Budget 2012        | Amending<br>budgetNo. 6/2012 | New amount           |
|------------------------|--------------------------------|--------------------|------------------------------|----------------------|
| 7 0                    | INTEREST ON LATE PAYMENTS      | 23 000 000         | 420 000 000                  | 443 000 000          |
| 7 1                    | FINES                          | 100 000 000        | 3 105 000 000                | 3 205 000 000        |
| 7 2                    | INTEREST ON DEPOSITS AND FINES | p.m.               |                              | p.m.                 |
| <b>Title 7 — Total</b> |                                | <b>123 000 000</b> | <b>3 525 000 000</b>         | <b>3 648 000 000</b> |

## COMMISSION

## TITLE 7

## INTEREST ON LATE PAYMENTS AND FINES

## CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                       | Budget 2012       | Amending<br>budgetNo. 6/2012 | New amount         |
|-------------------------------------|-----------------------------------------------------------------------------------------------|-------------------|------------------------------|--------------------|
| 7 0                                 | INTEREST ON LATE PAYMENTS                                                                     |                   |                              |                    |
| <b>7 0 0</b>                        | <b><i>Interest on late payments</i></b>                                                       |                   |                              |                    |
| 7 0 0 0                             | Interest due on late payments into the accounts held with the treasuries of the Member States | 5 000 000         | 155 000 000                  | 160 000 000        |
| 7 0 0 1                             | Other interest on late payments                                                               | 3 000 000         |                              | 3 000 000          |
|                                     | <i>Article 7 0 0 — Subtotal</i>                                                               | 8 000 000         | 155 000 000                  | 163 000 000        |
| <b>7 0 1</b>                        | <b><i>Interest on late payments and other interest on fines</i></b>                           | 15 000 000        | 265 000 000                  | 280 000 000        |
|                                     | <b>Chapter 7 0 — Total</b>                                                                    | <b>23 000 000</b> | <b>420 000 000</b>           | <b>443 000 000</b> |

**7 0 0** ***Interest on late payments*****7 0 0 0** Interest due on late payments into the accounts held with the treasuries of the Member States

| Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------|----------------------------|-------------|
| 5 000 000   | 155 000 000                | 160 000 000 |

*Remarks*

Any delay by a MemberState in making the entry in the account opened in the name of the Commission referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 gives rise to the payment of interest by the MemberState concerned.

In the case of Member States whose currency is the euro, the interest rate shall be equal to the rate as published in the *Official Journal of the European Union*, C series, which the European Central Bank applied to its refinancing operations, on the first day of the month in which the due date fell, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

In the case of Member States whose currency is not the euro, the rate shall be equal to the rate applied on the first day of the month in question by the Central Banks for their main refinancing operations, increased by two percentage points, or, for the Member States for which the Central Bank rate is not available, the most equivalent rate applied on the first day of the month in question on the Member State's money market, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

The interest rate applies to all entries of own resources enumerated under Article 10 of Regulation (EC, Euratom) No 1150/2000.

*Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

CHAPTER 7 0 — INTEREST ON LATE PAYMENTS (*cont'd*)7 0 1 *Interest on late payments and other interest on fines*

| Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------|----------------------------|-------------|
| 15 000 000  | 265 000 000                | 280 000 000 |

*Remarks*

This article is intended to record accrued interest on the special bank account for fines and interest on late payment connected with fines.

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Article 86 thereof.

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

## COMMISSION

## CHAPTER 71 — FINES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                 | Budget 2012        | Amending<br>budget No. 6/2012 | New amount           |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------------------------------|----------------------|
| 7 1                                 | FINES                                                                                                                                                                                                   |                    |                               |                      |
| 7 1 0                               | <i>Fines, periodic penalty payments and other penalties</i>                                                                                                                                             | 100 000 000        | 3 075 000 000                 | 3 175 000 000        |
| 7 1 2                               | <i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i> | p.m.               | 30 000 000                    | 30 000 000           |
|                                     | <b>Chapter 71 — Total</b>                                                                                                                                                                               | <b>100 000 000</b> | <b>3 105 000 000</b>          | <b>3 205 000 000</b> |

## 7 1 0

*Fines, periodic penalty payments and other penalties*

| Budget 2012 | Amending budget No. 6/2012 | New amount    |
|-------------|----------------------------|---------------|
| 100 000 000 | 3 075 000 000              | 3 175 000 000 |

*Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations enumerated below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

## 7 1 2

*Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty*

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| p.m.        | 30 000 000                 | 30 000 000 |

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.

## EXPENDITURE

| Title | Heading                           | Budget 2012    |                | Amending budget No. 6/2012 |               | New amount     |                |
|-------|-----------------------------------|----------------|----------------|----------------------------|---------------|----------------|----------------|
|       |                                   | Commitments    | Payments       | Commitments                | Payments      | Commitments    | Payments       |
| 01    | ECONOMIC AND FINANCIAL AFFAIRS    | 610 876 707    | 510 674 444    |                            | – 19 000 000  | 610 876 707    | 491 674 444    |
|       | 40 01 40                          | 329 267        | 329 267        |                            |               | 329 267        | 329 267        |
|       |                                   | 611 205 974    | 511 003 711    |                            |               | 611 205 974    | 492 003 711    |
| 02    | ENTERPRISE                        | 1 148 387 855  | 1 078 900 247  | – 1 800 000                | 81 050 000    | 1 146 587 855  | 1 159 950 247  |
|       | 40 01 40                          | 52 383         | 52 383         |                            |               | 52 383         | 52 383         |
|       |                                   | 1 148 440 238  | 1 078 952 630  |                            |               | 1 146 640 238  | 1 160 002 630  |
| 03    | COMPETITION                       | 91 734 206     | 91 734 206     |                            |               | 91 734 206     | 91 734 206     |
|       | 40 01 40                          | 14 967         | 14 967         |                            |               | 14 967         | 14 967         |
|       |                                   | 91 749 173     | 91 749 173     |                            |               | 91 749 173     | 91 749 173     |
| 04    | EMPLOYMENT AND SOCIAL AFFAIRS     | 11 581 076 153 | 9 074 731 712  |                            | 2 525 065 040 | 11 581 076 153 | 11 599 796 752 |
|       | 40 01 40                          | 16 966         | 16 966         |                            |               | 16 966         | 16 966         |
|       |                                   | 11 581 093 119 | 9 074 748 678  |                            |               | 11 581 093 119 | 11 599 813 718 |
| 05    | AGRICULTURE AND RURAL DEVELOPMENT | 58 586 881 323 | 55 879 670 842 | – 13 000 000               | 1 040 000 000 | 58 573 881 323 | 56 919 670 842 |
|       | 40 01 40                          | 498 392        | 498 392        |                            |               | 498 392        | 498 392        |
|       |                                   | 58 587 379 715 | 55 880 169 234 |                            |               | 58 574 379 715 | 56 920 169 234 |
| 06    | MOBILITY AND TRANSPORT            | 1 664 247 628  | 1 079 420 609  |                            |               | 1 664 247 628  | 1 079 420 609  |
|       | 40 01 40                          | 59 867         | 59 867         |                            |               | 59 867         | 59 867         |
|       |                                   | 1 664 307 495  | 1 079 480 476  |                            |               | 1 664 307 495  | 1 079 480 476  |
| 07    | ENVIRONMENT AND CLIMATE ACTION    | 488 335 603    | 388 770 703    |                            |               | 488 335 603    | 388 770 703    |
|       | 40 01 40, 40 02 41                | 4 273 840      | 4 273 840      |                            |               | 4 273 840      | 4 273 840      |
|       |                                   | 492 609 443    | 393 044 543    |                            |               | 492 609 443    | 393 044 543    |
| 08    | RESEARCH                          | 6 580 024 910  | 4 217 590 729  | – 4 800 000                | 208 009 000   | 6 575 224 910  | 4 425 599 729  |
|       | 40 01 40                          | 4 490          | 4 490          |                            |               | 4 490          | 4 490          |
|       |                                   | 6 580 029 400  | 4 217 595 219  |                            |               | 6 575 229 400  | 4 425 604 219  |
| 09    | INFORMATION SOCIETY AND MEDIA     | 1 677 451 177  | 1 356 450 156  | – 1 143 678                | 30 000 000    | 1 676 307 499  | 1 386 450 156  |
|       | 40 01 40, 40 02 41                | 416 680        | 416 680        |                            |               | 416 680        | 416 680        |
|       |                                   | 1 677 867 857  | 1 356 866 836  |                            |               | 1 676 724 179  | 1 386 866 836  |
| 10    | DIRECT RESEARCH                   | 410 893 864    | 404 081 551    |                            |               | 410 893 864    | 404 081 551    |
| 11    | MARITIME AFFAIRS AND FISHERIES    | 913 873 159    | 685 624 620    | – 1 597 974                | – 99 068      | 912 275 185    | 685 525 552    |
|       | 40 01 40, 40 02 41                | 119 219 779    | 120 819 779    | – 45 652 520               | – 47 252 520  | 73 567 259     | 73 567 259     |
|       |                                   | 1 033 092 938  | 806 444 399    | – 47 250 494               | – 47 351 588  | 985 842 444    | 759 092 811    |
| 12    | INTERNAL MARKET                   | 101 005 521    | 97 680 011     |                            |               | 101 005 521    | 97 680 011     |
|       | 40 01 40                          | 97 284         | 97 284         |                            |               | 97 284         | 97 284         |
|       |                                   | 101 102 805    | 97 777 295     |                            |               | 101 102 805    | 97 777 295     |

| Title | Heading                                                                    | Budget 2012    |                | Amending budget No. 6/2012 |               | New amount     |                |
|-------|----------------------------------------------------------------------------|----------------|----------------|----------------------------|---------------|----------------|----------------|
|       |                                                                            | Commitments    | Payments       | Commitments                | Payments      | Commitments    | Payments       |
| 13    | REGIONAL POLICY                                                            | 42 733 701 316 | 36 226 444 880 |                            | 1 870 000 000 | 42 733 701 316 | 38 096 444 880 |
|       | 40 01 40                                                                   | 16 463         | 16 463         |                            |               | 16 463         | 16 463         |
|       |                                                                            | 42 733 717 779 | 36 226 461 343 |                            |               | 42 733 717 779 | 38 096 461 343 |
| 14    | TAXATION AND CUSTOMS UNION                                                 | 142 810 235    | 110 215 126    |                            |               | 142 810 235    | 110 215 126    |
|       | 40 01 40                                                                   | 151 912        | 151 912        |                            |               | 151 912        | 151 912        |
|       |                                                                            | 142 962 147    | 110 367 038    |                            |               | 142 962 147    | 110 367 038    |
| 15    | EDUCATION AND CULTURE                                                      | 2 696 893 431  | 2 112 018 336  |                            | 282 000 000   | 2 696 893 431  | 2 394 018 336  |
|       | 40 01 40                                                                   | 29 933         | 29 933         |                            |               | 29 933         | 29 933         |
|       |                                                                            | 2 696 923 364  | 2 112 048 269  |                            |               | 2 696 923 364  | 2 394 048 269  |
| 16    | COMMUNICATION                                                              | 254 388 869    | 245 003 869    |                            |               | 254 388 869    | 245 003 869    |
|       | 40 01 40, 40 02 41                                                         | 7 805 987      | 7 905 987      |                            |               | 7 805 987      | 7 905 987      |
|       |                                                                            | 262 194 856    | 252 909 856    |                            |               | 262 194 856    | 252 909 856    |
| 17    | HEALTH AND CONSUMER PROTECTION                                             | 686 380 880    | 591 324 297    | - 65 420 000               | 17 000 000    | 620 960 880    | 608 324 297    |
|       | 40 01 40                                                                   | 280 045        | 280 045        |                            |               | 280 045        | 280 045        |
|       |                                                                            | 686 660 925    | 591 604 342    |                            |               | 621 240 925    | 608 604 342    |
| 18    | HOME AFFAIRS                                                               | 1 249 268 924  | 740 261 722    |                            | 10 000 000    | 1 249 268 924  | 750 261 722    |
|       | 40 01 40, 40 02 41                                                         | 14 779 662     | 15 699 634     |                            |               | 14 779 662     | 15 699 634     |
|       |                                                                            | 1 264 048 586  | 755 961 356    |                            |               | 1 264 048 586  | 765 961 356    |
| 19    | EXTERNAL RELATIONS                                                         | 4 817 156 439  | 3 276 409 777  | - 2 160 000                | - 1 160 000   | 4 814 996 439  | 3 275 249 777  |
|       | 40 01 40                                                                   | 16 345         | 16 345         |                            |               | 16 345         | 16 345         |
|       |                                                                            | 4 817 172 784  | 3 276 426 122  |                            |               | 4 815 012 784  | 3 275 266 122  |
| 20    | TRADE                                                                      | 104 305 507    | 101 676 083    |                            |               | 104 305 507    | 101 676 083    |
|       | 40 01 40                                                                   | 37 417         | 37 417         |                            |               | 37 417         | 37 417         |
|       |                                                                            | 104 342 924    | 101 713 500    |                            |               | 104 342 924    | 101 713 500    |
| 21    | DEVELOPMENT AND RELATIONS WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES | 1 497 912 576  | 1 309 859 220  |                            | 1 400 000     | 1 497 912 576  | 1 311 259 220  |
|       | 40 01 40, 40 02 41                                                         | 29 933         | 29 933         |                            |               | 29 933         | 29 933         |
|       |                                                                            | 1 497 942 509  | 1 309 889 153  |                            |               | 1 497 942 509  | 1 311 289 153  |
| 22    | ENLARGEMENT                                                                | 1 087 530 479  | 921 317 913    |                            |               | 1 087 530 479  | 921 317 913    |
|       | 40 01 40                                                                   | 8 082          | 8 082          |                            |               | 8 082          | 8 082          |
|       |                                                                            | 1 087 538 561  | 921 325 995    |                            |               | 1 087 538 561  | 921 325 995    |
| 23    | HUMANITARIAN AID                                                           | 899 720 579    | 842 147 753    |                            | 40 687 548    | 899 720 579    | 882 835 301    |
|       | 40 01 40                                                                   | 13 470         | 13 470         |                            |               | 13 470         | 13 470         |
|       |                                                                            | 899 734 049    | 842 161 223    |                            |               | 899 734 049    | 882 848 771    |
| 24    | FIGHT AGAINST FRAUD                                                        | 78 842 000     | 74 068 792     |                            |               | 78 842 000     | 74 068 792     |
| 25    | COMMISSION'S POLICY COORDINATION AND LEGAL ADVICE                          | 194 061 667    | 193 061 667    |                            |               | 194 061 667    | 193 061 667    |
| 26    | COMMISSION'S ADMINISTRATION                                                | 1 015 969 713  | 999 321 141    | - 1 600 000                |               | 1 014 369 713  | 999 321 141    |
|       | 40 01 40                                                                   | 1 502 275      | 1 502 275      |                            |               | 1 502 275      | 1 502 275      |
|       |                                                                            | 1 017 471 988  | 1 000 823 416  |                            |               | 1 015 871 988  | 1 000 823 416  |

| Title | Heading                          | Budget 2012     |                 | Amending budget No. 6/2012 |               | New amount      |                 |
|-------|----------------------------------|-----------------|-----------------|----------------------------|---------------|-----------------|-----------------|
|       |                                  | Commitments     | Payments        | Commitments                | Payments      | Commitments     | Payments        |
| 27    | BUDGET                           | 68 585 186      | 68 585 186      |                            |               | 68 585 186      | 68 585 186      |
|       | 40 01 40                         | 100 293         | 100 293         |                            |               | 100 293         | 100 293         |
|       |                                  | 68 685 479      | 68 685 479      |                            |               | 68 685 479      | 68 685 479      |
| 28    | AUDIT                            | 11 809 925      | 11 809 925      |                            |               | 11 809 925      | 11 809 925      |
| 29    | STATISTICS                       | 134 296 280     | 121 927 987     | – 5 000 000                |               | 129 296 280     | 121 927 987     |
|       | 40 01 40                         | 29 933          | 29 933          |                            |               | 29 933          | 29 933          |
|       |                                  | 134 326 213     | 121 957 920     |                            |               | 129 326 213     | 121 957 920     |
| 30    | PENSIONS AND RELATED EXPENDITURE | 1 334 531 857   | 1 334 531 857   |                            |               | 1 334 531 857   | 1 334 531 857   |
| 31    | LANGUAGE SERVICES                | 399 036 112     | 399 036 112     |                            |               | 399 036 112     | 399 036 112     |
| 32    | ENERGY                           | 718 266 162     | 1 320 465 947   |                            | – 37 700 000  | 718 266 162     | 1 282 765 947   |
|       | 40 01 40                         | 23 947          | 23 947          |                            |               | 23 947          | 23 947          |
|       |                                  | 718 290 109     | 1 320 489 894   |                            |               | 718 290 109     | 1 282 789 894   |
| 33    | JUSTICE                          | 217 680 614     | 187 145 069     |                            |               | 217 680 614     | 187 145 069     |
|       | 40 01 40                         | 6 413           | 6 413           |                            |               | 6 413           | 6 413           |
|       |                                  | 217 687 027     | 187 151 482     |                            |               | 217 687 027     | 187 151 482     |
| 40    | RESERVES                         | 758 937 000     | 90 000 000      |                            |               | 758 937 000     | 90 000 000      |
|       | Total                            | 144 956 873 857 | 126 141 962 489 | – 96 521 652               | 6 047 252 520 | 144 860 352 205 | 132 189 215 009 |
|       | 40 01 40, 40 02 41               | 149 816 025     | 152 435 997     | – 45 652 520               | – 47 252 520  | 104 163 505     | 105 183 477     |
|       | Total including reserves         | 145 106 689 882 | 126 294 398 486 | – 142 174 172              | 6 000 000 000 | 144 964 515 710 | 132 294 398 486 |

## COMMISSION

## TITLE 01

## ECONOMIC AND FINANCIAL AFFAIRS

| Title<br>Chapter | Heading                                                                              | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                     | New amount         |                    |
|------------------|--------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|---------------------|--------------------|--------------------|
|                  |                                                                                      |    | Commitments        | Payments           | Commitments                | Payments            | Commitments        | Payments           |
| 01 01            | ADMINISTRATIVE EXPENDITURE OF<br>THE 'ECONOMIC AND FINANCIAL<br>AFFAIRS' POLICY AREA | 5  | 67 646 707         | 67 646 707         |                            |                     | 67 646 707         | 67 646 707         |
|                  | 40 01 40                                                                             |    | 329 267            | 329 267            |                            |                     | 329 267            | 329 267            |
|                  |                                                                                      |    | 67 975 974         | 67 975 974         |                            |                     | 67 975 974         | 67 975 974         |
| 01 02            | ECONOMIC AND MONETARY<br>UNION                                                       | 4  | 14 500 000         | 13 082 630         |                            |                     | 14 500 000         | 13 082 630         |
| 01 03            | INTERNATIONAL ECONOMIC AND<br>FINANCIAL AFFAIRS                                      |    | 95 550 000         | 79 050 000         |                            | – 19 000 000        | 95 550 000         | 60 050 000         |
| 01 04            | FINANCIAL OPERATIONS AND<br>INSTRUMENTS                                              |    | 433 180 000        | 350 895 107        |                            |                     | 433 180 000        | 350 895 107        |
|                  | <b>Title 01 — Total</b>                                                              |    | <b>610 876 707</b> | <b>510 674 444</b> |                            | <b>– 19 000 000</b> | <b>610 876 707</b> | <b>491 674 444</b> |
|                  | <b>40 01 40</b>                                                                      |    | <b>329 267</b>     | <b>329 267</b>     |                            |                     | <b>329 267</b>     | <b>329 267</b>     |
|                  | <b>Total including reserves</b>                                                      |    | <b>611 205 974</b> | <b>511 003 711</b> |                            |                     | <b>611 205 974</b> | <b>492 003 711</b> |

## TITLE 01

## ECONOMIC AND FINANCIAL AFFAIRS

## CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                              | FF | Budget 2012       |                   | Amending budget No. 6/2012 |                     | New amount        |                   |
|-------------------------------------|------------------------------------------------------------------------------------------------------|----|-------------------|-------------------|----------------------------|---------------------|-------------------|-------------------|
|                                     |                                                                                                      |    | Commitments       | Payments          | Commitments                | Payments            | Commitments       | Payments          |
| 01 03                               | INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS                                                         |    |                   |                   |                            |                     |                   |                   |
| <b>01 03 01</b>                     | <b><i>Participation in the capital of international financial institutions</i></b>                   |    |                   |                   |                            |                     |                   |                   |
| 01 03 01 01                         | European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital | 4  | —                 | —                 |                            |                     | —                 | —                 |
| 01 03 01 02                         | European Bank for Reconstruction and Development — Callable portion of subscribed capital            | 4  | p.m.              | p.m.              |                            |                     | p.m.              | p.m.              |
|                                     | <i>Article 01 03 01 — Subtotal</i>                                                                   |    | p.m.              | p.m.              |                            |                     | p.m.              | p.m.              |
| <b>01 03 02</b>                     | <b><i>Macroeconomic assistance</i></b>                                                               | 4  | 95 550 000        | 79 050 000        |                            | – 19 000 000        | 95 550 000        | 60 050 000        |
|                                     | <b>Chapter 01 03 — Total</b>                                                                         |    | <b>95 550 000</b> | <b>79 050 000</b> |                            | <b>– 19 000 000</b> | <b>95 550 000</b> | <b>60 050 000</b> |

## 01 03 02

***Macroeconomic assistance***

| Budget 2012 |            | Amending budget No. 6/2012 |              | New amount  |            |
|-------------|------------|----------------------------|--------------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments     | Commitments | Payments   |
| 95 550 000  | 79 050 000 |                            | – 19 000 000 | 95 550 000  | 60 050 000 |

*Remarks*

This exceptional assistance aims to ease financial constraints on certain third countries experiencing macroeconomic difficulties characterised by balance of payment deficits and/or serious budgetary imbalances.

It is directly linked to the implementation by the recipient countries of macroeconomic stabilisation and structural adjustment measures. Union action generally complements that of the International Monetary Fund, coordinated with other bilateral donors.

The Commission informs the budgetary authority twice a year of the macroeconomic situation of the beneficiary countries and reports extensively on the implementation of this assistance on a yearly basis.

Appropriations under this article will also be used to cover financial aid for the reconstruction in Georgia of the areas affected by the conflict with Russia. The actions should be primarily geared to the macroeconomic stabilisation of the country. The total financial allocation for the aid was decided at an international donors' conference in 2008.

COMMISSION

**CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS** (*cont'd*)

**01 03 02** (*cont'd*)

*Legal basis*

Council Decision 2006/880/EC of 30 November 2006 providing exceptional Community financial assistance to Kosovo (OJ L 339, 6.12.2006, p. 36).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/889/EC of 30 November 2009 providing macro-financial assistance to Georgia (OJ L 320, 5.12.2009, p. 1).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance to Armenia (OJ L 320, 5.12.2009, p. 3).

COMMISSION

**TITLE 02**  
**ENTERPRISE**

| Title<br>Chapter | Heading                                                                   | FF | Budget 2012          |                      | Amending budget No. 6/2012 |                   | New amount           |                      |
|------------------|---------------------------------------------------------------------------|----|----------------------|----------------------|----------------------------|-------------------|----------------------|----------------------|
|                  |                                                                           |    | Commitments          | Payments             | Commitments                | Payments          | Commitments          | Payments             |
| 02 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'ENTERPRISE' POLICY<br>AREA          |    | 126 489 335          | 126 489 335          | – 1 800 000                | – 1 800 000       | 124 689 335          | 124 689 335          |
|                  | 40 01 40                                                                  |    | 52 383               | 52 383               |                            |                   | 52 383               | 52 383               |
|                  |                                                                           |    | 126 541 718          | 126 541 718          |                            |                   | 124 741 718          | 124 741 718          |
| 02 02            | COMPETITIVENESS, INDUSTRIAL<br>POLICY, INNOVATION AND<br>ENTREPRENEURSHIP | 1  | 203 490 000          | 120 646 802          |                            |                   | 203 490 000          | 120 646 802          |
| 02 03            | INTERNAL MARKET FOR GOODS<br>AND SECTORAL POLICIES                        | 1  | 42 050 000           | 29 893 923           |                            |                   | 42 050 000           | 29 893 923           |
| 02 04            | COOPERATION — SPACE AND<br>SECURITY                                       | 1  | 599 518 520          | 429 129 757          |                            | 78 000 000        | 599 518 520          | 507 129 757          |
| 02 05            | EUROPEAN SATELLITE NAVIGA-<br>TION PROGRAMMES (EGNOS<br>AND GALILEO)      | 1  | 176 840 000          | 372 740 430          |                            | 4 850 000         | 176 840 000          | 377 590 430          |
|                  | <b>Title 02 — Total</b>                                                   |    | <b>1 148 387 855</b> | <b>1 078 900 247</b> | <b>– 1 800 000</b>         | <b>81 050 000</b> | <b>1 146 587 855</b> | <b>1 159 950 247</b> |
|                  | <b>40 01 40</b>                                                           |    | <b>52 383</b>        | <b>52 383</b>        |                            |                   | <b>52 383</b>        | <b>52 383</b>        |
|                  | <b>Total including reserves</b>                                           |    | <b>1 148 440 238</b> | <b>1 078 952 630</b> |                            |                   | <b>1 146 640 238</b> | <b>1 160 002 630</b> |

COMMISSION

**TITLE 02**  
**ENTERPRISE**

**CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENTERPRISE' POLICY AREA**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                           | FF  | Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-------------|----------------------------|------------|
| 02 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'ENTERPRISE' POLICY AREA                                                                                                                        |     |             |                            |            |
| <b>02 01 01</b>                     | <b>Expenditure related to staff in active employment in the 'Enterprise' policy area</b>                                                                                          | 5   | 71 247 993  |                            | 71 247 993 |
| <b>02 01 02</b>                     | <b>External staff and other management expenditure in support of the 'Enterprise' policy area</b>                                                                                 |     |             |                            |            |
| 02 01 02 01                         | External staff                                                                                                                                                                    | 5   | 5 819 863   |                            | 5 819 863  |
| 02 01 02 11                         | Other management expenditure                                                                                                                                                      | 5   | 4 881 377   |                            | 4 881 377  |
|                                     | 40 01 40                                                                                                                                                                          |     | 52 383      |                            | 52 383     |
|                                     |                                                                                                                                                                                   |     | 4 933 760   |                            | 4 933 760  |
|                                     | Article 02 01 02 — Subtotal                                                                                                                                                       |     | 10 701 240  |                            | 10 701 240 |
|                                     | 40 01 40                                                                                                                                                                          |     | 52 383      |                            | 52 383     |
|                                     |                                                                                                                                                                                   |     | 10 753 623  |                            | 10 753 623 |
| <b>02 01 03</b>                     | <b>Expenditure related to equipment, furniture and services of the 'Enterprise' policy area</b>                                                                                   | 5   | 4 792 102   |                            | 4 792 102  |
| <b>02 01 04</b>                     | <b>Support expenditure for operations in the 'Enterprise' policy area</b>                                                                                                         |     |             |                            |            |
| 02 01 04 01                         | Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation — Expenditure on administrative management | 1.1 | 1 000 000   |                            | 1 000 000  |
| 02 01 04 02                         | Standardisation and approximation of legislation — Expenditure on administrative management                                                                                       | 1.1 | 160 000     |                            | 160 000    |
| 02 01 04 04                         | Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme — Expenditure on administrative management                                         | 1.1 | 6 000 000   |                            | 6 000 000  |
| 02 01 04 05                         | European satellite navigation programmes (EGNOS and Galileo) — Expenditure on administrative management                                                                           | 1.1 | 4 000 000   | – 1 800 000                | 2 200 000  |
| 02 01 04 06                         | European Earth monitoring programme (GMES) — Expenditure on administrative management                                                                                             | 1.1 | 1 000 000   |                            | 1 000 000  |

## CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF THE 'ENTERPRISE' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                    | FF  | Budget 2012        | Amending budget No. 6/2012 | New amount         |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|----------------------------|--------------------|
| 02 01 04 30                         | Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme | 1.1 | 7 583 000          |                            | 7 583 000          |
|                                     | Article 02 01 04 — Subtotal                                                                                                                                                |     | 19 743 000         | – 1 800 000                | 17 943 000         |
| <b>02 01 05</b>                     | <b>Support expenditure for research activities of the 'Enterprise' policy area</b>                                                                                         |     |                    |                            |                    |
| 02 01 05 01                         | Expenditure related to research staff                                                                                                                                      | 1.1 | 11 730 000         |                            | 11 730 000         |
| 02 01 05 02                         | External staff for research                                                                                                                                                | 1.1 | 3 650 000          |                            | 3 650 000          |
| 02 01 05 03                         | Other management expenditure for research                                                                                                                                  | 1.1 | 4 625 000          |                            | 4 625 000          |
|                                     | Article 02 01 05 — Subtotal                                                                                                                                                |     | 20 005 000         |                            | 20 005 000         |
|                                     | <b>Chapter 02 01 — Total</b>                                                                                                                                               |     | <b>126 489 335</b> | <b>– 1 800 000</b>         | <b>124 689 335</b> |
|                                     | <b>40 01 40</b>                                                                                                                                                            |     | <b>52 383</b>      |                            | <b>52 383</b>      |
|                                     | <b>Total including reserves</b>                                                                                                                                            |     | <b>126 541 718</b> |                            | <b>124 741 718</b> |

**02 01 04 Support expenditure for operations in the 'Enterprise' policy area**

02 01 04 05 European satellite navigation programmes (EGNOS and Galileo) — Expenditure on administrative management

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| 4 000 000   | – 1 800 000                | 2 200 000  |

*Remarks*

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

See Article 02 05 01.

## COMMISSION

## CHAPTER 02 04 — COOPERATION — SPACE AND SECURITY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                     | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                                                                                             |     | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 02 04                               | COOPERATION — SPACE AND SECURITY                                                                                                            |     |                    |                    |                            |                   |                    |                    |
| <b>02 04 01</b>                     | <b>Security and space research</b>                                                                                                          |     |                    |                    |                            |                   |                    |                    |
| 02 04 01 01                         | Space research                                                                                                                              | 1.1 | 251 267 503        | 235 885 279        |                            | 43 000 000        | 251 267 503        | 278 885 279        |
| 02 04 01 02                         | Security research                                                                                                                           | 1.1 | 242 951 017        | 136 087 661        |                            | 35 000 000        | 242 951 017        | 171 087 661        |
| 02 04 01 03                         | Research related to transport (Galileo)                                                                                                     | 1.1 | 105 300 000        | 54 435 064         |                            |                   | 105 300 000        | 54 435 064         |
|                                     | Article 02 04 01 — Subtotal                                                                                                                 |     | 599 518 520        | 426 408 004        |                            | 78 000 000        | 599 518 520        | 504 408 004        |
| <b>02 04 02</b>                     | <b>Preparatory action — Enhancement of European security research</b>                                                                       | 1.1 | p.m.               | p.m.               |                            |                   | p.m.               | p.m.               |
| <b>02 04 03</b>                     | <b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b> | 1.1 | p.m.               | p.m.               |                            |                   | p.m.               | p.m.               |
| <b>02 04 04</b>                     | <b>Completion of previous research programmes</b>                                                                                           |     |                    |                    |                            |                   |                    |                    |
| 02 04 04 01                         | Completion of programmes (prior to 2003)                                                                                                    | 1.1 | —                  | p.m.               |                            |                   | —                  | p.m.               |
| 02 04 04 02                         | Completion of the sixth Community framework programme (2003 to 2006)                                                                        | 1.1 | —                  | 2 721 753          |                            |                   | —                  | 2 721 753          |
|                                     | Article 02 04 04 — Subtotal                                                                                                                 |     | —                  | 2 721 753          |                            |                   | —                  | 2 721 753          |
|                                     | <b>Chapter 02 04 — Total</b>                                                                                                                |     | <b>599 518 520</b> | <b>429 129 757</b> |                            | <b>78 000 000</b> | <b>599 518 520</b> | <b>507 129 757</b> |

## Remarks

These remarks are applicable to all the budget headings in this chapter.

These appropriations will be used for the Seventh Framework Programme of the European Community for research, technological development and demonstration activities, which covers the period 2007 to 2013.

It will be carried out in order to pursue the general objectives set out in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

These appropriations will be used in accordance with Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from States taking part in the European cooperation in the field of scientific and technical research entered in Item 6 0 1 6 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

**CHAPTER 02 04 — COOPERATION — SPACE AND SECURITY** (*cont'd*)

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be provided under Article 02 04 03.

**02 04 01 Security and space research****02 04 01 01 Space research**

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 251 267 503 | 235 885 279 |                            | 43 000 000 | 251 267 503 | 278 885 279 |

*Remarks*

The aim of the actions carried out in this area is to support a European space programme focusing on applications such as GMES (Global Monitoring for Environment and Security) with benefits for citizens and competitiveness of European industry, as well as Strengthening Space Foundations with benefits for the competitiveness of the European space industry specifically. This will contribute to the development of a European space policy, complementing efforts by Member States and by other key players, including the European Space Agency. Space research is expected to contribute significantly to the priorities of the Europe 2020 Strategy, especially with regard to addressing major societal challenges, and contributing to smart and sustainable growth, and innovation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

## COMMISSION

**CHAPTER 02 04 — COOPERATION — SPACE AND SECURITY** (*cont'd*)**02 04 01** (*cont'd*)

## 02 04 01 02 Security research

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 242 951 017 | 136 087 661 |                            | 35 000 000 | 242 951 017 | 171 087 661 |

*Remarks*

The aim of the actions carried out in this area is to develop the technologies and knowledge for building capabilities with a civil application focus needed to ensure the security of citizens from threats such as terrorism and crime as well as from the impact and consequences of unintended incidents such as natural disasters or industrial accidents; to ensure optimal and concerted use of available and evolving technologies to the benefit of European security while respecting fundamental human rights; and to stimulate cooperation between providers and users of security solutions; through the activities at the same time to reinforce the technology basis of the European security industry and to strengthen its competitiveness. In this regard, special efforts should be made to work towards the development of a European Cyber Security Strategy.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

**CHAPTER 02 05 — EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                             | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                  | New amount         |                    |
|-------------------------------------|---------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|------------------|--------------------|--------------------|
|                                     |                                                                     |     | Commitments        | Payments           | Commitments                | Payments         | Commitments        | Payments           |
| 02 05                               | EUROPEAN SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)        |     |                    |                    |                            |                  |                    |                    |
| <b>02 05 01</b>                     | <b>European satellite navigation programmes (EGNOS and Galileo)</b> | 1.1 | 167 000 000        | 362 900 430        |                            | 4 850 000        | 167 000 000        | 367 750 430        |
| <b>02 05 02</b>                     | <b>European GNSS Agency</b>                                         |     |                    |                    |                            |                  |                    |                    |
| 02 05 02 01                         | European GNSS Agency — Contribution to Titles 1 and 2               | 1.1 | 7 920 676          | 7 920 676          |                            |                  | 7 920 676          | 7 920 676          |
| 02 05 02 02                         | European GNSS Agency — Contribution to Title 3                      | 1.1 | 1 919 324          | 1 919 324          |                            |                  | 1 919 324          | 1 919 324          |
|                                     | <i>Article 02 05 02 — Subtotal</i>                                  |     | 9 840 000          | 9 840 000          |                            |                  | 9 840 000          | 9 840 000          |
|                                     | <b>Chapter 02 05 — Total</b>                                        |     | <b>176 840 000</b> | <b>372 740 430</b> |                            | <b>4 850 000</b> | <b>176 840 000</b> | <b>377 590 430</b> |

**02 05 01****European satellite navigation programmes (EGNOS and Galileo)**

| Budget 2012 |             | Amending budget No. 6/2012 |           | New amount  |             |
|-------------|-------------|----------------------------|-----------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments  | Commitments | Payments    |
| 167 000 000 | 362 900 430 |                            | 4 850 000 | 167 000 000 | 367 750 430 |

*Remarks*

The Union contribution to the European GNSS programmes is granted with a view to co-financing:

- activities related to the finalisation of the development phase,
- activities related to the deployment phase, covering the construction and the launch of the satellites as well as the complete setting up of the ground infrastructure,
- the first series of activities related to the launch of the commercial operating phase, which include management of the satellite and ground station infrastructure, on the one hand, and constant maintenance and updating of the system, on the other hand.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Regulation (EC) No 683/2008 of the European Parliament and of the Council of 9 July 2008 on the further implementation of the European satellite navigation programmes (EGNOS and Galileo) (OJ L 196, 24.7.2008, p. 1).

## COMMISSION

## TITLE 04

## EMPLOYMENT AND SOCIAL AFFAIRS

| Title<br>Chapter | Heading                                                                                 | FF | Budget 2012           |                      | Amending budget No. 6/2012 |                      | New amount            |                       |
|------------------|-----------------------------------------------------------------------------------------|----|-----------------------|----------------------|----------------------------|----------------------|-----------------------|-----------------------|
|                  |                                                                                         |    | Commitments           | Payments             | Commitments                | Payments             | Commitments           | Payments              |
| 04 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'EMPLOYMENT AND<br>SOCIAL AFFAIRS' POLICY AREA     |    | 95 171 614            | 95 171 614           |                            |                      | 95 171 614            | 95 171 614            |
|                  | 40 01 40                                                                                |    | 16 966                | 16 966               |                            |                      | 16 966                | 16 966                |
|                  |                                                                                         |    | 95 188 580            | 95 188 580           |                            |                      | 95 188 580            | 95 188 580            |
| 04 02            | EUROPEAN SOCIAL FUND                                                                    | 1  | 11 170 793 739        | 8 696 734 564        |                            | 2 507 407 505        | 11 170 793 739        | 11 204 142 069        |
| 04 03            | WORKING IN EUROPE — SOCIAL<br>DIALOGUE AND MOBILITY                                     | 1  | 78 430 000            | 61 989 703           |                            |                      | 78 430 000            | 61 989 703            |
| 04 04            | EMPLOYMENT, SOCIAL SOLIDAR-<br>ITY AND GENDER EQUALITY                                  | 1  | 124 530 800           | 111 116 710          |                            |                      | 124 530 800           | 111 116 710           |
| 04 05            | EUROPEAN GLOBALISATION<br>ADJUSTMENT FUND (EGF)                                         | 1  | p.m.                  | 50 000 000           |                            | 17 657 535           | p.m.                  | 67 657 535            |
| 04 06            | INSTRUMENT FOR PRE-<br>ACCESSION ASSISTANCE (IPA) —<br>HUMAN RESOURCES DEVELOP-<br>MENT | 4  | 112 150 000           | 59 719 121           |                            |                      | 112 150 000           | 59 719 121            |
|                  | <b>Title 04 — Total</b>                                                                 |    | <b>11 581 076 153</b> | <b>9 074 731 712</b> |                            | <b>2 525 065 040</b> | <b>11 581 076 153</b> | <b>11 599 796 752</b> |
|                  | <b>40 01 40</b>                                                                         |    | <b>16 966</b>         | <b>16 966</b>        |                            |                      | <b>16 966</b>         | <b>16 966</b>         |
|                  | <b>Total including reserves</b>                                                         |    | <b>11 581 093 119</b> | <b>9 074 748 678</b> |                            |                      | <b>11 581 093 119</b> | <b>11 599 813 718</b> |

## TITLE 04

## EMPLOYMENT AND SOCIAL AFFAIRS

## CHAPTER 04 02 — EUROPEAN SOCIAL FUND

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                       | FF  | Budget 2012   |               | Amending budget No. 6/2012 |               | New amount    |               |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------|---------------|----------------------------|---------------|---------------|---------------|
|                                     |                                                                                                                                               |     | Commitments   | Payments      | Commitments                | Payments      | Commitments   | Payments      |
| 04 02                               | EUROPEAN SOCIAL FUND                                                                                                                          |     |               |               |                            |               |               |               |
| <b>04 02 01</b>                     | <b>Completion of the European Social Fund (ESF) — Objective 1 (2000 to 2006)</b>                                                              | 1.2 | p.m.          | 430 000 000   |                            |               | p.m.          | 430 000 000   |
| <b>04 02 02</b>                     | <b>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</b> | 1.2 | p.m.          | p.m.          |                            |               | p.m.          | p.m.          |
| <b>04 02 03</b>                     | <b>Completion of the European Social Fund (ESF) — Objective 1 (prior to 2000)</b>                                                             | 1.2 | p.m.          | p.m.          |                            |               | p.m.          | p.m.          |
| <b>04 02 04</b>                     | <b>Completion of the European Social Fund (ESF) — Objective 2 (2000 to 2006)</b>                                                              | 1.2 | p.m.          | p.m.          |                            |               | p.m.          | p.m.          |
| <b>04 02 05</b>                     | <b>Completion of the European Social Fund (ESF) — Objective 2 (prior to 2000)</b>                                                             | 1.2 | p.m.          | p.m.          |                            |               | p.m.          | p.m.          |
| <b>04 02 06</b>                     | <b>Completion of the European Social Fund (ESF) — Objective 3 (2000 to 2006)</b>                                                              | 1.2 | p.m.          | 42 822 534    |                            |               | p.m.          | 42 822 534    |
| <b>04 02 07</b>                     | <b>Completion of the European Social Fund (ESF) — Objective 3 (prior to 2000)</b>                                                             | 1.2 | p.m.          | p.m.          |                            |               | p.m.          | p.m.          |
| <b>04 02 08</b>                     | <b>Completion of EQUAL (2000 to 2006)</b>                                                                                                     | 1.2 | p.m.          | 10 000 000    |                            |               | p.m.          | 10 000 000    |
| <b>04 02 09</b>                     | <b>Completion of previous Community initiative programmes (prior to 2000)</b>                                                                 | 1.2 | p.m.          | p.m.          |                            |               | p.m.          | p.m.          |
| <b>04 02 10</b>                     | <b>Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (2000 to 2006)</b>                             | 1.2 | —             | p.m.          |                            |               | —             | p.m.          |
| <b>04 02 11</b>                     | <b>Completion of the European Social Fund (ESF) — Technical assistance and innovative measures (prior to 2000)</b>                            | 1.2 | —             | —             |                            |               | —             | —             |
| <b>04 02 17</b>                     | <b>European Social Fund (ESF) — Convergence</b>                                                                                               | 1.2 | 7 904 534 226 | 5 889 000 000 |                            | 1 443 907 505 | 7 904 534 226 | 7 332 907 505 |
| <b>04 02 18</b>                     | <b>European Social Fund (ESF) — PEACE</b>                                                                                                     | 1.2 | p.m.          | p.m.          |                            |               | p.m.          | p.m.          |

## COMMISSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                     | FF  | Budget 2012           |                      | Amending budget No. 6/2012 |                      | New amount            |                       |
|-------------------------------------|---------------------------------------------------------------------------------------------|-----|-----------------------|----------------------|----------------------------|----------------------|-----------------------|-----------------------|
|                                     |                                                                                             |     | Commitments           | Payments             | Commitments                | Payments             | Commitments           | Payments              |
| <b>04 02 19</b>                     | <b>European Social Fund (ESF) —<br/>Regional competitiveness and<br/>employment</b>         | 1.2 | 3 256 259 513         | 2 318 412 030        |                            | 1 060 000 000        | 3 256 259 513         | 3 378 412 030         |
| <b>04 02 20</b>                     | <b>European Social Fund (ESF) —<br/>Operational technical assistance<br/>(2007 to 2013)</b> | 1.2 | 10 000 000            | 6 500 000            |                            | 3 500 000            | 10 000 000            | 10 000 000            |
|                                     | <b>Chapter 04 02 — Total</b>                                                                |     | <b>11 170 793 739</b> | <b>8 696 734 564</b> |                            | <b>2 507 407 505</b> | <b>11 170 793 739</b> | <b>11 204 142 069</b> |

*Remarks*

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6 5 0 0 of the statement of revenue. This revenue may be used to provide additional appropriations in accordance with Article 18 of the Financial Regulation in specific cases where they are required to cover the risk of corrections adopted earlier being cancelled or reduced.

Regulation (EC) No 1083/2006 provides for financial corrections for the period 2007 to 2013.

Regulation (EC) No 1260/1999 lays down the conditions for the repayment of payments on account which does not have the effect of reducing the contribution from the Structural Funds to the operation concerned. Any revenue arising from the repayment of payments on account entered in Item 6 1 5 7 of the statement of revenue will be used to provide additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation.

Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

The special programme for peace and reconciliation is being continued, in accordance with the decisions of the Berlin European Council of 24 and 25 March 1999, to provide EUR 500 000 000 for the new period of validity of the programme. Additivity is to be fully observed. The Commission is to submit an annual report to the European Parliament on this measure.

Measures to combat fraud are funded under Article 24 02 01.

*Legal basis*

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof. Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)04 02 17 *European Social Fund (ESF) — Convergence*

| Budget 2012   |               | Amending budget No. 6/2012 |               | New amount    |               |
|---------------|---------------|----------------------------|---------------|---------------|---------------|
| Commitments   | Payments      | Commitments                | Payments      | Commitments   | Payments      |
| 7 904 534 226 | 5 889 000 000 |                            | 1 443 907 505 | 7 904 534 226 | 7 332 907 505 |

*Remarks*

The action taken by the Union pursuant to Article 174 of the Treaty on the Functioning of the European Union shall be designed to strengthen the economic and social cohesion of the enlarged Union in order to promote the harmonious, balanced and sustainable development of the Union. This action shall be taken with the aid of the cohesion policy funds, the European Investment Bank (EIB) and other existing financial instruments. It shall aim to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

The action taken under the cohesion policy funds shall incorporate, at national and regional level, the Union's priorities for sustainable development by strengthening growth, competitiveness, employment and social inclusion, as well as protecting and improving the quality of the environment.

The 'Convergence' objective shall be geared to speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment through increasing and improving the quality of investment in physical and human capital, the development of innovation and of the knowledge society, adaptability to economic and social changes, protection and improvement of the environment, as well as administrative efficiency. This objective shall constitute the cohesion policy funds' priority. The actions taken under the cohesion policy funds will respect equal opportunities for women and men.

Part of this appropriation is intended to support improvements in child care to enable children to live in a family-type setting. This support includes:

- cooperation between and technical assistance to non-governmental organisations and local authorities, including assisting them in finding out which projects are eligible for Union funding,
- identification and exchange of best practices as well as the broader application of these practices, including thorough monitoring at child level.

Part of this appropriation is intended for financing sustainable and environmentally friendly actions (Green New Deal) that provide for reconciling economic, social and environmental development requirements and for recovery of European regions after the economic and financial crisis.

Part of this appropriation is intended to be used to address the problem of intra-regional disparities and provide specific assistance to people who live in disadvantaged territorial units that constitute pockets of poverty in European regions. This assistance should focus mainly on:

- integrating communities living in pockets of poverty into the mainstream regional population via civic education, promoting tolerance and cultural understanding,
- strengthening local authorities with regard to needs assessment, project planning and project implementation,
- reducing intra-regional economic and social disparities via a temporary set of affirmative actions, focusing on employment and education.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

## COMMISSION

CHAPTER 04 02 — EUROPEAN SOCIAL FUND (*cont'd*)**04 02 19 European Social Fund (ESF) — Regional competitiveness and employment**

| Budget 2012   |               | Amending budget No. 6/2012 |               | New amount    |               |
|---------------|---------------|----------------------------|---------------|---------------|---------------|
| Commitments   | Payments      | Commitments                | Payments      | Commitments   | Payments      |
| 3 256 259 513 | 2 318 412 030 |                            | 1 060 000 000 | 3 256 259 513 | 3 378 412 030 |

*Remarks*

The action taken by the Union pursuant to Article 174 of the Treaty on the Functioning of the European Union shall be designed to strengthen the economic and social cohesion of the enlarged Union in order to promote the harmonious, balanced and sustainable development of the Union. This action shall be taken with the aid of the cohesion policy funds, the European Investment Bank (EIB) and other existing financial instruments. It shall aim to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

The action taken under the cohesion policy funds shall incorporate, at national and regional level, the Union's priorities for sustainable development by strengthening growth, competitiveness and employment, social inclusion, as well as protecting and improving the quality of the environment.

The 'Regional competitiveness and employment' objective shall, outside the least-developed regions, be geared to strengthening regions' competitiveness and attractiveness as well as employment by anticipating economic and social changes, including those linked to the opening up of trade, through increasing and improving the quality of investment in human capital, innovation and the promotion of the knowledge society, entrepreneurship, the protection and improvement of the environment, and the improvement of accessibility, the adaptability of workers and businesses as well as the development of inclusive job markets. The actions taken under the cohesion policy funds shall respect equal opportunities for women and men.

Part of this appropriation is intended for financing sustainable and environmentally friendly actions (Green New Deal) that provide for reconciling economic, social and environmental development requirements and for recovery of European regions after the economic and financial crisis.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

**04 02 20 European Social Fund (ESF) — Operational technical assistance (2007 to 2013)**

| Budget 2012 |           | Amending budget No. 6/2012 |           | New amount  |            |
|-------------|-----------|----------------------------|-----------|-------------|------------|
| Commitments | Payments  | Commitments                | Payments  | Commitments | Payments   |
| 10 000 000  | 6 500 000 |                            | 3 500 000 | 10 000 000  | 10 000 000 |

*Remarks*

This appropriation is intended to cover technical assistance as provided for in Articles 45 and 46 of Regulation (EC) No 1083/2006 and in Article 9 of Regulation (EC) No 1081/2006.

**CHAPTER 04 02 — EUROPEAN SOCIAL FUND** (*cont'd*)**04 02 20** (*cont'd*)

Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. The appropriation may, in particular, be used to meet:

- support expenditure (representation expenses, training, meetings and missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- expenditure on support for accessibility for people with disabilities within technical assistance measures,
- expenditure on a high level group to ensure the implementation of overarching principles such as equality between men and women, accessibility for disabled people and sustainable development,
- contracts for the provision of services, evaluation studies (including the *ex-post* evaluation for the period 2000 to 2006) and studies,
- grants.

Technical assistance also includes exchanges of experience, awareness-raising activities, seminars, networking and peer reviews serving to identify and disseminate good practice and encourage mutual learning and transnational and inter-regional cooperation with the aim of enhancing the policy dimension and contribution of the ESF to the Union objectives in relation to employment and social inclusion.

This appropriation is also intended to support administrative learning and cooperation with non-governmental organisations and social partners in the context of the preparation of the next programming period.

*Legal basis*

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

## COMMISSION

## CHAPTER 04 05 — EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF)

| Title<br>Chapter<br>Article<br>Item | Heading                                             | FF  | Budget 2012 |                   | Amending budget No. 6/2012 |                   | New amount  |                   |
|-------------------------------------|-----------------------------------------------------|-----|-------------|-------------------|----------------------------|-------------------|-------------|-------------------|
|                                     |                                                     |     | Commitments | Payments          | Commitments                | Payments          | Commitments | Payments          |
| 04 05                               | EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF)        |     |             |                   |                            |                   |             |                   |
| <b>04 05 01</b>                     | <b>European Globalisation Adjustment Fund (EGF)</b> | 1.1 | p.m.        | 50 000 000        |                            | 17 657 535        | p.m.        | 67 657 535        |
|                                     | <b>Chapter 04 05 — Total</b>                        |     | <b>p.m.</b> | <b>50 000 000</b> |                            | <b>17 657 535</b> | <b>p.m.</b> | <b>67 657 535</b> |

**04 05 01 European Globalisation Adjustment Fund (EGF)**

| Budget 2012 |            | Amending budget No. 6/2012 |            | New amount  |            |
|-------------|------------|----------------------------|------------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments   | Commitments | Payments   |
| p.m.        | 50 000 000 |                            | 17 657 535 | p.m.        | 67 657 535 |

*Remarks*

This appropriation is intended to cover the European Globalisation Adjustment Fund (EGF) so as to enable the Union to provide temporary and targeted support for workers made redundant as a result of major structural changes in world trade patterns due to globalisation where these redundancies have a significant adverse impact on the regional or local economy. For applications submitted before 31 December 2011, it may also be used to provide support to workers made redundant as a direct result of the global financial and economic crisis.

The maximum amount of expenditure from the Fund shall be EUR 500 000 000 per year.

The aim of this reserve, in accordance with point 28 of the Interinstitutional Agreement of 17 May 2006, is to provide additional temporary support for workers who suffer from the consequences of major structural changes in world trade patterns and to assist them with their reintegration into the labour market.

The actions undertaken by the EGF should be complementary to those of the European Social Fund, without creating double structures.

The rules for entering the appropriations in this reserve and for mobilising the Fund are laid down in point 28 of the Interinstitutional Agreement of 17 May 2006 and in Article 12 of Regulation (EC) No 1927/2006.

*Legal basis*

Regulation (EC) No 1927/2006 of the European Parliament and of the Council of 20 December 2006 on establishing the European Globalisation Adjustment Fund (OJ L 406, 30.12.2006, p. 1).

Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26).

*Reference acts*

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

COMMISSION

## TITLE 05

## AGRICULTURE AND RURAL DEVELOPMENT

| Title<br>Chapter | Heading                                                                                 | FF | Budget 2012           |                       | Amending budget No. 6/2012 |                      | New amount            |                       |
|------------------|-----------------------------------------------------------------------------------------|----|-----------------------|-----------------------|----------------------------|----------------------|-----------------------|-----------------------|
|                  |                                                                                         |    | Commitments           | Payments              | Commitments                | Payments             | Commitments           | Payments              |
| 05 01            | ADMINISTRATIVE EXPENDITURE OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA       |    |                       |                       |                            |                      |                       |                       |
|                  | 40 01 40                                                                                |    | 134 298 344           | 134 298 344           |                            |                      | 134 298 344           | 134 298 344           |
|                  |                                                                                         |    | 498 392               | 498 392               |                            |                      | 498 392               | 498 392               |
|                  |                                                                                         |    | 134 796 736           | 134 796 736           |                            |                      | 134 796 736           | 134 796 736           |
| 05 02            | INTERVENTIONS IN AGRICULTURAL MARKETS                                                   | 2  | 3 233 310 000         | 3 232 963 891         |                            |                      | 3 233 310 000         | 3 232 963 891         |
| 05 03            | DIRECT AIDS                                                                             | 2  | 40 510 700 000        | 40 510 700 000        |                            |                      | 40 510 700 000        | 40 510 700 000        |
| 05 04            | RURAL DEVELOPMENT                                                                       | 2  | 14 613 144 442        | 12 088 893 741        | - 13 000 000               | 1 041 000 000        | 14 600 144 442        | 13 129 893 741        |
| 05 05            | PRE-ACCESSION MEASURES IN THE FIELD OF AGRICULTURE AND RURAL DEVELOPMENT                | 4  | 234 458 000           | 54 586 457            |                            | - 1 000 000          | 234 458 000           | 53 586 457            |
| 05 06            | INTERNATIONAL ASPECTS OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA            | 4  | 6 360 000             | 5 780 674             |                            |                      | 6 360 000             | 5 780 674             |
| 05 07            | AUDIT OF AGRICULTURAL EXPENDITURE                                                       | 2  | - 192 700 000         | - 192 700 000         |                            |                      | - 192 700 000         | - 192 700 000         |
| 05 08            | POLICY STRATEGY AND COORDINATION OF THE 'AGRICULTURE AND RURAL DEVELOPMENT' POLICY AREA | 2  | 47 310 537            | 45 147 735            |                            |                      | 47 310 537            | 45 147 735            |
|                  | <b>Title 05 — Total</b>                                                                 |    | <b>58 586 881 323</b> | <b>55 879 670 842</b> | <b>- 13 000 000</b>        | <b>1 040 000 000</b> | <b>58 573 881 323</b> | <b>56 919 670 842</b> |
|                  | <b>40 01 40</b>                                                                         |    | <b>498 392</b>        | <b>498 392</b>        |                            |                      | <b>498 392</b>        | <b>498 392</b>        |
|                  | <b>Total including reserves</b>                                                         |    | <b>58 587 379 715</b> | <b>55 880 169 234</b> |                            |                      | <b>58 574 379 715</b> | <b>56 920 169 234</b> |

COMMISSION

## TITLE 05

## AGRICULTURE AND RURAL DEVELOPMENT

## CHAPTER 05 04 — RURAL DEVELOPMENT

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                | FF | Budget 2012 |            | Amending budget No. 6/2012 |          | New amount  |            |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|----|-------------|------------|----------------------------|----------|-------------|------------|
|                                     |                                                                                                                                        |    | Commitments | Payments   | Commitments                | Payments | Commitments | Payments   |
| 05 04                               | RURAL DEVELOPMENT                                                                                                                      |    |             |            |                            |          |             |            |
| <b>05 04 01</b>                     | <b><i>Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006</i></b>                              |    |             |            |                            |          |             |            |
| 05 04 01 14                         | Rural development financed by the EAGGF Guarantee Section — Programming period 2000 to 2006                                            | 2  | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |
|                                     | <i>Article 05 04 01 — Subtotal</i>                                                                                                     |    | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |
| <b>05 04 02</b>                     | <b><i>Rural development financed by the EAGGF Guidance Section — Completion of earlier programmes</i></b>                              |    |             |            |                            |          |             |            |
| 05 04 02 01                         | Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Objective 1 regions (2000 to 2006)             | 2  | p.m.        | 85 339 148 |                            |          | p.m.        | 85 339 148 |
| 05 04 02 02                         | Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006) | 2  | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |
| 05 04 02 03                         | Completion of earlier programmes in Objectives 1 and 6 regions (prior to 2000)                                                         | 2  | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |
| 05 04 02 04                         | Completion of earlier programmes in Objective 5b regions (prior to 2000)                                                               | 2  | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |
| 05 04 02 05                         | Completion of earlier programmes outside Objective 1 regions (prior to 2000)                                                           | 2  | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |
| 05 04 02 06                         | Completion of Leader (2000 to 2006)                                                                                                    | 2  | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                  | FF | Budget 2012           |                       | Amending budget No. 6/2012 |                      | New amount            |                       |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----------------------|-----------------------|----------------------------|----------------------|-----------------------|-----------------------|
|                                     |                                                                                                                                                                          |    | Commitments           | Payments              | Commitments                | Payments             | Commitments           | Payments              |
| 05 04 02 07                         | Completion of earlier Community initiatives (prior to 2000)                                                                                                              | 2  | p.m.                  | p.m.                  |                            |                      | p.m.                  | p.m.                  |
| 05 04 02 08                         | Completion of earlier innovative measures (prior to 2000)                                                                                                                | 2  | p.m.                  | p.m.                  |                            |                      | p.m.                  | p.m.                  |
| 05 04 02 09                         | Completion of the European Agricultural Guidance and Guarantee Fund, Guidance Section — Operational technical assistance (2000 to 2006)                                  | 2  | p.m.                  | p.m.                  |                            |                      | p.m.                  | p.m.                  |
|                                     | <i>Article 05 04 02 — Subtotal</i>                                                                                                                                       |    | p.m.                  | 85 339 148            |                            |                      | p.m.                  | 85 339 148            |
| <b>05 04 03</b>                     | <b>Other measures</b>                                                                                                                                                    |    |                       |                       |                            |                      |                       |                       |
| 05 04 03 02                         | Plant and animal genetic resources — Completion of earlier measures                                                                                                      | 2  | p.m.                  | 412 933               |                            |                      | p.m.                  | 412 933               |
|                                     | <i>Article 05 04 03 — Subtotal</i>                                                                                                                                       |    | p.m.                  | 412 933               |                            |                      | p.m.                  | 412 933               |
| <b>05 04 04</b>                     | <b>Transitional instrument for the financing of rural development by the EAGGF Guarantee Section for the new Member States — Completion of programmes (2004 to 2006)</b> | 2  | p.m.                  | p.m.                  |                            |                      | p.m.                  | p.m.                  |
| <b>05 04 05</b>                     | <b>Rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)</b>                                                         |    |                       |                       |                            |                      |                       |                       |
| 05 04 05 01                         | Rural development programmes                                                                                                                                             | 2  | 14 589 123 242        | 11 994 891 297        |                            | 1 041 000 000        | 14 589 123 242        | 13 035 891 297        |
| 05 04 05 02                         | Operational technical assistance                                                                                                                                         | 2  | 22 521 200            | 7 500 363             | – 13 000 000               |                      | 9 521 200             | 7 500 363             |
| 05 04 05 03                         | Pilot project — Exchange programme for young farmers                                                                                                                     | 2  | 1 500 000             | 750 000               |                            |                      | 1 500 000             | 750 000               |
|                                     | <i>Article 05 04 05 — Subtotal</i>                                                                                                                                       |    | 14 613 144 442        | 12 003 141 660        | – 13 000 000               | 1 041 000 000        | 14 600 144 442        | 13 044 141 660        |
|                                     | <b>Chapter 05 04 — Total</b>                                                                                                                                             |    | <b>14 613 144 442</b> | <b>12 088 893 741</b> | <b>– 13 000 000</b>        | <b>1 041 000 000</b> | <b>14 600 144 442</b> | <b>13 129 893 741</b> |

## COMMISSION

CHAPTER 05 04 — RURAL DEVELOPMENT (*cont'd*)

## 05 04 05 Rural development financed by the European Agricultural Fund for Rural Development (EAFRD) (2007 to 2013)

*Remarks*

Any revenue entered in Article 6 7 1 of the general statement of revenue may give rise to the provision of additional appropriations on any line in this article in accordance with Article 18 of the Financial Regulation.

*Legal basis*

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 378/2007 of 27 March 2007 laying down rules for voluntary modulation of direct payments provided for in Regulation (EC) No 1782/2003 (OJ L 95, 5.4.2007, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers (OJ L 30, 31.1.2009, p. 16).

## 05 04 05 01 Rural development programmes

| Budget 2012    |                | Amending budget No. 6/2012 |               | New amount     |                |
|----------------|----------------|----------------------------|---------------|----------------|----------------|
| Commitments    | Payments       | Commitments                | Payments      | Commitments    | Payments       |
| 14 589 123 242 | 11 994 891 297 |                            | 1 041 000 000 | 14 589 123 242 | 13 035 891 297 |

*Remarks*

This appropriation is intended to cover the financing of the 2007 to 2013 rural development programmes funded by the European Agricultural Fund for Rural Development (EAFRD).

Of the total commitment appropriations in this item, an amount of EUR 2 355 300 000 results from the compulsory modulation in conformity with Article 9(1) of Regulation (EC) No 73/2009. In addition, an amount of EUR 347 900 000 results from the voluntary modulation in conformity with Regulation (EC) No 378/2007. Rural development measures under all axes will be measured against more refined performance indicators for farming systems and production methods so as to respond to the challenges related to climate change, water protection, biodiversity and renewable energies. Member States shall report on action taken on the new challenges in rural development measures, including the milk sector.

*Legal basis*

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

Council Regulation (EC) No 378/2007 of 27 March 2007 laying down rules for voluntary modulation of direct payments provided for in Regulation (EC) No 1782/2003 establishing common rules for direct support schemes under the common agricultural policy and establishing certain support schemes for farmers (OJ L 95, 5.4.2007, p. 1).

Council Regulation (EC) No 73/2009 of 19 January 2009 establishing common rules for direct support schemes for farmers under the common agricultural policy and establishing certain support schemes for farmers (OJ L 30, 31.1.2009, p. 16).

**CHAPTER 05 04 — RURAL DEVELOPMENT** (*cont'd*)

## 05 04 05 02 Operational technical assistance

| Budget 2012 |           | Amending budget No. 6/2012 |          | New amount  |           |
|-------------|-----------|----------------------------|----------|-------------|-----------|
| Commitments | Payments  | Commitments                | Payments | Commitments | Payments  |
| 22 521 200  | 7 500 363 | – 13 000 000               |          | 9 521 200   | 7 500 363 |

*Remarks*

This appropriation is intended to cover technical assistance measures as provided for by Article 66(1) of Regulation (EC) No 1698/2005 and in particular the European Network for Rural Development.

Part of this appropriation is intended to steadily expand the European Rural Solidarity Network, which has been in operation for two years now.

1. *Aim: to create a European area of solidarity, prevention and research*

- Phase 1: consolidating the current solidarity network.
- Phase 2: expanding the network to offer other European countries the benefits of its expertise in taking preventive action to safeguard agricultural employment and maintain the economic vitality of rural areas. There is an urgent need to share and standardise the comprehensive support schemes already in use and to exchange views on exactly what being 'in difficulty' means, with a view to detecting people who are in this situation, using common criteria referred to as 'alarm signals'. These prevention tools will help newly established support groups to address the problems faced in rural areas more effectively.

2. *Action to be taken: dissemination of prevention tools*

The following tools need to be disseminated as widely as possible among European farmers:

- 'alarm signals': the basic preventive self-assessment tool to be used by farmers to assess the difficulties they face. This tool will enable farmers to determine exactly how bad their situation is, so that they can seek the assistance of agencies able to help them out of their difficulties at the earliest possible stage,
- 'simplified management tool': this financial self-assessment tool will enable farmers to assess the financial situation of their farms and to anticipate difficulties, determine how much scope they have for investment or diversification and keep to a pre-established recovery plan. Training in the use of the simplified management tool will be provided by the individual support groups at national level. The training will be targeted at people looking after and supporting farmers in difficulty.

*Legal basis*

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1698/2005 of 20 September 2005 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) (OJ L 277, 21.10.2005, p. 1).

## COMMISSION

## CHAPTER 05 05 — PRE-ACCESSION MEASURES IN THE FIELD OF AGRICULTURE AND RURAL DEVELOPMENT

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                               | FF | Budget 2012        |                   | Amending budget No. 6/2012 |                    | New amount         |                   |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----|--------------------|-------------------|----------------------------|--------------------|--------------------|-------------------|
|                                     |                                                                                                                       |    | Commitments        | Payments          | Commitments                | Payments           | Commitments        | Payments          |
| 05 05                               | PRE-ACCESSION MEASURES IN THE FIELD OF AGRICULTURE AND RURAL DEVELOPMENT                                              |    |                    |                   |                            |                    |                    |                   |
| <b>05 05 01</b>                     | <b>Special Accession Programme for Agriculture and Rural Development (Sapard) — Completion of earlier measures</b>    |    |                    |                   |                            |                    |                    |                   |
| 05 05 01 01                         | The Sapard pre-accession instrument — Completion of the programme (2000 to 2006)                                      | 4  | p.m.               | p.m.              |                            |                    | p.m.               | p.m.              |
| 05 05 01 02                         | The Sapard pre-accession instrument — Completion of the pre-accession assistance related to eight applicant countries | 4  | p.m.               | p.m.              |                            |                    | p.m.               | p.m.              |
|                                     | Article 05 05 01 — Subtotal                                                                                           |    | p.m.               | p.m.              |                            |                    | p.m.               | p.m.              |
| <b>05 05 02</b>                     | <b>Instrument for Pre-accession Assistance for Rural Development (IPARD)</b>                                          |    |                    |                   |                            |                    |                    |                   |
|                                     |                                                                                                                       | 4  | 234 458 000        | 54 586 457        |                            | – 1 000 000        | 234 458 000        | 53 586 457        |
|                                     | <b>Chapter 05 05 — Total</b>                                                                                          |    | <b>234 458 000</b> | <b>54 586 457</b> |                            | <b>– 1 000 000</b> | <b>234 458 000</b> | <b>53 586 457</b> |

**05 05 02 Instrument for Pre-accession Assistance for Rural Development (IPARD)**

| Budget 2012 |            | Amending budget No. 6/2012 |             | New amount  |            |
|-------------|------------|----------------------------|-------------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments    | Commitments | Payments   |
| 234 458 000 | 54 586 457 |                            | – 1 000 000 | 234 458 000 | 53 586 457 |

*Remarks*

This appropriation is intended to cover the Union assistance to the candidate countries covered by the IPA in progressive alignment with the standards and policies of the Union, including where appropriate the *acquis* of the Union, with a view to membership. The rural development component shall support countries in their preparations for the implementation and management of the common agricultural policy, alignment to Union structures and post-accession Union-funded rural development programmes.

*Legal basis*

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82)

## COMMISSION

**TITLE 08**  
**RESEARCH**

| Title<br>Chapter | Heading                                                                                              | FF | Budget 2012   |             | Amending budget No. 6/2012 |             | New amount    |             |
|------------------|------------------------------------------------------------------------------------------------------|----|---------------|-------------|----------------------------|-------------|---------------|-------------|
|                  |                                                                                                      |    | Commitments   | Payments    | Commitments                | Payments    | Commitments   | Payments    |
| 08 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'RESEARCH' POLICY<br>AREA                                       |    | 341 258 900   | 341 258 900 | – 4 800 000                | – 4 800 000 | 336 458 900   | 336 458 900 |
|                  | 40 01 40                                                                                             |    | 4 490         | 4 490       |                            |             | 4 490         | 4 490       |
|                  |                                                                                                      |    | 341 263 390   | 341 263 390 |                            |             | 336 463 390   | 336 463 390 |
| 08 02            | COOPERATION — HEALTH                                                                                 | 1  | 939 533 855   | 493 934 702 |                            | 79 790 000  | 939 533 855   | 573 724 702 |
| 08 03            | COOPERATION — FOOD, AGRI-<br>CULTURE AND FISHERIES, AND<br>BIOTECHNOLOGY                             | 1  | 312 784 295   | 181 450 215 |                            |             | 312 784 295   | 181 450 215 |
| 08 04            | COOPERATION — NANO-<br>SCIENCES, NANOTECHNOLO-<br>GIES, MATERIALS AND NEW<br>PRODUCTION TECHNOLOGIES | 1  | 510 906 344   | 368 666 918 |                            | 63 906 000  | 510 906 344   | 432 572 918 |
| 08 05            | COOPERATION — ENERGY                                                                                 | 1  | 189 932 521   | 144 811 788 |                            |             | 189 932 521   | 144 811 788 |
| 08 06            | COOPERATION — ENVIRON-<br>MENT (INCLUDING CLIMATE<br>CHANGE)                                         | 1  | 285 273 359   | 214 026 879 |                            | 39 113 000  | 285 273 359   | 253 139 879 |
| 08 07            | COOPERATION — TRANSPORT<br>(INCLUDING AERONAUTICS)                                                   | 1  | 483 484 270   | 430 934 281 |                            |             | 483 484 270   | 430 934 281 |
| 08 08            | COOPERATION — SOCIOECO-<br>NOMIC SCIENCES AND THE<br>HUMANITIES                                      | 1  | 92 395 240    | 54 274 481  |                            |             | 92 395 240    | 54 274 481  |
| 08 09            | COOPERATION — RISK-SHARING<br>FINANCE FACILITY (RSFF)                                                | 1  | 198 004 478   | 181 450 215 |                            |             | 198 004 478   | 181 450 215 |
| 08 10            | IDEAS                                                                                                | 1  | 1 564 948 330 | 818 082 810 |                            | 30 000 000  | 1 564 948 330 | 848 082 810 |
| 08 12            | CAPACITIES — RESEARCH<br>INFRASTRUCTURES                                                             | 1  | 50 228 387    | 126 769 285 |                            |             | 50 228 387    | 126 769 285 |
| 08 13            | CAPACITIES — RESEARCH FOR<br>THE BENEFIT OF SMALL AND<br>MEDIUM-SIZED ENTERPRISES<br>(SMES)          | 1  | 251 176 486   | 182 498 997 |                            |             | 251 176 486   | 182 498 997 |
| 08 14            | CAPACITIES — REGIONS OF<br>KNOWLEDGE                                                                 | 1  | 20 078 078    | 18 299 254  |                            |             | 20 078 078    | 18 299 254  |
| 08 15            | CAPACITIES — RESEARCH<br>POTENTIAL                                                                   | 1  | 66 609 035    | 56 521 742  |                            |             | 66 609 035    | 56 521 742  |
| 08 16            | CAPACITIES — SCIENCE IN SOCI-<br>ETY                                                                 | 1  | 44 828 259    | 27 650 291  |                            |             | 44 828 259    | 27 650 291  |
| 08 17            | CAPACITIES — INTERNATIONAL<br>COOPERATION ACTIVITIES                                                 | 1  | 32 102 471    | 31 917 093  |                            |             | 32 102 471    | 31 917 093  |

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| Title<br>Chapter                | Heading                                                            | FF | Budget 2012          |                      | Amending budget No. 6/2012 |                    | New amount           |                      |
|---------------------------------|--------------------------------------------------------------------|----|----------------------|----------------------|----------------------------|--------------------|----------------------|----------------------|
|                                 |                                                                    |    | Commitments          | Payments             | Commitments                | Payments           | Commitments          | Payments             |
| 08 18                           | CAPACITIES — RISK-SHARING FINANCE FACILITY (RSFF)                  | 1  | p.m.                 | p.m.                 |                            |                    | p.m.                 | p.m.                 |
| 08 19                           | CAPACITIES — SUPPORT FOR COHERENT DEVELOPMENT OF RESEARCH POLICIES | 1  | 13 101 602           | 9 434 504            |                            |                    | 13 101 602           | 9 434 504            |
| 08 20                           | EURATOM — FUSION ENERGY                                            | 1  | 1 129 274 000        | 371 849 555          |                            |                    | 1 129 274 000        | 371 849 555          |
| 08 21                           | EURATOM — NUCLEAR FISSION AND RADIATION PROTECTION                 | 1  | 54 105 000           | 49 898 809           |                            |                    | 54 105 000           | 49 898 809           |
| 08 22                           | COMPLETION OF PREVIOUS FRAMEWORK PROGRAMMES AND OTHER ACTIVITIES   | 1  | p.m.                 | 113 860 010          |                            |                    | p.m.                 | 113 860 010          |
| 08 23                           | RESEARCH PROGRAMME OF THE RESEARCH FUND FOR COAL AND STEEL         | 1  | p.m.                 | p.m.                 |                            |                    | p.m.                 | p.m.                 |
| <b>Title 08 — Total</b>         |                                                                    |    | <b>6 580 024 910</b> | <b>4 217 590 729</b> | <b>– 4 800 000</b>         | <b>208 009 000</b> | <b>6 575 224 910</b> | <b>4 425 599 729</b> |
| <b>40 01 40</b>                 |                                                                    |    | <b>4 490</b>         | <b>4 490</b>         |                            |                    | <b>4 490</b>         | <b>4 490</b>         |
| <b>Total including reserves</b> |                                                                    |    | <b>6 580 029 400</b> | <b>4 217 595 219</b> |                            |                    | <b>6 575 229 400</b> | <b>4 425 604 219</b> |

## Remarks

These remarks apply to all the budget headings in this title (with the exception of Chapter 08 22).

These appropriations will be used in accordance with Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1) and Council Regulation (Euratom) No 1908/2006 of 19 December 2006 laying down the rules for the participation of undertakings, research centres and universities in action under the seventh framework programme of the European Atomic Energy Community and for the dissemination of research results (2007 to 2011) (OJ L 400, 30.12.2006, p. 1).

For all appropriations under this title the same definition of small and medium-sized enterprises (SMEs) as is used for the horizontal SME-specific programmes within the same framework programme shall apply. That definition reads as follows: 'An eligible SME is a legal entity that complies with the SME definition set out in Commission Recommendation 2003/361/EC and is not a research centre, research institute, contract research organisation or consultancy firm'. All research activities conducted pursuant to the Seventh Framework Programme will be carried out in compliance with fundamental ethical principles (in accordance with Article 6(1) of Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1)), including animal welfare requirements. This will include, in particular, the principles laid down in Article 6 of the Treaty on European Union and in the Charter of Fundamental Rights of the European Union. Particular account will be taken of the need to step up efforts to enhance the participation and role of women in science and research.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest organised by the Commission, the funding of high-level scientific and technological analyses and evaluations carried out on behalf of the Union to investigate new areas of research suitable for Union action, inter alia, in the context of the European Research Area, and measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

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These appropriations also cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not, information, publications, administrative and technical operation, and certain other expenditure Items relating to internal infrastructure linked with the achievement of the objective of the measure of which they form an integral part, including the action and initiatives necessary for preparation and monitoring of the Union's strategy on research, technological development and demonstration (RTD).

Revenue resulting from cooperation agreements between the European Atomic Energy Community and Switzerland or the multilateral European Fusion Development Agreement (EFDA) will be entered in Items 6 0 1 1 and 6 0 1 2 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

The possibility of third countries or institutes from third countries taking part in European Cooperation in the field of Scientific and Technical Research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of Scientific and Technical Research will be entered in Item 6 0 1 6 of the statement of revenue and may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may give rise to the provision of additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be made available under Article 08 22 04.

In order to be able to meet the goal of 15 % SME participation in the projects financed by these appropriations, as laid down in Decision No 1982/2006/EC, more specific action is needed. Qualifying projects under the SME specific programmes should be made eligible for funding under the thematic programme when they fulfil the necessary (thematic) requirements.

## COMMISSION

## TITLE 08

### RESEARCH

#### CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH' POLICY AREA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                  | FF  | Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------------------------------|----------------------------------------------------------------------------------------------------------|-----|-------------|----------------------------|-------------|
| 08 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'RESEARCH' POLICY AREA                                                 |     |             |                            |             |
| <b>08 01 01</b>                     | <b>Expenditure related to staff in active employment in the 'Research' policy area</b>                   | 5   | 9 193 290   |                            | 9 193 290   |
| <b>08 01 02</b>                     | <b>External staff and other management expenditure of the 'Research' policy area</b>                     |     |             |                            |             |
| 08 01 02 01                         | External staff                                                                                           | 5   | 210 031     |                            | 210 031     |
| 08 01 02 11                         | Other management expenditure                                                                             | 5   | 392 244     |                            | 392 244     |
|                                     | 40 01 40                                                                                                 |     | 4 490       |                            | 4 490       |
|                                     |                                                                                                          |     | 396 734     |                            | 396 734     |
|                                     | Article 08 01 02 — Subtotal                                                                              |     | 602 275     |                            | 602 275     |
|                                     | 40 01 40                                                                                                 |     | 4 490       |                            | 4 490       |
|                                     |                                                                                                          |     | 606 765     |                            | 606 765     |
| <b>08 01 03</b>                     | <b>Expenditure related to equipment, furniture and services of the 'Research' policy area</b>            | 5   | 618 335     |                            | 618 335     |
| <b>08 01 04</b>                     | <b>Support expenditure for operations of the 'Research' policy area</b>                                  |     |             |                            |             |
| 08 01 04 30                         | European Research Council Executive Agency (ERCEA)                                                       | 1.1 | 39 000 000  |                            | 39 000 000  |
| 08 01 04 31                         | Research Executive Agency (REA)                                                                          | 1.1 | 47 339 000  |                            | 47 339 000  |
| 08 01 04 40                         | European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management | 1.1 | 39 000 000  |                            | 39 000 000  |
|                                     | Article 08 01 04 — Subtotal                                                                              |     | 125 339 000 |                            | 125 339 000 |
| <b>08 01 05</b>                     | <b>Support expenditure for operations in the 'Research' policy area</b>                                  |     |             |                            |             |
| 08 01 05 01                         | Expenditure related to research staff                                                                    | 1.1 | 124 219 000 | – 4 100 000                | 120 119 000 |

**CHAPTER 08 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘RESEARCH’ POLICY AREA** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                      | FF  | Budget 2012        | Amending budget No. 6/2012 | New amount         |
|-------------------------------------|----------------------------------------------|-----|--------------------|----------------------------|--------------------|
| 08 01 05 02                         | External staff for research                  | 1.1 | 26 287 000         | – 700 000                  | 25 587 000         |
| 08 01 05 03                         | Other management expenditure<br>for research | 1.1 | 55 000 000         |                            | 55 000 000         |
|                                     | <i>Article 08 01 05 — Subtotal</i>           |     | 205 506 000        | – 4 800 000                | 200 706 000        |
|                                     | <b>Chapter 08 01 — Total</b>                 |     | <b>341 258 900</b> | <b>– 4 800 000</b>         | <b>336 458 900</b> |
|                                     | <b>40 01 40</b>                              |     | <b>4 490</b>       |                            | <b>4 490</b>       |
|                                     | <b>Total including reserves</b>              |     | <b>341 263 390</b> |                            | <b>336 463 390</b> |

**08 01 05 Support expenditure for operations in the ‘Research’ policy area**

## 08 01 05 01 Expenditure related to research staff

| Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------|----------------------------|-------------|
| 124 219 000 | – 4 100 000                | 120 119 000 |

## 08 01 05 02 External staff for research

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| 26 287 000  | – 700 000                  | 25 587 000 |

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## CHAPTER 08 02 — COOPERATION — HEALTH

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                 | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|---------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                                                         |     | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 08 02                               | COOPERATION — HEALTH                                                                                    |     |                    |                    |                            |                   |                    |                    |
| <b>08 02 01</b>                     | <b>Cooperation — Health</b>                                                                             | 1.1 | 639 533 855        | 398 334 028        |                            | 79 790 000        | 639 533 855        | 478 124 028        |
| <b>08 02 02</b>                     | <b>Cooperation — Health — Innovative Medicines Initiative Joint Undertaking</b>                         | 1.1 | 294 300 000        | 90 725 107         |                            |                   | 294 300 000        | 90 725 107         |
| <b>08 02 03</b>                     | <b>Cooperation — Health — Support expenditure for Innovative Medicines Initiative Joint Undertaking</b> | 1.1 | 5 700 000          | 4 875 567          |                            |                   | 5 700 000          | 4 875 567          |
|                                     | <b>Chapter 08 02 — Total</b>                                                                            |     | <b>939 533 855</b> | <b>493 934 702</b> |                            | <b>79 790 000</b> | <b>939 533 855</b> | <b>573 724 702</b> |

## 08 02 01

## Cooperation — Health

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 639 533 855 | 398 334 028 |                            | 79 790 000 | 639 533 855 | 478 124 028 |

## Remarks

The actions carried out in the area of health are intended to improve the health of the European public and boost the competitiveness of industries and companies linked to the health sector in Europe, while at the same time addressing world health problems, including new epidemics. The emphasis will be on translational research (transposing basic findings into clinical applications), developing and validating new treatments, identifying ways of promoting health and preventing illness, diagnostic tools and technologies for making diagnoses, as well as sustainable and effective healthcare systems. Special attention will be given to communicating research findings and to the initiation of a dialogue with civil society, in particular patient groups, at as early a stage as possible in new developments produced by biomedical and genetics research.

Funding may be given to clinical research on many diseases, for instance HIV/AIDS, malaria, tuberculosis, diabetes and other chronic diseases (e.g. arthritis, rheumatic diseases and musculo-skeletal diseases, as well as respiratory diseases), or rare diseases.

An increased share of funding should go to research on diseases related to ageing.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

## Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

**CHAPTER 08 02 — COOPERATION — HEALTH** (*cont'd*)**08 02 01** (*cont'd*)

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 400, 30.12.2006, p. 86).

*Reference acts*

European Parliament resolution of 12 July 2007 on the TRIPS Agreement and access to medicines (OJ C 175 E, 10.7.2008, p. 591).

## COMMISSION

**CHAPTER 08 04 — COOPERATION — NANOSCIENCES, NANOTECHNOLOGIES, MATERIALS AND NEW PRODUCTION TECHNOLOGIES**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                    | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                                                                                            |     | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 08 04                               | COOPERATION — NANO-SCIENCES, NANOTECHNOLOGIES, MATERIALS AND NEW PRODUCTION TECHNOLOGIES                                                   |     |                    |                    |                            |                   |                    |                    |
| <b>08 04 01</b>                     | <b>Cooperation — Nanosciences, nanotechnologies, materials and new production technologies</b>                                             | 1.1 | 501 040 344        | 362 900 430        |                            | 63 906 000        | 501 040 344        | 426 806 430        |
| <b>08 04 02</b>                     | <b>Cooperation — Nanosciences, nanotechnologies, materials and new production technologies — Fuel Cells and Hydrogen Joint Undertaking</b> | 1.1 | 9 866 000          | 5 766 488          |                            |                   | 9 866 000          | 5 766 488          |
|                                     | <b>Chapter 08 04 — Total</b>                                                                                                               |     | <b>510 906 344</b> | <b>368 666 918</b> |                            | <b>63 906 000</b> | <b>510 906 344</b> | <b>432 572 918</b> |

**08 04 01****Cooperation — Nanosciences, nanotechnologies, materials and new production technologies**

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 501 040 344 | 362 900 430 |                            | 63 906 000 | 501 040 344 | 426 806 430 |

*Remarks*

The actions carried out in this area are intended to help reach the critical mass of capacities needed to develop and exploit, in particular with a view to eco-efficiency and reducing the discharge of dangerous substances into the environment, state-of-the-art technologies which are the basis for products, services and manufacturing procedures in years to come, based mainly on knowledge and intelligence.

Sufficient appropriations must be provided for nano research in connection with environmental and health risk assessment, since only 5 to 10 % of global nano research is devoted to this today.

Sufficient budgetary appropriations must be provided for activities promoting research and the emergence of resource-efficiency processes and practices including eco-design, reusability, recyclability and research into substitution of hazardous or critical substances.

Expenditure on meetings, conferences, workshops and high-level scientific or technological seminars of European interest organised by the Commission, the funding of studies, grants, monitoring and assessment of specific programmes, funding the IMS secretariat, high-level scientific or technological assessments and evaluations, as well as actions carried out under the previous framework programmes will also be charged.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

**CHAPTER 08 04 — COOPERATION — NANOSCIENCES, NANOTECHNOLOGIES, MATERIALS AND NEW PRODUCTION TECHNOLOGIES** *(cont'd)***08 04 01** *(cont'd)**Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

## COMMISSION

**CHAPTER 08 06 — COOPERATION — ENVIRONMENT (INCLUDING CLIMATE CHANGE)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                      | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                              |     | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 08 06                               | COOPERATION — ENVIRONMENT (INCLUDING CLIMATE CHANGE)                         |     |                    |                    |                            |                   |                    |                    |
| <b>08 06 01</b>                     | <b>Cooperation — Environment (including climate change)</b>                  | 1.1 | 280 840 359        | 211 873 065        |                            | 39 113 000        | 280 840 359        | 250 986 065        |
| <b>08 06 02</b>                     | <b>Cooperation — Environment — Fuel Cells and Hydrogen Joint Undertaking</b> | 1.1 | 4 433 000          | 2 153 814          |                            |                   | 4 433 000          | 2 153 814          |
|                                     | <b>Chapter 08 06 — Total</b>                                                 |     | <b>285 273 359</b> | <b>214 026 879</b> |                            | <b>39 113 000</b> | <b>285 273 359</b> | <b>253 139 879</b> |

**08 06 01 Cooperation — Environment (including climate change)**

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 280 840 359 | 211 873 065 |                            | 39 113 000 | 280 840 359 | 250 986 065 |

*Remarks*

Environmental research within the Seventh Framework Programme will be implemented through the 'Environment (including climate change)' theme. The objective is to promote sustainable management of the natural and human environment and its resources by advancing our knowledge of the interactions between the biosphere, ecosystems and human activities, and developing new technologies, tools and services, in order to address in an integrated way global environmental issues. Emphasis will be put on prediction of climate, ecological, earth and ocean systems changes and on tools and technologies, for monitoring, prevention and mitigation of environmental pressures and risks including on human health, and for the sustainability of the natural and man-made environment.

Research on this theme will contribute to the implementation of international commitments and initiatives such as Global Earth Observation (GEO). In addition, it will support the research needs arising from the existing and future Union legislation and policies, associated thematic strategies and the action plans on environmental technologies and on environment and health. Research will also contribute technological developments that will improve the market positioning of European enterprises, in particular of small and medium-sized enterprises, in areas such as environmental technologies.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the specific programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

**CHAPTER 08 10 — IDEAS**

| Title<br>Chapter<br>Article<br>Item | Heading                      | FF  | Budget 2012          |                    | Amending budget No. 6/2012 |                   | New amount           |                    |
|-------------------------------------|------------------------------|-----|----------------------|--------------------|----------------------------|-------------------|----------------------|--------------------|
|                                     |                              |     | Commitments          | Payments           | Commitments                | Payments          | Commitments          | Payments           |
| 08 10                               | IDEAS                        |     |                      |                    |                            |                   |                      |                    |
| <b>08 10 01</b>                     | <b>Ideas</b>                 | 1.1 | 1 564 948 330        | 818 082 810        |                            | 30 000 000        | 1 564 948 330        | 848 082 810        |
|                                     | <b>Chapter 08 10 — Total</b> |     | <b>1 564 948 330</b> | <b>818 082 810</b> |                            | <b>30 000 000</b> | <b>1 564 948 330</b> | <b>848 082 810</b> |

**08 10 01****Ideas**

| Budget 2012   |             | Amending budget No. 6/2012 |            | New amount    |             |
|---------------|-------------|----------------------------|------------|---------------|-------------|
| Commitments   | Payments    | Commitments                | Payments   | Commitments   | Payments    |
| 1 564 948 330 | 818 082 810 |                            | 30 000 000 | 1 564 948 330 | 848 082 810 |

*Remarks*

The general aim of the activities carried out as part of the specific 'Ideas' programme, through the creation of the European Research Council, is to identify the best research teams in Europe and to stimulate research at the frontiers of knowledge by funding high-risk and multi-disciplinary projects evaluated according to the sole criteria of excellence as judged by peers at European level, while encouraging in particular the creation of networks among research groups in different countries in order to promote the development of a European scientific community.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the provision of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243).

## COMMISSION

**TITLE 09**  
**INFORMATION SOCIETY AND MEDIA**

| Title<br>Chapter | Heading                                                                             | FF | Budget 2012          |                      | Amending budget No. 6/2012 |                   | New amount           |                      |
|------------------|-------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------------|-------------------|----------------------|----------------------|
|                  |                                                                                     |    | Commitments          | Payments             | Commitments                | Payments          | Commitments          | Payments             |
| 09 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'INFORMATION SOCIETY<br>AND MEDIA' POLICY AREA |    | 128 079 620          | 128 079 620          |                            |                   | 128 079 620          | 128 079 620          |
|                  | 40 01 40                                                                            |    | 24 695               | 24 695               |                            |                   | 24 695               | 24 695               |
|                  |                                                                                     |    | 128 104 315          | 128 104 315          |                            |                   | 128 104 315          | 128 104 315          |
| 09 02            | REGULATORY FRAMEWORK FOR<br>THE DIGITAL AGENDA                                      |    | 30 200 070           | 28 279 731           | – 1 143 678                |                   | 29 056 392           | 28 279 731           |
|                  | 40 02 41                                                                            |    | 391 985              | 391 985              |                            |                   | 391 985              | 391 985              |
|                  |                                                                                     |    | 30 592 055           | 28 671 716           |                            |                   | 29 448 377           | 28 671 716           |
| 09 03            | INFORMATION AND COMMUNI-<br>CATION TECHNOLOGIES<br>TAKE-UP                          | 1  | 132 850 000          | 118 848 984          |                            |                   | 132 850 000          | 118 848 984          |
| 09 04            | COOPERATION — INFORMA-<br>TION AND COMMUNICATION<br>TECHNOLOGIES (ICTS)             | 1  | 1 354 972 225        | 1 026 806 757        |                            | 30 000 000        | 1 354 972 225        | 1 056 806 757        |
| 09 05            | CAPACITIES — RESEARCH<br>INFRASTRUCTURES                                            | 1  | 31 349 262           | 54 435 064           |                            |                   | 31 349 262           | 54 435 064           |
|                  | <b>Title 09 — Total</b>                                                             |    | <b>1 677 451 177</b> | <b>1 356 450 156</b> | <b>– 1 143 678</b>         | <b>30 000 000</b> | <b>1 676 307 499</b> | <b>1 386 450 156</b> |
|                  | <b>40 01 40, 40 02 41</b>                                                           |    | <b>416 680</b>       | <b>416 680</b>       |                            |                   | <b>416 680</b>       | <b>416 680</b>       |
|                  | <b>Total including reserves</b>                                                     |    | <b>1 677 867 857</b> | <b>1 356 866 836</b> |                            |                   | <b>1 676 724 179</b> | <b>1 386 866 836</b> |

## TITLE 09

## INFORMATION SOCIETY AND MEDIA

## CHAPTER 09 02 — REGULATORY FRAMEWORK FOR THE DIGITAL AGENDA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                     | FF  | Budget 2012 |            | Amending budget No. 6/2012 |          | New amount  |            |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------|-----|-------------|------------|----------------------------|----------|-------------|------------|
|                                     |                                                                                                             |     | Commitments | Payments   | Commitments                | Payments | Commitments | Payments   |
| 09 02                               | REGULATORY FRAMEWORK FOR THE DIGITAL AGENDA                                                                 |     |             |            |                            |          |             |            |
| <b>09 02 01</b>                     | <b>Definition and implementation of the Union's policy in the field of electronic communication</b>         | 1.1 | 2 405 000   | 1 814 502  |                            |          | 2 405 000   | 1 814 502  |
| <b>09 02 02</b>                     | <b>Promoting safer use of the Internet and new online technologies</b>                                      |     |             |            |                            |          |             |            |
| 09 02 02 01                         | Safer Internet programme                                                                                    | 1.1 | 14 700 000  | 13 294 857 |                            |          | 14 700 000  | 13 294 857 |
| 09 02 02 02                         | Completion of Safer Internet plus — Promoting safer use of the Internet and new online technologies         | 1.1 | —           | 75 302     |                            |          | —           | 75 302     |
|                                     | <i>Article 09 02 02 — Subtotal</i>                                                                          |     | 14 700 000  | 13 370 159 |                            |          | 14 700 000  | 13 370 159 |
| <b>09 02 03</b>                     | <b>European Network and Information Security Agency</b>                                                     |     |             |            |                            |          |             |            |
| 09 02 03 01                         | European Network and Information Security Agency — Contribution to Titles 1 and 2                           | 1.1 | 5 502 248   | 5 502 248  |                            |          | 5 502 248   | 5 502 248  |
|                                     | <i>40 02 41</i>                                                                                             |     | 391 985     | 391 985    |                            |          | 391 985     | 391 985    |
|                                     |                                                                                                             |     | 5 894 233   | 5 894 233  |                            |          | 5 894 233   | 5 894 233  |
| 09 02 03 02                         | European Network and Information Security Agency — Contribution to Title 3                                  | 1.1 | 2 349 885   | 2 349 885  |                            |          | 2 349 885   | 2 349 885  |
|                                     | <i>Article 09 02 03 — Subtotal</i>                                                                          |     | 7 852 133   | 7 852 133  |                            |          | 7 852 133   | 7 852 133  |
|                                     | <i>40 02 41</i>                                                                                             |     | 391 985     | 391 985    |                            |          | 391 985     | 391 985    |
|                                     |                                                                                                             |     | 8 244 118   | 8 244 118  |                            |          | 8 244 118   | 8 244 118  |
| <b>09 02 04</b>                     | <b>Body of European Regulators for Electronic Communications (BEREC) — Office</b>                           |     |             |            |                            |          |             |            |
| 09 02 04 01                         | Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Titles 1 and 2 | 1.1 | 3 620 881   | 3 620 881  | – 1 102 937                |          | 2 517 944   | 3 620 881  |

## COMMISSION

**CHAPTER 09 02 — REGULATORY FRAMEWORK FOR THE DIGITAL AGENDA** (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                              | FF  | Budget 2012       |                   | Amending budget No. 6/2012 |          | New amount        |                   |
|-------------------------------------|------------------------------------------------------------------------------------------------------|-----|-------------------|-------------------|----------------------------|----------|-------------------|-------------------|
|                                     |                                                                                                      |     | Commitments       | Payments          | Commitments                | Payments | Commitments       | Payments          |
| 09 02 04 02                         | Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Title 3 | 1.1 | 672 056           | 672 056           |                            |          | 672 056           | 672 056           |
|                                     | Article 09 02 04 — Subtotal                                                                          |     | 4 292 937         | 4 292 937         | – 1 102 937                |          | 3 190 000         | 4 292 937         |
| 09 02 05                            | <i>Other measures in the audiovisual and media sector</i>                                            | 3.2 | 950 000           | 950 000           | – 40 741                   |          | 909 259           | 950 000           |
| 09 02 06                            | <i>Preparatory action — Erasmus for Journalists</i>                                                  | 3.2 | p.m.              | p.m.              |                            |          | p.m.              | p.m.              |
|                                     | <b>Chapter 09 02 — Total</b>                                                                         |     | <b>30 200 070</b> | <b>28 279 731</b> | <b>– 1 143 678</b>         |          | <b>29 056 392</b> | <b>28 279 731</b> |
|                                     | <b>40 02 41</b>                                                                                      |     | <b>391 985</b>    | <b>391 985</b>    |                            |          | <b>391 985</b>    | <b>391 985</b>    |
|                                     | <b>Total including reserves</b>                                                                      |     | <b>30 592 055</b> | <b>28 671 716</b> |                            |          | <b>29 448 377</b> | <b>28 671 716</b> |

**09 02 04      *Body of European Regulators for Electronic Communications (BEREC) — Office***

09 02 04 01      Body of European Regulators for Electronic Communications (BEREC) — Office — Contribution to Titles 1 and 2

| Budget 2012 |           | Amending budget No. 6/2012 |          | New amount  |           |
|-------------|-----------|----------------------------|----------|-------------|-----------|
| Commitments | Payments  | Commitments                | Payments | Commitments | Payments  |
| 3 620 881   | 3 620 881 | – 1 102 937                |          | 2 517 944   | 3 620 881 |

*Remarks*

This appropriation is intended to cover the Office's staff and administrative expenditure (Titles 1 and 2).

BEREC acts as a specialised and independent expert advisory body assisting the Commission and the national regulatory authorities in the implementation of the Union regulatory framework for electronic communications in order to promote a consistent regulatory approach across the Union. BEREC is neither a Union body nor has legal personality.

The Office is established as a Union body with legal personality, providing BEREC with professional and administrative support in carrying out the tasks conferred on it by Regulation (EC) No 1211/2009.

The Office must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

**CHAPTER 09 02 — REGULATORY FRAMEWORK FOR THE DIGITAL AGENDA** (*cont'd*)**09 02 04** (*cont'd*)09 02 04 01 (*cont'd*)

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the Office is set out in the Part entitled 'Establishment plan staff' of Section III — Commission (Volume 3).

*Legal basis*

Regulation (EC) No 1211/2009 of the European Parliament and of the Council of 25 November 2009 establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1).

**09 02 05*****Other measures in the audiovisual and media sector***

| Budget 2012 |          | Amending budget No. 6/2012 |          | New amount  |          |
|-------------|----------|----------------------------|----------|-------------|----------|
| Commitments | Payments | Commitments                | Payments | Commitments | Payments |
| 950 000     | 950 000  | – 40 741                   |          | 909 259     | 950 000  |

*Remarks*

This appropriation is intended to cover the following measures:

- the implementation of the Audiovisual Media Services Directive,
- the monitoring of the evolution of the media sector, including pluralism, and
- the collection and dissemination of economic and legal information and analysis concerning the audiovisual sector.

*Legal basis*

Directive 89/552/EEC of the European Parliament and of the Council of 3 October 1989 on the coordination of certain provisions laid down by law, regulation or administrative action in Member States concerning the provision of audiovisual media services (Audiovisual Media Services Directive) (OJ L 298, 17.10.1989, p. 23).

## COMMISSION

## CHAPTER 09 04 — COOPERATION — INFORMATION AND COMMUNICATION TECHNOLOGIES (ICTS)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                     | FF  | Budget 2012          |                      | Amending budget No. 6/2012 |                   | New amount           |                      |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------------|-------------------|----------------------|----------------------|
|                                     |                                                                                                                                             |     | Commitments          | Payments             | Commitments                | Payments          | Commitments          | Payments             |
| 09 04                               | COOPERATION — INFORMATION AND COMMUNICATION TECHNOLOGIES (ICTS)                                                                             |     |                      |                      |                            |                   |                      |                      |
| <b>09 04 01</b>                     | <b>Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)</b>                      |     |                      |                      |                            |                   |                      |                      |
| 09 04 01 01                         | Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)                             | 1.1 | 1 244 472 420        | 949 891 875          |                            | 30 000 000        | 1 244 472 420        | 979 891 875          |
| 09 04 01 02                         | Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking                                                        | 1.1 | 53 721 430           | 27 217 532           |                            |                   | 53 721 430           | 27 217 532           |
| 09 04 01 03                         | Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking                                | 1.1 | 1 758 156            | 1 595 089            |                            |                   | 1 758 156            | 1 595 089            |
| 09 04 01 04                         | Cooperation — Information and communication technologies — ENIAC Joint Undertaking                                                          | 1.1 | 53 721 430           | 36 290 043           |                            |                   | 53 721 430           | 36 290 043           |
| 09 04 01 05                         | Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking                                  | 1.1 | 1 298 789            | 1 178 328            |                            |                   | 1 298 789            | 1 178 328            |
|                                     | Article 09 04 01 — Subtotal                                                                                                                 |     | 1 354 972 225        | 1 016 172 867        |                            | 30 000 000        | 1 354 972 225        | 1 046 172 867        |
| <b>09 04 02</b>                     | <b>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</b> | 1.1 | p.m.                 | p.m.                 |                            |                   | p.m.                 | p.m.                 |
| <b>09 04 03</b>                     | <b>Completion of previous European Community framework programmes (prior to 2007)</b>                                                       | 1.1 | —                    | 10 633 890           |                            |                   | —                    | 10 633 890           |
|                                     | <b>Chapter 09 04 — Total</b>                                                                                                                |     | <b>1 354 972 225</b> | <b>1 026 806 757</b> |                            | <b>30 000 000</b> | <b>1 354 972 225</b> | <b>1 056 806 757</b> |

CHAPTER 09 04 — COOPERATION — INFORMATION AND COMMUNICATION TECHNOLOGIES (ICTS) (*cont'd*)09 04 01 *Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)*

## 09 04 01 01 Support for research cooperation in the area of information and communication technologies (ICTs — Cooperation)

| Budget 2012   |             | Amending budget No. 6/2012 |            | New amount    |             |
|---------------|-------------|----------------------------|------------|---------------|-------------|
| Commitments   | Payments    | Commitments                | Payments   | Commitments   | Payments    |
| 1 244 472 420 | 949 891 875 |                            | 30 000 000 | 1 244 472 420 | 979 891 875 |

*Remarks*

The objective of the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) and the 'Information and Communication Technologies' theme of the specific 'Cooperation' programme is to improve the competitiveness of European industry and to enable Europe to master and shape the future developments in ICTs in accordance with a long-term European ICT strategy so that the demands of its society and economy are met and European standards help shape the global ICT developments rather than being overtaken by other growing global markets.

Activities will strengthen Europe's scientific and technology base and ensure its global leadership in ICTs, help drive and stimulate innovation through ICT use and ensure that ICT progress is rapidly transformed into benefits for Europe's citizens, businesses, industry and governments.

The ICT theme prioritises strategic research around key technology pillars, ensures end-to-end integration of technologies and provides the knowledge and the means to develop a wide range of innovative ICT applications.

The activities leverage industrial and technological advances in the ICT sector and improve the competitive edge of important ICT-intensive sectors — both through innovative high-value ICT-based products and services and from new or improved organisational processes in businesses and administrations alike. The ICT theme also supports other policies of the Union by mobilising ICTs to meet public and societal demands.

Activities cover collaboration and exchange of best practices with a view to setting common standards for the Union that are compatible with, or set, a global standard, networking actions and national programme coordination initiatives. This appropriation is also intended to cover the costs of independent experts assisting in proposal evaluations and project reviews, the costs of events, meetings, conferences, workshops and seminars of European interest organised by the Commission, the costs of studies, analyses and evaluations, the costs of monitoring and evaluation of the specific programmes and of the framework programmes and the costs of measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

## COMMISSION

**CHAPTER 09 04 — COOPERATION — INFORMATION AND COMMUNICATION TECHNOLOGIES (ICTS)** (*cont'd*)**09 04 01** (*cont'd*)09 04 01 01 (*cont'd*)

Part of these appropriations is intended to foster common approaches to key global challenges, such as an ICT strategy that is not only able to compete with rapidly emerging ICT markets (e.g. in Asia), but that can also set standards for global ICT policy-making in the interest of European values, by pooling resources and fostering the exchange of best practices for the advancement of research, development and innovation in the field of ICT. Measures will aim at improving the efficacy of actions by the international community and will be complementary to existing mechanisms and successful working relationships. The appropriations will be used to fund innovative ventures between European and third countries. The scope of such ventures will go beyond what could be undertaken by a single country and will benefit both the Union and its partners in preparing their leading role for setting future ICT standards. In implementing this action, the Commission will ensure a balanced distribution of subsidies. It will help actors at a global level to engage in research partnerships in order to foster innovation in the field of ICT.

*Legal basis*

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

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**TITLE 11**  
**MARITIME AFFAIRS AND FISHERIES**

| Title<br>Chapter | Heading                                                                              | FF | Budget 2012          |                    | Amending budget No. 6/2012 |                     | New amount         |                    |
|------------------|--------------------------------------------------------------------------------------|----|----------------------|--------------------|----------------------------|---------------------|--------------------|--------------------|
|                  |                                                                                      |    | Commitments          | Payments           | Commitments                | Payments            | Commitments        | Payments           |
| 11 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'MARITIME AFFAIRS AND<br>FISHERIES' POLICY AREA |    | 41 179 889           | 41 179 889         |                            |                     | 41 179 889         | 41 179 889         |
|                  | 40 01 40                                                                             |    | 19 779               | 19 779             |                            |                     | 19 779             | 19 779             |
|                  |                                                                                      |    | 41 199 668           | 41 199 668         |                            |                     | 41 199 668         | 41 199 668         |
| 11 02            | FISHERIES MARKETS                                                                    | 2  | 29 996 768           | 30 370 025         |                            |                     | 29 996 768         | 30 370 025         |
| 11 03            | INTERNATIONAL FISHERIES AND<br>LAW OF THE SEA                                        | 2  | 35 600 000           | 35 776 949         | – 880 855                  | – 99 068            | 34 719 145         | 35 677 881         |
|                  | 40 02 41                                                                             |    | 119 200 000          | 120 800 000        | – 45 652 520               | – 47 252 520        | 73 547 480         | 73 547 480         |
|                  |                                                                                      |    | 154 800 000          | 156 576 949        | – 46 533 375               | – 47 351 588        | 108 266 625        | 109 225 361        |
| 11 04            | GOVERNANCE OF THE COMMON<br>FISHERIES POLICY                                         | 2  | 6 400 000            | 5 641 866          |                            |                     | 6 400 000          | 5 641 866          |
| 11 06            | EUROPEAN FISHERIES FUND (EFF)                                                        | 2  | 671 875 602          | 487 002 069        | – 477 119                  |                     | 671 398 483        | 487 002 069        |
| 11 07            | CONSERVATION, MANAGEMENT<br>AND EXPLOITATION OF LIVING<br>AQUATIC RESOURCES          | 2  | 53 500 000           | 42 376 540         | – 240 000                  |                     | 53 260 000         | 42 376 540         |
| 11 08            | CONTROL AND ENFORCEMENT<br>OF THE COMMON FISHERIES<br>POLICY                         | 2  | 58 760 900           | 36 106 750         |                            |                     | 58 760 900         | 36 106 750         |
| 11 09            | MARITIME POLICY                                                                      | 2  | 16 560 000           | 7 170 532          |                            |                     | 16 560 000         | 7 170 532          |
|                  | <b>Title 11 — Total</b>                                                              |    | <b>913 873 159</b>   | <b>685 624 620</b> | <b>– 1 597 974</b>         | <b>– 99 068</b>     | <b>912 275 185</b> | <b>685 525 552</b> |
|                  | <b>40 01 40, 40 02 41</b>                                                            |    | <b>119 219 779</b>   | <b>120 819 779</b> | <b>– 45 652 520</b>        | <b>– 47 252 520</b> | <b>73 567 259</b>  | <b>73 567 259</b>  |
|                  | <b>Total including reserves</b>                                                      |    | <b>1 033 092 938</b> | <b>806 444 399</b> | <b>– 47 250 494</b>        | <b>– 47 351 588</b> | <b>985 842 444</b> | <b>759 092 811</b> |

## COMMISSION

## TITLE 11

## MARITIME AFFAIRS AND FISHERIES

## CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                         | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                     | New amount         |                    |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|---------------------|--------------------|--------------------|
|                                     |                                                                                                                                                                 |    | Commitments        | Payments           | Commitments                | Payments            | Commitments        | Payments           |
| 11 03                               | INTERNATIONAL FISHERIES<br>AND LAW OF THE SEA                                                                                                                   |    |                    |                    |                            |                     |                    |                    |
| <b>11 03 01</b>                     | <b>International fisheries agree-<br/>ments</b>                                                                                                                 | 2  | 25 500 000         | 26 200 000         | – 49 606                   | – 76 191            | 25 450 394         | 26 123 809         |
|                                     | 40 02 41                                                                                                                                                        |    | 119 200 000        | 120 800 000        | – 45 652 520               | – 47 252 520        | 73 547 480         | 73 547 480         |
|                                     |                                                                                                                                                                 |    | 144 700 000        | 147 000 000        | – 45 702 126               | – 47 328 711        | 98 997 874         | 99 671 289         |
| <b>11 03 02</b>                     | <b>Contributions to international<br/>organisations</b>                                                                                                         | 2  | 4 400 000          | 4 172 136          | – 798 015                  |                     | 3 601 985          | 4 172 136          |
| <b>11 03 03</b>                     | <b>Preparatory work for new inter-<br/>national fisheries organisations<br/>and other non-compulsory con-<br/>tributions to international<br/>organisations</b> | 2  | 5 500 000          | 5 215 170          |                            |                     | 5 500 000          | 5 215 170          |
| <b>11 03 04</b>                     | <b>European Union financial contri-<br/>bution to the bodies set up by<br/>the United Nations Convention<br/>on the Law of the Sea, 1982</b>                    | 2  | 200 000            | 189 643            | – 33 234                   | – 22 877            | 166 766            | 166 766            |
|                                     | <b>Chapter 11 03 — Total</b>                                                                                                                                    |    | <b>35 600 000</b>  | <b>35 776 949</b>  | <b>– 880 855</b>           | <b>– 99 068</b>     | <b>34 719 145</b>  | <b>35 677 881</b>  |
|                                     | 40 02 41                                                                                                                                                        |    | 119 200 000        | 120 800 000        | – 45 652 520               | – 47 252 520        | 73 547 480         | 73 547 480         |
|                                     | <b>Total including reserves</b>                                                                                                                                 |    | <b>154 800 000</b> | <b>156 576 949</b> | <b>– 46 533 375</b>        | <b>– 47 351 588</b> | <b>108 266 625</b> | <b>109 225 361</b> |

**11 03 01 International fisheries agreements**

|          | Budget 2012 |             | Amending budget No. 6/2012 |              | New amount  |            |
|----------|-------------|-------------|----------------------------|--------------|-------------|------------|
|          | Commitments | Payments    | Commitments                | Payments     | Commitments | Payments   |
| 11 03 01 | 25 500 000  | 26 200 000  | – 49 606                   | – 76 191     | 25 450 394  | 26 123 809 |
| 40 02 41 | 119 200 000 | 120 800 000 | – 45 652 520               | – 47 252 520 | 73 547 480  | 73 547 480 |
| Total    | 144 700 000 | 147 000 000 | – 45 702 126               | – 47 328 711 | 98 997 874  | 99 671 289 |

## Remarks

This appropriation is intended to cover the expenditure arising from the fisheries agreements which the Union/Community has negotiated or intends to renew or renegotiate with third countries.

In addition, the Union may negotiate new fisheries partnership agreements which would need to be financed under this budget line.

## Legal basis

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA (*cont'd*)11 03 01 (*cont'd*)

Regulations and Decisions concerning the conclusion of agreements and/or protocols adopted with regard to fisheries between the Union/Community and the governments of the following countries:

| Country          | Regulation                                           | Date              | Official Journal  | Duration               |
|------------------|------------------------------------------------------|-------------------|-------------------|------------------------|
| Argentina (p.m.) | Regulation (EC) No 3447/93                           | 28 September 1993 | L 318, 20.12.1993 | 24.5.1994 to 23.5.1999 |
|                  | Currently no Protocol in force                       |                   |                   |                        |
| Cape Verde       | Regulation (EEC) No 2321/90                          | 24 July 1990      | L 212, 9.8.1990   |                        |
|                  | amended by Regulation (EC) No 1927/2004              | 21 October 2004   | L 332, 6.11.2004  | 1.7.2004 to 30.6.2005  |
|                  | Regulation (EC) No 2027/2006                         | 19 December 2006  | L 414, 30.12.2006 | 1.9.2006 to 31.8.2011  |
|                  | Decision 2011/405/EU                                 | 9 June 2011       | L 181, 9.7.2011   | 1.9.2011 to 31.8.2014  |
| Comoros          | Regulation (EEC) No 1494/88                          | 3 May 1988        | L 137, 2.6.1988   |                        |
|                  | Regulation (EC) No 1660/2005                         | 6 October 2005    | L 267, 12.10.2005 | 1.1.2005 to 31.12.2010 |
|                  | Decision 2011/294/EU                                 | 13 May 2011       | L 134, 21.5.2011  | 1.1.2011 to 31.12.2013 |
| Côte d'Ivoire    | Regulation (EEC) No 3939/90                          | 19 December 1990  | L 379, 31.12.1990 |                        |
|                  | Regulation (EC) No 722/2001                          | 4 April 2001      | L 102, 12.4.2001  | 1.7.2000 to 30.6.2003  |
|                  | amended by Regulation (EC) No 154/2004               | 26 January 2004   | L 27, 30.1.2004   | 1.7.2003 to 30.6.2004  |
|                  | amended by Regulation (EC) No 953/2005               | 25 June 2005      | L 164, 21.6.2005  | 1.7.2004 to 30.6.2007  |
|                  | Regulation (EC) No 242/2008                          | 17 March 2008     | L 75, 18.3.2008   | 1.7.2007 to 30.6.2013  |
| Gabon            | Regulation (EC) No 2469/98                           | 9 November 1998   | L 308, 18.11.1998 |                        |
|                  | Regulation (EC) No 580/2002                          | 25 March 2002     | L 89, 5.4.2002    | 3.12.2001 to 2.12.2005 |
|                  | Regulation (EC) No 450/2007                          | 16 April 2007     | L 109, 26.4.2007  | 3.12.2005 to 2.12.2011 |
|                  | Negotiations for the renewal of the protocol ongoing |                   |                   |                        |
| Greenland        | Regulation (EEC) No 223/85 and                       | 29 January 1985   | L 29, 1.2.1985    |                        |
|                  | Regulation (EEC) No 224/85                           |                   |                   |                        |
|                  | amended by Regulation (EC) No 1575/2001              | 25 June 2001      | L 209, 2.8.2001   | 1.1.2001 to 31.12.2006 |
|                  | Regulation (EC) 753/2007                             | 28 June 2007      | L 172, 30.6.2007  | 1.1.2007 to 31.12.2012 |
| Guinea-Bissau    | Regulation (EEC) No 2213/80                          |                   |                   |                        |
|                  | amended by Regulation (EC) No 829/2004               | 26 April 2004     | L 127, 29.4.2004  | 16.6.2003 to 15.6.2006 |

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## CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA (cont'd)

## 11 03 01 (cont'd)

| Country                        | Regulation                                                                                           | Date                            | Official Journal                      | Duration               |
|--------------------------------|------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------------------|------------------------|
| Equatorial Guinea (p.m.)       | Decision 2001/179/EC                                                                                 | 26 February 2001                | L 66, 8.3.2001                        | 16.6.2003 to 15.6.2006 |
|                                | amended by Regulation (EC) No 829/2004                                                               | 26 April 2004                   | L 127, 29.4.2004                      | 15.6.2006 to 14.6.2007 |
|                                | amended by Regulation (EC) No 1491/2006                                                              | 10 October 2006                 | L 279, 11.10.2006                     |                        |
|                                | Regulation (EC) No 241/2008                                                                          | 17 March 2008                   | L 75, 18.3.2008                       | 16.6.2007 to 15.6.2011 |
|                                | Decision 2011/885/EU                                                                                 | 14 November 2011                | L 344, 28.12.2011                     | 16.6.2011 to 15.6.2012 |
| Republic of Guinea             | Regulation (EEC) No 1966/84                                                                          | 28 June 1984                    | L 188, 16.7.1984                      |                        |
|                                | (suspended since June 2001)                                                                          |                                 |                                       |                        |
|                                | Regulation (EEC) No 971/83                                                                           | 28 March 1983                   | L 111, 27.4.1983                      |                        |
| Kiribati                       | Regulation (EC) No 830/2004                                                                          | 26 April 2004                   | L 127, 29.4.2004                      | 1.1.2004 to 31.12.2008 |
|                                | Decision 2009/473/EC repealed by Decision 2009/1016/EU                                               | 28 May 2009<br>22 December 2009 | L 156, 19.6.2009<br>L 348, 29.12.2009 | 1.1.2009 to 31.12.2012 |
|                                | Currently no protocol in force                                                                       |                                 |                                       |                        |
|                                | Regulation (EC) No 874/2003                                                                          | 6 May 2003                      | L 126, 22.5.2003                      | 16.9.2003 to 15.9.2006 |
|                                | Regulation (EC) No 893/2007                                                                          | 23 July 2007                    | L 205, 7.8.2007                       | 16.9.2006 to 15.9.2012 |
| Madagascar                     | Negotiations for a new protocol foreseen in 2012                                                     |                                 |                                       |                        |
|                                | Regulation (EEC) No 780/86                                                                           | 24 February 1986                |                                       |                        |
|                                | amended by Regulation (EC) No 2562/2001                                                              | 17 December 2001                | L 344, 28.12.2001                     | 21.5.2001 to 20.5.2004 |
|                                | extended by Regulation (EC) No 555/2005                                                              | 17 February 2005                | L 94, 13.4.2005                       | 1.1.2004 to 31.12.2006 |
| Mauritius                      | Regulation (EC) No 31/2008                                                                           | 15 November 2007                | L 15, 18.1.2008                       | 1.1.2007 to 31.12.2012 |
|                                | Negotiations for renewal foreseen in 2012                                                            |                                 |                                       |                        |
|                                | Regulation (EEC) No 1616/89                                                                          |                                 |                                       |                        |
|                                | amended by Regulation (EC) No 444/2001                                                               | 26 February 2001                | L 64, 6.3.2001                        | 3.12.1999 to 2.12.2002 |
| Mauritania                     | extended by Regulation (EC) No 2003/2004                                                             | 21 October 2004                 | L 348, 24.11.2004                     | 3.12.2003 to 2.12.2007 |
|                                | Currently no protocol in force. Negotiations for a new agreement and a new protocol foreseen in 2012 |                                 |                                       |                        |
|                                | Regulation (EC) No 408/97                                                                            | 24 February 1997                | L 62, 4.3.1997                        |                        |
|                                | amended by (EC) No 2528/2001                                                                         | 17 December 2001                | L 341, 22.12.2001                     | 1.8.2001 to 31.7.2006  |
| Federated States of Micronesia | Regulation (EC) No 1801/2006                                                                         | 30 November 2006                | L 343, 8.12.2006                      | 1.8.2006 to 31.7.2008  |
|                                | Regulation (EC) No 704/2008                                                                          | 15 July 2008                    | L 203, 31.7.2008                      | 1.8.2008 to 31.7.2012  |
|                                | Negotiations for renewal ongoing                                                                     |                                 |                                       |                        |
|                                | Regulation (EC) No 805/2006                                                                          | 25 April 2006                   | L 151, 6.6.2006                       | 26.2.2007 to 25.2.2010 |
|                                | Decision 2011/116/EU                                                                                 | 13 December 2010                | L 52, 25.2.2011                       |                        |

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CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA (*cont'd*)11 03 01 (*cont'd*)

| Country               | Regulation                                                                                                   | Date                             | Official Journal                         | Duration                                         |
|-----------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------|------------------------------------------|--------------------------------------------------|
| Morocco               | Regulation (EC) No 764/2006                                                                                  | 22 May 2006                      | L 141, 29.5.2006                         | 28.2.2007 to 27.2.2011 <sup>(1)</sup>            |
|                       | Decision 2011/491/EU<br>Legislative procedure ongoing                                                        | 12 July 2011                     | L 202, 5.8.2011                          | 28.2.2011 to 28.2.2012                           |
| Mozambique            | Regulation (EC) No 2329/2003                                                                                 | 22 December 2003                 | L 345, 31.12.2003                        | 1.1.2004 to 31.12.2006                           |
|                       | Regulation (EC) No 1446/2007<br>A new protocol was initialled on 2 June 2011 — Legislative procedure ongoing | 22 November 2007                 | L 331, 17.12.2007                        | 1.1.2007 to 31.12.2011                           |
| São Tomé and Príncipe | Regulation (EEC) No 477/84                                                                                   | 21 February 1984                 | L 54, 25.2.1984                          |                                                  |
|                       | amended by Regulation (EC) No 2348/2002                                                                      | 9 December 2002                  | L 351, 28.12.2002                        | 1.6.2002 to 31.5.2005                            |
|                       | amended by Regulation (EC) No 1124/2006                                                                      | 11 July 2006                     | L 200, 22.7.2006                         | 1.6.2005 to 31.5.2006                            |
|                       | Regulation (EC) No 894/2007                                                                                  | 23 July 2007                     | L 205, 7.8.2007<br>and L 330, 15.12.2007 | 1.6.2006 to 31.5.2010                            |
| Senegal (p.m.)        | Decision 2011/296/EU                                                                                         | 24 February 2011                 | L 136, 24.2.2011                         | 13.5.2011 to 12.5.2014                           |
|                       | Decision 2011/420/EU                                                                                         | 12 July 2011                     | L 188, 19.7.2011                         |                                                  |
|                       | Regulation (EEC) No 2212/80<br>as last amended by Regulation (EC) No 2323/2002                               | 27 June 1980<br>16 December 2002 | L 226, 29.8.1980<br>L 349, 24.12.2002    | 1.7.2002 to 30.6.2006                            |
|                       | Currently no protocol in force                                                                               |                                  |                                          |                                                  |
| Seychelles            | Regulation (EEC) No 1708/87<br>as last amended by Regulation (EC) No 923/2002                                | 15 June 1987<br>30 May 2002      | L 160, 20.6.1987<br>L 144, 1.6.2002      | 18.1.2002 to 17.1.2005                           |
|                       | replaced by Regulation (EC) No 115/2006                                                                      | 23 January 2006                  | L 21, 25.1.2006                          | 18.1.2005 to 17.1.2011                           |
|                       | Regulation (EC) No 1562/2006                                                                                 | 5 October 2006                   | L 290, 20.10.2006                        |                                                  |
|                       | Regulation (EC) No 480/2008                                                                                  | 26 May 2008                      | L 141, 31.5.2008                         | 18.1.2005 to 17.1.2011                           |
| Solomon Islands       | Decision 2010/814/EU                                                                                         | 20 December 2010                 | L 345, 30.12.2010                        | 18.1.2011 to 17.1.2014                           |
|                       | Decision 2011/474/EU                                                                                         | 12 July 2011                     | L 196, 28.7.2011                         |                                                  |
|                       | Regulation (EC) No 563/2006<br>Decision 2010/397/EU                                                          | 13 March 2006<br>3 June 2010     | L 105, 13.4.2006<br>L 190, 22.7.2010     | 9.10.2006 to 8.10.2009<br>9.10.2009 to 8.10.2012 |
|                       | Decision 2010/763/EU                                                                                         | 6 December 2010                  | L 324, 9.12.2010                         |                                                  |
| Tanzania (p.m.)       | Proposed agreement withdrawn                                                                                 |                                  |                                          |                                                  |

<sup>(1)</sup> The protocol related to the agreement with Morocco was initially foreseen from 1 March 2006 to 28 February 2010. Due to a delay in the ratification process it entered into force on 27 February 2007 and was valid for four years from that date.

## COMMISSION

## CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA (cont'd)

## 11 03 02 Contributions to international organisations

| Budget 2012 |           | Amending budget No. 6/2012 |          | New amount  |           |
|-------------|-----------|----------------------------|----------|-------------|-----------|
| Commitments | Payments  | Commitments                | Payments | Commitments | Payments  |
| 4 400 000   | 4 172 136 | – 798 015                  |          | 3 601 985   | 4 172 136 |

## Remarks

This appropriation is intended to finance the Union's active participation in international fisheries organisations responsible for the long-term conservation and sustainable exploitation of marine fisheries resources:

- CCAMLR (Council Decision 81/691/EEC of 4 September 1981 on the conclusion of the Convention on the conservation of Antarctic marine living resources (OJ L 252, 5.9.1981, p. 26)),
- NASCO (North Atlantic Salmon Conservation Organisation) (Council Decision 82/886/EEC of 13 December 1982 concerning the conclusion of the Convention for the Conservation of Salmon in the North Atlantic Ocean (OJ L 378, 31.12.1982, p. 24)),
- ICCAT (Council Decision 86/238/EEC of 9 June 1986 on the accession of the Community to the International Convention for the Conservation of Atlantic Tunas, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed in Paris on 10 July 1984 (OJ L 162, 18.6.1986, p. 33)),
- NEAFC (North-East Atlantic Fisheries Commission) (Council Decision 81/608/EEC of 13 July 1981 concerning the conclusion of the Convention on Future Multilateral Cooperation in the North-East Atlantic Fisheries (OJ L 227, 12.8.1981, p. 21)),
- FAO (Council Decision of 25 November 1991 on the accession of the European Community to the Food and Agriculture Organisation of United Nations) and FAO bodies, the Fishery Committee for the Eastern Central Atlantic (CECAF) and the Western Central Atlantic Fishery Commission (WECAFC),
- NAFO (Northwest Atlantic Fisheries Organisation) (Council Regulation (EEC) No 3179/78 of 28 December 1978 concerning the conclusion by the European Economic Community of the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (OJ L 378, 30.12.1978, p. 1)),
- IOTC (Council Decision 95/399/EC of 18 September 1995 on the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission (OJ L 236, 5.10.1995, p. 24)),
- GFCM (Council Decision 98/416/EC of 16 June 1998 on the accession of the European Community to the General Fisheries Commission for the Mediterranean (OJ L 190, 4.7.1998, p. 34)),
- SEAFO (South East Atlantic Fisheries Organisation) (Council Decision 2002/738/EC of 22 July 2002 on the conclusion by the European Community of the Convention on the Conservation and Management of Fishery Resources in the South-East Atlantic Ocean (OJ L 234, 31.8.2002, p. 39)),
- SWAFO (Multilateral Agreement for the Conservation of the Marine Fauna and Flora in the High Seas Waters of the South-West Atlantic, negotiating mandate No 13 428/97),
- SIOFA (Council Decision 2008/780/EC of 29 September 2008 on the conclusion, on behalf of the European Community, of the Southern Indian Ocean Fisheries Agreement (OJ L 268, 9.10.2008, p. 27)),
- WCPFC (Western and Central Pacific Fisheries Commission, ex-MHLC) (Council Decision 2005/75/EC of 26 April 2004 on the accession of the Community to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (OJ L 32, 4.2.2005, p. 1)),
- (AIDCP) (Council Decision 2005/938/EC of 8 December 2005 on the approval on behalf of the European Community of the Agreement on the International Dolphin Conservation Programme (OJ L 348, 30.12.2005, p. 26)),

**CHAPTER 11 03 — INTERNATIONAL FISHERIES AND LAW OF THE SEA** (*cont'd*)**11 03 02** (*cont'd*)

- (IATTC) (Council Decision 2006/539/EC of 22 May 2006 on the conclusion, on behalf of the European Community of the Convention for the Strengthening of the Inter-American Tropical Tuna Commission established by the 1949 Convention between the United States of America and the Republic of Costa Rica (OJ L 224, 16.8.2006, p. 22)),
- arrangement for the conservation and management of swordfish stocks in the south-east Pacific, negotiating mandate in progress,
- South Pacific Regional Fisheries Management Organisation, negotiating mandate in progress,
- the Bering Sea Convention.

This appropriation is intended to cover, inter alia:

- expenditure arising from the Union's compulsory contributions to the budgets of international fisheries organisations,
- Union membership and voluntary funding of FAO, fisheries section.

*Legal basis*

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

**11 03 04*****European Union financial contribution to the bodies set up by the United Nations Convention on the Law of the Sea, 1982***

| Budget 2012 |          | Amending budget No. 6/2012 |          | New amount  |          |
|-------------|----------|----------------------------|----------|-------------|----------|
| Commitments | Payments | Commitments                | Payments | Commitments | Payments |
| 200 000     | 189 643  | – 33 234                   | – 22 877 | 166 766     | 166 766  |

*Remarks*

This appropriation is intended to cover the European Union's financial contributions to the bodies set up by the United Nations Convention on the Law of the Sea, in particular the International Seabed Authority and the International Tribunal for the Law of the Sea.

*Legal basis*

Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1).

United Nations Convention on the Law of the Sea (OJ L 179, 23.6.1998, p. 3).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

## COMMISSION

## CHAPTER 11 06 — EUROPEAN FISHERIES FUND (EFF)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                     | FF | Budget 2012        |                    | Amending budget No. 6/2012 |          | New amount         |                    |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|----------|--------------------|--------------------|
|                                     |                                                                                                                                                             |    | Commitments        | Payments           | Commitments                | Payments | Commitments        | Payments           |
| 11 06                               | EUROPEAN FISHERIES FUND (EFF)                                                                                                                               |    |                    |                    |                            |          |                    |                    |
| 11 06 01                            | <i>Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Objective 1 (2000 to 2006)</i>                                                    | 2  | p.m.               | 21 334 787         |                            |          | p.m.               | 21 334 787         |
| 11 06 02                            | <i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i>               | 2  | p.m.               | p.m.               |                            |          | p.m.               | p.m.               |
| 11 06 03                            | <i>Completion of earlier programmes — Former Objectives 1 and 6 (prior to 2000)</i>                                                                         | 2  | p.m.               | p.m.               |                            |          | p.m.               | p.m.               |
| 11 06 04                            | <i>Completion of the Financial Instrument for Fisheries Guidance (FIFG) — Outside Objective 1 areas (2000 to 2006)</i>                                      | 2  | p.m.               | 7 111 596          |                            |          | p.m.               | 7 111 596          |
| 11 06 05                            | <i>Completion of earlier programmes — Former Objective 5a (prior to 2000)</i>                                                                               | 2  | p.m.               | p.m.               |                            |          | p.m.               | p.m.               |
| 11 06 06                            | <i>Completion of earlier programmes — Initiatives prior to 2000</i>                                                                                         | 2  | p.m.               | p.m.               |                            |          | p.m.               | p.m.               |
| 11 06 08                            | <i>Completion of earlier programmes — Former operational technical assistance and innovative measures (prior to 2000)</i>                                   | 2  | p.m.               | p.m.               |                            |          | p.m.               | p.m.               |
| 11 06 09                            | <i>Specific measure aiming to promote the conversion of vessels and of fishermen that were, up to 1999, dependent on the fishing agreement with Morocco</i> | 2  | p.m.               | p.m.               |                            |          | p.m.               | p.m.               |
| 11 06 11                            | <i>European Fisheries Fund (EFF) — Operational technical assistance</i>                                                                                     | 2  | 4 346 082          | 3 413 566          | – 477 119                  |          | 3 868 963          | 3 413 566          |
| 11 06 12                            | <i>European Fisheries Fund (EFF) — Convergence objective</i>                                                                                                | 2  | 507 543 231        | 341 356 590        |                            |          | 507 543 231        | 341 356 590        |
| 11 06 13                            | <i>European Fisheries Fund (EFF) — Outside convergence objective</i>                                                                                        | 2  | 159 986 289        | 113 785 530        |                            |          | 159 986 289        | 113 785 530        |
|                                     | <b>Chapter 11 06 — Total</b>                                                                                                                                |    | <b>671 875 602</b> | <b>487 002 069</b> | <b>– 477 119</b>           |          | <b>671 398 483</b> | <b>487 002 069</b> |

## Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections, any revenue from which is entered in Item 6 5 0 0 of the statement of revenue. That revenue may give rise to the provision of additional appropriations, in accordance with Article 18 of the Financial Regulation, in specific instances where they are necessary to cover risks of cancellation or of reductions in corrections previously decided upon.

Regulation (EC) No 1260/1999 determines the conditions for repayment of an advance without having the effect of reducing the contribution from the Structural Funds towards the assistance concerned. Any revenue from the repayment of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation.

Measures to combat fraud are funded under Article 24 02 01.

**CHAPTER 11 06 — EUROPEAN FISHERIES FUND (EFF) (cont'd)***Legal basis*

Treaty on the Functioning of the European Union, in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (EFF) (OJ L 223, 15.8.2006, p. 1).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

**11 06 11*****European Fisheries Fund (EFF) — Operational technical assistance***

| Budget 2012 |           | Amending budget No. 6/2012 |          | New amount  |           |
|-------------|-----------|----------------------------|----------|-------------|-----------|
| Commitments | Payments  | Commitments                | Payments | Commitments | Payments  |
| 4 346 082   | 3 413 566 | – 477 119                  |          | 3 868 963   | 3 413 566 |

*Remarks*

This appropriation is intended to cover EFF technical assistance measures as provided for in Article 46 of Regulation (EC) No 1198/2006. The technical assistance measures include studies, evaluations, measures aimed at the partners, measures to disseminate information, installation, operation and interconnection of computerised systems for the management, monitoring, audit, inspection and evaluation, improvements in evaluation methods and the exchange of information on practices in this field and the establishment of trans-national and Union networks of actors in the sustainable development of coastal fishing zones.

Technical assistance covers preparatory, monitoring, audit, evaluation, supervision and management measures required to implement the EFF.

This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services,
- support for networking and exchange of best practices.

*Legal basis*

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

## COMMISSION

**CHAPTER 11 07 — CONSERVATION, MANAGEMENT AND EXPLOITATION OF LIVING AQUATIC RESOURCES**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                         | FF | Budget 2012       |                   | Amending budget No. 6/2012 |          | New amount        |                   |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-------------------|-------------------|----------------------------|----------|-------------------|-------------------|
|                                     |                                                                                                                                                                 |    | Commitments       | Payments          | Commitments                | Payments | Commitments       | Payments          |
| 11 07                               | CONSERVATION, MANAGEMENT AND EXPLOITATION OF LIVING AQUATIC RESOURCES                                                                                           |    |                   |                   |                            |          |                   |                   |
| <b>11 07 01</b>                     | <b>Support for the management of fishery resources (collection of basic data)</b>                                                                               | 2  | 47 500 000        | 38 307 795        |                            |          | 47 500 000        | 38 307 795        |
| <b>11 07 02</b>                     | <b>Support for the management of fishery resources (improvement of scientific advice)</b>                                                                       | 2  | 4 500 000         | 3 318 745         | – 240 000                  |          | 4 260 000         | 3 318 745         |
| <b>11 07 03</b>                     | <b>Pilot project — Tools for a common governance and sustainable fisheries management: fostering collaborative research between scientists and stakeholders</b> | 2  | 1 500 000         | 750 000           |                            |          | 1 500 000         | 750 000           |
|                                     | <b>Chapter 11 07 — Total</b>                                                                                                                                    |    | <b>53 500 000</b> | <b>42 376 540</b> | <b>– 240 000</b>           |          | <b>53 260 000</b> | <b>42 376 540</b> |

**11 07 02 Support for the management of fishery resources (improvement of scientific advice)**

| Budget 2012 |           | Amending budget No. 6/2012 |          | New amount  |           |
|-------------|-----------|----------------------------|----------|-------------|-----------|
| Commitments | Payments  | Commitments                | Payments | Commitments | Payments  |
| 4 500 000   | 3 318 745 | – 240 000                  |          | 4 260 000   | 3 318 745 |

*Remarks*

This appropriation covers:

- expenditure for partnership contracts with national research institutions for the provision of scientific advice,
- expenditure for administrative arrangements with the Joint Research Centre, or any other Union consultative body, to provide the secretariat for the Scientific, Technical and Economic Committee for Fisheries (STECF), to conduct the pre-analysis of the data and to prepare the data to evaluate the situation of the fisheries resources,
- indemnities paid to the members of the STECF, and/or the experts invited by the STECF for their participation and work performed during the working groups and plenary sessions,
- indemnities paid to independent experts providing the Commission with scientific advice, or providing training to administrators or stakeholders in the interpretation of the scientific advice,
- contributions to international bodies in charge of stocks assessments and for providing scientific advice.

*Legal basis*

Council Regulation (EC) No 1543/2000 of 29 June 2000 establishing a Community framework for the collection and management of the data needed to conduct the common fisheries policy (OJ L 176, 15.7.2000, p. 1).

**CHAPTER 11 07 — CONSERVATION, MANAGEMENT AND EXPLOITATION OF LIVING AQUATIC RESOURCES** (*cont'd*)**11 07 02** (*cont'd*)

Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (OJ L 358, 31.12.2002, p. 59).

Council Regulation (EC) No 861/2006 of 22 May 2006 establishing Community financial measures for the implementation of the common fisheries policy and in the area of the Law of the Sea (OJ L 160, 14.6.2006, p. 1).

*Reference acts*

Commission Decision 2005/629/EC of 26 August 2005 establishing a Scientific, Technical and Economic Committee for Fisheries (OJ L 225, 31.8.2005, p. 18).

## COMMISSION

**TITLE 13**  
**REGIONAL POLICY**

| Title<br>Chapter | Heading                                                          | FF | Budget 2012           |                       | Amending budget No. 6/2012 |                      | New amount            |                       |
|------------------|------------------------------------------------------------------|----|-----------------------|-----------------------|----------------------------|----------------------|-----------------------|-----------------------|
|                  |                                                                  |    | Commitments           | Payments              | Commitments                | Payments             | Commitments           | Payments              |
| 13 01            | ADMINISTRATIVE EXPENDITURE OF THE 'REGIONAL POLICY' POLICY AREA  |    | 89 826 606            | 89 826 606            |                            |                      | 89 826 606            | 89 826 606            |
|                  | 40 01 40                                                         |    | 16 463                | 16 463                |                            |                      | 16 463                | 16 463                |
|                  |                                                                  |    | 89 843 069            | 89 843 069            |                            |                      | 89 843 069            | 89 843 069            |
| 13 03            | EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS | 1  | 29 611 464 423        | 26 235 431 887        |                            | 780 000 000          | 29 611 464 423        | 27 015 431 887        |
| 13 04            | COHESION FUND                                                    | 1  | 11 788 814 578        | 8 757 388 636         |                            | 1 100 000 000        | 11 788 814 578        | 9 857 388 636         |
| 13 05            | PRE-ACCESSION OPERATIONS RELATED TO THE STRUCTURAL POLICIES      |    | 555 341 668           | 455 543 710           |                            | - 10 000 000         | 555 341 668           | 445 543 710           |
| 13 06            | SOLIDARITY FUND                                                  |    | 688 254 041           | 688 254 041           |                            |                      | 688 254 041           | 688 254 041           |
|                  | <b>Title 13 — Total</b>                                          |    | <b>42 733 701 316</b> | <b>36 226 444 880</b> |                            | <b>1 870 000 000</b> | <b>42 733 701 316</b> | <b>38 096 444 880</b> |
|                  | <b>40 01 40</b>                                                  |    | <b>16 463</b>         | <b>16 463</b>         |                            |                      | <b>16 463</b>         | <b>16 463</b>         |
|                  | <b>Total including reserves</b>                                  |    | <b>42 733 717 779</b> | <b>36 226 461 343</b> |                            |                      | <b>42 733 717 779</b> | <b>38 096 461 343</b> |

COMMISSION

## TITLE 13

## REGIONAL POLICY

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                       | FF  | Budget 2012 |               | Amending budget No. 6/2012 |          | New amount  |               |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|-----|-------------|---------------|----------------------------|----------|-------------|---------------|
|                                     |                                                                                                                                               |     | Commitments | Payments      | Commitments                | Payments | Commitments | Payments      |
| 13 03                               | EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS                                                                              |     |             |               |                            |          |             |               |
| 13 03 01                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 1 (2000 to 2006)</i>                                                   | 1.2 | p.m.        | 1 200 000 000 |                            |          | p.m.        | 1 200 000 000 |
| 13 03 02                            | <i>Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)</i> | 1.2 | p.m.        | p.m.          |                            |          | p.m.        | p.m.          |
| 13 03 03                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 1 (prior to 2000)</i>                                                  | 1.2 | p.m.        | p.m.          |                            |          | p.m.        | p.m.          |
| 13 03 04                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 2 (2000 to 2006)</i>                                                   | 1.2 | p.m.        | 145 596 619   |                            |          | p.m.        | 145 596 619   |
| 13 03 05                            | <i>Completion of European Regional Development Fund (ERDF) — Objective 2 (prior to 2000)</i>                                                  | 1.2 | p.m.        | p.m.          |                            |          | p.m.        | p.m.          |
| 13 03 06                            | <i>Completion of Urban (2000 to 2006)</i>                                                                                                     | 1.2 | p.m.        | 10 000 000    |                            |          | p.m.        | 10 000 000    |
| 13 03 07                            | <i>Completion of earlier programmes — Community initiatives (prior to 2000)</i>                                                               | 1.2 | p.m.        | p.m.          |                            |          | p.m.        | p.m.          |
| 13 03 08                            | <i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovative measures (2000 to 2006)</i>                  | 1.2 | p.m.        | p.m.          |                            |          | p.m.        | p.m.          |
| 13 03 09                            | <i>Completion of European Regional Development Fund (ERDF) — Technical assistance and innovation measures (prior to 2000)</i>                 | 1.2 | p.m.        | p.m.          |                            |          | p.m.        | p.m.          |
| 13 03 12                            | <i>Union contribution to the International Fund for Ireland</i>                                                                               | 1.1 | p.m.        | 13 608 766    |                            |          | p.m.        | 13 608 766    |
| 13 03 13                            | <i>Completion of Interreg III Community initiative (2000 to 2006)</i>                                                                         | 1.2 | p.m.        | 90 000 000    |                            |          | p.m.        | 90 000 000    |

## COMMISSION

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                   | FF  | Budget 2012    |                | Amending budget No. 6/2012 |             | New amount     |                |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------|-----|----------------|----------------|----------------------------|-------------|----------------|----------------|
|                                     |                                                                                                                           |     | Commitments    | Payments       | Commitments                | Payments    | Commitments    | Payments       |
| 13 03 14                            | Support for regions bordering candidate countries — Completion of earlier programmes (2000 to 2006)                       | 1.2 | —              | —              |                            |             | —              | —              |
| 13 03 16                            | European Regional Development Fund (ERDF) — Convergence                                                                   | 1.2 | 24 398 779 141 | 20 603 000 000 |                            | 500 000 000 | 24 398 779 141 | 21 103 000 000 |
| 13 03 17                            | European Regional Development Fund (ERDF) — PEACE                                                                         | 1.2 | 33 392 292     | 40 000 000     |                            |             | 33 392 292     | 40 000 000     |
| 13 03 18                            | European Regional Development Fund (ERDF) — Regional competitiveness and employment                                       | 1.2 | 3 946 682 563  | 3 400 965 947  |                            |             | 3 946 682 563  | 3 400 965 947  |
| 13 03 19                            | European Regional Development Fund (ERDF) — European territorial cooperation                                              | 1.2 | 1 168 910 427  | 685 160 555    |                            | 280 000 000 | 1 168 910 427  | 965 160 555    |
| 13 03 20                            | European Regional Development Fund (ERDF) — Operational technical assistance                                              | 1.2 | 50 000 000     | 35 000 000     |                            |             | 50 000 000     | 35 000 000     |
| 13 03 21                            | Pilot project — Pan-European coordination of Roma integration methods                                                     | 1.2 | p.m.           | p.m.           |                            |             | p.m.           | p.m.           |
| 13 03 22                            | Pilot project — Erasmus for elected local and regional representatives                                                    | 1.2 | p.m.           | p.m.           |                            |             | p.m.           | p.m.           |
| 13 03 23                            | Pilot project — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale | 1.2 | p.m.           | p.m.           |                            |             | p.m.           | p.m.           |
| 13 03 24                            | Preparatory action — Promoting a more favourable environment for micro-credit in Europe                                   | 1.2 | p.m.           | p.m.           |                            |             | p.m.           | p.m.           |
| 13 03 26                            | Pilot project — Suburbs sustainable regeneration                                                                          | 1.2 | 500 000        | 500 000        |                            |             | 500 000        | 500 000        |
| 13 03 27                            | Preparatory action — RURBAN — Partnership for sustainable urban-rural development                                         | 1.2 | p.m.           | 1 000 000      |                            |             | p.m.           | 1 000 000      |

## COMMISSION

## CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                 | FF  | Budget 2012    |                | Amending budget No. 6/2012 |             | New amount     |                |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------|----------------|----------------------------|-------------|----------------|----------------|
|                                     |                                                                                                                                                                                                         |     | Commitments    | Payments       | Commitments                | Payments    | Commitments    | Payments       |
| 13 03 28                            | Preparatory action — Enhancing regional and local cooperation through the promotion of Union regional policy on a global scale                                                                          | 1.2 | 2 000 000      | 2 000 000      |                            |             | 2 000 000      | 2 000 000      |
| 13 03 29                            | Preparatory action — The definition of governance model for the European Union Danube Region — better and effective coordination                                                                        | 1.2 | 1 500 000      | 1 500 000      |                            |             | 1 500 000      | 1 500 000      |
| 13 03 30                            | Pilot project — Towards a common regional identity, reconciliation of nations and economic and social cooperation including a Pan-European Expertise and Excellence Platform in the Danube macro-region | 1.2 | 2 000 000      | 2 000 000      |                            |             | 2 000 000      | 2 000 000      |
| 13 03 31                            | Technical assistance and dissemination of information on the European Union Strategy for the Baltic Sea Region and an improved knowledge of macro-regions strategy                                      | 1.2 | 2 500 000      | 2 500 000      |                            |             | 2 500 000      | 2 500 000      |
| 13 03 32                            | Preparatory action on an Atlantic Forum for the European Union Atlantic Strategy                                                                                                                        | 1.2 | 1 200 000      | 600 000        |                            |             | 1 200 000      | 600 000        |
| 13 03 33                            | Preparatory action — Supporting Mayotte, or any other territory potentially affected, with the switchover to outermost-region status                                                                    | 1.2 | 2 000 000      | 1 000 000      |                            |             | 2 000 000      | 1 000 000      |
| 13 03 34                            | Preparatory action — Erasmus for elected local and regional representatives                                                                                                                             | 1.2 | 2 000 000      | 1 000 000      |                            |             | 2 000 000      | 1 000 000      |
| 13 03 40                            | Risk sharing instruments financed from the ERDF Convergence envelope                                                                                                                                    |     | p.m.           | p.m.           |                            |             | p.m.           | p.m.           |
| 13 03 41                            | Risk sharing instruments financed from the ERDF Regional competitiveness and employment envelope                                                                                                        |     | p.m.           | p.m.           |                            |             | p.m.           | p.m.           |
| Chapter 13 03 — Total               |                                                                                                                                                                                                         |     | 29 611 464 423 | 26 235 431 887 |                            | 780 000 000 | 29 611 464 423 | 27 015 431 887 |

## COMMISSION

**CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS** (*cont'd*)*Remarks*

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6 5 0 0 of the statement of revenue. This revenue may be used to provide additional appropriations in accordance with Article 18 of the Financial Regulation in specific cases where they are required to cover the risk of corrections adopted earlier being cancelled or reduced. Regulation (EC) No 1083/2006 provides for financial corrections for the period 2007 to 2013.

Regulation (EC) No 1260/1999 lays down the conditions for the repayment of payments on account which does not have the effect of reducing the contribution from the Structural Funds to the operation concerned. Any revenue arising from the repayment of payments on account entered in Item 6 1 5 7 of the statement of revenue will be used to provide additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation. Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

Measures to combat fraud are funded from Article 24 02 01.

*Legal basis*

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

*Reference acts*

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 15 and 16 December 2005.

**13 03 16*****European Regional Development Fund (ERDF) — Convergence***

| Budget 2012    |                | Amending budget No. 6/2012 |             | New amount     |                |
|----------------|----------------|----------------------------|-------------|----------------|----------------|
| Commitments    | Payments       | Commitments                | Payments    | Commitments    | Payments       |
| 24 398 779 141 | 20 603 000 000 |                            | 500 000 000 | 24 398 779 141 | 21 103 000 000 |

*Remarks*

This appropriation is intended to cover the programmes under the ERDF objective of the convergence in the programming period 2007 to 2013. This objective is aimed at speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment.

Part of this appropriation is intended to be used to address intra-regional disparities so as to ensure that the overall state of development of a given region does not hide poverty loops and disadvantaged territorial units.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

CHAPTER 13 03 — EUROPEAN REGIONAL DEVELOPMENT FUND AND OTHER REGIONAL OPERATIONS (*cont'd*)13 03 19 *European Regional Development Fund (ERDF) — European territorial cooperation*

| Budget 2012   |             | Amending budget No. 6/2012 |             | New amount    |             |
|---------------|-------------|----------------------------|-------------|---------------|-------------|
| Commitments   | Payments    | Commitments                | Payments    | Commitments   | Payments    |
| 1 168 910 427 | 685 160 555 |                            | 280 000 000 | 1 168 910 427 | 965 160 555 |

*Remarks*

This appropriation is intended to cover the programmes under the ERDF objective of European territorial cooperation in the programming period 2007 to 2013. This objective shall be aimed at strengthening territorial cooperation and macro-regional cooperation and exchange of experience at the appropriate level.

*Legal basis*

Regulation (EC) No 1080/2006 of the European Parliament and of the Council of 5 July 2006 on the European Regional Development Fund (OJ L 210, 31.7.2006, p. 1).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

## COMMISSION

## CHAPTER 13 04 — COHESION FUND

| Title<br>Chapter<br>Article<br>Item | Heading                                                                | FF  | Budget 2012           |                      | Amending budget No. 6/2012 |                      | New amount            |                      |
|-------------------------------------|------------------------------------------------------------------------|-----|-----------------------|----------------------|----------------------------|----------------------|-----------------------|----------------------|
|                                     |                                                                        |     | Commitments           | Payments             | Commitments                | Payments             | Commitments           | Payments             |
| 13 04                               | COHESION FUND                                                          |     |                       |                      |                            |                      |                       |                      |
| 13 04 01                            | <i>Cohesion Fund — Completion of previous projects (prior to 2007)</i> | 1.2 | p.m.                  | 950 388 636          |                            |                      | p.m.                  | 950 388 636          |
| 13 04 02                            | <i>Cohesion Fund</i>                                                   | 1.2 | 11 788 814 578        | 7 807 000 000        |                            | 1 100 000 000        | 11 788 814 578        | 8 907 000 000        |
| 13 04 03                            | <i>Risk sharing instruments financed from the CF envelope</i>          |     | p.m.                  | p.m.                 |                            |                      | p.m.                  | p.m.                 |
| <b>Chapter 13 04 — Total</b>        |                                                                        |     | <b>11 788 814 578</b> | <b>8 757 388 636</b> |                            | <b>1 100 000 000</b> | <b>11 788 814 578</b> | <b>9 857 388 636</b> |

## Remarks

Annex II to Council Regulation (EC) No 1164/94 of 16 May 1994 establishing a Cohesion Fund (OJ L 130, 25.5.1994, p. 1) determines the conditions under which advances which do not have the effect of reducing the contribution of the Fund to the operation concerned are to be repaid. Any revenue resulting from such repayments of advances entered in Item 6 1 5 7 of the statement of revenue will give rise to the provision of additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation. Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

## 13 04 02

*Cohesion Fund*

| Budget 2012    |               | Amending budget No. 6/2012 |               | New amount     |               |
|----------------|---------------|----------------------------|---------------|----------------|---------------|
| Commitments    | Payments      | Commitments                | Payments      | Commitments    | Payments      |
| 11 788 814 578 | 7 807 000 000 |                            | 1 100 000 000 | 11 788 814 578 | 8 907 000 000 |

## Remarks

This appropriation is intended to cover the commitments for the Cohesion Fund in the programming period 2007 to 2013.

Anti-fraud operations will be financed under Article 24 02 01.

This appropriation is also intended to finance the preparatory, monitoring, administrative and technical support, evaluation, audit and inspection measures necessary for implementing Regulation (EC) No 1083/2006, as provided for in Article 45 of that Regulation. In particular, it may be used to cover:

- support expenditure (representation expenses, training, meetings),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contract for the provision of services and studies,
- grants.

This appropriation is also intended to fund measures approved by the Commission in the context of the preparation of the next programming period.

**CHAPTER 13 04 — COHESION FUND** (*cont'd*)**13 04 02** (*cont'd*)*Legal basis*

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1084/2006 of 11 July 2006 establishing the Cohesion Fund (EC) (OJ L 210, 31.7.2006, p. 79).

*Reference acts*

Treaty establishing the European Community, and in particular Articles 158 and 161 thereof.

Treaty on the Functioning of the European Union, and in particular Articles 174 and 177 thereof.

## COMMISSION

## CHAPTER 13 05 — PRE-ACCESSION OPERATIONS RELATED TO THE STRUCTURAL POLICIES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                     | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                     | New amount         |                    |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|---------------------|--------------------|--------------------|
|                                     |                                                                                                                                                                                                                             |     | Commitments        | Payments           | Commitments                | Payments            | Commitments        | Payments           |
| 13 05                               | PRE-ACCESSION OPERATIONS<br>RELATED TO THE STRUCTURAL<br>POLICIES                                                                                                                                                           |     |                    |                    |                            |                     |                    |                    |
| <b>13 05 01</b>                     | <b>Instrument for Structural Poli-<br/>cies for Pre-accession (ISPA) —<br/>Completion of previous projects<br/>(2000 to 2006)</b>                                                                                           |     |                    |                    |                            |                     |                    |                    |
| 13 05 01 01                         | Instrument for Structural Policies<br>for Pre-accession (ISPA) —<br>Completion of other previous<br>projects (2000 to 2006)                                                                                                 | 4   | p.m.               | 235 009 566        |                            | – 10 000 000        | p.m.               | 225 009 566        |
| 13 05 01 02                         | Instrument for Structural Policies<br>for Pre-accession — Closure of<br>pre-accession assistance relating<br>to eight applicant countries                                                                                   | 4   | p.m.               | p.m.               |                            |                     | p.m.               | p.m.               |
|                                     | Article 13 05 01 — Subtotal                                                                                                                                                                                                 |     | p.m.               | 235 009 566        |                            | – 10 000 000        | p.m.               | 225 009 566        |
| <b>13 05 02</b>                     | <b>Instrument for Pre-Accession<br/>Assistance (IPA) — Regional<br/>development component</b>                                                                                                                               | 4   | 462 453 000        | 141 897 374        |                            |                     | 462 453 000        | 141 897 374        |
| <b>13 05 03</b>                     | <b>Instrument for Pre-Accession<br/>Assistance (IPA) —<br/>Cross-border cooperation (CBC)<br/>component</b>                                                                                                                 |     |                    |                    |                            |                     |                    |                    |
| 13 05 03 01                         | Cross-border cooperation (CBC)<br>— Contribution from<br>Subheading 1-b                                                                                                                                                     | 1.2 | 50 481 765         | 50 000 000         |                            |                     | 50 481 765         | 50 000 000         |
| 13 05 03 02                         | Cross-border cooperation (CBC)<br>and participation of candidate<br>and potential candidate countries<br>in Structural Funds' transnational<br>and interregional cooperation<br>programmes — Contribution<br>from Heading 4 | 4   | 42 406 903         | 28 636 770         |                            |                     | 42 406 903         | 28 636 770         |
|                                     | Article 13 05 03 — Subtotal                                                                                                                                                                                                 |     | 92 888 668         | 78 636 770         |                            |                     | 92 888 668         | 78 636 770         |
|                                     | <b>Chapter 13 05 — Total</b>                                                                                                                                                                                                |     | <b>555 341 668</b> | <b>455 543 710</b> |                            | <b>– 10 000 000</b> | <b>555 341 668</b> | <b>445 543 710</b> |

**13 05 01 Instrument for Structural Policies for Pre-accession (ISPA) — Completion of previous projects (2000 to 2006)***Remarks*

Aid provided by the Instrument for Structural Policies for Pre-accession (ISPA) was intended to help the candidate countries of Central and Eastern Europe in their accession to the Union. ISPA was used to help the beneficiary countries to comply with the *acquis* of the Union in the environment and transport fields.

**13 05 01 01 Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)**

| Budget 2012 |             | Amending budget No. 6/2012 |              | New amount  |             |
|-------------|-------------|----------------------------|--------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments     | Commitments | Payments    |
| p.m.        | 235 009 566 |                            | – 10 000 000 | p.m.        | 225 009 566 |

*Remarks*

This appropriation is intended to cover assistance under ISPA and the technical assistance provided outside the Commission which is required for implementation in the candidate countries of Central and Eastern Europe.

**CHAPTER 13 05 — PRE-ACCESSION OPERATIONS RELATED TO THE STRUCTURAL POLICIES** (*cont'd*)13 05 01 01 (*cont'd*)

Irrespective of the beneficiary, no administrative expenditure against this item is authorised.

*Legal basis*

Council Regulation (EC) No 1266/1999 of 21 June 1999 on coordinating aid to the applicant countries in the framework of the pre-accession strategy (OJ L 161, 26.6.1999, p. 68).

Council Regulation (EC) No 1267/1999 of 21 June 1999 establishing an Instrument for Structural Policies for Pre-accession (OJ L 161, 26.6.1999, p. 73).

Council Regulation (EC) No 2257/2004 of 20 December 2004 amending Regulations (EEC) No 3906/89, (EC) No 1267/1999, (EC) No 1268/1999 and (EC) No 2666/2000, to take into account Croatia's candidate status (OJ L 389, 30.12.2004, p. 1).

COMMISSION

## TITLE 15

### EDUCATION AND CULTURE

| Title<br>Chapter | Heading                                                                        | FF | Budget 2012          |                      | Amending budget No. 6/2012 |                    | New amount           |                      |
|------------------|--------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------------|--------------------|----------------------|----------------------|
|                  |                                                                                |    | Commitments          | Payments             | Commitments                | Payments           | Commitments          | Payments             |
| 15 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'EDUCATION AND CUL-<br>TURE' POLICY AREA  |    | 125 335 933          | 125 335 933          |                            |                    | 125 335 933          | 125 335 933          |
|                  | 40 01 40                                                                       |    | 29 933               | 29 933               |                            |                    | 29 933               | 29 933               |
|                  |                                                                                |    | 125 365 866          | 125 365 866          |                            |                    | 125 365 866          | 125 365 866          |
| 15 02            | LIFELONG LEARNING, INCLUD-<br>ING MULTILINGUALISM                              |    | 1 345 007 430        | 1 109 141 456        |                            | 180 000 000        | 1 345 007 430        | 1 289 141 456        |
| 15 04            | DEVELOPING CULTURAL AND<br>AUDIOVISUAL COOPERATION IN<br>EUROPE                |    | 174 780 000          | 157 985 000          |                            |                    | 174 780 000          | 157 985 000          |
| 15 05            | ENCOURAGING AND PROMOT-<br>ING COOPERATION IN THE FIELD<br>OF YOUTH AND SPORTS | 3  | 145 108 000          | 130 000 000          |                            |                    | 145 108 000          | 130 000 000          |
| 15 07            | PEOPLE — PROGRAMME FOR<br>THE MOBILITY OF RESEARCHERS                          | 1  | 906 662 068          | 589 555 947          |                            | 102 000 000        | 906 662 068          | 691 555 947          |
|                  | <b>Title 15 — Total</b>                                                        |    | <b>2 696 893 431</b> | <b>2 112 018 336</b> |                            | <b>282 000 000</b> | <b>2 696 893 431</b> | <b>2 394 018 336</b> |
|                  | <b>40 01 40</b>                                                                |    | <b>29 933</b>        | <b>29 933</b>        |                            |                    | <b>29 933</b>        | <b>29 933</b>        |
|                  | <b>Total including reserves</b>                                                |    | <b>2 696 923 364</b> | <b>2 112 048 269</b> |                            |                    | <b>2 696 923 364</b> | <b>2 394 048 269</b> |

## TITLE 15

## EDUCATION AND CULTURE

## CHAPTER 15 02 — LIFELONG LEARNING, INCLUDING MULTILINGUALISM

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                      | FF  | Budget 2012   |             | Amending budget No. 6/2012 |             | New amount    |               |
|-------------------------------------|----------------------------------------------------------------------------------------------|-----|---------------|-------------|----------------------------|-------------|---------------|---------------|
|                                     |                                                                                              |     | Commitments   | Payments    | Commitments                | Payments    | Commitments   | Payments      |
| 15 02                               | LIFELONG LEARNING, INCLUDING MULTILINGUALISM                                                 |     |               |             |                            |             |               |               |
| <b>15 02 02</b>                     | <b>Erasmus Mundus</b>                                                                        | 1.1 | 105 654 000   | 86 188 852  |                            |             | 105 654 000   | 86 188 852    |
| <b>15 02 03</b>                     | <b>Cooperation with third countries on education and vocational training</b>                 | 4   | 9 000 000     | 7 636 472   |                            |             | 9 000 000     | 7 636 472     |
| <b>15 02 09</b>                     | <b>Completion of previous programmes in the field of education and training</b>              | 1.1 | —             | p.m.        |                            |             | —             | p.m.          |
| <b>15 02 11</b>                     | <b>European Institute of Innovation and Technology</b>                                       |     |               |             |                            |             |               |               |
| 15 02 11 01                         | European Institute of Innovation and Technology — Governing structure                        | 1.1 | 4 493 000     | 3 169 028   |                            |             | 4 493 000     | 3 169 028     |
| 15 02 11 02                         | European Institute of Innovation and Technology — Knowledge and Innovation Communities (KIC) | 1.1 | 74 831 000    | 65 512 600  |                            |             | 74 831 000    | 65 512 600    |
|                                     | <i>Article 15 02 11 — Subtotal</i>                                                           |     | 79 324 000    | 68 681 628  |                            |             | 79 324 000    | 68 681 628    |
| <b>15 02 22</b>                     | <b>Lifelong learning programme</b>                                                           | 1.1 | 1 110 476 000 | 907 251 074 |                            | 180 000 000 | 1 110 476 000 | 1 087 251 074 |
| <b>15 02 23</b>                     | <b>Preparatory action — Erasmus-style programme for apprentices</b>                          | 1.1 | —             | p.m.        |                            |             | —             | p.m.          |
| <b>15 02 25</b>                     | <b>European Centre for the Development of Vocational Training</b>                            |     |               |             |                            |             |               |               |
| 15 02 25 01                         | European Centre for the Development of Vocational Training — Contribution to Titles 1 and 2  | 1.1 | 12 668 834    | 12 668 834  |                            |             | 12 668 834    | 12 668 834    |
| 15 02 25 02                         | European Centre for the Development of Vocational Training — Contribution to Title 3         | 1.1 | 4 340 066     | 4 340 066   |                            |             | 4 340 066     | 4 340 066     |
|                                     | <i>Article 15 02 25 — Subtotal</i>                                                           |     | 17 008 900    | 17 008 900  |                            |             | 17 008 900    | 17 008 900    |
| <b>15 02 27</b>                     | <b>European Training Foundation</b>                                                          |     |               |             |                            |             |               |               |
| 15 02 27 01                         | European Training Foundation — Contribution to Titles 1 and 2                                | 4   | 14 468 414    | 14 468 414  |                            |             | 14 468 414    | 14 468 414    |
| 15 02 27 02                         | European Training Foundation — Contribution to Title 3                                       | 4   | 5 576 116     | 5 576 116   |                            |             | 5 576 116     | 5 576 116     |
|                                     | <i>Article 15 02 27 — Subtotal</i>                                                           |     | 20 044 530    | 20 044 530  |                            |             | 20 044 530    | 20 044 530    |

## COMMISSION

CHAPTER 15 02 — LIFELONG LEARNING, INCLUDING MULTILINGUALISM (*cont'd*)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                                                                                            | FF  | Budget 2012   |               | Amending budget No. 6/2012 |             | New amount    |               |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------|---------------|----------------------------|-------------|---------------|---------------|
|                                     |                                                                                                                                                                                                                                                                                    |     | Commitments   | Payments      | Commitments                | Payments    | Commitments   | Payments      |
| 15 02 29                            | <i>Pilot project — Cooperation between European Institutes of Technology</i>                                                                                                                                                                                                       | 1.1 | —             | —             |                            |             | —             | —             |
| 15 02 30                            | <i>Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges</i>                                                                                                                                                                        | 1.1 | —             | —             |                            |             | —             | —             |
| 15 02 31                            | <i>Pilot project to cover costs of studies for specialising in European Neighbourhood Policy (ENP) and for related academic activities, including setting-up the ENP Chair in the College of Europe Natolin Campus</i>                                                             | 1.1 | p.m.          | 580 000       |                            |             | p.m.          | 580 000       |
| 15 02 32                            | <i>Pilot project — European Neighbourhood Policy — Enhance education through scholarships and exchanges</i>                                                                                                                                                                        | 1.1 | p.m.          | p.m.          |                            |             | p.m.          | p.m.          |
| 15 02 33                            | <i>Preparatory action to cover the costs of studies for persons specialising in the European Neighbourhood Policy (ENP) and for related academic activities and other educational modules including the functioning of the ENP Chair in the College of Europe (Natolin Campus)</i> | 1.1 | 3 500 000     | 1 750 000     |                            |             | 3 500 000     | 1 750 000     |
| Chapter 15 02 — Total               |                                                                                                                                                                                                                                                                                    |     | 1 345 007 430 | 1 109 141 456 |                            | 180 000 000 | 1 345 007 430 | 1 289 141 456 |

15 02 22 *Lifelong learning programme*

| Budget 2012   |             | Amending budget No. 6/2012 |             | New amount    |               |
|---------------|-------------|----------------------------|-------------|---------------|---------------|
| Commitments   | Payments    | Commitments                | Payments    | Commitments   | Payments      |
| 1 110 476 000 | 907 251 074 |                            | 180 000 000 | 1 110 476 000 | 1 087 251 074 |

*Remarks*

In accordance with the decision for an integrated programme in the field of lifelong learning, this appropriation is intended to cover the following specific programmes and horizontal actions:

- Comenius: for general education activities concerning schools up to and including upper-secondary level,
- Erasmus: for education and advanced training activities at higher education level, increase in the number of scholarships and funding for them under the Erasmus programmes,
- Leonardo da Vinci: for all aspects of vocational education and training,

**CHAPTER 15 02 — LIFELONG LEARNING, INCLUDING MULTILINGUALISM** (*cont'd*)**15 02 22** (*cont'd*)

- Grundtvig: for adult education,
- Jean Monnet: projects stimulating teaching, research and debate on the European integration process at higher education institutions and operating grants to certain key institutions and associations,
- a cross-cutting programme: incorporating four key activities to cover policy issues, to make specific provision for language learning and activity related to information and communication technologies where these fall outside the specific programmes, and to provide a better dissemination service.

Special education needs for persons suffering from disabilities or 'dys' conditions can be addressed in all the abovementioned sectoral programmes.

Part of this appropriation is intended to cover, inter alia, supplementary expenditures relating to the activities of the European University Institute (EUI) in accordance with Article 36(2)(b) of Decision No 1720/2006/EC. A complementary contribution should be allocated to the Global Governance programme. This increase will improve and broaden the PhD training with respect to global governance and world affairs at the EUI; further develop the European Academy of Global Governance devoted to top-level training, discussion and debates; enlarge the community of junior and senior post-doctoral fellows specialised in these areas; attract a significant number of senior scholars to the EUI from the faculties of other Member States' universities and research centres, and from international institutions; continue development of the different strands of basic and applied research on issues of global governance; promote and support a variety of events, conferences and seminars on issues of global governance, and create a European network of Global Governance. This represents an extension and enhancement of an action already covered in the general budget for the year 2010.

In addition, part of this appropriation is intended to finance a Young Innovators Mobility (YIM) action. It is generally recognised that professional mobility is essential to the development of a versatile workforce, to a sense of European citizenship, and to reinforcing Europe's competitiveness. Innovative ideas should not stop at national borders, they should grow through cross-fertilisation, testing and validation within the widest European pool of talent, facilities, infrastructure and financing. Just as European students benefit from the mobility experience of the Erasmus programme, young researchers from the Marie Curie actions, and young entrepreneurs from Erasmus for Entrepreneurs, young innovators too deserve the opportunity for cross-border mobility to the benefit of innovation in Europe. Existing mobility programmes do not match the scope covered by the YIM, which focuses on the Innovation process of developing new early-stage ideas into demonstrators. For instance, this project differentiates itself from Erasmus for Young Entrepreneurs which is mainly a business exchange programme focussed on the post-innovation stage, enabling new entrepreneurs to learn or improve their business-related skills. By combining the benefits of mobility, the need to narrow the innovation gap and the need to change the mindset to promote innovation, the proposal for a YIM constitutes a concrete step towards the implementation of the Europe 2020 Strategy for growth and jobs and the 'Innovation Union' as well as 'Youth on the Move' flagship initiatives in particular. The YIM action aims to support a minimum of 100 young innovators. YIM would operate as a borderless mobility and innovation residency programme, enabling young (25 to 36 years old) and potential innovators (18 to 24 years old) to work on their own early-stage idea as a 'young innovator in residence' at a host organisation which could be a large or small company, start-up, laboratory, university, institute, government agency or NGO.

Part of these appropriations should go to improved educational models for tackling early school leaving. The Europe 2020 Strategy aims to address the issue of early school leaving by setting it as one of the headline targets for Member States to follow so as to reduce the average rate of early school leavers to no more than 10 %. Within this framework, Member States are also encouraged to exchange best practices in order to solve this issue at national and European level. This matter links closely with another objective of the Europe 2020 Strategy which places improving the quality of education in all Member States at the heart of the Union's journey towards creating a smart, sustainable and inclusive economy.

## COMMISSION

**CHAPTER 15 02 — LIFELONG LEARNING, INCLUDING MULTILINGUALISM** (*cont'd*)**15 02 22** (*cont'd*)

For this reason, European partnerships should be set up and best practices exchanged in the area of early school leaving with the main objectives of:

- impacting directly upon the early school leaving rate by identifying and targeting children from disadvantaged social and economic backgrounds from a very early stage in their education,
- by exchanging best practices and, using different educational models, offering children that are most likely to be affected by this phenomenon, the necessary incentives to stay in school,
- providing a platform for teachers and school administrators to share ideas and experiences with the goal of developing improved policies and stimulating new innovative education practices,
- supporting children from an ethnic minorities background (including Roma) throughout their early education years so as to prevent school drop-outs later on,
- improving the educational outcomes and increase performance in both the less performing and better performing countries within the Union, since it is anticipated that all participants stand to gain considerably from this process of cooperation.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Union programmes entered in Item 6 0 3 1 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

Any revenue from the contribution from the Swiss Confederation for participating in Union programmes entered under Item 6 0 3 3 of the statement of revenue may be used for additional expenditure in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Decision No 1720/2006/EC of the European Parliament and of the Council of 15 November 2006 establishing an action programme in the field of lifelong learning (OJ L 327, 24.11.2006, p. 45).

## CHAPTER 15 07 — PEOPLE — PROGRAMME FOR THE MOBILITY OF RESEARCHERS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                     | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                    | New amount         |                    |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|--------------------|--------------------|--------------------|
|                                     |                                                                                                                                             |     | Commitments        | Payments           | Commitments                | Payments           | Commitments        | Payments           |
| 15 07                               | PEOPLE — PROGRAMME FOR THE MOBILITY OF RESEARCHERS                                                                                          |     |                    |                    |                            |                    |                    |                    |
| 15 07 77                            | <i>People</i>                                                                                                                               | 1.1 | 905 662 068        | 588 805 947        |                            | 102 000 000        | 905 662 068        | 690 805 947        |
| 15 07 78                            | <i>Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development</i> | 1.1 | p.m.               | p.m.               |                            |                    | p.m.               | p.m.               |
| 15 07 79                            | <i>Pilot project — Knowledge partnerships</i>                                                                                               | 1.1 | 1 000 000          | 750 000            |                            |                    | 1 000 000          | 750 000            |
|                                     | <b>Chapter 15 07 — Total</b>                                                                                                                |     | <b>906 662 068</b> | <b>589 555 947</b> |                            | <b>102 000 000</b> | <b>906 662 068</b> | <b>691 555 947</b> |

## 15 07 77

*People*

| Budget 2012 |             | Amending budget No. 6/2012 |             | New amount  |             |
|-------------|-------------|----------------------------|-------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments    | Commitments | Payments    |
| 905 662 068 | 588 805 947 |                            | 102 000 000 | 905 662 068 | 690 805 947 |

*Remarks*

Europe needs to become more attractive to researchers, in order to increase Europe's capacity and performance in research and technological development and to consolidate and further develop the European Research Area. Against the background of growing competition at world level, the development of an open and competitive European labour market for researchers with diversified, attractive career prospects is needed.

The added value of the support provided by the specific 'People' programme is the promotion of the international and inter-sectoral mobility of researchers as a key driver for European Innovation. Marie Curie Actions also foster stronger cooperation between education, research and businesses from different countries in the training and career development of researchers to broaden their skills and prepare them for the jobs of tomorrow. A closer partnership between education and businesses should be further reinforced in order to increase knowledge transfers and enhance PhD training adapted to the needs of industry. By promoting employment conditions in line with the European researcher's charter and code, they contribute to making a career in research in Europe more attractive.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

Any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18 of the Financial Regulation.

## COMMISSION

**CHAPTER 15 07 — PEOPLE — PROGRAMME FOR THE MOBILITY OF RESEARCHERS** (*cont'd*)**15 07 77** (*cont'd*)

In addition, part of this appropriation is intended to finance a Young Innovators Mobility (YIM) action. It is generally recognised that professional mobility is essential to the development of a versatile workforce, to a sense of European citizenship, and to reinforcing Europe's competitiveness. Innovative ideas should not stop at national borders, they should grow through cross-fertilisation, testing and validation within the widest European pool of talent, facilities, infrastructure and financing. Just as European students benefit from the mobility experience of the Erasmus programme, young researchers from the Marie Curie actions, and young entrepreneurs from Erasmus for Entrepreneurs, young innovators too deserve the opportunity of cross-border mobility to the benefit of innovation in Europe. Existing mobility programmes do not match the scope covered by the YIM, which focuses on the Innovation process of developing new early-stage ideas into demonstrators. For instance, this project differentiates itself from Erasmus for Young Entrepreneurs which is mainly a business exchange programme focussed on the post-innovation stage, enabling new entrepreneurs to learn or improve their business-related skills. By combining the benefits of mobility, the need to narrow the innovation gap and the need to change the mindset to promote innovation, the proposal for a YIM constitutes a concrete step towards the implementation of the Europe 2020 Strategy for growth and jobs and the 'Innovation Union' as well as 'Youth on the Move' flagship initiatives in particular.

The YIM action aims to support a minimum of 100 young innovators. YIM would operate as a borderless mobility and innovation residency programme, enabling young (25 to 36 years old) and potential innovators (18 to 24 years old) to work on their own early-stage idea as a 'young innovator in residence' at a host organisation which could be a large or small company, start-up, laboratory, university, institute, government agency or NGO.

*Legal basis*

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 272).

COMMISSION

**TITLE 17**  
**HEALTH AND CONSUMER PROTECTION**

| Title<br>Chapter | Heading                                                                                   | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|------------------|-------------------------------------------------------------------------------------------|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                  |                                                                                           | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 17 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'HEALTH AND CON-<br>SUMER PROTECTION' POLICY<br>AREA | 116 768 100        | 116 768 100        |                            |                   | 116 768 100        | 116 768 100        |
|                  | 40 01 40                                                                                  | 280 045            | 280 045            |                            |                   | 280 045            | 280 045            |
|                  |                                                                                           | 117 048 145        | 117 048 145        |                            |                   | 117 048 145        | 117 048 145        |
| 17 02            | CONSUMER POLICY                                                                           | 21 090 000         | 20 185 400         |                            |                   | 21 090 000         | 20 185 400         |
| 17 03            | PUBLIC HEALTH                                                                             | 214 272 780        | 210 542 692        |                            |                   | 214 272 780        | 210 542 692        |
| 17 04            | FOOD AND FEED SAFETY, ANI-<br>MAL HEALTH, ANIMAL WELFARE<br>AND PLANT HEALTH              | 334 250 000        | 243 828 105        | - 65 420 000               | 17 000 000        | 268 830 000        | 260 828 105        |
|                  | <b>Title 17 — Total</b>                                                                   | <b>686 380 880</b> | <b>591 324 297</b> | <b>- 65 420 000</b>        | <b>17 000 000</b> | <b>620 960 880</b> | <b>608 324 297</b> |
|                  | <b>40 01 40</b>                                                                           | <b>280 045</b>     | <b>280 045</b>     |                            |                   | <b>280 045</b>     | <b>280 045</b>     |
|                  | <b>Total including reserves</b>                                                           | <b>686 660 925</b> | <b>591 604 342</b> |                            |                   | <b>621 240 925</b> | <b>608 604 342</b> |

## COMMISSION

## TITLE 17

## HEALTH AND CONSUMER PROTECTION

## CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                    | FF | Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-------------|-------------|----------------------------|------------|-------------|-------------|
|                                     |                                                                                                                                                                                            |    | Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 17 04                               | FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH                                                                                                                       |    |             |             |                            |            |             |             |
| <b>17 04 01</b>                     | <b>Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor</b>         |    |             |             |                            |            |             |             |
| 17 04 01 01                         | Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor — New measures | 2  | 259 000 000 | 184 901 486 | – 57 640 000               | 17 000 000 | 201 360 000 | 201 901 486 |
| 17 04 01 02                         | Pilot project — Coordinated European Animal Welfare Network                                                                                                                                | 2  | 1 000 000   | 500 000     |                            |            | 1 000 000   | 500 000     |
|                                     | <i>Article 17 04 01 — Subtotal</i>                                                                                                                                                         |    | 260 000 000 | 185 401 486 | – 57 640 000               | 17 000 000 | 202 360 000 | 202 401 486 |
| <b>17 04 02</b>                     | <b>Other measures in the veterinary, animal welfare and public health field</b>                                                                                                            |    |             |             |                            |            |             |             |
| 17 04 02 01                         | Other measures in the veterinary, animal welfare and public health field — New measures                                                                                                    | 2  | 18 000 000  | 12 326 766  |                            |            | 18 000 000  | 12 326 766  |
|                                     | <i>Article 17 04 02 — Subtotal</i>                                                                                                                                                         |    | 18 000 000  | 12 326 766  |                            |            | 18 000 000  | 12 326 766  |
| <b>17 04 03</b>                     | <b>Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health</b>                                                                          |    |             |             |                            |            |             |             |
| 17 04 03 01                         | Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health — New measures                                                                  | 2  | 10 000 000  | 9 482 128   | – 4 400 000                |            | 5 600 000   | 9 482 128   |
| 17 04 03 03                         | Preparatory action — Control posts (resting points) in relation to transport of animals                                                                                                    | 2  | p.m.        | p.m.        |                            |            | p.m.        | p.m.        |
|                                     | <i>Article 17 04 03 — Subtotal</i>                                                                                                                                                         |    | 10 000 000  | 9 482 128   | – 4 400 000                |            | 5 600 000   | 9 482 128   |

## CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                    | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                                                                                                            |     | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| <b>17 04 04</b>                     | <b>Plant-health measures</b>                                                                                                                               |     |                    |                    |                            |                   |                    |                    |
| 17 04 04 01                         | Plant-health measures — New measures                                                                                                                       | 2   | 14 000 000         | 9 482 128          |                            |                   | 14 000 000         | 9 482 128          |
|                                     | Article 17 04 04 — Subtotal                                                                                                                                |     | 14 000 000         | 9 482 128          |                            |                   | 14 000 000         | 9 482 128          |
| <b>17 04 06</b>                     | <b>Completion of earlier veterinary and plant-health measures</b>                                                                                          | 3.2 | p.m.               | 347 000            |                            |                   | p.m.               | 347 000            |
| <b>17 04 07</b>                     | <b>Feed and food safety and related activities</b>                                                                                                         |     |                    |                    |                            |                   |                    |                    |
| 17 04 07 01                         | Feed and food safety and related activities — New measures                                                                                                 | 2   | 32 000 000         | 26 549 957         | – 3 380 000                |                   | 28 620 000         | 26 549 957         |
|                                     | Article 17 04 07 — Subtotal                                                                                                                                |     | 32 000 000         | 26 549 957         | – 3 380 000                |                   | 28 620 000         | 26 549 957         |
| <b>17 04 09</b>                     | <b>International agreements and membership of international organisations in the fields of food safety, animal health, animal welfare and plant health</b> |     |                    |                    |                            |                   |                    |                    |
|                                     |                                                                                                                                                            | 4   | 250 000            | 238 640            |                            |                   | 250 000            | 238 640            |
|                                     | <b>Chapter 17 04 — Total</b>                                                                                                                               |     | <b>334 250 000</b> | <b>243 828 105</b> | <b>– 65 420 000</b>        | <b>17 000 000</b> | <b>268 830 000</b> | <b>260 828 105</b> |

**17 04 01** *Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor*

## 17 04 01 01 Animal disease eradication and monitoring programmes and monitoring of the physical conditions of animals that could pose a public health risk linked to an external factor — New measures

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 259 000 000 | 184 901 486 | – 57 640 000               | 17 000 000 | 201 360 000 | 201 901 486 |

*Remarks*

The Union's financial assistance helps accelerate the eradication or control of animal diseases by providing funds to supplement national financial resources and contributes to harmonising measures at Union level. The bulk of these diseases or infections are zoonoses communicable to man (BSE, brucellosis, avian influenza, salmonellosis, tuberculosis, etc.). Moreover, the persistence of the diseases in question hampers the smooth operation of the internal market; fighting them helps to improve the level of public health and to boost food safety in the Union.

*Legal basis*

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (OJ L 155, 18.6.2009, p. 30).

## COMMISSION

CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH (*cont'd*)**17 04 03      *Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health***

## 17 04 03 01      Emergency fund for veterinary complaints and other animal contaminations which are a risk to public health — New measures

| Budget 2012 |           | Amending budget No. 6/2012 |          | New amount  |           |
|-------------|-----------|----------------------------|----------|-------------|-----------|
| Commitments | Payments  | Commitments                | Payments | Commitments | Payments  |
| 10 000 000  | 9 482 128 | – 4 400 000                |          | 5 600 000   | 9 482 128 |

*Remarks*

The outbreak of some animal diseases in the Union could possibly have a major impact on the operation of the internal market and on the Union's commercial relations with third countries. Thus, it is important for the Union to make a financial contribution in order to allow the fastest eradication possible of any outbreak of a serious infectious disease in Member States by deploying Union resources to fight these diseases.

*Legal basis*

Council Decision 2009/470/EC of 25 May 2009 on expenditure in the veterinary field (Codified version) (OJ L 155, 18.6.2009, p. 30).

**17 04 07      *Feed and food safety and related activities***

## 17 04 07 01      Feed and food safety and related activities — New measures

| Budget 2012 |            | Amending budget No. 6/2012 |          | New amount  |            |
|-------------|------------|----------------------------|----------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments | Commitments | Payments   |
| 32 000 000  | 26 549 957 | – 3 380 000                |          | 28 620 000  | 26 549 957 |

*Remarks*

This appropriation is intended to cover the implementation of the initial measures resulting from Regulation (EC) No 882/2004, in particular:

- training on feed and food control,
- the activities of the Union laboratories,
- IT tools, communication and information on feed and food control, development of Union strategy for safer food,
- travel and subsistence expenses for national experts participating in Food and Veterinary Office missions.

*Legal basis*

Regulation (EC) No 882/2004 of the European Parliament and of the Council of 29 April 2004 on official controls performed to ensure the verification of compliance with feed and food law, animal health and animal welfare rules (OJ L 165, 30.4.2004, p. 1).

**CHAPTER 17 04 — FOOD AND FEED SAFETY, ANIMAL HEALTH, ANIMAL WELFARE AND PLANT HEALTH** (*cont'd*)**17 04 07** (*cont'd*)17 04 07 01 (*cont'd*)

Regulation (EC) No 1332/2008 of the European Parliament and of the Council of 16 December 2008 on food enzymes (OJ L 354, 31.12.2008, p. 7).

Regulation (EC) No 1333/2008 of the European Parliament and of the Council of 16 December 2008 on food additives (OJ L 354, 31.12.2008, p. 16).

Regulation (EC) No 1334/2008 of the European Parliament and of the Council of 16 December 2008 on flavourings and certain food ingredients with flavouring properties for use in and on foods (OJ L 354, 31.12.2008, p. 34).

## COMMISSION

**TITLE 18**  
**HOME AFFAIRS**

| Title<br>Chapter | Heading                                                                                   | FF | Budget 2012          |                    | Amending budget No. 6/2012 |                   | New amount           |                    |
|------------------|-------------------------------------------------------------------------------------------|----|----------------------|--------------------|----------------------------|-------------------|----------------------|--------------------|
|                  |                                                                                           |    | Commitments          | Payments           | Commitments                | Payments          | Commitments          | Payments           |
| 18 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'HOME AFFAIRS' POLICY<br>AREA                        |    | 40 168 364           | 40 168 364         |                            |                   | 40 168 364           | 40 168 364         |
|                  | 40 01 40                                                                                  |    | 39 662               | 39 662             |                            |                   | 39 662               | 39 662             |
|                  |                                                                                           |    | 40 208 026           | 40 208 026         |                            |                   | 40 208 026           | 40 208 026         |
| 18 02            | SOLIDARITY — EXTERNAL BOR-<br>DERS, RETURN, VISA POLICY<br>AND FREE MOVEMENT OF<br>PEOPLE | 3  | 660 000 000          | 390 060 911        |                            | 10 000 000        | 660 000 000          | 400 060 911        |
|                  | 40 02 41                                                                                  |    | 14 740 000           | 15 659 972         |                            |                   | 14 740 000           | 15 659 972         |
|                  |                                                                                           |    | 674 740 000          | 405 720 883        |                            |                   | 674 740 000          | 415 720 883        |
| 18 03            | MIGRATION FLOWS — COM-<br>MON IMMIGRATION AND ASY-<br>LUM POLICIES                        | 3  | 299 330 000          | 163 246 661        |                            |                   | 299 330 000          | 163 246 661        |
| 18 05            | SECURITY AND SAFEGUARDING<br>LIBERTIES                                                    | 3  | 246 370 560          | 144 970 803        |                            |                   | 246 370 560          | 144 970 803        |
| 18 08            | POLICY STRATEGY AND COOR-<br>DINATION                                                     | 3  | 3 400 000            | 1 814 983          |                            |                   | 3 400 000            | 1 814 983          |
|                  | <b>Title 18 — Total</b>                                                                   |    | <b>1 249 268 924</b> | <b>740 261 722</b> |                            | <b>10 000 000</b> | <b>1 249 268 924</b> | <b>750 261 722</b> |
|                  | <b>40 01 40, 40 02 41</b>                                                                 |    | <b>14 779 662</b>    | <b>15 699 634</b>  |                            |                   | <b>14 779 662</b>    | <b>15 699 634</b>  |
|                  | <b>Total including reserves</b>                                                           |    | <b>1 264 048 586</b> | <b>755 961 356</b> |                            |                   | <b>1 264 048 586</b> | <b>765 961 356</b> |

## TITLE 18

## HOME AFFAIRS

## CHAPTER 18 02 — SOLIDARITY — EXTERNAL BORDERS, RETURN, VISA POLICY AND FREE MOVEMENT OF PEOPLE

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                | FF  | Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------------------------------|------------------------------------------------------------------------------------------------------------------------|-----|-------------|-------------|----------------------------|------------|-------------|-------------|
|                                     |                                                                                                                        |     | Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 18 02                               | SOLIDARITY — EXTERNAL BORDERS, RETURN, VISA POLICY AND FREE MOVEMENT OF PEOPLE                                         |     |             |             |                            |            |             |             |
| <b>18 02 03</b>                     | <b><i>European Agency for the Management of Operational Cooperation at the External Borders</i></b>                    |     |             |             |                            |            |             |             |
| 18 02 03 01                         | European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Titles 1 and 2 | 3.1 | 29 000 000  | 29 000 000  |                            |            | 29 000 000  | 29 000 000  |
| 18 02 03 02                         | European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Title 3        | 3.1 | 50 500 000  | 40 500 000  |                            |            | 50 500 000  | 40 500 000  |
|                                     | 40 02 41                                                                                                               |     | 9 000 000   | 9 000 000   |                            |            | 9 000 000   | 9 000 000   |
|                                     |                                                                                                                        |     | 59 500 000  | 49 500 000  |                            |            | 59 500 000  | 49 500 000  |
|                                     | Article 18 02 03 — Subtotal                                                                                            |     | 79 500 000  | 69 500 000  |                            |            | 79 500 000  | 69 500 000  |
|                                     | 40 02 41                                                                                                               |     | 9 000 000   | 9 000 000   |                            |            | 9 000 000   | 9 000 000   |
|                                     |                                                                                                                        |     | 88 500 000  | 78 500 000  |                            |            | 88 500 000  | 78 500 000  |
| <b>18 02 04</b>                     | <b><i>Schengen information system (SIS II)</i></b>                                                                     | 3.1 | 10 360 000  | 13 678 411  |                            |            | 10 360 000  | 13 678 411  |
|                                     | 40 02 41                                                                                                               |     | 5 180 000   | 6 131 702   |                            |            | 5 180 000   | 6 131 702   |
|                                     |                                                                                                                        |     | 15 540 000  | 19 810 113  |                            |            | 15 540 000  | 19 810 113  |
| <b>18 02 05</b>                     | <b><i>Visa Information System (VIS)</i></b>                                                                            | 3.1 | 38 740 000  | 27 356 823  |                            |            | 38 740 000  | 27 356 823  |
| <b>18 02 06</b>                     | <b><i>External Borders Fund</i></b>                                                                                    | 3.1 | 349 100 000 | 187 482 911 |                            |            | 349 100 000 | 187 482 911 |
| <b>18 02 07</b>                     | <b><i>Schengen evaluation</i></b>                                                                                      | 3.1 | p.m.        | p.m.        |                            |            | p.m.        | p.m.        |
|                                     | 40 02 41                                                                                                               |     | 560 000     | 528 270     |                            |            | 560 000     | 528 270     |
|                                     |                                                                                                                        |     | 560 000     | 528 270     |                            |            | 560 000     | 528 270     |
| <b>18 02 08</b>                     | <b><i>Preparatory action — Completion of return management in the area of migration</i></b>                            | 3.1 | p.m.        | p.m.        |                            |            | p.m.        | p.m.        |
| <b>18 02 09</b>                     | <b><i>European Return Fund</i></b>                                                                                     | 3.1 | 162 500 000 | 72 242 766  |                            | 10 000 000 | 162 500 000 | 82 242 766  |
| <b>18 02 10</b>                     | <b><i>Preparatory action — Migration management — Solidarity in action</i></b>                                         | 3.1 | p.m.        | p.m.        |                            |            | p.m.        | p.m.        |

## COMMISSION

## CHAPTER 18 02 — SOLIDARITY — EXTERNAL BORDERS, RETURN, VISA POLICY AND FREE MOVEMENT OF PEOPLE (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                       | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                                                                                               |     | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| <b>18 02 11</b>                     | <b>Agency for the operational management of large-scale IT systems in the area of freedom, security and justice</b>                           |     |                    |                    |                            |                   |                    |                    |
| 18 02 11 01                         | Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Titles 1 and 2 | 3.1 | 13 860 000         | 13 860 000         |                            |                   | 13 860 000         | 13 860 000         |
| 18 02 11 02                         | Agency for the operational management of large-scale IT systems in the area of freedom, security and justice — Contribution to Title 3        | 3.1 | 5 940 000          | 5 940 000          |                            |                   | 5 940 000          | 5 940 000          |
|                                     | <i>Article 18 02 11 — Subtotal</i>                                                                                                            |     | 19 800 000         | 19 800 000         |                            |                   | 19 800 000         | 19 800 000         |
|                                     | <b>Chapter 18 02 — Total</b>                                                                                                                  |     | <b>660 000 000</b> | <b>390 060 911</b> |                            | <b>10 000 000</b> | <b>660 000 000</b> | <b>400 060 911</b> |
|                                     | <b>40 02 41</b>                                                                                                                               |     | <b>14 740 000</b>  | <b>15 659 972</b>  |                            |                   | <b>14 740 000</b>  | <b>15 659 972</b>  |
|                                     | <b>Total including reserves</b>                                                                                                               |     | <b>674 740 000</b> | <b>405 720 883</b> |                            |                   | <b>674 740 000</b> | <b>415 720 883</b> |

## 18 02 09

*European Return Fund*

| Budget 2012 |            | Amending budget No. 6/2012 |            | New amount  |            |
|-------------|------------|----------------------------|------------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments   | Commitments | Payments   |
| 162 500 000 | 72 242 766 |                            | 10 000 000 | 162 500 000 | 82 242 766 |

*Remarks*

This appropriation will provide support for Member State measures to improve the management of return in all its dimensions while respecting fundamental rights through the use of the concept of integrated management, taking account of Union legislation in this field, in the following areas:

- the introduction and improvement of the organisation and implementation of integrated return management by Member States,
- the enhancement of the cooperation between Member States in the framework of integrated return management and its implementation,
- the promotion of an effective and uniform application of common standards on return according to the policy development in the field, with priority for voluntary return schemes,
- the organisation of information campaigns in countries of origin and of transit for prospective displaced persons, refugees and asylum seekers. These campaigns can take place in the framework of better cooperation with third countries that combat irregular migration and promote legal migration.

At the Commission's initiative, this appropriation is also intended to cover transnational actions or actions of interest to the Union as a whole (Union actions) concerning return policy. Studies to determine the existence of and evaluate mechanisms to support reintegration in selected third countries and examine the patterns of social and professional reintegration in the most relevant countries of origin, specifically in immediate eastern and southern neighbourhoods, are also covered.

**CHAPTER 18 02 — SOLIDARITY — EXTERNAL BORDERS, RETURN, VISA POLICY AND FREE MOVEMENT OF PEOPLE** (*cont'd*)**18 02 09** (*cont'd*)

This appropriation is also intended to finance a Union action to compile data for collaboration and exchange of best practices among childcare workers in closed detention centres for asylum seekers and immigrants.

*Legal basis*

Directive 2008/115/EC of the European Parliament and of the Council of 16 December 2008 on common standards and procedures in Member States for returning illegally staying third-country nationals (OJ L 348, 24.12.2008, p. 98).

Decision No 575/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the European Return Fund for the period 2008 to 2013 as part of the General Programme 'Solidarity and Management of Migration Flows' (OJ L 144, 6.6.2007, p. 45).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 2 May 2005 establishing a framework programme on Solidarity and Management of Migration Flows for the period 2007-2013 (COM(2005)0 123).

Commission Decision 2007/837/EC of 30 November 2007 implementing Decision No 575/2007/EC of the European Parliament and of the Council as regards the adoption of strategic guidelines for 2008 to 2013 (OJ L 330, 15.12.2007, p. 48).

Commission Decision 2008/458/EC of 5 March 2008 laying down rules for the implementation of Decision No 575/2007/EC of the European Parliament and of the Council establishing the European Return Fund for the period 2008 to 2013 as part of the General programme 'Solidarity and Management of Migration Flows' as regards Member States' management and control systems, the rules for administrative and financial management and the eligibility of expenditure on projects co-financed by the Fund (OJ L 167, 27.6.2008, p. 135).

## COMMISSION

# **TITLE 19** **EXTERNAL RELATIONS**

| Title<br>Chapter | Heading                                                                                                                                  | FF | Budget 2012          |                      | Amending budget No. 6/2012 |                    | New amount           |                      |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------------|--------------------|----------------------|----------------------|
|                  |                                                                                                                                          |    | Commitments          | Payments             | Commitments                | Payments           | Commitments          | Payments             |
| 19 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'EXTERNAL RELATIONS'<br>POLICY AREA                                                                 |    | 165 501 593          | 165 501 593          | – 2 160 000                | – 2 160 000        | 163 341 593          | 163 341 593          |
|                  | 40 01 40                                                                                                                                 |    | 16 345               | 16 345               |                            |                    | 16 345               | 16 345               |
|                  |                                                                                                                                          |    | 165 517 938          | 165 517 938          |                            |                    | 163 357 938          | 163 357 938          |
| 19 02            | COOPERATION WITH THIRD<br>COUNTRIES IN THE AREA OF<br>MIGRATION AND ASYLUM                                                               | 4  | 57 648 000           | 57 684 001           |                            | – 7 000 000        | 57 648 000           | 50 684 001           |
| 19 03            | COMMON FOREIGN AND SECU-<br>RITY POLICY (CFSP)                                                                                           | 4  | 362 464 000          | 302 277 340          |                            |                    | 362 464 000          | 302 277 340          |
| 19 04            | EUROPEAN INSTRUMENT FOR<br>DEMOCRACY AND HUMAN<br>RIGHTS (EIDHR)                                                                         | 4  | 165 065 000          | 142 748 116          |                            | – 4 000 000        | 165 065 000          | 138 748 116          |
| 19 05            | RELATIONS AND COOPERATION<br>WITH INDUSTRIALISED THIRD<br>COUNTRIES                                                                      | 4  | 24 021 000           | 20 154 828           |                            |                    | 24 021 000           | 20 154 828           |
| 19 06            | CRISIS RESPONSE AND GLOBAL<br>THREATS TO SECURITY                                                                                        | 4  | 377 189 700          | 258 779 119          |                            |                    | 377 189 700          | 258 779 119          |
| 19 08            | EUROPEAN NEIGHBOURHOOD<br>POLICY AND RELATIONS WITH<br>RUSSIA                                                                            |    | 2 365 742 646        | 1 341 926 745        |                            | 12 000 000         | 2 365 742 646        | 1 353 926 745        |
| 19 09            | RELATIONS WITH LATIN<br>AMERICA                                                                                                          | 4  | 374 323 000          | 280 953 257          |                            |                    | 374 323 000          | 280 953 257          |
| 19 10            | RELATIONS WITH ASIA, CEN-<br>TRAL ASIA AND MIDDLE EAST<br>(IRAQ, IRAN, YEMEN)                                                            | 4  | 896 201 500          | 677 438 920          |                            |                    | 896 201 500          | 677 438 920          |
| 19 11            | POLICY STRATEGY AND COOR-<br>DINATION FOR THE 'EXTERNAL<br>RELATIONS' POLICY AREA                                                        | 4  | 29 000 000           | 28 945 858           |                            |                    | 29 000 000           | 28 945 858           |
| 19 49            | EXPENDITURE ON ADMINISTRA-<br>TIVE MANAGEMENT OF PRO-<br>GRAMMES COMMITTED IN<br>ACCORDANCE WITH THE<br>FORMER FINANCIAL REGULA-<br>TION | 4  | —                    | p.m.                 |                            |                    | —                    | p.m.                 |
|                  | <b>Title 19 — Total</b>                                                                                                                  |    | <b>4 817 156 439</b> | <b>3 276 409 777</b> | <b>– 2 160 000</b>         | <b>– 1 160 000</b> | <b>4 814 996 439</b> | <b>3 275 249 777</b> |
|                  | <b>40 01 40</b>                                                                                                                          |    | <b>16 345</b>        | <b>16 345</b>        |                            |                    | <b>16 345</b>        | <b>16 345</b>        |
|                  | <b>Total including reserves</b>                                                                                                          |    | <b>4 817 172 784</b> | <b>3 276 426 122</b> |                            |                    | <b>4 815 012 784</b> | <b>3 275 266 122</b> |

## TITLE 19

### EXTERNAL RELATIONS

#### CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EXTERNAL RELATIONS' POLICY AREA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                        | FF | Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------|----|-------------|----------------------------|------------|
| 19 01                               | ADMINISTRATIVE EXPENDITURE OF THE 'EXTERNAL RELATIONS' POLICY AREA                                             |    |             |                            |            |
| <b>19 01 01</b>                     | <b>Expenditure related to staff in active employment in the 'External relations' policy area</b>               |    |             |                            |            |
| 19 01 01 01                         | Expenditure related to staff in active employment in the 'Service for Foreign Policy Instruments'              | 5  | 7 394 602   |                            | 7 394 602  |
| 19 01 01 02                         | Expenditure related to staff in active employment in the 'External relations' policy area in Union delegations | 5  | 6 376 989   |                            | 6 376 989  |
|                                     | <i>Article 19 01 01 — Subtotal</i>                                                                             |    | 13 771 591  |                            | 13 771 591 |
| <b>19 01 02</b>                     | <b>External staff and other management expenditure in support of the 'External relations' policy area</b>      |    |             |                            |            |
| 19 01 02 01                         | External staff of the 'Service for Foreign Policy Instruments'                                                 | 5  | 1 685 884   |                            | 1 685 884  |
| 19 01 02 02                         | External staff of the 'External relations' policy area in Union delegations                                    | 5  | 817 380     |                            | 817 380    |
| 19 01 02 11                         | Other management expenditure of the 'Service for Foreign Policy Instruments'                                   | 5  | 567 077     |                            | 567 077    |
|                                     | <i>40 01 40</i>                                                                                                |    | 16 345      |                            | 16 345     |
|                                     |                                                                                                                |    | 583 422     |                            | 583 422    |
| 19 01 02 12                         | Other management expenditure of the 'External relations' policy area in Union delegations                      | 5  | 441 438     |                            | 441 438    |
|                                     | <i>Article 19 01 02 — Subtotal</i>                                                                             |    | 3 511 779   |                            | 3 511 779  |
|                                     | <i>40 01 40</i>                                                                                                |    | 16 345      |                            | 16 345     |
|                                     |                                                                                                                |    | 3 528 124   |                            | 3 528 124  |

## COMMISSION

## CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EXTERNAL RELATIONS' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                    | FF | Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|----|-------------|----------------------------|------------|
| <b>19 01 03</b>                     | <b>Expenditure related to equipment, furniture and services, buildings and related expenditure of the 'External relations' policy area</b> |    |             |                            |            |
| 19 01 03 01                         | Expenditure related to equipment, furniture and services of the 'Service for Foreign Policy Instruments'                                   | 5  | 497 357     |                            | 497 357    |
| 19 01 03 02                         | Buildings and related expenditure of the 'External relations' policy area in Union delegations                                             | 5  | 3 524 000   |                            | 3 524 000  |
|                                     | Article 19 01 03 — Subtotal                                                                                                                |    | 4 021 357   |                            | 4 021 357  |
| <b>19 01 04</b>                     | <b>Support expenditure for operations in the 'External relations' policy area</b>                                                          |    |             |                            |            |
| 19 01 04 01                         | Financing instrument for development cooperation (DCI) — Expenditure on administrative management                                          | 4  | 59 632 000  |                            | 59 632 000 |
| 19 01 04 02                         | European Neighbourhood and Partnership Instrument (ENPI) — Expenditure on administrative management                                        | 4  | 58 507 566  | – 2 160 000                | 56 347 566 |
| 19 01 04 03                         | Instrument for Stability (IfS) — Expenditure on administrative management                                                                  | 4  | 8 144 000   |                            | 8 144 000  |
| 19 01 04 04                         | Common foreign and security policy (CFSP) — Expenditure on administrative management                                                       | 4  | 500 000     |                            | 500 000    |
| 19 01 04 05                         | Evaluation of the results of Union aid and follow-up and audit measures — Expenditure on administrative management                         | 4  | p.m.        |                            | p.m.       |
| 19 01 04 06                         | Instrument for Nuclear Safety Cooperation (INSC) — Expenditure on administrative management                                                | 4  | 1 274 300   |                            | 1 274 300  |
| 19 01 04 07                         | European Instrument for Democracy and Human Rights (EIDHR) — Expenditure on administrative management                                      | 4  | 11 460 000  |                            | 11 460 000 |
| 19 01 04 08                         | Industrialised Countries Instrument (ICI) — Expenditure on administrative management                                                       | 4  | 100 000     |                            | 100 000    |
| 19 01 04 20                         | Administrative support expenditure for the 'External relations' policy area                                                                | 4  | p.m.        |                            | p.m.       |

## COMMISSION

## CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE 'EXTERNAL RELATIONS' POLICY AREA (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                               | FF | Budget 2012        | Amending budget No. 6/2012 | New amount         |
|-------------------------------------|-------------------------------------------------------------------------------------------------------|----|--------------------|----------------------------|--------------------|
| 19 01 04 30                         | Education, Audiovisual and Culture Executive Agency — Contribution from external relations programmes | 4  | 4 579 000          |                            | 4 579 000          |
|                                     | <i>Article 19 01 04 — Subtotal</i>                                                                    |    | 144 196 866        | – 2 160 000                | 142 036 866        |
|                                     | <b>Chapter 19 01 — Total</b>                                                                          |    | <b>165 501 593</b> | <b>– 2 160 000</b>         | <b>163 341 593</b> |
|                                     | <b>40 01 40</b>                                                                                       |    | <b>16 345</b>      |                            | <b>16 345</b>      |
|                                     | <b>Total including reserves</b>                                                                       |    | <b>165 517 938</b> |                            | <b>163 357 938</b> |

19 01 04 *Support expenditure for operations in the 'External relations' policy area*

## 19 01 04 02 European Neighbourhood and Partnership Instrument (ENPI) — Expenditure on administrative management

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| 58 507 566  | – 2 160 000                | 56 347 566 |

*Remarks*

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission may delegate to an implementing agency governed by Union law,
- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) intended to take over the tasks previously conferred on dismantled technical assistance offices. Expenditure on external staff at headquarters is limited to EUR 5 233 566. This estimate is based on a provisional annual unit cost per man-year, of which 93 % is accounted for by remuneration for the staff concerned and 7 % by the additional cost of training, meetings, missions, information technology (IT) and telecommunications relating to those staff members,
- expenditure on external staff in Union delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Union delegations in third countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations in this item,
- expenditure on studies, meetings of experts, information systems and publications directly linked to the achievement of the objective of the programme.

## COMMISSION

**CHAPTER 19 01 — ADMINISTRATIVE EXPENDITURE OF THE ‘EXTERNAL RELATIONS’ POLICY AREA** *(cont’d)***19 01 04** *(cont’d)*19 01 04 02 *(cont’d)*

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

This appropriation covers administrative expenditure under Chapter 19 08.

**CHAPTER 19 02 — COOPERATION WITH THIRD COUNTRIES IN THE AREA OF MIGRATION AND ASYLUM**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                             | FF | Budget 2012       |                   | Amending budget No. 6/2012 |                    | New amount        |                   |
|-------------------------------------|-------------------------------------------------------------------------------------|----|-------------------|-------------------|----------------------------|--------------------|-------------------|-------------------|
|                                     |                                                                                     |    | Commitments       | Payments          | Commitments                | Payments           | Commitments       | Payments          |
| 19 02                               | COOPERATION WITH THIRD COUNTRIES IN THE AREA OF MIGRATION AND ASYLUM                |    |                   |                   |                            |                    |                   |                   |
| <b>19 02 01</b>                     | <b><i>Cooperation with third countries in the areas of migration and asylum</i></b> | 4  | 57 648 000        | 57 684 001        |                            | – 7 000 000        | 57 648 000        | 50 684 001        |
|                                     | <b>Chapter 19 02 — Total</b>                                                        |    | <b>57 648 000</b> | <b>57 684 001</b> |                            | <b>– 7 000 000</b> | <b>57 648 000</b> | <b>50 684 001</b> |

**19 02 01      *Cooperation with third countries in the areas of migration and asylum***

| Budget 2012 |            | Amending budget No. 6/2012 |             | New amount  |            |
|-------------|------------|----------------------------|-------------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments    | Commitments | Payments   |
| 57 648 000  | 57 684 001 |                            | – 7 000 000 | 57 648 000  | 50 684 001 |

*Remarks*

As part of the rationalisation and simplification of the instruments for external actions for the Financial Framework 2007-2013, the Aeneas programme, which provides for financial and technical assistance to third countries in the areas of migration and asylum, and was adopted on 10 March 2004 — and which followed the preparatory actions for 2001 to 2003 and the Communication from the Commission to the Council and the European Parliament of 3 December 2002 entitled 'Integrating migration issues in the European Union's relations with third countries' (COM(2002) 703 final) — was replaced by a thematic programme for cooperation with third countries in these fields under the financing instrument for development cooperation (DCI) (Regulation (EC) No 1905/2006).

The general aim of the DCI is to make the Union's external assistance more effective. Under this programme, the objective of the thematic programme on cooperation with third countries in the areas of migration and asylum is to support third countries in their efforts to ensure better management of migratory flows in all their dimensions. Appropriations are used to provide, in a specific and complementary way, technical and financial assistance for third countries to support them in these efforts.

The Union programme for the cooperation with third countries and regions of origin and transit has the objective of fostering the links between migration and development, as well as to mitigate the brain drain from south to north in the process, to promote well-managed labour migration, to fight illegal migration, to fight smuggling and the trafficking of human beings and facilitate re-admission, to protect migrants and to help third countries to improve their capacities to fulfil their international obligations in the field of asylum and migration.

This Union cooperation programme will finance appropriate actions which will join in a coherent way national and regional Union cooperation and development strategies regarding the third countries concerned and complete the actions — in particular in the fields of migration, asylum, border control, refugees and displaced people — provided for in the implementation of these strategies and financed from other Union instruments in the field of cooperation and development.

This thematic programme will, in the context of its new strategy, also take into account migration that results from climate change. The respect of democratic principles and the rule of law, as well as of human rights and of fundamental freedoms, will constitute an essential element for the application of this instrument. If necessary, and as far as possible, the actions financed will be associated with measures aimed at strengthening democracy and the rule of law and compliance with international instruments in this field, including the 1951 Geneva Convention relating to the status of refugees.

## COMMISSION

**CHAPTER 19 02 — COOPERATION WITH THIRD COUNTRIES IN THE AREA OF MIGRATION AND ASYLUM** (*cont'd*)**19 02 01** (*cont'd*)

Partners eligible for financial support under this appropriation may include regional and international organisations and agencies (in particular, United Nations agencies), non-governmental organisations or other non-State actors, federal, national, provincial and local governments of third countries, their departments and agencies, institutes, associations and public and private operators.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

*Legal basis*

Regulation (EC) No 491/2004 of the European Parliament and of the Council of 10 March 2004 establishing a programme for financial and technical assistance to third countries in the areas of migration and asylum (AENEAS) (OJ L 80, 18.3.2004, p. 1).

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled 'External Actions through Thematic Programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the European Parliament and the Council of 25 January 2006 entitled 'Thematic programme for the cooperation with third countries in the areas of migration and asylum' (COM(2006) 26 final).

**CHAPTER 19 04 — EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS (EIDHR)**

| Title<br>Chapter<br>Article<br>Item | Heading                                                             | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                    | New amount         |                    |
|-------------------------------------|---------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|--------------------|--------------------|--------------------|
|                                     |                                                                     |    | Commitments        | Payments           | Commitments                | Payments           | Commitments        | Payments           |
| 19 04                               | EUROPEAN INSTRUMENT FOR DEMOCRACY AND HUMAN RIGHTS (EIDHR)          |    |                    |                    |                            |                    |                    |                    |
| <b>19 04 01</b>                     | <b>European Instrument for Democracy and Human Rights (EIDHR)</b>   | 4  | 126 665 000        | 103 411 196        |                            |                    | 126 665 000        | 103 411 196        |
| <b>19 04 03</b>                     | <b>Electoral observation</b>                                        | 4  | 38 000 000         | 33 409 566         |                            | – 4 000 000        | 38 000 000         | 29 409 566         |
| <b>19 04 04</b>                     | <b>Preparatory action — Establish a conflict-prevention network</b> | 4  | p.m.               | p.m.               |                            |                    | p.m.               | p.m.               |
| <b>19 04 05</b>                     | <b>Completion of former cooperation</b>                             | 4  | p.m.               | 5 727 354          |                            |                    | p.m.               | 5 727 354          |
| <b>19 04 06</b>                     | <b>Pilot project — Civil Society Forum EU-Russia</b>                | 4  | 400 000            | 200 000            |                            |                    | 400 000            | 200 000            |
| <b>19 04 07</b>                     | <b>Pilot project — Funding for victims of torture</b>               | 4  | p.m.               | p.m.               |                            |                    | p.m.               | p.m.               |
|                                     | <b>Chapter 19 04 — Total</b>                                        |    | <b>165 065 000</b> | <b>142 748 116</b> |                            | <b>– 4 000 000</b> | <b>165 065 000</b> | <b>138 748 116</b> |

**19 04 03*****Electoral observation***

| Budget 2012 |            | Amending budget No. 6/2012 |             | New amount  |            |
|-------------|------------|----------------------------|-------------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments    | Commitments | Payments   |
| 38 000 000  | 33 409 566 |                            | – 4 000 000 | 38 000 000  | 29 409 566 |

*Remarks*

Key areas of activity will include: building confidence in and enhancing the reliability and transparency of democratic electoral processes, through further development of Union electoral observation and support for observation capacity at regional and national level.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

*Legal basis*

Regulation (EC) No 1889/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a financing instrument for the promotion of democracy and human rights worldwide (OJ L 386, 29.12.2006, p. 1).

*Reference acts*

Commission statement on EU Election Observation Missions (EU EOM) related to the adoption of the European Instrument for Democracy and Human Rights confirming its intention to keep EU EOM related expenditure within 25 % of the EIDHR budget over the seven-year period of the 2007 to 2013 Financial Framework.

## COMMISSION

## CHAPTER 19 08 — EUROPEAN NEIGHBOURHOOD POLICY AND RELATIONS WITH RUSSIA

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                 | FF | Budget 2012   |               | Amending budget No. 6/2012 |            | New amount    |               |
|-------------------------------------|---------------------------------------------------------------------------------------------------------|----|---------------|---------------|----------------------------|------------|---------------|---------------|
|                                     |                                                                                                         |    | Commitments   | Payments      | Commitments                | Payments   | Commitments   | Payments      |
| 19 08                               | EUROPEAN NEIGHBOURHOOD POLICY AND RELATIONS WITH RUSSIA                                                 |    |               |               |                            |            |               |               |
| <b>19 08 01</b>                     | <b><i>European Neighbourhood and Partnership financial cooperation</i></b>                              |    |               |               |                            |            |               |               |
| 19 08 01 01                         | European Neighbourhood and Partnership financial cooperation with Mediterranean countries               | 4  | 1 243 861 010 | 671 552 312   |                            |            | 1 243 861 010 | 671 552 312   |
| 19 08 01 02                         | European Neighbourhood and Partnership financial assistance to Palestine, the peace process and UNRWA   | 4  | 200 000 000   | 180 000 000   |                            |            | 200 000 000   | 180 000 000   |
| 19 08 01 03                         | European Neighbourhood and Partnership financial cooperation with eastern Europe                        | 4  | 728 385 000   | 331 699 712   |                            | 12 000 000 | 728 385 000   | 343 699 712   |
| 19 08 01 04                         | Pilot project — Preventive and recovery actions for the Baltic seabed                                   | 4  | p.m.          | 500 000       |                            |            | p.m.          | 500 000       |
| 19 08 01 05                         | Preparatory action — Minorities in Russia — Developing culture, media and civil society                 | 4  | p.m.          | 2 500 000     |                            |            | p.m.          | 2 500 000     |
| 19 08 01 06                         | Preparatory action — New Euro-Mediterranean strategy for youth employment promotion                     | 4  | 1 500 000     | 750 000       |                            |            | 1 500 000     | 750 000       |
| 19 08 01 08                         | Pilot project — ENP funding — Preparing staff for EU-ENP-related jobs                                   | 4  | p.m.          | 560 000       |                            |            | p.m.          | 560 000       |
|                                     | <i>Article 19 08 01 — Subtotal</i>                                                                      |    | 2 173 746 010 | 1 187 562 024 |                            | 12 000 000 | 2 173 746 010 | 1 199 562 024 |
| <b>19 08 02</b>                     | <b><i>Cross-border cooperation (CBC) — European Neighbourhood and Partnership Instrument (ENPI)</i></b> |    |               |               |                            |            |               |               |
| 19 08 02 01                         | Cross-border cooperation (CBC) contribution from Heading 4                                              | 4  | 92 775 000    | 76 364 721    |                            |            | 92 775 000    | 76 364 721    |

## COMMISSION

**CHAPTER 19 08 — EUROPEAN NEIGHBOURHOOD POLICY AND RELATIONS WITH RUSSIA** (*cont'd*)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                       | FF  | Budget 2012          |                      | Amending budget No. 6/2012 |                   | New amount           |                      |
|-------------------------------------|-------------------------------------------------------------------------------|-----|----------------------|----------------------|----------------------------|-------------------|----------------------|----------------------|
|                                     |                                                                               |     | Commitments          | Payments             | Commitments                | Payments          | Commitments          | Payments             |
| 19 08 02 02                         | Cross-border cooperation (CBC) contribution from Heading 1b (Regional Policy) | 1.2 | 99 221 636           | 78 000 000           |                            |                   | 99 221 636           | 78 000 000           |
|                                     | <i>Article 19 08 02 — Subtotal</i>                                            |     | 191 996 636          | 154 364 721          |                            |                   | 191 996 636          | 154 364 721          |
| <b>19 08 03</b>                     | <b>Completion of the financial protocols with Mediterranean countries</b>     | 4   | p.m.                 | p.m.                 |                            |                   | p.m.                 | p.m.                 |
|                                     | <b>Chapter 19 08 — Total</b>                                                  |     | <b>2 365 742 646</b> | <b>1 341 926 745</b> |                            | <b>12 000 000</b> | <b>2 365 742 646</b> | <b>1 353 926 745</b> |

*Remarks*

The Union aims to establish an area of prosperity and friendly neighbourliness involving Member States and the neighbouring partner countries <sup>(1)</sup>. To that effect the Union has established agreements with most neighbouring countries as well as European Neighbourhood Policy Action Plans to implement such agreements. This negotiated framework is aimed at developing a stronger and deeper relation based on shared values and mutual interest and involving a significant degree of economic integration and political cooperation. The Union has also engaged with Russia in a genuine strategic partnership, founded on common interests and shared values and based on the creation of four 'common spaces'. The appropriations under this chapter are intended to cover cooperation measures aimed at supporting the implementation of such agreements. Cooperation with those countries with whom such agreements are not yet signed or do not exist — such as for Belarus, Libya or Syria — will be based on Union policy objectives.

**19 08 01 European Neighbourhood and Partnership financial cooperation**

## 19 08 01 03 European Neighbourhood and Partnership financial cooperation with eastern Europe

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 728 385 000 | 331 699 712 |                            | 12 000 000 | 728 385 000 | 343 699 712 |

*Remarks*

This appropriation is intended in particular to finance cooperation actions aimed primarily at supporting the implementation of the agreements and the ENP Action Plans concluded with the Union's eastern neighbours as well as bilateral and multilateral actions in the framework of the Eastern Partnership. It will also be used to support the Strategic Partnership between the Union and Russia through implementation of the four common spaces covering economic cooperation; freedom, security and justice; external security; and research and education, including culture. This will entail, inter alia, the following areas of cooperation:

- promoting political dialogue and democratic reform,
- promoting legislative and regulatory approximation and encouraging the progressive participation of partner countries in the internal market and the intensification of trade,

<sup>(1)</sup> This includes 17 countries, of which seven (Armenia, Azerbaijan, Belarus, Georgia, Moldova, the Russian Federation and Ukraine) located to the east of the Union and 10 (Algeria, Egypt, Jordan, Israel, Lebanon, Libya, Morocco, the Palestinian Authority, Syria and Tunisia) located to the south of the Union.

## COMMISSION

**CHAPTER 19 08 — EUROPEAN NEIGHBOURHOOD POLICY AND RELATIONS WITH RUSSIA** *(cont'd)***19 08 01** *(cont'd)***19 08 01 03** *(cont'd)*

- strengthening the national institutions responsible for the elaboration and implementation of policies in the areas covered by the association agreements for instance by twinning and technical assistance mechanisms such as TAIEX,
- promoting respect for human rights such as freedom of the media and freedom of expression,
- promoting good governance and the fight against corruption,
- promoting gender equality,
- supporting the transition to a market economy and the modernisation of the economy, promoting investments in the region and strengthening small- and medium-sized enterprises,
- promoting sustainable development and rural development and contributing to poverty reduction,
- establishing better transport and energy interconnections between the Union and neighbouring countries and among neighbouring countries themselves and addressing threats to our common environment,
- promoting actions contributing to conflict resolution and conflict prevention in areas of frozen conflict,
- fostering the development of civil society, inter alia, to promote social inclusion and encourage under-represented groups to gain a voice and participate in civil society and the political system,
- promoting people-to-people contacts and exchanges in the field of education, research and culture,
- supporting regional cooperation, including within the contexts of the 'Black Sea Synergy' and the Eastern Partnership,
- supporting actions in the field of migration designed, inter alia, to promote links between migration and development, to combat illegal immigration and to facilitate readmission. These actions will be supplemented by actions financed from allocations under Article 19 02 01 'Cooperation with third countries in the areas of migration and asylum'.

This appropriation is also intended to fund research on human health and the sustainable development of Ukraine and Belarus, especially as regards improving health conditions in the regions affected by the Chernobyl disaster.

This appropriation is also intended to finance confidence building measures in frozen conflict areas in Georgia, Transnistria, the breakaway territories of Abkhazia and South Ossetia, as well as local confidence-building and local economic rehabilitation projects in Nagorno-Karabakh.

Appropriations under this item will also be used for actions aimed at informing the general public and the potential beneficiaries of assistance and at increasing the visibility of Union assistance.

Should there be a serious deterioration in a given country in the field of liberty, democracy, respect for fundamental rights and fundamental freedoms and the rule of law, Union assistance may be scaled down and used primarily to support non-state actors for measures aimed at promoting human rights and fundamental freedoms. With the exception of humanitarian aid, and implementation aid by NGOs, the United Nations' agencies or by impartial operators, assistance to governments should not be provided if the governments are responsible for a clear worsening of the situation in the field of democracy, the rule of law and respect for fundamental rights and freedoms.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. Such contributions under Article 6 3 3 of the statement of revenue constitute assigned revenue under Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

**CHAPTER 19 08 — EUROPEAN NEIGHBOURHOOD POLICY AND RELATIONS WITH RUSSIA** (*cont'd*)**19 08 01** (*cont'd*)19 08 01 03 (*cont'd*)

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this item. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to the 'European Economic Area' Annex to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Part of this appropriation will be allocated for additional support to Baltic Sea Strategy objectives. The support, committed in 2010 and 2011, is being implemented through support to the Northern Dimension in the framework of Regional East and Inter-regional Indicative Programmes. Other frameworks for implementing support to the Baltic Sea may include, where appropriate, the Baltic Sea Region Programme, the HELCOM Baltic Sea Action Plan, the BONUS-169 Joint Baltic Sea Research Programme, amongst others.

Part of this appropriation is intended to be used, with due regard for the provisions of the Financial Regulation, for operations carried out by senior volunteer experts from the Union who come under the ESSN (European Senior Services Network), including technical assistance, advisory services and training in selected public or private sector enterprises.

*Legal basis*

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006, p. 1).

## COMMISSION

## TITLE 21

## DEVELOPMENT AND RELATIONS WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES

| Title<br>Chapter | Heading                                                                                                             | FF | Budget 2012          |                      | Amending budget No. 6/2012 |                  | New amount           |                      |
|------------------|---------------------------------------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------------|------------------|----------------------|----------------------|
|                  |                                                                                                                     |    | Commitments          | Payments             | Commitments                | Payments         | Commitments          | Payments             |
| 21 01            | ADMINISTRATIVE EXPENDITURE OF THE 'DEVELOPMENT AND RELATIONS WITH ACP STATES' POLICY AREA                           |    | 259 006 432          | 259 006 432          |                            |                  | 259 006 432          | 259 006 432          |
|                  | 40 01 40                                                                                                            |    | 29 933               | 29 933               |                            |                  | 29 933               | 29 933               |
|                  |                                                                                                                     |    | 259 036 365          | 259 036 365          |                            |                  | 259 036 365          | 259 036 365          |
| 21 02            | FOOD SECURITY                                                                                                       | 4  | 246 264 700          | 216 053 058          |                            |                  | 246 264 700          | 216 053 058          |
| 21 03            | NON-STATE ACTORS IN DEVELOPMENT                                                                                     | 4  | 233 018 000          | 188 093 567          |                            |                  | 233 018 000          | 188 093 567          |
| 21 04            | ENVIRONMENT AND SUSTAINABLE MANAGEMENT OF NATURAL RESOURCES, INCLUDING ENERGY                                       | 4  | 200 713 000          | 163 775 032          |                            | – 10 000 000     | 200 713 000          | 153 775 032          |
| 21 05            | HUMAN AND SOCIAL DEVELOPMENT                                                                                        | 4  | 161 630 000          | 112 033 699          |                            | 14 400 000       | 161 630 000          | 126 433 699          |
| 21 06            | GEOGRAPHICAL COOPERATION WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES                                           | 4  | 345 693 444          | 324 730 039          |                            | – 3 000 000      | 345 693 444          | 321 730 039          |
| 21 07            | DEVELOPMENT COOPERATION ACTIONS AND AD-HOC PROGRAMMES                                                               | 4  | 32 110 000           | 29 600 874           |                            |                  | 32 110 000           | 29 600 874           |
| 21 08            | POLICY STRATEGY AND COORDINATION FOR THE 'DEVELOPMENT AND RELATIONS WITH ACP STATES' POLICY AREA                    | 4  | 19 477 000           | 16 566 519           |                            |                  | 19 477 000           | 16 566 519           |
| 21 49            | EXPENDITURE ON ADMINISTRATIVE MANAGEMENT OF PROGRAMMES COMMITTED IN ACCORDANCE WITH THE FORMER FINANCIAL REGULATION | 4  | —                    | p.m.                 |                            |                  | —                    | p.m.                 |
|                  | <b>Title 21 — Total</b>                                                                                             |    | <b>1 497 912 576</b> | <b>1 309 859 220</b> |                            | <b>1 400 000</b> | <b>1 497 912 576</b> | <b>1 311 259 220</b> |
|                  | <b>40 01 40, 40 02 41</b>                                                                                           |    | <b>29 933</b>        | <b>29 933</b>        |                            |                  | <b>29 933</b>        | <b>29 933</b>        |
|                  | <b>Total including reserves</b>                                                                                     |    | <b>1 497 942 509</b> | <b>1 309 889 153</b> |                            |                  | <b>1 497 942 509</b> | <b>1 311 289 153</b> |

## Remarks

Union assistance should not be given to any authority, organisation or programme which supports or participates in the management of an action which involves such human rights abuses as coercive abortion, involuntary sterilisation or infanticide, especially where such actions apply their priorities through psychological, social, economic or legal pressure, thus finally implementing the specific Cairo International Conference on Population and Development (ICPD) prohibition on coercion or compulsion in sexual and reproductive health matters. The Commission should present a report on the implementation of the Union's external assistance covering this programme.

## TITLE 21

## DEVELOPMENT AND RELATIONS WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES

## CHAPTER 21 04 — ENVIRONMENT AND SUSTAINABLE MANAGEMENT OF NATURAL RESOURCES, INCLUDING ENERGY

| Title<br>Chapter<br>Article<br>Item | Heading                                                                              | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                     | New amount         |                    |
|-------------------------------------|--------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|---------------------|--------------------|--------------------|
|                                     |                                                                                      |    | Commitments        | Payments           | Commitments                | Payments            | Commitments        | Payments           |
| 21 04                               | ENVIRONMENT AND SUSTAINABLE MANAGEMENT OF NATURAL RESOURCES, INCLUDING ENERGY        |    |                    |                    |                            |                     |                    |                    |
| 21 04 01                            | <i>Environment and sustainable management of natural resources, including energy</i> | 4  | 200 713 000        | 162 275 032        |                            | – 10 000 000        | 200 713 000        | 152 275 032        |
| 21 04 05                            | <i>Global Energy Efficiency and Renewable Energy Fund (GEEREF)</i>                   | 4  | p.m.               | p.m.               |                            |                     | p.m.               | p.m.               |
| 21 04 06                            | <i>Preparatory action — Water management in developing countries</i>                 | 4  | p.m.               | 1 500 000          |                            |                     | p.m.               | 1 500 000          |
|                                     | <b>Chapter 21 04 — Total</b>                                                         |    | <b>200 713 000</b> | <b>163 775 032</b> |                            | <b>– 10 000 000</b> | <b>200 713 000</b> | <b>153 775 032</b> |

## 21 04 01

*Environment and sustainable management of natural resources, including energy*

| Budget 2012 |             | Amending budget No. 6/2012 |              | New amount  |             |
|-------------|-------------|----------------------------|--------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments     | Commitments | Payments    |
| 200 713 000 | 162 275 032 |                            | – 10 000 000 | 200 713 000 | 152 275 032 |

*Remarks*

This appropriation is intended to promote and implement the policy on the environment and on the sustainable management of natural resources, including energy, as it applies to the Union's relations with developing countries and with Europe's neighbours.

Financial support is provided to actions in five priority areas: (1) working upstream on Millennium Development Goal 7: promoting environmental sustainability; (2) promoting implementation of Union initiatives and helping developing countries to meet internationally agreed commitments; (3) improving expertise for integration and coherence; (4) strengthening environmental governance and Union leadership; and (5) supporting sustainable energy options in partner countries and regions.

Part of this appropriation is to be used for the mainstreaming of disaster risk reduction, based on ownership and national strategies of disaster-prone countries.

Support for sustainable energy options in partner countries and regions also includes appropriations to cover the Union contribution to the Global Energy Efficiency and Renewable Energy Fund (GEEREF). The objective of GEEREF is to mobilise public and private finance to help solve the financing gridlock for economic renewable energy projects and businesses, in developing countries and in (non-Union) economies in transition.

## COMMISSION

**CHAPTER 21 04 — ENVIRONMENT AND SUSTAINABLE MANAGEMENT OF NATURAL RESOURCES, INCLUDING ENERGY** (*cont'd*)**21 04 01** (*cont'd*)

Support for climate change adaptation in partner countries and regions includes a contribution to further strengthen the implementation of the European Union's Action Plan on Climate Change and Development through the Global Climate Change Alliance (GCCA). The GCCA is instrumental to enhance the cooperation between the Union and developing countries on climate change, particularly in the area of adaptation towards the impacts of climate change, which is most acute for many poor developing countries.

This programme is intended to benefit primarily the countries covered by the Financing instrument for development cooperation (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit the European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, an envelope of EUR 63 000 000 is foreseen for such countries. This appropriation is also intended to cover the completion of payments for actions funded under the former Article 21 02 05 'Environment in Developing Countries'.

In order to ensure full financial transparency pursuant to Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make all efforts that they commit to sending all their internal and external audits to the European Court of Auditors and to the Internal Auditor of the Commission regarding the use of Union funds.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006. Appropriations under this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

Millions of refugees around the world rely on direct Union and Union-sponsored aid in order to survive and build up a sustainable future. It is the Union's policy to ensure that refugees become self-reliant and are eventually able to leave the camp and start their lives again. In practice this self-reliance aim encounters various problems, one of them being that refugees need to travel in order to make a living outside of the camp and once they leave, they need to build up a new existence elsewhere. Decent lighting is crucial to facilitate this process. Traditional lighting in unelectrified areas is usually done with kerosene lights. People breathe toxic air, there is severe risk of burning accidents and kerosene lighting fuels are expensive. It makes people less mobile and consumes productive time when supplies need to be replenished. Supporting refugees in their self reliance will prevent them from returning to the refugee camp and asking for additional (financial) aid. Solar LED lights have a direct impact on expenditures (saving up to 20 % of their income) and they provide light all night long without any operational costs allowing people to work longer, study longer and to travel safely, thus improving their livelihoods. Various research projects have already determined the impact on school results and costs savings are evident. Distributed in the refugee camps, solar LED lights will decrease the reliance on diesel generators for electricity. Since the lights are mobile, they are expected to drastically increase the actual safety level and perceived safety in the camps. Solar LED lights will enhance livelihood and allow for safe, non-toxic light at

**CHAPTER 21 04 — ENVIRONMENT AND SUSTAINABLE MANAGEMENT OF NATURAL RESOURCES, INCLUDING ENERGY** (*cont'd*)**21 04 01** (*cont'd*)

night for various activities. With the action 'Refugee self-reliance through sustainable lighting' budget will be invested in sustainable futures for refugees as well as refugee camp safety and livelihood. If results are satisfactory according to predetermined success variables, Union institutions should take measures to add sustainable lighting to the basic necessities in refugee camps. For this action, light weight, durable and low-cost solar lamps can be used. There is already an efficient solar lamp in the low-cost price category allowing for 16 hours of reading light, 80 hours of safety night light on a single charge and 8 hours of very bright light. It is mobile, compact and has a long battery life, exceeding two years. For this action it is proposed to start with two large refugee camps in different geographical locations. After a baseline study of monthly expenditures, refugee return ratios, camp livelihood and camp safety, solar LED lights will be distributed to facilitate the entire camp. Previously measured variables will be monitored and after a significant amount of time, conclusions will be drawn in order to support further Union policies in this field. With EUR 600 000 approximately 75 000 lights can be distributed, which will be shared by camp inhabitants. This budget includes monitoring and reporting.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 3 August 2005 entitled 'External Actions through Thematic programmes under the Future Financial Perspectives 2007-2013' (COM(2005) 324 final).

Communication from the Commission to the Council, the European Parliament, the European Economic and Social Committee and the Committee of the Regions of 9 March 2010 entitled 'International climate policy post-Copenhagen: Acting now to reinvigorate global action on climate change' (COM(2010) 86 final).

## COMMISSION

## CHAPTER 21 05 — HUMAN AND SOCIAL DEVELOPMENT

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                            | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                                                                    |    | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 21 05                               | HUMAN AND SOCIAL DEVELOPMENT                                                                                       |    |                    |                    |                            |                   |                    |                    |
| <b>21 05 01</b>                     | <b>Human and social development</b>                                                                                |    |                    |                    |                            |                   |                    |                    |
| 21 05 01 01                         | Health                                                                                                             | 4  | 38 190 000         | 15 463 856         |                            | 14 400 000        | 38 190 000         | 29 863 856         |
| 21 05 01 02                         | Education                                                                                                          | 4  | p.m.               | 8 113 752          |                            |                   | p.m.               | 8 113 752          |
| 21 05 01 03                         | Other aspects of human and social development                                                                      | 4  | 71 440 000         | 17 683 206         |                            |                   | 71 440 000         | 17 683 206         |
| 21 05 01 04                         | Gender equality                                                                                                    | 4  | p.m.               | 12 958 139         |                            |                   | p.m.               | 12 958 139         |
| 21 05 01 05                         | Pilot project — Qualitative and quantitative monitoring of health and education expenditure                        | 4  | p.m.               | 50 000             |                            |                   | p.m.               | 50 000             |
| 21 05 01 06                         | Preparatory action — Pharmaceutical-related transfer of technology in favour of developing countries               | 4  | p.m.               | 1 384 000          |                            |                   | p.m.               | 1 384 000          |
| 21 05 01 07                         | Preparatory action — Research and development on poverty-related, tropical and neglected diseases                  | 4  | p.m.               | 2 800 000          |                            |                   | p.m.               | 2 800 000          |
| 21 05 01 08                         | Pilot project — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC)      | 4  | p.m.               | 80 000             |                            |                   | p.m.               | 80 000             |
| 21 05 01 09                         | Preparatory action — Enhanced health care for victims of sexual violence in the Democratic Republic of Congo (DRC) | 4  | 2 000 000          | 1 000 000          |                            |                   | 2 000 000          | 1 000 000          |
|                                     | Article 21 05 01 — Subtotal                                                                                        |    | 111 630 000        | 59 532 953         |                            | 14 400 000        | 111 630 000        | 73 932 953         |
| <b>21 05 02</b>                     | <b>Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM)</b>                                                 | 4  | 50 000 000         | 47 727 951         |                            |                   | 50 000 000         | 47 727 951         |
| <b>21 05 03</b>                     | <b>Human and social development — Completion of former cooperation</b>                                             | 4  | p.m.               | 4 772 795          |                            |                   | p.m.               | 4 772 795          |
|                                     | <b>Chapter 21 05 — Total</b>                                                                                       |    | <b>161 630 000</b> | <b>112 033 699</b> |                            | <b>14 400 000</b> | <b>161 630 000</b> | <b>126 433 699</b> |

CHAPTER 21 05 — HUMAN AND SOCIAL DEVELOPMENT (*cont'd*)21 05 01 *Human and social development*

## 21 05 01 01 Health

| Budget 2012 |            | Amending budget No. 6/2012 |            | New amount  |            |
|-------------|------------|----------------------------|------------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments   | Commitments | Payments   |
| 38 190 000  | 15 463 856 |                            | 14 400 000 | 38 190 000  | 29 863 856 |

*Remarks*

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Good health for all' component of the thematic programme 'Investing in people'.

Financial support is provided to actions in four priority areas: (1) combating poverty and neglected diseases, targeting communicable diseases and diseases that can be prevented by vaccination; (2) improving maternal health, reproductive and sexual health in developing countries; (3) improving equitable access to health providers, commodities and health services; and (4) keeping a balanced approach between prevention, treatment and care, with prevention as a key priority.

This appropriation may not be made available to the Global Fund to Fight Aids, Tuberculosis and Malaria (GFATM). Part of the appropriation will be provided for technical aid in recipient countries. This allocation will complement the financing role of the GFATM by ensuring that a coordinated and effective technical support mechanism is in place, resulting in effective implementation of Global Fund appropriations.

This programme is intended to benefit primarily the countries covered by the Development Cooperation Instrument (DCI). However, in line with Article 38 of Regulation (EC) No 1905/2006 allocating an amount to finance activities that benefit European Neighbourhood and Partnership Instrument (ENPI) countries under the thematic programmes, an envelope equivalent to 6 % of this programme over the period 2007-2013 is foreseen for such countries.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions under Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter. This appropriation is also intended to cover zinc supplementation and other micronutrients for the treatment and prevention of diarrhoea and malnutrition in developing countries.

This appropriation is intended to provide financial support to actions in developing countries and in Europe's neighbouring countries under the 'Good health for all' component of the thematic programme 'Investing in people'.

Appropriations under this title and within the second priority (improving reproductive and sexual health in developing countries) should specifically finance interventions in countries with critical indicators in maternal and reproductive health to reduce bottlenecks and promote good practices for the achievement of Millennium Development Goal 5 ('Improve maternal health') by 2015 and the advancement of the full implementation of the ICPD Programme of Action, notably by supporting capacity-building for the design and implementation of national maternal, reproductive health and family planning strategies within health systems strengthening.

Where assistance is delivered via budget support, the Commission shall support efforts of partner countries to develop parliamentary oversight, audit capacities and transparency in line with Article 25(1)(b) of Regulation (EC) No 1905/2006.

COMMISSION

**CHAPTER 21 05 — HUMAN AND SOCIAL DEVELOPMENT** (*cont'd*)

**21 05 01** (*cont'd*)

21 05 01 01 (*cont'd*)

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

*Reference acts*

Communication from the Commission to the Council and the European Parliament of 25 January 2006 entitled 'Investing in people. Communication on the thematic programme for human and social development and the financial perspectives for 2007-2013' (COM(2006) 18 final).

## CHAPTER 21 06 — GEOGRAPHICAL COOPERATION WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                          | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                    | New amount         |                    |
|-------------------------------------|--------------------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|--------------------|--------------------|--------------------|
|                                     |                                                                                                  |    | Commitments        | Payments           | Commitments                | Payments           | Commitments        | Payments           |
| 21 06                               | GEOGRAPHICAL COOPERATION WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES                        |    |                    |                    |                            |                    |                    |                    |
| 21 06 02                            | <i>Relations with South Africa</i>                                                               | 4  | 127 869 000        | 137 456 498        |                            |                    | 127 869 000        | 137 456 498        |
| 21 06 03                            | <i>Adjustment support for sugar protocol countries</i>                                           | 4  | 174 824 444        | 130 000 000        |                            | – 3 000 000        | 174 824 444        | 127 000 000        |
| 21 06 04                            | <i>Rehabilitation and reconstruction action in developing countries, particularly ACP States</i> | 4  | p.m.               | p.m.               |                            |                    | p.m.               | p.m.               |
| 21 06 05                            | <i>Assistance to ACP banana producers</i>                                                        | 4  | p.m.               | 22 909 416         |                            |                    | p.m.               | 22 909 416         |
| 21 06 06                            | <i>Cooperation activities other than Official Development Assistance (South Africa)</i>          | 4  | 2 000 000          | 954 559            |                            |                    | 2 000 000          | 954 559            |
| 21 06 07                            | <i>Banana accompanying measures</i>                                                              | 4  | 41 000 000         | 33 409 566         |                            |                    | 41 000 000         | 33 409 566         |
|                                     | <b>Chapter 21 06 — Total</b>                                                                     |    | <b>345 693 444</b> | <b>324 730 039</b> |                            | <b>– 3 000 000</b> | <b>345 693 444</b> | <b>321 730 039</b> |

## Remarks

For countries defined as Official Development Assistance (ODA) recipients by the OECD Development Assistance Committee (DAC), a benchmark of 35 % of annual commitments has in the past been allocated to social infrastructure, mainly education and health, but also including macroeconomic assistance with social sector conditionality, recognising that the Union contribution must be seen as part of the overall donor support to the social sectors and that a degree of flexibility should be the norm. The Commission will continue to report on this benchmark.

Moreover, in parallel with the Commission declaration concerning Article 5 of Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (DCI) (OJ L L 378, 27.12.2006, p. 41), the Commission will endeavour to ensure that a benchmark of 20 % of its allocated assistance under country programmes covered by the DCI will be dedicated, by 2009, to basic education and basic health through project programme or budget support linked to these sectors, taking an average across all geographical areas and recognising that a degree of flexibility must be the norm, such as in cases where exceptional assistance is involved.

Before July each year, the Commission will provide the European Parliament and the Council with an annual report on the Union's development policy and external assistance, meeting all the Commission's regulatory reporting requirements and giving full details of development cooperation, especially the extent to which it has met its objectives. In particular, the report will:

- present the strategic objectives of the Union's development policy and its contribution towards the previous 35 % benchmark for social infrastructure and services and the current 20 % benchmark for basic and secondary education and for basic health, in the context of the geographical cooperation under the DCI, and assess the efficiency and effectiveness of cooperation, including progress made in coordinating aid, in improving the coherence of the Union's strategy in its external actions, and in integrating the cross-cutting issues such as gender, human rights, conflict prevention and the environment,

## COMMISSION

**CHAPTER 21 06 — GEOGRAPHICAL COOPERATION WITH AFRICAN, CARIBBEAN AND PACIFIC (ACP) STATES** (*cont'd*)

- present the main results of evaluation and monitoring reports, as an indication of the extent to which its actions are achieving their objectives,
- summarise the key features and events of cooperation in each geographical region, and
- provide financial information on the support given to each sector, in accordance with OECD reporting criteria.

The report should also contain information on how budget support has contributed to the Millennium Development Goals. Budget support will depend on prior demonstration of sufficient institutional capacity and compliance with detailed criteria for the custody and use of funds in the recipient country. The criteria must be stated in the annual report, and compliance therewith must be assessed in the report.

After the report has been presented the European Parliament, the Council and the Commission will enter into a dialogue on the results and on how further progress can be made towards achieving the objectives.

**21 06 03*****Adjustment support for sugar protocol countries***

| Budget 2012 |             | Amending budget No. 6/2012 |             | New amount  |             |
|-------------|-------------|----------------------------|-------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments    | Commitments | Payments    |
| 174 824 444 | 130 000 000 |                            | – 3 000 000 | 174 824 444 | 127 000 000 |

*Remarks*

This appropriation is intended to cover measures to support the adjustment in ACP countries affected by the reform of the common organisation of the market in sugar.

Any income from financial contributions from Member States and other donor countries, including in both cases their public and parastatal agencies, or from international organisations to certain external aid projects or programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act, may give rise to the provision of additional appropriations. These contributions pursuant to Article 6 3 3 of the statement of revenue constitutes assigned revenue in accordance with Article 18(1)(aa) of the Financial Regulation. The amounts entered on the line for administrative support expenditure will be determined by the contribution agreement for each operational programme with an average not exceeding 4 % of the contributions for the corresponding programme for each chapter.

Appropriations pursuant to this article are subject to evaluations as established in Article 33 of Regulation (EC) No 1905/2006. These evaluations shall include aspects of input activities and chain of results (output, outcome, impact). The findings of the evaluations shall be used in the formulation of subsequent measures financed with these appropriations.

*Legal basis*

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

COMMISSION

**TITLE 23**  
**HUMANITARIAN AID**

| Title<br>Chapter | Heading                                                                                            | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|------------------|----------------------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                  |                                                                                                    |    | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 23 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'HUMANITARIAN AID'<br>POLICY AREA                             | 4  | 35 092 079         | 35 092 079         |                            |                   | 35 092 079         | 35 092 079         |
|                  | 40 01 40                                                                                           |    | 13 470             | 13 470             |                            |                   | 13 470             | 13 470             |
|                  |                                                                                                    |    | 35 105 549         | 35 105 549         |                            |                   | 35 105 549         | 35 105 549         |
| 23 02            | HUMANITARIAN AID INCLUD-<br>ING AID TO UPROOTED PEOPLE,<br>FOOD AID AND DISASTER PRE-<br>PAREDNESS |    | 842 628 500        | 785 737 438        |                            | 40 687 548        | 842 628 500        | 826 424 986        |
| 23 03            | CIVIL PROTECTION FINANCIAL<br>INSTRUMENT                                                           |    | 22 000 000         | 21 318 236         |                            |                   | 22 000 000         | 21 318 236         |
|                  | <b>Title 23 — Total</b>                                                                            |    | <b>899 720 579</b> | <b>842 147 753</b> |                            | <b>40 687 548</b> | <b>899 720 579</b> | <b>882 835 301</b> |
|                  | <b>40 01 40</b>                                                                                    |    | <b>13 470</b>      | <b>13 470</b>      |                            |                   | <b>13 470</b>      | <b>13 470</b>      |
|                  | <b>Total including reserves</b>                                                                    |    | <b>899 734 049</b> | <b>842 161 223</b> |                            |                   | <b>899 734 049</b> | <b>882 848 771</b> |

## COMMISSION

## TITLE 23

## HUMANITARIAN AID

## CHAPTER 23 02 — HUMANITARIAN AID INCLUDING AID TO UPROOTED PEOPLE, FOOD AID AND DISASTER PREPAREDNESS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                               | FF | Budget 2012        |                    | Amending budget No. 6/2012 |                   | New amount         |                    |
|-------------------------------------|---------------------------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|-------------------|--------------------|--------------------|
|                                     |                                                                                       |    | Commitments        | Payments           | Commitments                | Payments          | Commitments        | Payments           |
| 23 02                               | HUMANITARIAN AID INCLUDING AID TO UPROOTED PEOPLE, FOOD AID AND DISASTER PREPAREDNESS |    |                    |                    |                            |                   |                    |                    |
| <b>23 02 01</b>                     | <b>Humanitarian aid</b>                                                               | 4  | 553 261 000        | 518 574 685        |                            | 23 687 548        | 553 261 000        | 542 262 233        |
| <b>23 02 02</b>                     | <b>Food aid</b>                                                                       | 4  | 251 580 000        | 230 602 367        |                            | 17 000 000        | 251 580 000        | 247 602 367        |
| <b>23 02 03</b>                     | <b>Disaster preparedness</b>                                                          | 4  | 34 787 500         | 33 560 386         |                            |                   | 34 787 500         | 33 560 386         |
| <b>23 02 04</b>                     | <b>Preparatory action — European Voluntary Humanitarian Aid Corps</b>                 | 4  | 3 000 000          | 3 000 000          |                            |                   | 3 000 000          | 3 000 000          |
|                                     | <b>Chapter 23 02 — Total</b>                                                          |    | <b>842 628 500</b> | <b>785 737 438</b> |                            | <b>40 687 548</b> | <b>842 628 500</b> | <b>826 424 986</b> |

## 23 02 01

## Humanitarian aid

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 553 261 000 | 518 574 685 |                            | 23 687 548 | 553 261 000 | 542 262 233 |

## Remarks

This appropriation is intended to cover the financing of humanitarian assistance to help people in countries outside the Union who are the victims of conflicts or disasters, both natural and man-made (wars, outbreaks of fighting, etc.), or comparable emergencies, for as long as is necessary to meet the humanitarian needs that such situations give rise to.

The aid is granted to victims without discrimination on the grounds of race, ethnic origin, religion, disability, sex, age, nationality or political affiliation.

This appropriation is also intended to cover the purchase and delivery of any product or equipment needed for the implementation of humanitarian aid operations, including the building of homes or shelters for affected groups of people, short-term rehabilitation and reconstruction work, particularly of infrastructure and facilities, the costs associated with external, expatriate or local staff, storage, international or national shipment, logistic support and distribution of relief and any other action aimed at facilitating freedom of access to the recipients of the aid.

This appropriation may also cover any other costs directly linked to the implementation of humanitarian aid operations.

**CHAPTER 23 02 — HUMANITARIAN AID INCLUDING AID TO UPROOTED PEOPLE, FOOD AID AND DISASTER PREPAREDNESS**  
(cont'd)

**23 02 01** (cont'd)

It covers, inter alia:

- feasibility studies on humanitarian operations, evaluations of humanitarian aid projects and plans, and visibility operations and information campaigns linked to humanitarian aid operations;
- the monitoring of humanitarian aid projects and plans, the promotion and development of initiatives intended to increase coordination and cooperation so as to make aid more effective and improve the monitoring of projects and plans;
- the supervision and coordination of the implementation of aid operations forming part of the aid concerned;
- measures to strengthen Union coordination with the Member States, other donor countries, international organisations and institutions (in particular those forming part of the United Nations), non-governmental organisations and organisations representing the latter;
- the technical assistance necessary for the preparation and implementation of humanitarian projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of Directorate-General for Humanitarian Aid units deployed throughout the world;
- the financing of technical assistance contracts to facilitate the exchange of technical know-how and expertise between Union humanitarian organisations and agencies or between such bodies and those of third countries;
- studies and training linked to the achievement of the objectives of the humanitarian aid policy area;
- action grants and running cost grants in favour of humanitarian networks;
- humanitarian mine-clearance operations including public awareness campaigns for local communities on anti-personnel mines;
- expenditure incurred by the network on humanitarian assistance (NOHA), pursuant to Article 4 of Regulation (EC) No 1257/96. This is a one-year multidisciplinary postgraduate diploma in the humanitarian field designed to promote greater professionalism amongst humanitarian workers and involving several participating universities.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

**23 02 02**

**Food aid**

| Budget 2012 |             | Amending budget No. 6/2012 |            | New amount  |             |
|-------------|-------------|----------------------------|------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments   | Commitments | Payments    |
| 251 580 000 | 230 602 367 |                            | 17 000 000 | 251 580 000 | 247 602 367 |

*Remarks*

This appropriation is intended to cover food aid operations of a humanitarian nature and will be carried out in accordance with the rules on humanitarian aid under Regulation (EC) No 1257/96.

The Union's humanitarian aid provides assistance and relief on a non-discriminatory basis to help people outside the Union, particularly the most vulnerable among them, and as a priority those in developing countries who are the victims of natural disasters, man-made crises, such as wars and outbreaks of fighting, or exceptional circumstances/circumstances comparable to natural or man-made disasters. That assistance is provided as long as it is necessary to meet the humanitarian needs to which such situations give rise.

## COMMISSION

**CHAPTER 23 02 — HUMANITARIAN AID INCLUDING AID TO UPROOTED PEOPLE, FOOD AID AND DISASTER PREPAREDNESS**  
(*cont'd*)**23 02 02** (*cont'd*)

This appropriation may be used to finance the purchase and delivery of food, seeds, livestock or any product or equipment needed for the implementation of the humanitarian food aid operations.

This appropriation is intended to cover the cost of the measures that are essential for implementing food aid operations of a humanitarian nature within the requisite timescale and in a way which meets the needs of the recipients, satisfies the requirement to achieve the greatest possible degree of cost-effectiveness and provides greater transparency. This includes:

- the transport and distribution of aid, including any related operations such as insurance, loading, unloading, coordination, etc.;
- back-up measures that are essential for the programming, coordination and optimum implementation of the aid, the cost of which is not covered by other appropriations, e.g. exceptional transport and storage operations, processing or preparation of foodstuffs on the spot, disinfection, consultants' services, technical assistance and equipment directly involved in providing the aid (tools, utensils, fuel, etc.);
- the supervision and coordination of food aid operations, notably the terms for the supply, delivery, distribution and use of the products involved, including the use of counterpart funds;
- pilot schemes concerning new forms of transport, packaging or storage, studies of food aid operations, visibility operations linked to the humanitarian operations, and information campaigns to increase public awareness;
- the storage of food (including administrative costs, future operations, with or without options, training of technicians, purchase of packaging and mobile storage units, cost of maintaining and repairing stores, etc.);
- the technical assistance necessary for the preparation and implementation of humanitarian food aid projects, in particular expenditure incurred covering the cost of contracts of individual experts in the field and the infrastructure and logistics costs, covered by imprest accounts and expenditure authorisations, of Directorate-General for Humanitarian Aid units deployed throughout the world.

In order to ensure full financial transparency under Articles 53 to 56 of the Financial Regulation, the Commission, when concluding or modifying agreements on the management and implementation of projects by international organisations, will make every effort to commit to sending all their internal and external audits regarding the use of Union funds to the European Court of Auditors and to the Internal Auditor of the Commission.

*Legal basis*

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

COMMISSION

## TITLE 26

## COMMISSION'S ADMINISTRATION

| Title<br>Chapter | Heading                                                                             | FF | Budget 2012          |                      | Amending budget No. 6/2012 |          | New amount           |                      |
|------------------|-------------------------------------------------------------------------------------|----|----------------------|----------------------|----------------------------|----------|----------------------|----------------------|
|                  |                                                                                     |    | Commitments          | Payments             | Commitments                | Payments | Commitments          | Payments             |
| 26 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'COMMISSION'S ADMIN-<br>ISTRATION' POLICY AREA | 1  | 975 069 713          | 975 069 713          |                            |          | 975 069 713          | 975 069 713          |
|                  | 40 01 40                                                                            |    | 1 502 275            | 1 502 275            |                            |          | 1 502 275            | 1 502 275            |
|                  |                                                                                     |    | 976 571 988          | 976 571 988          |                            |          | 976 571 988          | 976 571 988          |
| 26 02            | MULTIMEDIA PRODUCTION                                                               |    | 14 800 000           | 12 157 164           | - 1 600 000                |          | 13 200 000           | 12 157 164           |
| 26 03            | SERVICES TO PUBLIC ADMINIS-<br>TRATIONS, BUSINESSES AND<br>CITIZENS                 |    | 26 100 000           | 12 094 264           |                            |          | 26 100 000           | 12 094 264           |
|                  | <b>Title 26 — Total</b>                                                             |    | <b>1 015 969 713</b> | <b>999 321 141</b>   | <b>- 1 600 000</b>         |          | <b>1 014 369 713</b> | <b>999 321 141</b>   |
|                  | <b>40 01 40</b>                                                                     |    | <b>1 502 275</b>     | <b>1 502 275</b>     |                            |          | <b>1 502 275</b>     | <b>1 502 275</b>     |
|                  | <b>Total including reserves</b>                                                     |    | <b>1 017 471 988</b> | <b>1 000 823 416</b> |                            |          | <b>1 015 871 988</b> | <b>1 000 823 416</b> |

## COMMISSION

## TITLE 26

## COMMISSION'S ADMINISTRATION

## CHAPTER 26 02 — MULTIMEDIA PRODUCTION

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                   | FF  | Budget 2012       |                   | Amending budget No. 6/2012 |          | New amount        |                   |
|-------------------------------------|-------------------------------------------------------------------------------------------|-----|-------------------|-------------------|----------------------------|----------|-------------------|-------------------|
|                                     |                                                                                           |     | Commitments       | Payments          | Commitments                | Payments | Commitments       | Payments          |
| 26 02                               | MULTIMEDIA PRODUCTION                                                                     |     |                   |                   |                            |          |                   |                   |
| 26 02 01                            | <i>Procedures for awarding and advertising public supply, works and service contracts</i> | 1.1 | 14 800 000        | 12 157 164        | – 1 600 000                |          | 13 200 000        | 12 157 164        |
|                                     | <b>Chapter 26 02 — Total</b>                                                              |     | <b>14 800 000</b> | <b>12 157 164</b> | <b>– 1 600 000</b>         |          | <b>13 200 000</b> | <b>12 157 164</b> |

**26 02 01** *Procedures for awarding and advertising public supply, works and service contracts*

| Budget 2012 |            | Amending budget No. 6/2012 |          | New amount  |            |
|-------------|------------|----------------------------|----------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments | Commitments | Payments   |
| 14 800 000  | 12 157 164 | – 1 600 000                |          | 13 200 000  | 12 157 164 |

*Remarks*

This appropriation is intended to cover the costs of:

- collecting, processing, publishing and disseminating notices of public contracts put up for tender in the Union and third countries on various media, and of incorporating them in the eProcurement services offered by the institutions to firms and contracting authorities. This includes the cost of translating the notices of public contracts published by the institutions,
- promoting the use of new techniques for collecting and disseminating notices of public contracts by electronic means,
- developing and exploiting eProcurement services for the various contract award stages.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 420 000.

*Legal basis*

Council Regulation No 1 of 15 April 1958 determining the languages to be used by the European Economic Community (OJ 17, 6.10.1958, p. 385/58).

Council Decision of 15 September 1958 to create the *Official Journal of the European Communities* (OJ 17, 6.10.1958, p. 390/58).

Council Regulation (EEC) No 2137/85 of 25 July 1985 on the European Economic Interest Grouping (EEIG) (OJ L 199, 31.7.1985, p. 1).

Council Directive 89/665/EEC of 21 December 1989 on the coordination of the laws, regulations and administrative provisions relating to the application of review procedures to the award of public supply and public works contracts (OJ L 395, 30.12.1989, p. 33).

Council Directive 92/13/EEC of 25 February 1992 coordinating the laws, regulations and administrative provisions relating to the application of Community rules on the procurement procedures of entities operating in the water, energy, transport and telecommunications sectors (OJ L 76, 23.3.1992, p. 14).

**CHAPTER 26 02 — MULTIMEDIA PRODUCTION** (*cont'd*)**26 02 01** (*cont'd*)

Decision 94/1/ECSC, EC of the Council and of the Commission of 13 December 1993 on the conclusion of the Agreement on the European Economic Area between the European Communities, their Member States and the Republic of Austria, the Republic of Finland, the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation (OJ L 1, 3.1.1994, p. 1).

Council Decision 94/800/EC of 22 December 1994 concerning the conclusion on behalf of the European Community, as regards matters within its competence, of the agreements reached in the Uruguay Round multilateral negotiations (1986 to 1994) (OJ L 336, 23.12.1994, p. 1).

Council Regulation (EC) No 2157/2001 of 8 October 2001 on the Statute for a European company (SE) (OJ L 294, 10.11.2001, p. 1).

Decision 2002/309/EC, Euratom of the Council and of the Commission as regards the Agreement on Scientific and Technological Cooperation, of 4 April 2002 on the conclusion of seven Agreements with the Swiss Confederation (OJ L 114, 30.4.2002, p. 1), and in particular the Agreement between the European Community and the Swiss Confederation on certain aspects of government procurement (OJ L 114, 30.4.2002, p. 430).

Regulation (EC) No 2195/2002 of the European Parliament and of the Council of 5 November 2002 on the Common Procurement Vocabulary (CPV) (OJ L 340, 16.12.2002, p. 1).

Council Regulation (EC) No 1435/2003 of 22 July 2003 on the Statute for a European Cooperative Society (SCE) (OJ L 207, 18.8.2003, p. 1).

Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1).

Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114).

Decision 2005/15/EC of the Commission of 7 January 2005 on the detailed rules for the application of the procedure provided for in Article 30 of Directive 2004/17/EC of the European Parliament and of the Council coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 7, 11.1.2005, p. 7).

Commission Regulation (EC) No 1564/2005 of 7 September 2005 establishing standard forms for the publication of notices in the field of public procurement (OJ L 257, 1.10.2005, p. 1).

Commission Regulation (EC) No 2083/2005 of 19 December 2005 amending Directives 2004/17/EC and 2004/18/EC of the European Parliament and of the Council in respect of their application thresholds for the procedures for the award of contracts (OJ L 333, 20.12.2005, p. 28).

Regulation (EC) No 1082/2006 of the European Parliament and of the Council of 5 July 2006 on a European grouping of territorial cooperation (EGTC) (OJ L 210, 31.7.2006, p. 19).

Decision 2007/497/EC of the European Central Bank of 3 July 2007 laying down the Rules on Procurement (ECB/2007/5) (OJ L 184, 14.7.2007, p. 34).

Regulation (EC) No 1370/2007 of the European Parliament and of the Council of 23 October 2007 on public passenger transport services by rail and by road (OJ L 315, 3.12.2007, p. 1).

Directive 2009/81/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of procedures for the award of certain works contracts, supply contracts and service contracts by contracting authorities or entities in the fields of defence and security (OJ L 216, 20.8.2009, p. 76).

Directive 2009/138/EC of the European Parliament and of the Council of 25 November 2009 on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) (OJ L 335, 17.12.2009, p. 1).

COMMISSION

**TITLE 29**  
**STATISTICS**

| Title<br>Chapter | Heading                                                          | FF | Budget 2012        |                    | Amending budget No. 6/2012 |          | New amount         |                    |
|------------------|------------------------------------------------------------------|----|--------------------|--------------------|----------------------------|----------|--------------------|--------------------|
|                  |                                                                  |    | Commitments        | Payments           | Commitments                | Payments | Commitments        | Payments           |
| 29 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'STATISTICS' POLICY<br>AREA | 1  | 80 886 280         | 80 886 280         |                            |          | 80 886 280         | 80 886 280         |
|                  | 40 01 40                                                         |    | 29 933             | 29 933             |                            |          | 29 933             | 29 933             |
|                  |                                                                  |    | 80 916 213         | 80 916 213         |                            |          | 80 916 213         | 80 916 213         |
| 29 02            | PRODUCTION OF STATISTICAL<br>INFORMATION                         |    | 53 410 000         | 41 041 707         | – 5 000 000                |          | 48 410 000         | 41 041 707         |
|                  | <b>Title 29 — Total</b>                                          |    | <b>134 296 280</b> | <b>121 927 987</b> | <b>– 5 000 000</b>         |          | <b>129 296 280</b> | <b>121 927 987</b> |
|                  | <b>40 01 40</b>                                                  |    | <b>29 933</b>      | <b>29 933</b>      |                            |          | <b>29 933</b>      | <b>29 933</b>      |
|                  | <b>Total including reserves</b>                                  |    | <b>134 326 213</b> | <b>121 957 920</b> |                            |          | <b>129 326 213</b> | <b>121 957 920</b> |

## TITLE 29

### STATISTICS

#### CHAPTER 29 02 — PRODUCTION OF STATISTICAL INFORMATION

| Title<br>Chapter<br>Article<br>Item | Heading                                                                  | FF  | Budget 2012       |                   | Amending budget No. 6/2012 |          | New amount        |                   |
|-------------------------------------|--------------------------------------------------------------------------|-----|-------------------|-------------------|----------------------------|----------|-------------------|-------------------|
|                                     |                                                                          |     | Commitments       | Payments          | Commitments                | Payments | Commitments       | Payments          |
| 29 02                               | PRODUCTION OF STATISTICAL INFORMATION                                    |     |                   |                   |                            |          |                   |                   |
| 29 02 01                            | <i>Completion of statistical information policy</i>                      | 1.1 | p.m.              | 1 360 877         |                            |          | p.m.              | 1 360 877         |
| 29 02 02                            | <i>Completion of networks for intra-Community statistics (Edicom)</i>    | 1.1 | —                 | p.m.              |                            |          | —                 | p.m.              |
| 29 02 03                            | <i>Union Statistical Programme 2008 to 2012</i>                          | 1.1 | 45 000 000        | 34 176 556        | – 5 000 000                |          | 40 000 000        | 34 176 556        |
| 29 02 04                            | <i>Modernisation of European Enterprise and Trade Statistics (MEETS)</i> | 1.1 | 8 410 000         | 5 504 274         |                            |          | 8 410 000         | 5 504 274         |
|                                     | <b>Chapter 29 02 — Total</b>                                             |     | <b>53 410 000</b> | <b>41 041 707</b> | <b>– 5 000 000</b>         |          | <b>48 410 000</b> | <b>41 041 707</b> |

#### 29 02 03 *Union Statistical Programme 2008 to 2012*

| Budget 2012 |            | Amending budget No. 6/2012 |          | New amount  |            |
|-------------|------------|----------------------------|----------|-------------|------------|
| Commitments | Payments   | Commitments                | Payments | Commitments | Payments   |
| 45 000 000  | 34 176 556 | – 5 000 000                |          | 40 000 000  | 34 176 556 |

#### Remarks

This appropriation is intended to cover the following:

- statistical surveys, studies and development of indicators/benchmarks,
- quality studies and activities designed to improve the quality of statistics,
- subsidies to national statistical authorities,
- the processing, dissemination, promotion and marketing of statistical information,
- equipment, processing infrastructure and maintenance essential for statistical information systems,
- magnetically recorded statistical analysis and documentation,
- services rendered by external experts,
- joint-financing revenue for the public and private sectors,
- the financing of surveys by commercial undertakings,
- the organisation of advanced statistical technology training courses for statisticians,
- the cost of purchasing documentation,
- subsidies for the International Statistical Institute and subscriptions to other international statistical associations.

It is also intended to ensure the gathering of the information necessary to draw up annually a summary report on the economic and social state of the Union on the basis of economic data and structural indicators/benchmarks.

## COMMISSION

**CHAPTER 29 02 — PRODUCTION OF STATISTICAL INFORMATION** (*cont'd*)**29 02 03** (*cont'd*)

This appropriation is also intended to cover costs incurred in connection with the training of national statisticians and the policy of cooperation with developing countries, the countries of central and eastern Europe and southern Mediterranean countries; expenditure relating to exchanges of officials, the costs of information meetings, subsidies, and expenditure on payment for services rendered in connection with the adjustment of the remuneration of officials and other staff.

Also charged to this article is expenditure on the purchase of data and access for Commission departments to outside data-banks. In addition, funding should be used to develop new, modular techniques.

It is also intended to cover the provision of the necessary statistical information, at the request of the Commission or of the other institutions of the Union, for the assessment, monitoring and evaluation of the Union's expenditure. This will improve the implementation of financial and budgetary policy (drawing-up of the budget and periodic review of the financial perspective) and make it possible to compile medium and long-term data for the financing of the Union.

The contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto, must be added to the appropriations entered in this article. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under the 'European Economic Area' Annex to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the Swiss Confederation's contribution for participation in Union programmes entered in Item 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

*Legal basis*

Decision No 1578/2007/EC of the European Parliament and of the Council of 11 December 2007 on the Community Statistical Programme 2008 to 2012 (OJ L 344, 28.12.2007, p. 15).

Regulation (EC) No 223/2009 of the European Parliament and of the Council of 11 March 2009 on European statistics (OJ L 87, 31.3.2009, p. 164).

COMMISSION

**TITLE 32****ENERGY**

| Title<br>Chapter | Heading                                                   | FF | Budget 2012        |                      | Amending budget No. 6/2012 |                     | New amount         |                      |
|------------------|-----------------------------------------------------------|----|--------------------|----------------------|----------------------------|---------------------|--------------------|----------------------|
|                  |                                                           |    | Commitments        | Payments             | Commitments                | Payments            | Commitments        | Payments             |
| 32 01            | ADMINISTRATIVE EXPENDITURE<br>OF THE 'ENERGY' POLICY AREA |    | 78 101 468         | 78 101 468           |                            |                     | 78 101 468         | 78 101 468           |
|                  | 40 01 40                                                  |    | 23 947             | 23 947               |                            |                     | 23 947             | 23 947               |
|                  |                                                           |    | 78 125 415         | 78 125 415           |                            |                     | 78 125 415         | 78 125 415           |
| 32 03            | TRANS-EUROPEAN NETWORKS                                   | 1  | 21 129 600         | 18 145 022           |                            |                     | 21 129 600         | 18 145 022           |
| 32 04            | CONVENTIONAL AND RENEW-<br>ABLE ENERGIES                  |    | 144 450 237        | 856 455 140          |                            | – 37 700 000        | 144 450 237        | 818 755 140          |
| 32 05            | NUCLEAR ENERGY                                            | 1  | 282 496 400        | 227 357 119          |                            |                     | 282 496 400        | 227 357 119          |
| 32 06            | RESEARCH RELATED TO ENERGY                                | 1  | 192 088 457        | 140 407 198          |                            |                     | 192 088 457        | 140 407 198          |
|                  | <b>Title 32 — Total</b>                                   |    | <b>718 266 162</b> | <b>1 320 465 947</b> |                            | <b>– 37 700 000</b> | <b>718 266 162</b> | <b>1 282 765 947</b> |
|                  | <b>40 01 40</b>                                           |    | <b>23 947</b>      | <b>23 947</b>        |                            |                     | <b>23 947</b>      | <b>23 947</b>        |
|                  | <b>Total including reserves</b>                           |    | <b>718 290 109</b> | <b>1 320 489 894</b> |                            |                     | <b>718 290 109</b> | <b>1 282 789 894</b> |

COMMISSION

## TITLE 32

## ENERGY

## CHAPTER 32 04 — CONVENTIONAL AND RENEWABLE ENERGIES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                             | FF  | Budget 2012 |            | Amending budget No. 6/2012 |          | New amount  |            |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-----|-------------|------------|----------------------------|----------|-------------|------------|
|                                     |                                                                                                                                     |     | Commitments | Payments   | Commitments                | Payments | Commitments | Payments   |
| 32 04                               | CONVENTIONAL AND RENEWABLE ENERGIES                                                                                                 |     |             |            |                            |          |             |            |
| 32 04 01                            | <i>Completion of the 'Intelligent Energy — Europe' programme (2003 to 2006)</i>                                                     | 1.1 | —           | 453 626    |                            |          | —           | 453 626    |
| 32 04 02                            | <i>Completion of the 'Intelligent Energy — Europe' programme (2003 to 2006): external strand — Coopener</i>                         | 4   | —           | p.m.       |                            |          | —           | p.m.       |
| 32 04 03                            | <i>Support activities to the European energy policy and internal energy market</i>                                                  | 1.1 | 3 720 000   | 3 765 092  |                            |          | 3 720 000   | 3 765 092  |
| 32 04 04                            | <i>Completion of the Energy framework programme (1999 to 2002) — Conventional and renewable energy</i>                              | 1.1 | —           | p.m.       |                            |          | —           | p.m.       |
| 32 04 05                            | <i>European Strategic Energy Technology Plan (SET-Plan)</i>                                                                         | 1.1 | p.m.        | p.m.       |                            |          | p.m.        | p.m.       |
| 32 04 06                            | <i>Competitiveness and Innovation Framework Programme — 'Intelligent Energy — Europe' programme</i>                                 | 1.1 | 129 813 600 | 71 854 285 |                            |          | 129 813 600 | 71 854 285 |
| 32 04 07                            | <i>Pilot project — Energy security — Biofuels</i>                                                                                   | 1.1 | —           | p.m.       |                            |          | —           | p.m.       |
| 32 04 10                            | <i>Agency for the Cooperation of Energy Regulators</i>                                                                              |     |             |            |                            |          |             |            |
| 32 04 10 01                         | Agency for the Cooperation of Energy Regulators — Contribution to Titles 1 and 2                                                    | 1.1 | 6 864 725   | 6 864 725  |                            |          | 6 864 725   | 6 864 725  |
| 32 04 10 02                         | Agency for the Cooperation of Energy Regulators — Contribution to Title 3                                                           | 1.1 | 377 125     | 377 125    |                            |          | 377 125     | 377 125    |
|                                     | Article 32 04 10 — Subtotal                                                                                                         |     | 7 241 850   | 7 241 850  |                            |          | 7 241 850   | 7 241 850  |
| 32 04 11                            | <i>Energy Community</i>                                                                                                             | 4   | 2 724 787   | 2 600 970  |                            |          | 2 724 787   | 2 600 970  |
| 32 04 12                            | <i>Pilot project — European framework programme for the development and exchange of experience on sustainable urban development</i> | 1.1 | —           | p.m.       |                            |          | —           | p.m.       |
| 32 04 13                            | <i>Preparatory action — European islands for a common energy policy</i>                                                             | 1.1 | —           | 2 000 000  |                            |          | —           | 2 000 000  |

## CHAPTER 32 04 — CONVENTIONAL AND RENEWABLE ENERGIES (cont'd)

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                         | FF  | Budget 2012        |                    | Amending budget No. 6/2012 |                     | New amount         |                    |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|--------------------|--------------------|----------------------------|---------------------|--------------------|--------------------|
|                                     |                                                                                                                                                                                 |     | Commitments        | Payments           | Commitments                | Payments            | Commitments        | Payments           |
| <b>32 04 14</b>                     | <b>Energy projects to aid economic recovery</b>                                                                                                                                 |     |                    |                    |                            |                     |                    |                    |
| 32 04 14 01                         | Energy projects to aid economic recovery — Energy networks                                                                                                                      | 1.1 | p.m.               | 526 288 963        |                            | – 32 800 000        | p.m.               | 493 488 963        |
| 32 04 14 02                         | Energy projects to aid economic recovery — Carbon Capture and Storage (CCS)                                                                                                     | 1.1 | p.m.               | 124 293 397        |                            | – 4 900 000         | p.m.               | 119 393 397        |
| 32 04 14 03                         | Energy projects to aid economic recovery — European offshore wind grid system                                                                                                   | 1.1 | p.m.               | 73 487 337         |                            |                     | p.m.               | 73 487 337         |
| 32 04 14 04                         | Energy projects to aid economic recovery — Energy efficiency and renewable initiatives                                                                                          | 1.1 | p.m.               | 43 548 052         |                            |                     | p.m.               | 43 548 052         |
|                                     | Article 32 04 14 — Subtotal                                                                                                                                                     |     | p.m.               | 767 617 749        |                            | – 37 700 000        | p.m.               | 729 917 749        |
| <b>32 04 16</b>                     | <b>Security of energy installations and infrastructures</b>                                                                                                                     | 1.1 | 250 000            | 571 568            |                            |                     | 250 000            | 571 568            |
| <b>32 04 17</b>                     | <b>Pilot project — Supporting the preservation of natural resources and combating climate change through the increased use of solar energy (solar thermal and photovoltaic)</b> | 2   | p.m.               | p.m.               |                            |                     | p.m.               | p.m.               |
| <b>32 04 18</b>                     | <b>Pilot project — Energy security — Shale Gas</b>                                                                                                                              | 1.1 | 200 000            | 100 000            |                            |                     | 200 000            | 100 000            |
| <b>32 04 19</b>                     | <b>Preparatory action — Cooperation mechanisms implementing the renewable energy sources Directive 2009/28/EC</b>                                                               | 2   | 500 000            | 250 000            |                            |                     | 500 000            | 250 000            |
|                                     | <b>Chapter 32 04 — Total</b>                                                                                                                                                    |     | <b>144 450 237</b> | <b>856 455 140</b> |                            | <b>– 37 700 000</b> | <b>144 450 237</b> | <b>818 755 140</b> |

**32 04 14**      **Energy projects to aid economic recovery****32 04 14 01**      **Energy projects to aid economic recovery — Energy networks**

| Budget 2012 |             | Amending budget No. 6/2012 |              | New amount  |             |
|-------------|-------------|----------------------------|--------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments     | Commitments | Payments    |
| p.m.        | 526 288 963 |                            | – 32 800 000 | p.m.        | 493 488 963 |

*Remarks*

This appropriation is intended to cover the costs of gas and electricity infrastructure projects having the highest Union added value.

It should serve to adapt and develop energy networks of particular importance to the Union in support of the operation of the internal energy market and, in particular, to increase interconnection capacity, security and diversification of supply and to overcome environmental, technical and financial obstacles. Special Union support is necessary to develop energy networks more intensively and to accelerate their construction, notably where the diversity of routes and sources of supply is low.

## COMMISSION

**CHAPTER 32 04 — CONVENTIONAL AND RENEWABLE ENERGIES** (*cont'd*)**32 04 14** (*cont'd*)32 04 14 01 (*cont'd*)

This appropriation should also serve to promote connection and integration of renewable energy resources and to strengthen economic and social cohesion with less-favoured and island regions of the Union.

It is intended to cover the financing of the second step of the economic recovery plan as agreed between the two arms of the budgetary authority on 2 April 2009. Its financing is conditional on an agreement by the budgetary authority and should be made available as provided for in points 21, 22 and 23 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1), without prejudice to the financial envelopes of the codecision programmes and the European Parliament's priorities.

If the Commission's annual report to the European Parliament and to the Council on the implementation of the economic recovery plan identifies serious risks for implementing the priority projects, the Commission shall recommend measures to offset those risks, and shall where appropriate and consistent with the economic recovery plan, make additional proposals for the projects already referred to in Regulation (EC) No 663/2009.

*Legal basis*

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 13 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

## 32 04 14 02 Energy projects to aid economic recovery — Carbon Capture and Storage (CCS)

| Budget 2012 |             | Amending budget No. 6/2012 |             | New amount  |             |
|-------------|-------------|----------------------------|-------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments    | Commitments | Payments    |
| p.m.        | 124 293 397 |                            | – 4 900 000 | p.m.        | 119 393 397 |

*Remarks*

This appropriation is intended to cover the promotion of projects for the capture of carbon dioxide (CO<sub>2</sub>) from industrial installations, its transport to a storage site and its injection into a suitable underground geological formation for the purposes of permanent storage.

This appropriation is intended to cover the financing of the second step of the economic recovery plan as agreed between the two arms of the budgetary authority on 2 April 2009. Its financing is conditional on an agreement by the budgetary authority and should be made available as provided for in points 21, 22 and 23 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1), without prejudice to the financial envelopes of the codecision programmes and the European Parliament's priorities.

If the Commission's annual report to the European Parliament and to the Council on the implementation of the economic recovery plan identifies serious risks for implementing the priority projects, the Commission shall recommend measures to offset those risks, and shall where appropriate and consistent with the economic recovery plan, make additional proposals for the projects already referred to in Regulation (EC) No 663/2009.

*Legal basis*

Regulation (EC) No 663/2009 of the European Parliament and of the Council of 3 July 2009 establishing a programme to aid economic recovery by granting Community financial assistance to projects in the field of energy (OJ L 200, 31.7.2009, p. 31).

COMMISSION

**TITLE 40****RESERVES**

| Title<br>Chapter | Heading                                 | FF | Budget 2012        |                   | Amending budget No. 6/2012 |          | New amount         |                   |
|------------------|-----------------------------------------|----|--------------------|-------------------|----------------------------|----------|--------------------|-------------------|
|                  |                                         |    | Commitments        | Payments          | Commitments                | Payments | Commitments        | Payments          |
| 40 01            | RESERVES FOR ADMINISTRATIVE EXPENDITURE | 5  | p.m.               | p.m.              |                            |          | p.m.               | p.m.              |
| 40 02            | RESERVES FOR FINANCIAL INTERVENTIONS    |    | 758 937 000        | 90 000 000        |                            |          | 758 937 000        | 90 000 000        |
| 40 03            | NEGATIVE RESERVE                        |    | p.m.               | p.m.              |                            |          | p.m.               | p.m.              |
|                  | <b>Title 40 — Total</b>                 |    | <b>758 937 000</b> | <b>90 000 000</b> |                            |          | <b>758 937 000</b> | <b>90 000 000</b> |

## COMMISSION

## TITLE 40

## RESERVES

## CHAPTER 40 01 — RESERVES FOR ADMINISTRATIVE EXPENDITURE

| Title<br>Chapter<br>Article<br>Item | Heading                                 | FF | Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------------------------------|-----------------------------------------|----|-------------|----------------------------|-------------|
| 40 01                               | RESERVES FOR ADMINISTRATIVE EXPENDITURE |    |             |                            |             |
| 40 01 40                            | <i>Administrative reserve</i>           |    | 3 500 000   |                            | 3 500 000   |
| 40 01 42                            | <i>Contingency reserve</i>              | 5  | p.m.        |                            | p.m.        |
|                                     | <b>Chapter 40 01 — Total</b>            |    | <b>p.m.</b> |                            | <b>p.m.</b> |

## 40 01 40

*Administrative reserve*

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| 3 500 000   |                            | 3 500 000  |

*Remarks*

The appropriations entered in this article are purely provisional and may only be used after their transfer to other budget headings in accordance with the Financial Regulation.

|     |      |             |                                                                                         |         |
|-----|------|-------------|-----------------------------------------------------------------------------------------|---------|
| 1.  | Item | 01 01 02 11 | Other management expenditure                                                            | 329 267 |
| 2.  | Item | 02 01 02 11 | Other management expenditure                                                            | 52 383  |
| 3.  | Item | 03 01 02 11 | Other management expenditure                                                            | 14 967  |
| 4.  | Item | 04 01 02 11 | Other management expenditure                                                            | 16 966  |
| 5.  | Item | 05 01 02 11 | Other management expenditure                                                            | 498 392 |
| 6.  | Item | 06 01 02 11 | Other management expenditure                                                            | 59 867  |
| 7.  | Item | 07 01 02 11 | Other management expenditure                                                            | 89 800  |
| 8.  | Item | 08 01 02 11 | Other management expenditure                                                            | 4 490   |
| 9.  | Item | 09 01 02 11 | Other management expenditure                                                            | 24 695  |
| 10. | Item | 11 01 02 11 | Other management expenditure                                                            | 19 779  |
| 11. | Item | 12 01 02 11 | Other management expenditure                                                            | 97 284  |
| 12. | Item | 13 01 02 11 | Other management expenditure                                                            | 16 463  |
| 13. | Item | 14 01 02 11 | Other management expenditure                                                            | 151 912 |
| 14. | Item | 15 01 02 11 | Other management expenditure                                                            | 29 933  |
| 15. | Item | 16 01 02 11 | Other management expenditure of the Directorate-General for Communication: Headquarters | 5 987   |
| 16. | Item | 17 01 02 11 | Other management expenditure                                                            | 280 045 |
| 17. | Item | 18 01 02 11 | Other management expenditure                                                            | 39 662  |
| 18. | Item | 19 01 02 11 | Other management expenditure of the Service for Foreign Policy Instruments              | 16 345  |
| 19. | Item | 20 01 02 11 | Other management expenditure of the Directorate-General for Trade                       | 37 417  |

CHAPTER 40 01 — RESERVES FOR ADMINISTRATIVE EXPENDITURE (*cont'd*)40 01 40 *Administrative reserve*(*cont'd*)

|     |         |             |                                                                                                     |                 |
|-----|---------|-------------|-----------------------------------------------------------------------------------------------------|-----------------|
| 20. | Item    | 21 01 02 11 | Other management expenditure of the Directorate-General for Development and Cooperation — EuropeAid | 29 933          |
| 21. | Item    | 22 01 02 11 | Other management expenditure of the Directorate-General for Enlargement                             | 8 082           |
| 22. | Item    | 23 01 02 11 | Other management expenditure                                                                        | 13 470          |
| 23. | Item    | 26 01 02 11 | Other management expenditure                                                                        | 2 275           |
| 24. | Article | 26 01 20    | European Personnel Selection Office                                                                 | 1 500 000       |
| 25. | Item    | 27 01 02 11 | Other management expenditure of the Directorate-General for Budget                                  | 10 028          |
| 26. | Item    | 27 01 02 19 | Other management expenditure — Non-decentralised management                                         | 90 265          |
| 27. | Item    | 29 01 02 11 | Other management expenditure                                                                        | 29 933          |
| 28. | Item    | 32 01 02 11 | Other management expenditure                                                                        | 23 947          |
| 29. | Item    | 33 01 02 11 | Other management expenditure                                                                        | 6 413           |
|     |         |             |                                                                                                     | Total 3 500 000 |

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

## COMMISSION

## CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS

| Title<br>Chapter<br>Article<br>Item | Heading                                                       | FF  | Budget 2012        |                   | Amending budget No. 6/2012 |              | New amount         |                   |
|-------------------------------------|---------------------------------------------------------------|-----|--------------------|-------------------|----------------------------|--------------|--------------------|-------------------|
|                                     |                                                               |     | Commitments        | Payments          | Commitments                | Payments     | Commitments        | Payments          |
| 40 02                               | RESERVES FOR FINANCIAL INTERVENTIONS                          |     |                    |                   |                            |              |                    |                   |
| <b>40 02 40</b>                     | <b>Non-differentiated appropriations</b>                      |     | p.m.               | p.m.              |                            |              | p.m.               | p.m.              |
| <b>40 02 41</b>                     | <b>Differentiated appropriations</b>                          |     | 146 316 025        | 148 935 997       | – 45 652 520               | – 47 252 520 | 100 663 505        | 101 683 477       |
| <b>40 02 42</b>                     | <b>Emergency aid reserve</b>                                  | 4   | 258 937 000        | 90 000 000        |                            |              | 258 937 000        | 90 000 000        |
| <b>40 02 43</b>                     | <b>Reserve for the European Globalisation Adjustment Fund</b> | 1.1 | 500 000 000        | p.m.              |                            |              | 500 000 000        | p.m.              |
|                                     | <b>Chapter 40 02 — Total</b>                                  |     | <b>758 937 000</b> | <b>90 000 000</b> |                            |              | <b>758 937 000</b> | <b>90 000 000</b> |

**40 02 40****Non-differentiated appropriations**

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| p.m.        |                            | p.m.       |

*Remarks*

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consonant with sound financial management, the appropriations entered in the headings concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 24 of the Financial Regulation.

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

**40 02 41****Differentiated appropriations**

| Budget 2012 |             | Amending budget No. 6/2012 |              | New amount  |             |
|-------------|-------------|----------------------------|--------------|-------------|-------------|
| Commitments | Payments    | Commitments                | Payments     | Commitments | Payments    |
| 146 316 025 | 148 935 997 | – 45 652 520               | – 47 252 520 | 100 663 505 | 101 683 477 |

*Remarks*

The appropriations in the Title 'Reserves' are intended for two circumstances only: (a) where no basic act exists for the action concerned when the budget is established; and (b) where there are serious grounds for doubting the adequacy of the appropriations or the possibility of implementing, under conditions consistent with sound financial management, the appropriations entered in the headings concerned. The appropriations entered in this article may be used only after transfer in accordance with the procedure laid down in Article 24 of the Financial Regulation.

**CHAPTER 40 02 — RESERVES FOR FINANCIAL INTERVENTIONS** (*cont'd*)**40 02 41** (*cont'd*)

The breakdown is as follows (commitments, payments):

|       |         |             |                                                                                                                                                 |             |             |
|-------|---------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|
| 1.    | Item    | 07 03 60 01 | European Chemicals Agency — Activities in the field of biocides legislation — Contribution to Titles 1 and 2 from Heading 2                     | 1 491 930   | 1 491 930   |
| 2.    | Item    | 07 03 60 02 | European Chemicals Agency — Activities in the field of biocides legislation — Contribution to Title 3 from Heading 2                            | 1 236 510   | 1 236 510   |
| 3.    | Item    | 07 03 70 01 | European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals — Contribution to Titles 1 and 2 | 345 214     | 345 214     |
| 4.    | Item    | 07 03 70 02 | European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals — Contribution to Title 3        | 1 110 386   | 1 110 386   |
| 5.    | Item    | 09 02 03 01 | European Network and Information Security Agency — Contribution to Titles 1 and 2                                                               | 391 985     | 391 985     |
| 6.    | Article | 11 03 01    | International fisheries agreements                                                                                                              | 73 547 480  | 73 547 480  |
| 7.    | Article | 16 02 02    | Multimedia actions                                                                                                                              | 4 500 000   | 4 500 000   |
| 8.    | Item    | 16 03 02 01 | Communication of the Commission Representations                                                                                                 | 1 000 000   | 1 000 000   |
| 9.    | Article | 16 03 04    | Communicating Europe in Partnership                                                                                                             | 2 300 000   | 2 400 000   |
| 10.   | Item    | 18 02 03 02 | European Agency for the Management of Operational Cooperation at the External Borders — Contribution to Title 3                                 | 9 000 000   | 9 000 000   |
| 11.   | Article | 18 02 04    | Schengen information system (SIS II)                                                                                                            | 5 180 000   | 6 131 702   |
| 12.   | Article | 18 02 07    | Schengen evaluation                                                                                                                             | 560 000     | 528 270     |
| Total |         |             |                                                                                                                                                 | 100 663 505 | 101 683 477 |

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).



## A. INTRODUCTION AND FINANCING OF THE GENERAL BUDGET

### FINANCING OF THE GENERAL BUDGET

**Appropriations to be covered during the financial year 2012 pursuant to Article 1 of Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities own resources**

#### EXPENDITURE

| Description                                         | Budget 2012 <sup>(1)</sup> | Budget 2011 <sup>(2)</sup> | Change (%)    |
|-----------------------------------------------------|----------------------------|----------------------------|---------------|
| 1. Sustainable growth                               | 60 287 086 467             | 53 629 039 384             | + 12,42       |
| 2. Preservation and management of natural resources | 58 044 868 674             | 55 945 938 309             | + 3,75        |
| 3. Citizenship, freedom, security and justice       | 2 182 532 099              | 1 738 083 206              | + 25,57       |
| 4. EU as a global player                            | 6 966 011 071              | 7 242 528 574              | – 3,82        |
| 5. Administration                                   | 8 277 736 996              | 8 171 544 289              | + 1,30        |
| <b>Total expenditure <sup>(3)</sup></b>             | <b>135 758 235 307</b>     | <b>126 727 133 762</b>     | <b>+ 7,13</b> |

<sup>(1)</sup> The figures in this column correspond to those in the 2012 budget (OJ L 56, 29.2.2012, p. 1) plus Amending Budget No 1 to No 6/2012.

<sup>(2)</sup> The figures in this column correspond to those in the 2011 budget (OJ L 68, 15.3.2011, p. 1) plus Amending Budget No 1/2011 to Amending Budget No 7/2011.

<sup>(3)</sup> The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

## REVENUE

| Description                                                                                                                                        | Budget 2012 <sup>(1)</sup> | Budget 2011 <sup>(2)</sup> | Change (%)     |
|----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------|----------------|
| Miscellaneous revenue (Titles 4 to 9)                                                                                                              | 5 109 219 138              | 2 083 368 232              | + 145,24       |
| Surplus available from the preceding financial year<br>(Chapter 3 0, Article 3 0 0)                                                                | 1 496 968 014              | 4 539 394 283              | – 67,02        |
| Surplus of own resources resulting from the repayment<br>of the surplus of the Guarantee Fund for external<br>actions (Chapter 3 0, Article 3 0 2) | p.m.                       | p.m.                       | —              |
| Balance of own resources accruing from VAT and<br>GNP/GNI-based own resources for earlier years<br>(Chapters 3 1 and 3 2)                          | 497 328 000                | 1 814 882 000              | – 72,60        |
| <b>Total revenue for Titles 3 to 9</b>                                                                                                             | <b>7 103 515 152</b>       | <b>8 437 644 515</b>       | <b>– 15,81</b> |
| Net amount of customs duties and sugar levies<br>(Chapters 1 1 and 1 2)                                                                            | 16 824 200 000             | 16 667 000 000             | + 0,94         |
| VAT-based own resource at the uniform rate (Tables 1<br>and 2, Chapter 1 3)                                                                        | 14 546 298 300             | 14 125 977 050             | + 2,98         |
| Remainder to be financed by the additional resource<br>(GNI-based own resource, Table 3, Chapter 1 4)                                              | 97 284 221 855             | 87 496 512 197             | + 11,19        |
| Appropriations to be covered by the own resources<br>referred to in Article 2 of Decision 2007/436/EC,<br>Euratom <sup>(3)</sup>                   | 128 654 720 155            | 118 289 489 247            | + 8,76         |
| <b>Total revenue <sup>(4)</sup></b>                                                                                                                | <b>135 758 235 307</b>     | <b>126 727 133 762</b>     | <b>+ 7,13</b>  |

<sup>(1)</sup> The figures in this column correspond to those in the 2012 budget (OJ L 56, 29.2.2012, p. 1) plus Amending Budget No 1 to No 6/2012.  
<sup>(2)</sup> The figures in this column correspond to those in the 2011 budget (OJ L 68, 15.3.2011, p. 1) plus Amending Budget No 1/2011 to Amending Budget No 7/2011.  
<sup>(3)</sup> The own resources for the 2012 budget are determined on the basis of the budget forecasts adopted at the 154th meeting of the Advisory Committee on Own Resources on 21 May 2012.  
<sup>(4)</sup> The third subparagraph of Article 310(1) of the Treaty on the Functioning of the European Union reads: 'The revenue and expenditure shown in the budget shall be in balance'.

TABLE 1

Calculation of capping of harmonised value added tax (VAT) bases pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom

| MemberState    | 1 % of non-capped VAT base | 1 % of gross national income | Capping rate (in %) | 1 % of gross national income multiplied by capping rate | 1 % of capped VAT base <sup>(1)</sup> | Member States whose VAT base is capped |
|----------------|----------------------------|------------------------------|---------------------|---------------------------------------------------------|---------------------------------------|----------------------------------------|
|                | (1)                        | (2)                          | (3)                 | (4)                                                     | (5)                                   | (6)                                    |
| Belgium        | 1 642 006 000              | 3 840 159 000                | 50                  | 1 920 079 500                                           | 1 642 006 000                         |                                        |
| Bulgaria       | 179 449 000                | 384 305 000                  | 50                  | 192 152 500                                             | 179 449 000                           |                                        |
| CzechRepublic  | 645 375 000                | 1 403 678 000                | 50                  | 701 839 000                                             | 645 375 000                           |                                        |
| Denmark        | 991 282 000                | 2 536 731 000                | 50                  | 1 268 365 500                                           | 991 282 000                           |                                        |
| Germany        | 11 655 020 000             | 26 725 925 000               | 50                  | 13 362 962 500                                          | 11 655 020 000                        |                                        |
| Estonia        | 78 805 000                 | 158 722 000                  | 50                  | 79 361 000                                              | 78 805 000                            |                                        |
| Ireland        | 617 887 000                | 1 254 962 000                | 50                  | 627 481 000                                             | 617 887 000                           |                                        |
| Greece         | 894 936 000                | 1 998 257 000                | 50                  | 999 128 500                                             | 894 936 000                           |                                        |
| Spain          | 4 791 570 000              | 10 368 290 000               | 50                  | 5 184 145 000                                           | 4 791 570 000                         |                                        |
| France         | 9 542 953 000              | 20 795 504 000               | 50                  | 10 397 752 000                                          | 9 542 953 000                         |                                        |
| Italy          | 6 526 759 000              | 15 782 516 000               | 50                  | 7 891 258 000                                           | 6 526 759 000                         |                                        |
| Cyprus         | 142 186 000                | 172 375 000                  | 50                  | 86 187 500                                              | 86 187 500                            | Cyprus                                 |
| Latvia         | 68 944 000                 | 209 894 000                  | 50                  | 104 947 000                                             | 68 944 000                            | Luxembourg                             |
| Lithuania      | 114 219 000                | 312 459 000                  | 50                  | 156 229 500                                             | 114 219 000                           |                                        |
| Luxembourg     | 236 641 000                | 310 698 000                  | 50                  | 155 349 000                                             | 155 349 000                           | Malta                                  |
| Hungary        | 347 640 000                | 878 721 000                  | 50                  | 439 360 500                                             | 347 640 000                           |                                        |
| Malta          | 47 011 000                 | 59 523 000                   | 50                  | 29 761 500                                              | 29 761 500                            | Slovenia                               |
| Netherlands    | 2 683 341 000              | 6 084 816 000                | 50                  | 3 042 408 000                                           | 2 683 341 000                         |                                        |
| Austria        | 1 387 652 000              | 3 085 484 000                | 50                  | 1 542 742 000                                           | 1 387 652 000                         |                                        |
| Poland         | 1 718 865 000              | 3 512 574 000                | 50                  | 1 756 287 000                                           | 1 718 865 000                         |                                        |
| Portugal       | 783 815 000                | 1 608 676 000                | 50                  | 804 338 000                                             | 783 815 000                           |                                        |
| Romania        | 488 531 000                | 1 379 354 000                | 50                  | 689 677 000                                             | 488 531 000                           |                                        |
| Slovenia       | 179 565 000                | 348 040 000                  | 50                  | 174 020 000                                             | 174 020 000                           |                                        |
| Slovakia       | 250 745 000                | 701 571 000                  | 50                  | 350 785 500                                             | 250 745 000                           |                                        |
| Finland        | 909 915 000                | 2 002 764 000                | 50                  | 1 001 382 000                                           | 909 915 000                           |                                        |
| Sweden         | 1 772 967 000              | 4 059 830 000                | 50                  | 2 029 915 000                                           | 1 772 967 000                         |                                        |
| United Kingdom | 9 094 962 000              | 18 806 619 000               | 50                  | 9 403 309 500                                           | 9 094 962 000                         |                                        |
| <b>Total</b>   | <b>57 793 041 000</b>      | <b>128 782 447 000</b>       |                     | <b>64 391 223 500</b>                                   | <b>57 632 956 000</b>                 |                                        |

<sup>(1)</sup> The base to be used does not exceed 50 % of GNI.

**TABLE 2**

Breakdown of own resources accruing from VAT pursuant to Article 2(1)(b) of Decision 2007/436/EC, Euratom (Chapter 1 3)

| MemberState    | 1 % of capped VAT base | Uniform rate of VAT own resource <sup>(1)</sup><br>(in %) | VAT-based own resource at uniform<br>rate |
|----------------|------------------------|-----------------------------------------------------------|-------------------------------------------|
|                | (1)                    | (2)                                                       | (3) = (1) × (2)                           |
| Belgium        | 1 642 006 000          | 0,300                                                     | 492 601 800                               |
| Bulgaria       | 179 449 000            | 0,300                                                     | 53 834 700                                |
| CzechRepublic  | 645 375 000            | 0,300                                                     | 193 612 500                               |
| Denmark        | 991 282 000            | 0,300                                                     | 297 384 600                               |
| Germany        | 11 655 020 000         | 0,150                                                     | 1 748 253 000                             |
| Estonia        | 78 805 000             | 0,300                                                     | 23 641 500                                |
| Ireland        | 617 887 000            | 0,300                                                     | 185 366 100                               |
| Greece         | 894 936 000            | 0,300                                                     | 268 480 800                               |
| Spain          | 4 791 570 000          | 0,300                                                     | 1 437 471 000                             |
| France         | 9 542 953 000          | 0,300                                                     | 2 862 885 900                             |
| Italy          | 6 526 759 000          | 0,300                                                     | 1 958 027 700                             |
| Cyprus         | 86 187 500             | 0,300                                                     | 25 856 250                                |
| Latvia         | 68 944 000             | 0,300                                                     | 20 683 200                                |
| Lithuania      | 114 219 000            | 0,300                                                     | 34 265 700                                |
| Luxembourg     | 155 349 000            | 0,300                                                     | 46 604 700                                |
| Hungary        | 347 640 000            | 0,300                                                     | 104 292 000                               |
| Malta          | 29 761 500             | 0,300                                                     | 8 928 450                                 |
| Netherlands    | 2 683 341 000          | 0,100                                                     | 268 334 100                               |
| Austria        | 1 387 652 000          | 0,225                                                     | 312 221 700                               |
| Poland         | 1 718 865 000          | 0,300                                                     | 515 659 500                               |
| Portugal       | 783 815 000            | 0,300                                                     | 235 144 500                               |
| Romania        | 488 531 000            | 0,300                                                     | 146 559 300                               |
| Slovenia       | 174 020 000            | 0,300                                                     | 52 206 000                                |
| Slovakia       | 250 745 000            | 0,300                                                     | 75 223 500                                |
| Finland        | 909 915 000            | 0,300                                                     | 272 974 500                               |
| Sweden         | 1 772 967 000          | 0,100                                                     | 177 296 700                               |
| United Kingdom | 9 094 962 000          | 0,300                                                     | 2 728 488 600                             |
| <b>Total</b>   | <b>57 632 956 000</b>  |                                                           | <b>14 546 298 300</b>                     |

<sup>(1)</sup> For the period 2007-2013 only, the rate of call of the VAT resource for Austria shall be fixed at 0,225 %, for Germany at 0,15 % and for the Netherlands and Sweden at 0,10 %.

**TABLE 3**

Determination of uniform rate and breakdown of resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom (Chapter 1 4)

| MemberState    | 1 % of gross national income | Uniform rate of 'additional base', own resource | 'Additional base' own resource at uniform rate |
|----------------|------------------------------|-------------------------------------------------|------------------------------------------------|
|                | (1)                          | (2)                                             | (3) = (1) × (2)                                |
| Belgium        | 3 840 159 000                | 0,7554152 <sup>(1)</sup>                        | 2 900 914 595                                  |
| Bulgaria       | 384 305 000                  |                                                 | 290 309 850                                    |
| CzechRepublic  | 1 403 678 000                |                                                 | 1 060 359 740                                  |
| Denmark        | 2 536 731 000                |                                                 | 1 916 285 232                                  |
| Germany        | 26 725 925 000               |                                                 | 20 189 170 789                                 |
| Estonia        | 158 722 000                  |                                                 | 119 901 016                                    |
| Ireland        | 1 254 962 000                |                                                 | 948 017 408                                    |
| Greece         | 1 998 257 000                |                                                 | 1 509 513 772                                  |
| Spain          | 10 368 290 000               |                                                 | 7 832 364 178                                  |
| France         | 20 795 504 000               |                                                 | 15 709 240 443                                 |
| Italy          | 15 782 516 000               |                                                 | 11 922 352 958                                 |
| Cyprus         | 172 375 000                  |                                                 | 130 214 700                                    |
| Latvia         | 209 894 000                  |                                                 | 158 557 124                                    |
| Lithuania      | 312 459 000                  |                                                 | 236 036 287                                    |
| Luxembourg     | 310 698 000                  |                                                 | 234 706 001                                    |
| Hungary        | 878 721 000                  |                                                 | 663 799 227                                    |
| Malta          | 59 523 000                   |                                                 | 44 964 581                                     |
| Netherlands    | 6 084 816 000                |                                                 | 4 596 562 680                                  |
| Austria        | 3 085 484 000                |                                                 | 2 330 821 606                                  |
| Poland         | 3 512 574 000                |                                                 | 2 653 451 897                                  |
| Portugal       | 1 608 676 000                |                                                 | 1 215 218 351                                  |
| Romania        | 1 379 354 000                |                                                 | 1 041 985 020                                  |
| Slovenia       | 348 040 000                  |                                                 | 262 914 717                                    |
| Slovakia       | 701 571 000                  |                                                 | 529 977 419                                    |
| Finland        | 2 002 764 000                |                                                 | 1 512 918 428                                  |
| Sweden         | 4 059 830 000                |                                                 | 3 066 857 414                                  |
| United Kingdom | 18 806 619 000               |                                                 | 14 206 806 422                                 |
| <b>Total</b>   | <b>128 782 447 000</b>       |                                                 | <b>97 284 221 855</b>                          |

<sup>(1)</sup> Calculation of rate:  $(97\,284\,221\,855) / (128\,782\,447\,000) = 0,755415230268144$ .

**TABLE 4**

Calculation of the gross reduction in GNI contribution for the Netherlands and Sweden and its financing, pursuant to Article 2(5) of Decision 2007/436/EC, Euratom (Chapter 1 6)

| MemberState    | Gross reduction      | Percentage share of GNI base | GNI key applied to the gross reduction | Financing of the reduction in favour of the Netherlands and Sweden |
|----------------|----------------------|------------------------------|----------------------------------------|--------------------------------------------------------------------|
|                | (1)                  | (2)                          | (3)                                    | (4) = (1) + (3)                                                    |
| Belgium        |                      | 2,98                         | 25 260 463                             | 25 260 463                                                         |
| Bulgaria       |                      | 0,30                         | 2 527 948                              | 2 527 948                                                          |
| CzechRepublic  |                      | 1,09                         | 9 233 356                              | 9 233 356                                                          |
| Denmark        |                      | 1,97                         | 16 686 549                             | 16 686 549                                                         |
| Germany        |                      | 20,75                        | 175 802 420                            | 175 802 420                                                        |
| Estonia        |                      | 0,12                         | 1 044 069                              | 1 044 069                                                          |
| Ireland        |                      | 0,97                         | 8 255 106                              | 8 255 106                                                          |
| Greece         |                      | 1,55                         | 13 144 481                             | 13 144 481                                                         |
| Spain          |                      | 8,05                         | 68 202 334                             | 68 202 334                                                         |
| France         |                      | 16,15                        | 136 792 269                            | 136 792 269                                                        |
| Italy          |                      | 12,26                        | 103 816 968                            | 103 816 968                                                        |
| Cyprus         |                      | 0,13                         | 1 133 878                              | 1 133 878                                                          |
| Latvia         |                      | 0,16                         | 1 380 677                              | 1 380 677                                                          |
| Lithuania      |                      | 0,24                         | 2 055 347                              | 2 055 347                                                          |
| Luxembourg     |                      | 0,24                         | 2 043 763                              | 2 043 763                                                          |
| Hungary        |                      | 0,68                         | 5 780 203                              | 5 780 203                                                          |
| Malta          |                      | 0,05                         | 391 541                                | 391 541                                                            |
| Netherlands    | - 678 824 017        | 4,72                         | 40 025 758                             | - 638 798 259                                                      |
| Austria        |                      | 2,40                         | 20 296 231                             | 20 296 231                                                         |
| Poland         |                      | 2,73                         | 23 105 618                             | 23 105 618                                                         |
| Portugal       |                      | 1,25                         | 10 581 828                             | 10 581 828                                                         |
| Romania        |                      | 1,07                         | 9 073 354                              | 9 073 354                                                          |
| Slovenia       |                      | 0,27                         | 2 289 398                              | 2 289 398                                                          |
| Slovakia       |                      | 0,54                         | 4 614 915                              | 4 614 915                                                          |
| Finland        |                      | 1,56                         | 13 174 128                             | 13 174 128                                                         |
| Sweden         | - 168 303 475        | 3,15                         | 26 705 453                             | - 141 598 022                                                      |
| United Kingdom |                      | 14,60                        | 123 709 437                            | 123 709 437                                                        |
| <b>Total</b>   | <b>- 847 127 492</b> | <b>100,00</b>                | <b>847 127 492</b>                     | <b>0</b>                                                           |

EU GDP price deflator, in EUR (spring 2011 economic forecast):

(a) 2004 EU25 = 107,3995 / (b) 2006 EU25 = 112,1888 / (c) 2006 EU27 = 112,5311 / (d) 2012 EU27 = 120,8724

Lump-sum for the Netherlands: in 2012 prices:

605 000 000 EUR  $\times [(b/a) \times (d/c)] = 678 824 017$  EUR

Lump-sum for Sweden: in 2012 prices:

150 000 000 EUR  $\times [(b/a) \times (d/c)] = 168 303 475$  EUR

TABLE 5.1

Correction of budgetary imbalances for the United Kingdom for the year 2011 pursuant to Article 4 of Decision 2007/436/EC, Euratom (Chapter 1 5)

| Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Coefficient <sup>(1)</sup> (%) | Amount                 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|------------------------|
| 1. United Kingdom's share (in %) of notional uncapped VAT base                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 14,9462                        |                        |
| 2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 7,3204                         |                        |
| 3. (1) – (2)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 7,6259                         |                        |
| <b>4. Total allocated expenditure</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                | <b>116 689 113 932</b> |
| 5. Enlargement related expenditure <sup>(2)</sup> = (5a + 5b)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                | 26 918 339 726         |
| 5a. Pre-accession expenditure                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                | 3 037 294 340          |
| 5b. Expenditure related to Article 4(1)(g)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                | 23 881 045 386         |
| 6. Enlargement-adjusted total allocated expenditure = (4) – (5)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                | 89 770 774 207         |
| 7. United Kingdom's correction original amount = (3) × (6) × 0,66                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                | 4 518 220 698          |
| 8. United Kingdom's advantage <sup>(3)</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                | 534 381 657            |
| 9. Core United Kingdom's correction = (7) – (8)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                | 3 983 839 040          |
| 10. Windfall gains deriving from traditional own resources <sup>(4)</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                | 8 838 069              |
| 11. Correction for the United Kingdom = (9) – (10)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                | 3 975 000 971          |
| <sup>(1)</sup> Rounded percentages.<br><sup>(2)</sup> The amount of enlargement-related expenditure corresponds to: (i) payments made to the ten new Member States (which joined the Union on 1 May 2004) under 2003 appropriations, as adjusted by applying the EU GDP deflator for years 2004-2010, as well as payments made to Bulgaria and Romania under 2006 appropriations, as adjusted by applying the Union GDP deflator for years 2007-2010 (5a); and (ii) total allocated expenditure in those Member States, except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section (5b). This amount is deducted from total allocated expenditure to ensure that expenditure which is unabated before enlargement remains so after enlargement.<br><sup>(3)</sup> The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.<br><sup>(4)</sup> These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR). |                                |                        |

According to Article 4(2) of Decision 2007/436/EC, Euratom, during the period 2007-2013 the additional contribution of the United Kingdom resulting from the reduction of allocated expenditure by the expenditure related to enlargement as referred to in point (g) of paragraph 1 of that Article shall not exceed EUR 10 500 000 000, measured in 2004 prices. The corresponding figures are set out in the table below.

| 2007-2012 UK corrections<br>Difference in original amount in reference to EUR 10,5 billion threshold<br>(ORD 2007 vs. ORD 2000), in EUR | Difference in current prices | Difference in constant 2004 prices |
|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------------|------------------------------------|
| (A) 2007 UK correction                                                                                                                  | 0                            | 0                                  |
| (B) 2008 UK correction                                                                                                                  | – 301 679 647                | – 280 649 108                      |
| (C) 2009 UK correction                                                                                                                  | – 1 349 840 247              | – 1 275 338 491                    |
| (D) 2010 UK correction                                                                                                                  | – 2 117 969 550              | – 1 956 957 875                    |
| (E) 2011 UK correction                                                                                                                  | – 2 355 745 675              | – 2 144 599 880                    |
| (F) 2012 UK correction                                                                                                                  | n/a                          | n/a                                |
| <b>(G) Sum of differences = (A) + (B) + (C) + (D) + (E) + (F)</b>                                                                       | <b>– 6 125 235 119</b>       | <b>– 5 657 545 355</b>             |

**TABLE 5.2**

Correction of budgetary imbalances for the United Kingdom for the year 2010 pursuant to Article 4 of Decision 2007/436/EC, Euratom (Chapter 3 6)

| Description                                                                          | Coefficient <sup>(1)</sup> (%) | Amount                 |
|--------------------------------------------------------------------------------------|--------------------------------|------------------------|
| 1. United Kingdom's share (in %) of notional uncapped VAT base                       | 15,3613                        |                        |
| 2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure | 7,7118                         |                        |
| 3. (1) – (2)                                                                         | 7,6495                         |                        |
| <b>4. Total allocated expenditure</b>                                                |                                | <b>111 424 575 479</b> |
| 5. Enlargement related expenditure <sup>(2)</sup> = (5a + 5b)                        |                                | 23 860 842 743         |
| 5a. Pre-accession expenditure                                                        |                                | 2 970 335 816          |
| 5b. Expenditure related to Article 4(1)(g)                                           |                                | 20 890 506 927         |
| 6. Enlargement-adjusted total allocated expenditure = (4) – (5)                      |                                | 87 563 732 736         |
| 7. United Kingdom's correction original amount = (3) × (6) × 0,66                    |                                | 4 420 776 873          |
| 8. United Kingdom's advantage <sup>(3)</sup>                                         |                                | 768 620 727            |
| 9. Core United Kingdom's correction = (7) – (8)                                      |                                | 3 652 156 146          |
| 10. Windfall gains deriving from traditional own resources <sup>(4)</sup>            |                                | 21 614 060             |
| 11. Correction for the United Kingdom = (9) – (10)                                   |                                | 3 630 542 087          |

<sup>(1)</sup> Rounded percentages.  
<sup>(2)</sup> The amount of enlargement-related expenditure corresponds to: (i) payments made to the ten new Member States (which joined the Union on 1 May 2004) under 2003 appropriations, as adjusted by applying the EU GDP deflator for years 2004-2009, as well as payments made to Bulgaria and Romania under 2006 appropriations, as adjusted by applying the Union GDP deflator for years 2007-2009 (5a); and (ii) total allocated expenditure in those Member States, except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section (5b). This amount is deducted from total allocated expenditure to ensure that expenditure which is unabated before enlargement remains so after enlargement.  
<sup>(3)</sup> The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.  
<sup>(4)</sup> These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

TABLE 5.3

Correction of budgetary imbalances for the United Kingdom for the year 2008 <sup>(1)</sup> pursuant to Article 4 of Decision 2007/436/EC, Euratom (Chapter 3 5)

| Description                                                                          | Coefficient <sup>(2)</sup> (%) | Amount                 |
|--------------------------------------------------------------------------------------|--------------------------------|------------------------|
| 1. United Kingdom's share (in %) of notional uncapped VAT base                       | 15,7929                        |                        |
| 2. United Kingdom's share (in %) of enlargement-adjusted total allocated expenditure | 7,3458                         |                        |
| 3. (1) – (2)                                                                         | 8,4471                         |                        |
| <b>4. Total allocated expenditure</b>                                                |                                | <b>105 436 390 802</b> |
| 5. Enlargement related expenditure <sup>(3)</sup> = (5a + 5b)                        |                                | 5 903 524 193          |
| 5a. Pre-accession expenditure                                                        |                                | 3 009 247 449          |
| 5b. Expenditure related to Article 4(1)(g)                                           |                                | 2 894 276 744          |
| 6. Enlargement-adjusted total allocated expenditure = (4) – (5)                      |                                | 99 532 866 610         |
| 7. United Kingdom's correction original amount = (3) × (6) × 0,66                    |                                | 5 549 050 290          |
| 8. United Kingdom's advantage <sup>(4)</sup>                                         |                                | 371 343 380            |
| 9. Core United Kingdom's correction = (7) – (8)                                      |                                | 5 177 706 910          |
| 10. Windfall gains deriving from traditional own resources <sup>(5)</sup>            |                                | – 45 867 538           |
| 11. Correction for the United Kingdom = (9) – (10)                                   |                                | 5 223 574 449          |

<sup>(1)</sup> Note: The difference of € 30 723 455 between the definitive amount of the 2008 UK correction (€ 5 223 574 449, as calculated above) and the previously budgeted amount of the 2008 UK correction (€ 5 254 297 904, entered in the AB 4/2010) is financed in chapter 35 of the AB 4/2012. This impact is the so-called 'direct effect' of the UK correction.

<sup>(2)</sup> Rounded percentages.

<sup>(3)</sup> The amount of enlargement-related expenditure corresponds to: (i) payments made to the ten new Member States (which joined the Union on 1 May 2004) under 2003 appropriations, as adjusted by applying the EU GDP deflator for years 2004-2007, as well as payments made to Bulgaria and Romania under 2006 appropriations, as adjusted by applying the Union GDP deflator for the year 2007 (5a); and (ii) total allocated expenditure in those Member States, except for agricultural direct payments and market-related expenditure as well as that part of rural development expenditure originating from the EAGGF, Guarantee Section (5b). This amount is deducted from total allocated expenditure to ensure that expenditure which is unabated before enlargement remains so after enlargement.

<sup>(4)</sup> The 'UK advantage' corresponds to the effects arising for the United Kingdom from the changeover to capped VAT and the introduction of the GNP/GNI-based own resource.

<sup>(5)</sup> These windfall gains correspond to the net gains of the United Kingdom resulting from the increase — from 10 to 25 % as of 1 January 2001 — in the percentage of traditional own resources retained by Member States to cover the collection costs of traditional own resources (TOR).

**TABLE 6.1**

Calculation of the financing of the correction for the United Kingdom amounting to EUR — 3 975 000 971 (Chapter 1 5)

| MemberState    | Percentage share of GNI base | Shares without the United Kingdom | Shares without Germany, the Netherlands, Austria, Sweden and the United Kingdom | Three quarters of the share of Germany, the Netherlands, Austria and Sweden in column 2 | Column 4 distributed in accordance with column 3 | Financing scale       | Financing scale applied to the correction |
|----------------|------------------------------|-----------------------------------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------|-----------------------|-------------------------------------------|
|                | (1)                          | (2)                               | (3)                                                                             | (4)                                                                                     | (5)                                              | (6) = (2) + (4) + (5) | (7)                                       |
| Belgium        | 2,98                         | 3,49                              | 5,48                                                                            |                                                                                         | 1,49                                             | 4,99                  | 198 203 463                               |
| Bulgaria       | 0,30                         | 0,35                              | 0,55                                                                            |                                                                                         | 0,15                                             | 0,50                  | 19 835 268                                |
| CzechRepublic  | 1,09                         | 1,28                              | 2,00                                                                            |                                                                                         | 0,55                                             | 1,82                  | 72 448 521                                |
| Denmark        | 1,97                         | 2,31                              | 3,62                                                                            |                                                                                         | 0,99                                             | 3,29                  | 130 929 180                               |
| Germany        | 20,75                        | 24,30                             | 0,00                                                                            | – 18,23                                                                                 | 0,00                                             | 6,08                  | 241 497 563                               |
| Estonia        | 0,12                         | 0,14                              | 0,23                                                                            |                                                                                         | 0,06                                             | 0,21                  | 8 192 174                                 |
| Ireland        | 0,97                         | 1,14                              | 1,79                                                                            |                                                                                         | 0,49                                             | 1,63                  | 64 772 790                                |
| Greece         | 1,55                         | 1,82                              | 2,85                                                                            |                                                                                         | 0,78                                             | 2,59                  | 103 136 734                               |
| Spain          | 8,05                         | 9,43                              | 14,81                                                                           |                                                                                         | 4,03                                             | 13,46                 | 535 142 160                               |
| France         | 16,15                        | 18,91                             | 29,70                                                                           |                                                                                         | 8,09                                             | 27,00                 | 1 073 325 585                             |
| Italy          | 12,26                        | 14,35                             | 22,54                                                                           |                                                                                         | 6,14                                             | 20,49                 | 814 588 491                               |
| Cyprus         | 0,13                         | 0,16                              | 0,25                                                                            |                                                                                         | 0,07                                             | 0,22                  | 8 896 851                                 |
| Latvia         | 0,16                         | 0,19                              | 0,30                                                                            |                                                                                         | 0,08                                             | 0,27                  | 10 833 332                                |
| Lithuania      | 0,24                         | 0,28                              | 0,45                                                                            |                                                                                         | 0,12                                             | 0,41                  | 16 127 055                                |
| Luxembourg     | 0,24                         | 0,28                              | 0,44                                                                            |                                                                                         | 0,12                                             | 0,40                  | 16 036 164                                |
| Hungary        | 0,68                         | 0,80                              | 1,25                                                                            |                                                                                         | 0,34                                             | 1,14                  | 45 353 733                                |
| Malta          | 0,05                         | 0,05                              | 0,09                                                                            |                                                                                         | 0,02                                             | 0,08                  | 3 072 181                                 |
| Netherlands    | 4,72                         | 5,53                              | 0,00                                                                            | – 4,15                                                                                  | 0,00                                             | 1,38                  | 54 982 877                                |
| Austria        | 2,40                         | 2,81                              | 0,00                                                                            | – 2,10                                                                                  | 0,00                                             | 0,70                  | 27 880 676                                |
| Poland         | 2,73                         | 3,19                              | 5,02                                                                            |                                                                                         | 1,37                                             | 4,56                  | 181 295 704                               |
| Portugal       | 1,25                         | 1,46                              | 2,30                                                                            |                                                                                         | 0,63                                             | 2,09                  | 83 029 154                                |
| Romania        | 1,07                         | 1,25                              | 1,97                                                                            |                                                                                         | 0,54                                             | 1,79                  | 71 193 078                                |
| Slovenia       | 0,27                         | 0,32                              | 0,50                                                                            |                                                                                         | 0,14                                             | 0,45                  | 17 963 510                                |
| Slovakia       | 0,54                         | 0,64                              | 1,00                                                                            |                                                                                         | 0,27                                             | 0,91                  | 36 210 428                                |
| Finland        | 1,56                         | 1,82                              | 2,86                                                                            |                                                                                         | 0,78                                             | 2,60                  | 103 369 355                               |
| Sweden         | 3,15                         | 3,69                              | 0,00                                                                            | – 2,77                                                                                  | 0,00                                             | 0,92                  | 36 684 944                                |
| United Kingdom | 14,60                        | 0,00                              | 0,00                                                                            |                                                                                         | 0,00                                             | 0,00                  | 0                                         |
| <b>Total</b>   | <b>100,00</b>                | <b>100,00</b>                     | <b>100,00</b>                                                                   | <b>– 27,25</b>                                                                          | <b>27,25</b>                                     | <b>100,00</b>         | <b>3 975 000 971</b>                      |

The calculations are made to 15 decimal places.

**TABLE 6.2**

Financing of the definitive 2008 UK correction (chapter 35)

| MemberState    | Amount       |
|----------------|--------------|
|                | (1)          |
| Belgium        | - 2 436 633  |
| Bulgaria       | 1 220 806    |
| CzechRepublic  | 1 690 027    |
| Denmark        | - 3 876 276  |
| Germany        | - 4 774 265  |
| Estonia        | 47 930       |
| Ireland        | 492 015      |
| Greece         | - 4 953 249  |
| Spain          | - 5 638 762  |
| France         | - 19 594 776 |
| Italy          | 8 439 585    |
| Cyprus         | - 497 841    |
| Latvia         | - 254 104    |
| Lithuania      | 318 425      |
| Luxembourg     | - 714 690    |
| Hungary        | - 1 193 752  |
| Malta          | - 66 212     |
| Netherlands    | - 305 503    |
| Austria        | - 238 031    |
| Poland         | - 2 645 902  |
| Portugal       | 2 383 572    |
| Romania        | 1 233 079    |
| Slovenia       | 39 130       |
| Slovakia       | - 868 292    |
| Finland        | 2 996 972    |
| Sweden         | - 1 526 708  |
| United Kingdom | 30 723 455   |
| <b>Total</b>   | <b>0</b>     |

**TABLE 6.3**

Financing of the intermediate update 2010 UK correction (chapter 36)

| MemberState    | Amount       |
|----------------|--------------|
|                | (1)          |
| Belgium        | – 7 206 164  |
| Bulgaria       | – 874 899    |
| CzechRepublic  | – 1 231 077  |
| Denmark        | – 5 756 244  |
| Germany        | – 12 395 478 |
| Estonia        | – 159 399    |
| Ireland        | – 4 114 974  |
| Greece         | – 10 261 013 |
| Spain          | – 31 026 737 |
| France         | – 53 804 546 |
| Italy          | – 44 693 441 |
| Cyprus         | – 988 357    |
| Latvia         | 230 629      |
| Lithuania      | – 468 727    |
| Luxembourg     | – 1 321 483  |
| Hungary        | – 4 025 268  |
| Malta          | – 289 108    |
| Netherlands    | – 3 588 342  |
| Austria        | – 764 191    |
| Poland         | – 15 230 602 |
| Portugal       | – 4 186 172  |
| Romania        | 1 370 640    |
| Slovenia       | – 1 504 459  |
| Slovakia       | – 2 287 722  |
| Finland        | – 4 814 952  |
| Sweden         | – 1 637 487  |
| United Kingdom | 211 029 573  |
| <b>Total</b>   | <b>0</b>     |

TABLE 7

Summary of financing <sup>(1)</sup> of the general budget by type of own resource and by MemberState

| MemberState    | Traditional own resources (TOR) |                           |                                            |                                             | VAT and GNI-based own resources, including adjustments |                        |                                               |                           |                                |                                             | Total own resources <sup>(2)</sup> |
|----------------|---------------------------------|---------------------------|--------------------------------------------|---------------------------------------------|--------------------------------------------------------|------------------------|-----------------------------------------------|---------------------------|--------------------------------|---------------------------------------------|------------------------------------|
|                | Net sugar sector levies (75 %)  | Net customs duties (75 %) | Total net traditional own resources (75 %) | Collection costs (25 % of gross TOR) (p.m.) | VAT-based own resource                                 | GNI-based own resource | Reduction in favour of Netherlands and Sweden | United Kingdom correction | Total 'national contributions' | Share in total 'national contributions' (%) |                                    |
|                | (1)                             | (2)                       | (3) = (1) + (2)                            | (4)                                         | (5)                                                    | (6)                    | (7)                                           | (8)                       | (9) = (5) + (6) + (7) + (8)    | (10)                                        | (11) = (3) + (9)                   |
| Belgium        | 6 600 000                       | 1 617 018 333             | 1 623 618 333                              | 541 206 111                                 | 492 601 800                                            | 2 900 914 595          | 25 260 463                                    | 188 560 666               | 3 607 337 524                  | 3,23                                        | 5 230 955 857                      |
| Bulgaria       | 400 000                         | 51 282 852                | 51 682 852                                 | 17 227 617                                  | 53 834 700                                             | 290 309 850            | 2 527 948                                     | 20 181 175                | 366 853 673                    | 0,33                                        | 418 536 525                        |
| CzechRepublic  | 3 400 000                       | 217 147 869               | 220 547 869                                | 73 515 956                                  | 193 612 500                                            | 1 060 359 740          | 9 233 356                                     | 72 907 471                | 1 336 113 067                  | 1,19                                        | 1 556 660 936                      |
| Denmark        | 3 400 000                       | 328 796 882               | 332 196 882                                | 110 732 294                                 | 297 384 600                                            | 1 916 285 232          | 16 686 549                                    | 121 296 660               | 2 351 653 041                  | 2,10                                        | 2 683 849 923                      |
| Germany        | 26 300 000                      | 3 406 524 926             | 3 432 824 926                              | 1 144 274 973                               | 1 748 253 000                                          | 20 189 170 789         | 175 802 420                                   | 224 327 820               | 22 337 554 029                 | 19,97                                       | 25 770 378 955                     |
| Estonia        | 0                               | 21 856 714                | 21 856 714                                 | 7 285 571                                   | 23 641 500                                             | 119 901 016            | 1 044 069                                     | 8 080 705                 | 152 667 290                    | 0,14                                        | 174 524 004                        |
| Ireland        | 0                               | 198 413 543               | 198 413 543                                | 66 137 848                                  | 185 366 100                                            | 948 017 408            | 8 255 106                                     | 61 149 831                | 1 202 788 445                  | 1,08                                        | 1 401 201 988                      |
| Greece         | 1 400 000                       | 132 843 402               | 134 243 402                                | 44 747 801                                  | 268 480 800                                            | 1 509 513 772          | 13 144 481                                    | 87 922 472                | 1 879 061 525                  | 1,68                                        | 2 013 304 927                      |
| Spain          | 4 700 000                       | 1 115 070 864             | 1 119 770 864                              | 373 256 955                                 | 1 437 471 000                                          | 7 832 364 178          | 68 202 334                                    | 498 476 661               | 9 836 514 173                  | 8,80                                        | 10 956 285 037                     |
| France         | 30 900 000                      | 1 669 058 128             | 1 699 958 128                              | 566 652 709                                 | 2 862 885 900                                          | 15 709 240 443         | 136 792 269                                   | 999 926 263               | 19 708 844 875                 | 17,62                                       | 21 408 803 003                     |
| Italy          | 4 700 000                       | 1 668 963 510             | 1 673 663 510                              | 557 887 837                                 | 1 958 027 700                                          | 11 922 352 958         | 103 816 968                                   | 778 334 635               | 14 762 532 261                 | 13,20                                       | 16 436 195 771                     |
| Cyprus         | 0                               | 20 342 829                | 20 342 829                                 | 6 780 943                                   | 25 856 250                                             | 130 214 700            | 1 133 878                                     | 7 410 653                 | 164 615 481                    | 0,15                                        | 184 958 310                        |
| Latvia         | 0                               | 23 086 745                | 23 086 745                                 | 7 695 582                                   | 20 683 200                                             | 158 557 124            | 1 380 677                                     | 10 809 857                | 191 430 858                    | 0,17                                        | 214 517 603                        |
| Lithuania      | 800 000                         | 46 362 726                | 47 162 726                                 | 15 720 909                                  | 34 265 700                                             | 236 036 287            | 2 055 347                                     | 15 976 753                | 288 334 087                    | 0,26                                        | 335 496 813                        |
| Luxembourg     | 0                               | 14 381 907                | 14 381 907                                 | 4 793 969                                   | 46 604 700                                             | 234 706 001            | 2 043 763                                     | 13 999 991                | 297 354 455                    | 0,27                                        | 311 736 362                        |
| Hungary        | 2 000 000                       | 99 537 934                | 101 537 934                                | 33 845 978                                  | 104 292 000                                            | 663 799 227            | 5 780 203                                     | 40 134 713                | 814 006 143                    | 0,73                                        | 915 544 077                        |
| Malta          | 0                               | 9 934 870                 | 9 934 870                                  | 3 311 623                                   | 8 928 450                                              | 44 964 581             | 391 541                                       | 2 716 861                 | 57 001 433                     | 0,05                                        | 66 936 303                         |
| Netherlands    | 7 300 000                       | 1 879 677 368             | 1 886 977 368                              | 628 992 456                                 | 268 334 100                                            | 4 596 562 680          | - 638 798 259                                 | 51 089 032                | 4 277 187 553                  | 3,82                                        | 6 164 164 921                      |
| Austria        | 3 200 000                       | 197 372 747               | 200 572 747                                | 66 857 583                                  | 312 221 700                                            | 2 330 821 606          | 20 296 231                                    | 26 878 454                | 2 690 217 991                  | 2,41                                        | 2 890 790 738                      |
| Poland         | 12 800 000                      | 352 924 423               | 365 724 423                                | 121 908 141                                 | 515 659 500                                            | 2 653 451 897          | 23 105 618                                    | 163 419 200               | 3 355 636 215                  | 3,00                                        | 3 721 360 638                      |
| Portugal       | 200 000                         | 121 300 029               | 121 500 029                                | 40 500 010                                  | 235 144 500                                            | 1 215 218 351          | 10 581 828                                    | 81 226 554                | 1 542 171 233                  | 1,38                                        | 1 663 671 262                      |
| Romania        | 1 000 000                       | 108 810 479               | 109 810 479                                | 36 603 493                                  | 146 559 300                                            | 1 041 985 020          | 9 073 354                                     | 73 796 797                | 1 271 414 471                  | 1,14                                        | 1 381 224 950                      |
| Slovenia       | 0                               | 73 044 948                | 73 044 948                                 | 24 348 316                                  | 52 206 000                                             | 262 914 717            | 2 289 398                                     | 16 498 181                | 333 908 296                    | 0,30                                        | 406 953 244                        |
| Slovakia       | 1 400 000                       | 120 826 940               | 122 226 940                                | 40 742 314                                  | 75 223 500                                             | 529 977 419            | 4 614 915                                     | 33 054 414                | 642 870 248                    | 0,57                                        | 765 097 188                        |
| Finland        | 800 000                         | 149 117 665               | 149 917 665                                | 49 972 555                                  | 272 974 500                                            | 1 512 918 428          | 13 174 128                                    | 101 551 375               | 1 900 618 431                  | 1,70                                        | 2 050 536 096                      |
| Sweden         | 2 600 000                       | 484 159 322               | 486 759 322                                | 162 253 108                                 | 177 296 700                                            | 3 066 857 414          | - 141 598 022                                 | 33 520 749                | 3 136 076 841                  | 2,80                                        | 3 622 836 163                      |
| United Kingdom | 9 500 000                       | 2 572 942 045             | 2 582 442 045                              | 860 814 015                                 | 2 728 488 600                                          | 14 206 806 422         | 123 709 437                                   | - 3 733 247 943           | 13 325 756 516                 | 11,92                                       | 15 908 198 561                     |
| <b>Total</b>   | <b>123 400 000</b>              | <b>16 700 800 000</b>     | <b>16 824 200 000</b>                      | <b>5 608 066 667</b>                        | <b>14 546 298 300</b>                                  | <b>97 284 221 855</b>  | <b>0</b>                                      | <b>0</b>                  | <b>111 830 520 155</b>         | <b>100,00</b>                               | <b>128 654 720 155</b>             |

<sup>(1)</sup> p.m. (own resources + other revenue = total revenue = total expenditure); 128 654 720 155 + 7 103 515 152 = 135 758 235 307 = 135 758 235 307).<sup>(2)</sup> Total own resources as percentage of GNI: (128 654 720 155) / (12 878 244 700 000) = 1,00 %; own resources ceiling as percentage of GNI: 1,23 %.



## B. GENERAL STATEMENT OF REVENUE BY BUDGET HEADING

## REVENUE

| Title        | Heading                                                                               | Budget 2012            | Amending<br>budget No. 6/2012 | New amount             |
|--------------|---------------------------------------------------------------------------------------|------------------------|-------------------------------|------------------------|
| 1            | OWN RESOURCES                                                                         | 126 685 548 155        | 1 969 172 000                 | 128 654 720 155        |
| 3            | SURPLUSES, BALANCES AND ADJUSTMENTS                                                   | 1 496 968 014          | 497 328 000                   | 1 994 296 014          |
| 4            | REVENUE ACCRUING FROM PERSONS WORKING WITH THE<br>INSTITUTIONS AND OTHER UNION BODIES | 1 312 344 852          |                               | 1 312 344 852          |
| 5            | REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF<br>THE INSTITUTIONS             | 59 790 286             | 8 500 000                     | 68 290 286             |
| 6            | CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH UNION<br>AGREEMENTS AND PROGRAMMES       | 50 000 000             |                               | 50 000 000             |
| 7            | INTEREST ON LATE PAYMENTS AND FINES                                                   | 123 000 000            | 3 525 000 000                 | 3 648 000 000          |
| 8            | BORROWING AND LENDING OPERATIONS                                                      | 384 000                |                               | 384 000                |
| 9            | MISCELLANEOUS REVENUE                                                                 | 30 200 000             |                               | 30 200 000             |
| <b>Total</b> |                                                                                       | <b>129 758 235 307</b> | <b>6 000 000 000</b>          | <b>135 758 235 307</b> |

**TITLE 1**  
**OWN RESOURCES**

| Title<br>Chapter       | Heading                                                                                                                                       | Budget 2012            | Amending<br>budget No. 6/2012 | New amount             |
|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------------------|------------------------|
| 1 1                    | LEVIES AND OTHER DUTIES PROVIDED FOR UNDER THE COMMON ORGANISATION OF THE MARKETS IN SUGAR (ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM) | 123 400 000            |                               | 123 400 000            |
| 1 2                    | CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM                                               | 17 650 800 000         | – 950 000 000                 | 16 700 800 000         |
| 1 3                    | OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(b) OF DECISION 2007/436/EC, EURATOM                                      | 14 546 298 300         |                               | 14 546 298 300         |
| 1 4                    | OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM                                     | 94 365 049 855         | 2 919 172 000                 | 97 284 221 855         |
| 1 5                    | CORRECTION OF BUDGETARY IMBALANCES                                                                                                            | 0                      |                               | 0                      |
| 1 6                    | GROSS REDUCTION IN THE ANNUAL GNI-BASED CONTRIBUTION GRANTED TO THE NETHERLANDS AND SWEDEN                                                    | 0                      |                               | 0                      |
| <b>Title 1 — Total</b> |                                                                                                                                               | <b>126 685 548 155</b> | <b>1 969 172 000</b>          | <b>128 654 720 155</b> |

## TITLE 1

## OWN RESOURCES

## CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                | Budget 2012           | Amending<br>budget No. 6/2012 | New amount            |
|-------------------------------------|--------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------|-----------------------|
| 1 2                                 | CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM        |                       |                               |                       |
| 1 2 0                               | <i>Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC, Euratom</i> | 17 650 800 000        | – 950 000 000                 | 16 700 800 000        |
|                                     | <b>Chapter 1 2 — Total</b>                                                                             | <b>17 650 800 000</b> | <b>– 950 000 000</b>          | <b>16 700 800 000</b> |

## 1 2 0

*Customs duties and other duties referred to in Article 2(1)(a) of Decision 2007/436/EC, Euratom*

| Budget 2012    | Amending budget No. 6/2012 | New amount     |
|----------------|----------------------------|----------------|
| 17 650 800 000 | – 950 000 000              | 16 700 800 000 |

*Remarks*

The assignment of customs duties as own resources to the financing of common expenditure is the logical consequence of the free movement of goods within the Union. This article may comprise levies, premiums, additional or compensatory amounts, additional amounts or factors, Common Customs Tariff duties and other duties established or to be established by the institutions of the European Union in respect of trade with third countries and customs duties on products under the expired Treaty establishing the European Coal and Steel Community.

Figures are net of collection costs.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(a) thereof.

**CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(a) OF DECISION 2007/436/EC, EURATOM**  
(cont'd)

**1 2 0** (cont'd)

| MemberState                  | Budget 2012    | Amending budget No. 6/2012 | New amount     |
|------------------------------|----------------|----------------------------|----------------|
| Belgium                      | 1 709 000 000  | – 91 981 667               | 1 617 018 333  |
| Bulgaria                     | 54 200 000     | – 2 917 148                | 51 282 852     |
| CzechRepublic                | 229 500 000    | – 12 352 131               | 217 147 869    |
| Denmark                      | 347 500 000    | – 18 703 118               | 328 796 882    |
| Germany                      | 3 600 300 000  | – 193 775 074              | 3 406 524 926  |
| Estonia                      | 23 100 000     | – 1 243 286                | 21 856 714     |
| Ireland                      | 209 700 000    | – 11 286 457               | 198 413 543    |
| Greece                       | 140 400 000    | – 7 556 598                | 132 843 402    |
| Spain                        | 1 178 500 000  | – 63 429 136               | 1 115 070 864  |
| France                       | 1 764 000 000  | – 94 941 872               | 1 669 058 128  |
| Italy                        | 1 763 900 000  | – 94 936 490               | 1 668 963 510  |
| Cyprus                       | 21 500 000     | – 1 157 171                | 20 342 829     |
| Latvia                       | 24 400 000     | – 1 313 255                | 23 086 745     |
| Lithuania                    | 49 000 000     | – 2 637 274                | 46 362 726     |
| Luxembourg                   | 15 200 000     | – 818 093                  | 14 381 907     |
| Hungary                      | 105 200 000    | – 5 662 066                | 99 537 934     |
| Malta                        | 10 500 000     | – 565 130                  | 9 934 870      |
| Netherlands                  | 1 986 600 000  | – 106 922 632              | 1 879 677 368  |
| Austria                      | 208 600 000    | – 11 227 253               | 197 372 747    |
| Poland                       | 373 000 000    | – 20 075 577               | 352 924 423    |
| Portugal                     | 128 200 000    | – 6 899 971                | 121 300 029    |
| Romania                      | 115 000 000    | – 6 189 521                | 108 810 479    |
| Slovenia                     | 77 200 000     | – 4 155 052                | 73 044 948     |
| Slovakia                     | 127 700 000    | – 6 873 060                | 120 826 940    |
| Finland                      | 157 600 000    | – 8 482 335                | 149 117 665    |
| Sweden                       | 511 700 000    | – 27 540 678               | 484 159 322    |
| United Kingdom               | 2 719 300 000  | – 146 357 955              | 2 572 942 045  |
| <i>Article 1 2 0 — Total</i> | 17 650 800 000 | – 950 000 000              | 16 700 800 000 |

**CHAPTER 14 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                 | Budget 2012           | Amending<br>budget No. 6/2012 | New amount            |
|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------|-----------------------|
| 1 4                                 | OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM               |                       |                               |                       |
| <b>1 4 0</b>                        | <b><i>Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom</i></b> | 94 365 049 855        | 2 919 172 000                 | 97 284 221 855        |
|                                     | <b>Chapter 1 4 — Total</b>                                                                                              | <b>94 365 049 855</b> | <b>2 919 172 000</b>          | <b>97 284 221 855</b> |

**1 4 0      *Own resources based on gross national income pursuant to Article 2(1)(c) of Decision 2007/436/EC, Euratom***

| Budget 2012    | Amending budget No. 6/2012 | New amount     |
|----------------|----------------------------|----------------|
| 94 365 049 855 | 2 919 172 000              | 97 284 221 855 |

*Remarks*

The GNI-based resource is an 'additional' resource, providing the revenue required to cover expenditure in excess of the amount yielded by traditional own resources, VAT-based payments and other revenue in any particular year. By implication, the GNI-based resource ensures that the general budget of the European Union is always balanced *ex ante*.

The GNI call rate is determined by the additional revenue needed to finance the budgeted expenditure not covered by the other resources (VAT-based payments, traditional own resources and other revenue). Thus a call rate is applied to the GNI of each of the Member States.

The rate to be applied to the Member States' gross national income for this financial year is 0,7554 %.

*Legal basis*

Council Decision 2007/436/EC, Euratom of 7 June 2007 on the system of the European Communities' own resources (OJ L 163, 23.6.2007, p. 17), and in particular Article 2(1)(c) thereof.

**CHAPTER 14 — OWN RESOURCES BASED ON GROSS NATIONAL INCOME PURSUANT TO ARTICLE 2(1)(c) OF DECISION 2007/436/EC, EURATOM** *(cont'd)*

**1 4 0** *(cont'd)*

| MemberState                  | Budget 2012           | Amending budget No. 6/2012 | New amount            |
|------------------------------|-----------------------|----------------------------|-----------------------|
| Belgium                      | 2 813 867 914         | 87 046 681                 | 2 900 914 595         |
| Bulgaria                     | 281 598 629           | 8 711 221                  | 290 309 850           |
| CzechRepublic                | 1 028 541 913         | 31 817 827                 | 1 060 359 740         |
| Denmark                      | 1 858 783 964         | 57 501 268                 | 1 916 285 232         |
| Germany                      | 19 583 361 737        | 605 809 052                | 20 189 170 789        |
| Estonia                      | 116 303 190           | 3 597 826                  | 119 901 016           |
| Ireland                      | 919 570 597           | 28 446 811                 | 948 017 408           |
| Greece                       | 1 464 218 345         | 45 295 427                 | 1 509 513 772         |
| Spain                        | 7 597 341 296         | 235 022 882                | 7 832 364 178         |
| France                       | 15 237 859 021        | 471 381 422                | 15 709 240 443        |
| Italy                        | 11 564 603 282        | 357 749 676                | 11 922 352 958        |
| Cyprus                       | 126 307 396           | 3 907 304                  | 130 214 700           |
| Latvia                       | 153 799 359           | 4 757 765                  | 158 557 124           |
| Lithuania                    | 228 953 633           | 7 082 654                  | 236 036 287           |
| Luxembourg                   | 227 663 264           | 7 042 737                  | 234 706 001           |
| Hungary                      | 643 880 846           | 19 918 381                 | 663 799 227           |
| Malta                        | 43 615 345            | 1 349 236                  | 44 964 581            |
| Netherlands                  | 4 458 635 308         | 137 927 372                | 4 596 562 680         |
| Austria                      | 2 260 881 496         | 69 940 110                 | 2 330 821 606         |
| Poland                       | 2 573 830 738         | 79 621 159                 | 2 653 451 897         |
| Portugal                     | 1 178 753 739         | 36 464 612                 | 1 215 218 351         |
| Romania                      | 1 010 718 557         | 31 266 463                 | 1 041 985 020         |
| Slovenia                     | 255 025 531           | 7 889 186                  | 262 914 717           |
| Slovakia                     | 514 074 580           | 15 902 839                 | 529 977 419           |
| Finland                      | 1 467 520 839         | 45 397 589                 | 1 512 918 428         |
| Sweden                       | 2 974 831 348         | 92 026 066                 | 3 066 857 414         |
| United Kingdom               | 13 780 507 988        | 426 298 434                | 14 206 806 422        |
| <i>Article 1 4 0 — Total</i> | <i>94 365 049 855</i> | <i>2 919 172 000</i>       | <i>97 284 221 855</i> |

**TITLE 3**  
**SURPLUSES, BALANCES AND ADJUSTMENTS**

| Title<br>Chapter       | Heading                                                                                                                                                                                                            | Budget 2012          | Amending<br>budget No. 6/2012 | New amount           |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|-------------------------------|----------------------|
| 3 0                    | SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR                                                                                                                                                                | 1 496 968 014        |                               | 1 496 968 014        |
| 3 1                    | BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000                            | p.m.                 | 217 596 000                   | 217 596 000          |
| 3 2                    | BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000 | p.m.                 | 279 732 000                   | 279 732 000          |
| 3 4                    | ADJUSTMENT RELATING TO THE NON-PARTICIPATION OF CERTAIN MEMBER STATES IN CERTAIN POLICIES IN THE AREA OF FREEDOM, SECURITY AND JUSTICE                                                                             | p.m.                 |                               | p.m.                 |
| 3 5                    | RESULT OF THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM                                                                                             | 0                    |                               | 0                    |
| 3 6                    | RESULT OF INTERMEDIATE UPDATES OF THE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM                                                                                | 0                    |                               | 0                    |
| <b>Title 3 — Total</b> |                                                                                                                                                                                                                    | <b>1 496 968 014</b> | <b>497 328 000</b>            | <b>1 994 296 014</b> |

## TITLE 3

## SURPLUSES, BALANCES AND ADJUSTMENTS

## CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                 | Budget 2012 | Amending<br>budget No. 6/2012 | New amount         |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------|--------------------|
| 3 1                                 | BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000 |             |                               |                    |
| 3 1 0                               | <b>Application for 1995 and subsequent financial years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000</b>                                                       |             |                               |                    |
| 3 1 0 3                             | Application for 1995 and subsequent years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000                                                                        | p.m.        | 217 596 000                   | 217 596 000        |
|                                     | Article 3 1 0 — Subtotal                                                                                                                                                                | p.m.        | 217 596 000                   | 217 596 000        |
|                                     | <b>Chapter 3 1 — Total</b>                                                                                                                                                              | <b>p.m.</b> | <b>217 596 000</b>            | <b>217 596 000</b> |

3 1 0 **Application for 1995 and subsequent financial years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000**

## 3 1 0 3 Application for 1995 and subsequent years of Article 10(4), (5) and (8) of Regulation (EC, Euratom) No 1150/2000

| Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------|----------------------------|-------------|
| p.m.        | 217 596 000                | 217 596 000 |

## Remarks

Under Article 7(1) of Regulation (EEC, Euratom) No 1553/89, Member States are to send the Commission a statement of the total amount of the VAT resources base for the previous calendar year before 31 July.

Each MemberState is debited with an amount calculated on the basis of that statement according to European Union rules and credited with the 12 payments actually made during the previous financial year. The Commission works out the balance and informs the Member States in time for them to enter it in the Commission's account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December of the same year.

Any corrections to the abovementioned statements resulting from Commission controls in accordance with Article 9 of Regulation (EEC, Euratom) No 1553/89 or/and any changes to the GNI of previous financial years that have an effect on the capping of the 'VAT' base will lead to adjustments of the 'VAT' balances.

## Legal basis

Council Regulation (EEC, Euratom) No 1553/89 of 29 May 1989 on the definitive uniform arrangements for the collection of own resources accruing from value added tax (OJ L 155, 7.6.1989, p. 9).

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(4), (5) and (8) thereof.

**CHAPTER 3 1 — BALANCES AND ADJUSTMENT OF BALANCES BASED ON VAT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(4), (5) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000** (*cont'd*)

**3 1 0** (*cont'd*)

**3 1 0 3** (*cont'd*)

| MemberState                 | Budget 2012 | Amending budget No. 6/2012 | New amount         |
|-----------------------------|-------------|----------------------------|--------------------|
| Belgium                     | p.m.        | – 16 821 000               | – 16 821 000       |
| Bulgaria                    | p.m.        | – 996 000                  | – 996 000          |
| CzechRepublic               | p.m.        | – 174 000                  | – 174 000          |
| Denmark                     | p.m.        | – 4 212 000                | – 4 212 000        |
| Germany                     | p.m.        | 53 626 000                 | 53 626 000         |
| Estonia                     | p.m.        | – 630 000                  | – 630 000          |
| Ireland                     | p.m.        | 5 741 000                  | 5 741 000          |
| Greece                      | p.m.        | – 52 894 000               | – 52 894 000       |
| Spain                       | p.m.        | – 124 891 000              | – 124 891 000      |
| France                      | p.m.        | 14 165 000                 | 14 165 000         |
| Italy                       | p.m.        | 336 619 000                | 336 619 000        |
| Cyprus                      | p.m.        | 44 000                     | 44 000             |
| Latvia                      | p.m.        | 1 369 000                  | 1 369 000          |
| Lithuania                   | p.m.        | 2 419 000                  | 2 419 000          |
| Luxembourg                  | p.m.        | – 5 720 000                | – 5 720 000        |
| Hungary                     | p.m.        | – 22 336 000               | – 22 336 000       |
| Malta                       | p.m.        | 382 000                    | 382 000            |
| Netherlands                 | p.m.        | – 11 027 000               | – 11 027 000       |
| Austria                     | p.m.        | 13 880 000                 | 13 880 000         |
| Poland                      | p.m.        | 210 000                    | 210 000            |
| Portugal                    | p.m.        | 122 000                    | 122 000            |
| Romania                     | p.m.        | 3 233 000                  | 3 233 000          |
| Slovenia                    | p.m.        | – 350 000                  | – 350 000          |
| Slovakia                    | p.m.        | 8 727 000                  | 8 727 000          |
| Finland                     | p.m.        | 4 468 000                  | 4 468 000          |
| Sweden                      | p.m.        | 7 649 000                  | 7 649 000          |
| United Kingdom              | p.m.        | 4 993 000                  | 4 993 000          |
| <i>Item 3 1 0 3 — Total</i> | <i>p.m.</i> | <i>217 596 000</i>         | <i>217 596 000</i> |

**CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000**

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                            | Budget 2012 | Amending budget No.<br>6/2012 | New amount         |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------------------|--------------------|
| 3 2                                 | BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000 |             |                               |                    |
| 3 2 0                               | <b>Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000</b>                                                                                  |             |                               |                    |
| 3 2 0 3                             | Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000                                                                                         | p.m.        | 279 732 000                   | 279 732 000        |
|                                     | <i>Article 3 2 0 — Subtotal</i>                                                                                                                                                                                    | p.m.        | 279 732 000                   | 279 732 000        |
|                                     | <b>Chapter 3 2 — Total</b>                                                                                                                                                                                         | <b>p.m.</b> | <b>279 732 000</b>            | <b>279 732 000</b> |

**3 2 0      Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000**

**3 2 0 3      Application for 1995 and subsequent financial years of Article 10(6), (7) and (8) of Regulation (EC, Euratom) No 1150/2000**

| Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------|----------------------------|-------------|
| p.m.        | 279 732 000                | 279 732 000 |

*Remarks*

On the basis of figures for aggregate gross national income and its components from the preceding year, supplied by the Member States in accordance with Article 2(2) of Regulation (EC, Euratom) No 1287/2003, each Member State shall be debited with an amount calculated according to European Union rules and credited with the 12 payments made during that previous financial year.

The Commission works out the balance and informs the Member States in time for them to enter it in the account referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 on the first working day of December of the same year.

Any changes to the gross national product/gross national income of previous financial years pursuant to Article 2(2) of Regulation (EC, Euratom) No 1287/2003, subject to Articles 4 and 5 thereof, gives rise for each Member State concerned to an adjustment to the balance established pursuant to Article 10(7) of Regulation (EC, Euratom) No 1150/2000.

*Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1), and in particular Article 10(6), (7) and (8) thereof.

Council Regulation (EC, Euratom) No 1287/2003 of 15 July 2003 on the harmonisation of gross national income at market prices (OJ L 181, 19.7.2003, p. 1).

**CHAPTER 3 2 — BALANCES AND ADJUSTMENTS OF BALANCES BASED ON GROSS NATIONAL INCOME/PRODUCT FOR THE PREVIOUS FINANCIAL YEARS AS A RESULT OF THE APPLICATION OF ARTICLE 10(6), (7) AND (8) OF REGULATION (EC, EURATOM) No 1150/2000** (*cont'd*)

**3 2 0** (*cont'd*)

**3 2 0 3** (*cont'd*)

| MemberState                 | Budget 2012 | Amending budget No. 6/2012 | New amount         |
|-----------------------------|-------------|----------------------------|--------------------|
| Belgium                     | p.m.        | 50 377 000                 | 50 377 000         |
| Bulgaria                    | p.m.        | 4 961 000                  | 4 961 000          |
| CzechRepublic               | p.m.        | 26 971 000                 | 26 971 000         |
| Denmark                     | p.m.        | 49 367 000                 | 49 367 000         |
| Germany                     | p.m.        | 414 891 000                | 414 891 000        |
| Estonia                     | p.m.        | 1 420 000                  | 1 420 000          |
| Ireland                     | p.m.        | 30 334 000                 | 30 334 000         |
| Greece                      | p.m.        | – 145 806 000              | – 145 806 000      |
| Spain                       | p.m.        | – 59 671 000               | – 59 671 000       |
| France                      | p.m.        | 64 017 000                 | 64 017 000         |
| Italy                       | p.m.        | – 126 290 000              | – 126 290 000      |
| Cyprus                      | p.m.        | 200 000                    | 200 000            |
| Latvia                      | p.m.        | 11 385 000                 | 11 385 000         |
| Lithuania                   | p.m.        | 2 568 000                  | 2 568 000          |
| Luxembourg                  | p.m.        | – 27 121 000               | – 27 121 000       |
| Hungary                     | p.m.        | – 18 994 000               | – 18 994 000       |
| Malta                       | p.m.        | 1 812 000                  | 1 812 000          |
| Netherlands                 | p.m.        | – 95 839 000               | – 95 839 000       |
| Austria                     | p.m.        | 58 625 000                 | 58 625 000         |
| Poland                      | p.m.        | – 20 329 000               | – 20 329 000       |
| Portugal                    | p.m.        | 102 906 000                | 102 906 000        |
| Romania                     | p.m.        | 75 334 000                 | 75 334 000         |
| Slovenia                    | p.m.        | – 219 000                  | – 219 000          |
| Slovakia                    | p.m.        | – 5 779 000                | – 5 779 000        |
| Finland                     | p.m.        | – 43 539 000               | – 43 539 000       |
| Sweden                      | p.m.        | 79 194 000                 | 79 194 000         |
| United Kingdom              | p.m.        | – 151 043 000              | – 151 043 000      |
| <i>Item 3 2 0 3 — Total</i> | <i>p.m.</i> | <i>279 732 000</i>         | <i>279 732 000</i> |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

| Title<br>Chapter | Heading                                                                                             | Budget 2012 | Amending<br>budget No. 6/2012 | New amount |
|------------------|-----------------------------------------------------------------------------------------------------|-------------|-------------------------------|------------|
| 5 0              | PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY                 | p.m.        |                               | p.m.       |
| 5 1              | PROCEEDS FROM LETTING AND HIRING                                                                    | p.m.        |                               | p.m.       |
| 5 2              | REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST                                  | 57 790 286  | 8 500 000                     | 66 290 286 |
| 5 5              | REVENUE FROM THE SUPPLY OF SERVICES AND WORK                                                        | p.m.        |                               | p.m.       |
| 5 7              | OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS | p.m.        |                               | p.m.       |
| 5 8              | MISCELLANEOUS COMPENSATION                                                                          | p.m.        |                               | p.m.       |
| 5 9              | OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS                                                        | 2 000 000   |                               | 2 000 000  |
| Title 5 — Total  |                                                                                                     | 59 790 286  | 8 500 000                     | 68 290 286 |

## TITLE 5

## REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTIONS

## CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                      | Budget 2012       | Amending<br>budget No. 6/2012 | New amount        |
|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------------------|-------------------|
| 5 2                                 | REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST                                                                                           |                   |                               |                   |
| 5 2 0                               | <i>Revenue from investments or loans granted, bank and other interest on the institutions' accounts</i>                                                      | 7 790 286         | 3 500 000                     | 11 290 286        |
| 5 2 1                               | <i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i> | 10 000 000        | 5 000 000                     | 15 000 000        |
| 5 2 2                               | <i>Interest yielded by pre-financing</i>                                                                                                                     | 40 000 000        |                               | 40 000 000        |
| 5 2 3                               | <i>Revenue generated on Trust Accounts — Assigned revenue</i>                                                                                                | p.m.              |                               | p.m.              |
|                                     | <b>Chapter 5 2 — Total</b>                                                                                                                                   | <b>57 790 286</b> | <b>8 500 000</b>              | <b>66 290 286</b> |

5 2 0 *Revenue from investments or loans granted, bank and other interest on the institutions' accounts*

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| 7 790 286   | 3 500 000                  | 11 290 286 |

## Remarks

This article is intended to record revenue from investments or loans granted, bank and other interest on the institutions' accounts.

|                                        |                   |
|----------------------------------------|-------------------|
| Parliament                             | 1 200 000         |
| Council                                | p.m.              |
| Commission                             | 10 000 000        |
| Court of Justice of the European Union | p.m.              |
| Court of Auditors                      | p.m.              |
| European Economic and Social Committee | 40 000            |
| Committee of the Regions               | 50 286            |
| European Ombudsman                     | p.m.              |
| European Data Protection Supervisor    | p.m.              |
| European External Action Service       | p.m.              |
| <b>Total</b>                           | <b>11 290 286</b> |

5 2 1 *Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission*

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| 10 000 000  | 5 000 000                  | 15 000 000 |

## Remarks

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

|            |            |
|------------|------------|
| Commission | 15 000 000 |
|------------|------------|

## TITLE 7

## INTEREST ON LATE PAYMENTS AND FINES

| Title<br>Chapter | Heading                        | Budget 2012 | Amending<br>budget No. 6/2012 | New amount    |
|------------------|--------------------------------|-------------|-------------------------------|---------------|
| 7 0              | INTEREST ON LATE PAYMENTS      | 23 000 000  | 420 000 000                   | 443 000 000   |
| 7 1              | FINES                          | 100 000 000 | 3 105 000 000                 | 3 205 000 000 |
| 7 2              | INTEREST ON DEPOSITS AND FINES | p.m.        |                               | p.m.          |
| Title 7 — Total  |                                | 123 000 000 | 3 525 000 000                 | 3 648 000 000 |

## TITLE 7

## INTEREST ON LATE PAYMENTS AND FINES

## CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                       | Budget 2012       | Amending<br>budget No. 6/2012 | New amount         |
|-------------------------------------|-----------------------------------------------------------------------------------------------|-------------------|-------------------------------|--------------------|
| 7 0                                 | INTEREST ON LATE PAYMENTS                                                                     |                   |                               |                    |
| 7 0 0                               | <i>Interest on late payments</i>                                                              |                   |                               |                    |
| 7 0 0 0                             | Interest due on late payments into the accounts held with the treasuries of the Member States | 5 000 000         | 155 000 000                   | 160 000 000        |
| 7 0 0 1                             | Other interest on late payments                                                               | 3 000 000         |                               | 3 000 000          |
|                                     | <i>Article 7 0 0 — Subtotal</i>                                                               | 8 000 000         | 155 000 000                   | 163 000 000        |
| 7 0 1                               | <i>Interest on late payments and other interest on fines</i>                                  | 15 000 000        | 265 000 000                   | 280 000 000        |
|                                     | <b>Chapter 7 0 — Total</b>                                                                    | <b>23 000 000</b> | <b>420 000 000</b>            | <b>443 000 000</b> |

7 0 0 *Interest on late payments*

## 7 0 0 0 Interest due on late payments into the accounts held with the treasuries of the Member States

| Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------|----------------------------|-------------|
| 5 000 000   | 155 000 000                | 160 000 000 |

*Remarks*

Any delay by a Member State in making the entry in the account opened in the name of the Commission referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 gives rise to the payment of interest by the Member State concerned.

In the case of Member States whose currency is the euro, the interest rate shall be equal to the rate as published in the *Official Journal of the European Union*, C series, which the European Central Bank applied to its refinancing operations, on the first day of the month in which the due date fell, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

In the case of Member States whose currency is not the euro, the rate shall be equal to the rate applied on the first day of the month in question by the central banks for their main refinancing operations, increased by two percentage points, or, for the Member States for which the Central Bank rate is not available, the most equivalent rate applied on the first day of the month in question on the Member State's money market, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

The interest rate applies to all entries of own resources enumerated under Article 10 of Regulation (EC, Euratom) No 1150/2000.

|                                  |             |
|----------------------------------|-------------|
| Council                          | p.m.        |
| Commission                       | 160 000 000 |
| European External Action Service | p.m.        |
| Total                            | 160 000 000 |

**CHAPTER 70 — INTEREST ON LATE PAYMENTS** (*cont'd*)**700** (*cont'd*)**7000** (*cont'd*)*Legal basis*

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

**701*****Interest on late payments and other interest on fines***

| Budget 2012 | Amending budget No. 6/2012 | New amount  |
|-------------|----------------------------|-------------|
| 15 000 000  | 265 000 000                | 280 000 000 |

*Remarks*

This article is intended to receive accrued interest on the special bank account for fines and interest on late payment connected with fines.

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Article 86 thereof.

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

## CHAPTER 7 1 — FINES

| Title<br>Chapter<br>Article<br>Item | Heading                                                                                                                                                                                                 | Budget 2012        | Amending<br>budget No. 6/2012 | New amount           |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------------------------------|----------------------|
| 7 1                                 | FINES                                                                                                                                                                                                   |                    |                               |                      |
| 7 1 0                               | <i>Fines, periodic penalty payments and other penalties</i>                                                                                                                                             | 100 000 000        | 3 075 000 000                 | 3 175 000 000        |
| 7 1 2                               | <i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i> | p.m.               | 30 000 000                    | 30 000 000           |
|                                     | <b>Chapter 7 1 — Total</b>                                                                                                                                                                              | <b>100 000 000</b> | <b>3 105 000 000</b>          | <b>3 205 000 000</b> |

**7 1 0** *Fines, periodic penalty payments and other penalties*

| Budget 2012 | Amending budget No. 6/2012 | New amount    |
|-------------|----------------------------|---------------|
| 100 000 000 | 3 075 000 000              | 3 175 000 000 |

*Remarks*

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Union; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

*Legal basis*

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

**7 1 2** *Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty*

| Budget 2012 | Amending budget No. 6/2012 | New amount |
|-------------|----------------------------|------------|
| p.m.        | 30 000 000                 | 30 000 000 |

*Legal basis*

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.





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