

Official Journal

of the European Union

L 242



English edition

Legislation

Volume 55

7 September 2012

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Price: EUR 3

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II

(Non-legislative acts)

REGULATIONS

COMMISSION REGULATION (EU) No 791/2012

of 23 August 2012

amending, as regards certain provisions relating to the trade in species of wild fauna and flora, Regulation (EC) No 865/2006 laying down detailed rules for the implementation of Council Regulation (EC) No 338/97

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein ⁽¹⁾, and in particular Article 19(2), (3) and (4) thereof,

Whereas:

- (1) In order to implement certain Resolutions adopted at the 15th meeting of the Conference of the Parties to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), hereinafter 'the Convention', certain provisions should be amended and further provisions should be added to Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein ⁽²⁾.
- (2) Experience gained in the implementation of Regulation (EC) No 865/2006 has shown that some provisions therein should be amended in order to ensure that that Regulation is implemented in a harmonised and efficient manner within the Union.
- (3) Therefore, provisions relating to the conditions applying to the identification and marking of specimens, the retrospective issue of certain documents, the conditions under which personal ownership certificates can be issued, the regime applying to personal and household effects within the Union as well to their re-export, the conditions under which 'Annex A' specimens can be subject to commercial activities within the Union, and the conditions applying to pre-issued certificates, should be changed.
- (4) Articles 2 and 3 as well as Annexes I to VI to Regulation (EC) No 865/2006 should be deleted from that

Regulation as they will become an integral part of a new Commission Implementing Regulation (EU) No 792/2012 ⁽³⁾, adopted under Article 19(1) of Regulation (EC) No 338/97 further to the entry into force of Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers ⁽⁴⁾.

- (5) At the 15th meeting of the Conference of the Parties to the Convention, the standard references for nomenclature, to be used to indicate scientific names of species in permits and certificates, were updated. Those changes should therefore be reflected in Annex VIII to Regulation (EC) No 865/2006.
- (6) Regulation (EC) No 865/2006 should therefore be amended accordingly.
- (7) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora,

HAS ADOPTED THIS REGULATION:

Article 1

Regulation (EC) No 865/2006 is amended as follows:

- (1) in the preamble, the sentence relating to the legal basis of Regulation (EC) No 865/2006 is replaced by the following:

'Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein ^(*), and in particular Article 19(2), (3) and (4) thereof,

^(*) OJ L 61, 3.3.1997, p. 1.;

⁽¹⁾ OJ L 61, 3.3.1997, p. 1.

⁽²⁾ OJ L 166, 19.6.2006, p. 1.

⁽³⁾ See page 13 of this Official Journal.

⁽⁴⁾ OJ L 55, 28.2.2011, p. 13.

(2) in Article 1, the following points (4a) and (4b) are inserted:

‘(4a) “cultivated parental stock” means the ensemble of plants grown under controlled conditions that are used for reproduction, and which must have been, to the satisfaction of the competent management authority, in consultation with a competent scientific authority of the Member State concerned:

(i) established in accordance with the provisions of CITES and relevant national laws and in a manner not detrimental to the survival of the species in the wild; and

(ii) maintained in sufficient quantities for propagation so as to minimise or eliminate the need for augmentation from the wild, with such augmentation occurring only as an exception and limited to the amount necessary to maintain the vigour and productivity of the cultivated parental stock;

(4b) “hunting trophy” means a whole animal, or a readily recognisable part or derivative of an animal, specified on any accompanying CITES permit or certificate that fulfils the following conditions:

(i) is raw, processed or manufactured;

(ii) was legally obtained by the hunter through hunting for the hunter’s personal use;

(iii) is being imported, exported or re-exported by or on behalf of the hunter, as part of the transfer from its country of origin, ultimately to the hunter’s State of usual residence;’

(3) Article 4 is amended as follows:

(a) in paragraph 1, the first sentence is replaced by the following:

‘1. Forms referred to in Article 2 of Commission Implementing Regulation (EU) No 792/2012 (*) shall be completed in typescript.

(*) OJ L 242, 7.9.2012, p. 13.’;

(b) paragraph 2 is replaced by the following:

‘2. Forms 1 to 4 of Annex I provided for in Implementing Regulation (EU) No 792/2012, forms 1 and 2 of Annex II provided for in Implementing Regulation (EU) No 792/2012, forms 1 and 2 of Annex III provided for in Implementing Regulation (EU) No 792/2012, forms 1 and 2 of Annex V provided for in Implementing Regulation (EU) No 792/2012, the continuation sheets referred to in Article 2(4) of Implementing Regulation (EU) No 792/2012 and the labels referred to in Article 2(6) of Implementing Regulation (EU) No 792/2012 may not contain any erasures or alterations, unless those erasures or alterations have

been authenticated by the stamp and signature of the issuing management authority. In the case of the import notifications as referred to in Article 2(2) of Implementing Regulation (EU) No 792/2012 and the continuation sheets referred to in Article 2(4) of Implementing Regulation (EU) No 792/2012, erasures or alterations may also be authenticated by the stamp and signature of the customs office of introduction.’;

(4) in Article 5a, the first subparagraph is replaced by the following:

‘In case of plant specimens that cease to qualify for an exemption from the provisions of the Convention or Regulation (EC) No 338/97 in accordance with the “Notes on the interpretation of Annexes A, B, C and D” in the Annex thereto, under which they were legally exported and imported, the country to be indicated in box 15 of the forms in Annexes I and III provided for in Implementing Regulation (EU) No 792/2012, box 4 of the forms in Annex II provided for in Implementing Regulation (EU) No 792/2012 and box 10 of the forms in Annex V provided for in Implementing Regulation (EU) No 792/2012 may be the country in which the specimens ceased to qualify for the exemption.’;

(5) Article 6 is replaced by the following:

‘Article 6

Annexes to forms

1. If an annex attached to any of the forms referred to in Article 2 of Implementing Regulation (EU) No 792/2012 is an integral part of that form, that fact and the number of pages shall be clearly indicated on the permit or certificate concerned and each page of the annex shall include the following:

(a) the number of the permit or certificate and its date of issue;

(b) the signature and the stamp or seal of the management authority which issued the permit or certificate.

2. Where the forms referred to in Article 2(1) of Implementing Regulation (EU) No 792/2012 are used for more than one species in a shipment, an annex shall be attached which, in addition to the information required under paragraph 1 of this Article, shall, for each species in the shipment, reproduce boxes 8 to 22 of the form concerned as well as the spaces contained in box 27 thereof for “quantity/net mass actually imported or (re-)exported” and, where appropriate, “number of animals dead on arrival”.

3. Where the forms referred to in Article 2(3) of Implementing Regulation (EU) No 792/2012 are used for more than one species, an annex shall be attached which, in addition to the information required under paragraph 1 of this Article, shall, for each species, reproduce boxes 8 to 18 of the form concerned.

4. Where the forms referred to in Article 2(5) of Implementing Regulation (EU) No 792/2012 are used for more than one species, an annex shall be attached which, in addition to the information required under paragraph 1 of this Article, shall, for each species, reproduce boxes 4 to 18 of the form concerned.;

(6) in Article 7, the following paragraph 5 is added:

‘5. Export permits and re-export certificates shall be endorsed, with quantity, signature and stamp, by an official from the export or re-export country, in the export endorsement block of the document. If the export document has not been endorsed at the time of export, the management authority of the importing country should liaise with the exporting country’s management authority, considering any extenuating circumstances or documents, to determine the acceptability of the document.;

(7) in Article 8(1), the first subparagraph is replaced by the following:

‘1. Documents shall be issued and used in accordance with the provisions and under the conditions laid down in this Regulation and in Regulation (EC) No 338/97, and in particular in Article 11(1) to (4) of the latter Regulation. Permits and certificates may be issued in paper format or in electronic format.;

(8) in Article 11(3), the first subparagraph is replaced by the following:

‘3. Certificates issued in accordance with Articles 48 and 63 shall be transaction-specific unless the specimens covered by such certificates are uniquely and permanently marked or, in the case of dead specimens which can not be marked, identified by other means.;

(9) in Article 15, paragraph 3a is replaced by the following:

‘3a. For personally owned live animals, which are legally acquired and held for personal non-commercial purposes, for which an import permit is issued pursuant to the second subparagraph of paragraph 2, commercial activities, as laid down in Article 8(1) of Regulation (EC) No 338/97, shall be prohibited for two years from the date of issuance of the permit and no exemptions for specimens of Annex A species, as provided for in Article 8(3) of that Regulation, shall be granted during that period.

In the case of import permits issued pursuant to the second subparagraph of paragraph 2 for those personally owned live animals and for specimens of species listed in Annex A to Regulation (EC) No 338/97 and referred to in Article 4(5)(b) thereof, the stipulation “by way of derogation to Article 8(3) or (5) of Regulation (EC) No 338/97, commercial activities, as laid down in Article 8(1) of that Regulation, shall be prohibited for at least two years from the date of issuance of this permit” shall be included in box 23.;

(10) in Article 30, paragraph 4 is replaced by the following:

‘4. In the case of specimens other than live animals, the management authority shall attach to the travelling exhibition certificate an inventory sheet displaying, in respect of each specimen, all the information required by boxes 8 to 18 of the model form set out in Annex III provided for in Implementing Regulation (EU) No 792/2012.;

(11) in Article 37, paragraph 1 is replaced by the following:

‘1. Member States may issue personal ownership certificates to the legal owner of legally acquired live animals, held for personal non-commercial purposes.;

(12) in Article 45(1), the second subparagraph is replaced by the following:

‘Management authorities receiving such documents shall without delay forward those issued by other Member States to the relevant management authorities, together with any supporting documents issued in accordance with the Convention. For reporting purposes, original import notifications shall also be forwarded to the management authorities of the country of import, when it is different from the country where the specimen was introduced into the Union.;

(13) in Article 52, paragraph 1 is replaced by the following:

‘1. The labels referred to in Article 2(6) of Implementing Regulation (EU) No 792/2012 shall be used only for the movement between duly registered scientists and scientific institutions of non-commercial loans, donations and exchanges of herbarium specimens, preserved, dried or embedded museum specimens and live plant material for scientific study.;

(14) Article 56 is amended as follows:

(a) in paragraph 1, point (b) is replaced by the following:

‘(b) the cultivated parental stock is established and maintained in accordance with the definition set out in Article 1(4a).;

(b) point (c) is deleted;

(c) point (d) is replaced by the following:

‘(d) in the case of grafted plants, both the root stock and the graft have been artificially propagated in accordance with points (a) and (b).;

(d) paragraph 2 is replaced by the following:

‘2. Timber and other parts or derivatives of trees taken from trees grown in monospecific plantations shall be considered to be artificially propagated in accordance with paragraph 1.;

(15) in Article 58, a new paragraph 3a is inserted:

‘3a. The re-export, by a person which is not normally residing in the Union, of personal or household effects acquired outside his/her State of usual residence, including personal hunting trophies, that are specimens of species listed in Annex A to Regulation (EC) No 338/97, shall require the presentation to customs of a re-export certificate.’;

(16) the following Article 58a is inserted:

‘Article 58a

Commercial use of personal and household effects within the Union

1. Commercial activities for specimens of species listed in Annex B which are introduced into the Union in accordance with Article 57 may be authorised by a management authority of a Member State only under the following conditions:

- (a) the applicant needs to demonstrate that the specimen has been introduced into the Union at least two years before it can be used for commercial purpose; and
- (b) the management authority of the Member State concerned has verified that the specimen in question could have been imported for commercial purposes in accordance with Article 4(2) of Regulation (EC) No 338/97 at the time when it was introduced into the Union.

Once those conditions are fulfilled, the management authority shall deliver a written statement attesting that the specimen can be used for commercial purposes.

2. Commercial activities for specimens of species listed in Annex A which are introduced into the Union in accordance with Article 57 shall be prohibited.’;

(17) in Article 59, the following paragraph 1a is inserted:

‘1a. The exemption for specimens referred to in Article 8(3) of Regulation (EC) No 338/97 shall be granted only if the applicant has satisfied the competent management authority that the specimens concerned have been acquired in accordance with the legislation in force for the conservation of wild fauna and flora.’;

(18) in Article 62, the following points (4) and (5) are added:

‘(4) dead specimens of *Crocodylia* species included in Annex A with source code D, provided that they are marked or identified via other means in accordance with this Regulation;

(5) caviar of *Acipenser brevirostrum* and its hybrids, with source code D, provided that it is contained in a container marked in accordance with this Regulation.’;

(19) in Article 63, the following paragraph 3 is added:

‘3. Pre-issued certificates shall only be valid once they have been completed and a copy of the certificate is transmitted to the issuing management authority by the applicant.’;

(20) in Article 65(4), the following sentence is added:

‘This does not apply to specimens of species listed in Annex X to this Regulation unless an annotation in Annex X prescribes marking.’;

(21) in Article 66(4), the third subparagraph is replaced with the following:

‘Specimen-specific certificates, travelling exhibition certificates and personal ownership certificates shall not be issued in respect of live specimens covered by this paragraph.’;

(22) in Article 72, paragraph 3 is replaced by the following:

‘3. Member States may continue to issue import and export permits, re-export certificates, travelling exhibition and personal ownership certificates in the forms laid out in Annexes I, III and IV, import notifications in the form laid out in Annex II and EU certificates in the form laid out in Annex V to Regulation (EC) No 865/2006 for one year after the entry into force of Implementing Regulation (EU) No 792/2012.’;

(23) Annex VIII is replaced by the text in the Annex to this Regulation;

(24) point 2 of Annex IX is amended as follows:

(a) the row corresponding to code R, is replaced by the following:

‘R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood’;

(b) the row corresponding to code D, is replaced by the following:

‘D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof’;

(c) the row corresponding to code C, is replaced by the following:

‘C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof’.

Article 2

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 27 September 2012.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 23 August 2012.

For the Commission
The President
José Manuel BARROSO

ANNEX

‘ANNEX VIII

Standard references for nomenclature to be used pursuant to Article 5(4) to indicate scientific names of species in permits and certificates

FAUNA

(a) *Mammalia*

Wilson, D. E. & Reeder, D. M. (ed.) 2005. *Mammal Species of the World. A Taxonomic and Geographic Reference*. Third edition, Vol. 1-2, xxxv + 2142 pp. John Hopkins University Press, Baltimore. (for all mammals — with the exception of the recognition of the following names for wild forms of species (in preference to names for domestic forms): *Bos gaurus*, *Bos mutus*, *Bubalus arnee*, *Equus africanus*, *Equus przewalskii*, *Ovis orientalis ophion* and with the exception of the species mentioned below)

Wilson, D. E. & Reeder, D. M. 1993. *Mammal Species of the World: a Taxonomic and Geographic Reference*. Second edition. xviii + 1207 pp., Smithsonian Institution Press, Washington. (for *Loxodonta africana* and *Ovis vignei*)

Beasley, I., Robertson, K. M. & Arnold, P. W. (2005): Description of a new dolphin, the Australian Snubfin Dolphin, *Orcaella heinsohni* sp. n. (Cetacea, Delphinidae). – *Marine Mammal Science*, 21(3): 365-400. [for *Orcaella heinsohni*]

Caballero, S., Trujillo, F., Vianna, J. A., Barrios-Garrido, H., Montiel, M. G., Beltrán-Pedrerós, S., Marmontel, M., Santos, M. C., Rossi-Santos, M. R., Santos, F. R. & Baker, C. S. (2007). Taxonomic status of the genus *Sotalia*: species level ranking for “tucuxi” (*Sotalia fluviatilis*) and “costero” (*Sotalia guianensis*) dolphins. *Marine Mammal Science* 23: 358-386 [for *Sotalia fluviatilis* and *Sotalia guianensis*]

Merker, S. & Groves, C. P. (2006): *Tarsius lariang*: A new primate species from Western Central Sulawesi. – *International Journal of Primatology*, 27(2): 465-485. [for *Tarsius lariang*]

Rice, D. W., 1998: *Marine Mammals of the World: Systematics and Distribution*, Society of Marine Mammalogy Special Publication Number 4, The Society for Marine Mammalogy, Lawrence, Kansas [for *Physeter macrocephalus* and *Platanista gangetica*]

Wada, S., Oishi, M. & YAMADA, T. K. (2003): A newly discovered species of living baleen whales. – *Nature*, 426: 278-281. [for *Balaenoptera omurai*]

(b) *Aves*

Morony, J. J., Bock, W. J. and Farrand, J., Jr. 1975. A Reference List of the Birds of the World. American Museum of Natural History. (for order- and family-level names for birds)

Dickinson, E.C. (ed.) 2003. *The Howard and Moore Complete Checklist of the Birds of the World*. Revised and enlarged 3rd Edition. 1039 pp. Christopher Helm, London.

Dickinson, E.C. 2005. Corrigenda 4 (2.6.2005) to Howard & Moore Edition 3 (2003) http://www.naturalis.nl/sites/naturalis/en/contents/i000764/corrigenda%204_final.pdf (CITES website) (for all bird species — except for the taxa mentioned below)

Arndt, T. (2008): Anmerkungen zu einigen *Pyrrhura*-Formen mit der Beschreibung einer neuen Art und zweier neuer Unterarten. – *Papageien*, 8: 278-286. [for *Pyrrhura parvifrons*]

Collar, N. J. 1997. Family *Psittacidae* (Parrots). in del Hoyo, J., Elliot, A. and Sargatal, J. eds. *Handbook of the Birds of the World*. 4. Sandgrouse to Cuckoos: 280-477: Lynx Edicions, Barcelona. (for *Psittacus intermedia* and *Trichoglossus haematodus*)

Collar, N. J. (2006): A partial revision of the Asian babblers (Timaliidae). – *Forktail*, 22: 85-112. [for *Garrulax taewanus*]

Cortés-Diago, A., Ortega, L. A., Mazariegos-Hurtado, L. & Weller, A.-A. (2007): A new species of *Eriocnemis* (Trochilidae) from southwest Colombia. – *Ornitología Neotropical*, 18: 161-170. [for *Eriocnemis isabellae*]

Da Silva, J. M. C., Coelho, G. & Gonzaga, P. (2002): Discovered on the brink of extinction: A new species of pygmy owl (Strigidae: Glaucidium) from Atlantic forest of northeastern Brazil. – *Ararajuba*, 10(2): 123-130. [for *Glaucidium mooreorum*]

Gaban-Lima, R., Raposo, M. A. & Hofling, E. (2002): Description of a new species of *Pionopsitta* (Aves: Psittacidae) endemic to Brazil. – *Auk*, 119: 815-819. [for *Pionopsitta aurantiocephala*]

Indrawan, M. & Somadikarta, S. (2004): A new hawk-owl from the Togian Islands, Gulf of Tomini, central Sulawesi, Indonesia. – *Bulletin of the British Ornithologists' Club*, 124: 160-171. [for *Ninox burhani*]

Nemesio, A. & Rasmussen, C. (2009): The rediscovery of Buffon's "Guarouba" or "Perriche jaune": two senior synonyms of *Aratinga pinto* SILVEIRA, LIMA & HÖFLING, 2005 (Aves: Psittaciformes). – *Zootaxa*, 2013: 1-16. [for *Aratinga maculata*]

Parry, S. J., Clark, W. S. & Prakash, V. (2002) On the taxonomic status of the Indian Spotted Eagle *Aquila hastata*. – *Ibis*, 144: 665-675. [for *Aquila hastata*]

Roselaar, C. S. & Michels, J. P. (2004): Nomenclatural chaos untangled, resulting in the naming of the formally undescribed *Cacatua* species from the Tanimbar Islands, Indonesia (Psittaciformes: Cacatuidae). – *Zoologische Verhandelingen*, 350: 183-196. [for *Cacatua goffiniana*]

Warakagoda, D. H. & Rasmussen, P. C. (2004): A new species of scops-owl from Sri Lanka. – *Bulletin of the British Ornithologists' Club*, 124(2): 85-105. [for *Otus thilohoffmanni*]

Whittaker, A. (2002): A new species of forest-falcon (Falconidae: *Micrastur*) from southeastern Amazonia and the Atlantic rainforests of Brazil. – *Wilson Bulletin*, 114: 421-445. [for *Micrastur mintoni*]

(c) Reptilia

Andreone, F., Mattioli, F., Jesu, R. and Randrianirina, J. E. 2001. Two new chameleons of the genus *Calumma* from north-east Madagascar, with observations on hemipenial morphology in the *Calumma* Furcifer group (Reptilia, Squamata, Chamaeleonidae). *Herpetological Journal* 11: 53-68. (for *Calumma vatosoa* & *Calumma vencesi*).

Aplin, K. P., Fitch, A. J. & King, D. J. (2006): A new species of *Varanus* Merrem (Squamata: Varanidae) from the Pilbara region of Western Australia, with observations on sexual dimorphism in closely related species. – *Zootaxa*, 1313: 1-38. [for *Varanus bushi*]

Avila Pires, T. C. S. 1995. Lizards of Brazilian Amazonia. *Zool. Verh.* 299: 706 pp. (for *Tupinambis*)

Böhme, W. 1997. Eine neue Chamäleon art aus der *Calumma gastrotaenia* — Verwandtschaft Ost-Madagaskars. *Herpetofauna* (Weinstadt) 19 (107): 5-10. (for *Calumma glawi*)

Böhme, W. 2003. Checklist of the living monitor lizards of the world (family *Varanidae*). *Zoologische Verhandelingen. Leiden* 341: 1-43. (for *Varanidae*)

Böhme, W. & Ziegler, T. (2005): A new monitor lizard from Halmahera, Moluccas, Indonesia (Reptilia: Squamata: Varanidae). – *Salamandra*, 41(1/2): 51-59. [for *Varanus zugorum*]

Branch, W. R. (2007): A new species of tortoise of the genus *Homopus* (Chelonia: Testudinidae) from southern Namibia. – *African Journal of Herpetology*, 56(1): 1-21. [for *Homopus solus*]

Branch, W. R., Tolley, K. A. & Tilbury, C. R. (2006): A new Dwarf Chameleon (Sauria: *Bradypodion* Fitzinger, 1843) from the Cape Fold Mountains, South Africa. – *African Journal Herpetology*, 55(2): 123-141. [for *Bradypodion atromontanum*]

Broadley, D. G. (1999): The southern African python, *Python natalensis* A. Smith 1840, is a valid species. – *African Herp News* 29: 31-32. [for *Python natalensis*]

Broadley, D. G. 2006. CITES Standard reference for the species of *Cordylus* (*Cordylidae*, *Reptilia*) prepared at the request of the CITES Nomenclature Committee (CITES website Document NC2006 Doc. 8). (for *Cordylus*)

Burton, F.J. 2004. Revision to Species *Cyclura nubila lewisi*, the Grand Cayman Blue Iguana. *Caribbean Journal of Science*, 40(2): 198-203. (for *Cyclura lewisi*)

Cei, J. M. 1993. Reptiles del noroeste, nordeste y este de la Argentina — herpetofauna de las selvas subtropicales, puna y pampa. Monografía XIV, Museo Regionale di Scienze Naturali. (for *Tupinambis*)

- Colli, G. R., Péres, A. K. and da Cunha, H. J. 1998. A new species of *Tupinambis* (Squamata: Teiidae) from central Brazil, with an analysis of morphological and genetic variation in the genus. *Herpetologica* 54: 477-492. (for *Tupinambis cerradensis*)
- Dirksen, L. 2002. Anakondas. NTV Wissenschaft. (for *Eunectes beniensis*)
- Domínguez, M., Moreno, L. V. & Hedges, S. B. (2006): A new snake of the genus *Tropidophis* (Tropidophiidae) from the Guanahacabibes Peninsula of Western Cuba. – *Amphibia-Reptilia*, 27 (3): 427-432. [for *Tropidophis xanthogaster*]
- Eidenmüller, B. & Wicker, R. (2004): Eine weitere neue Waranart aus dem *Varanus prasinus*-Komplex von der Insel Misol, Indonesien. – *Sauria*, 27(1): 3-8. [for *Varanus reisingeri*]
- Fitzgerald, L. A., Cook, J. A. & Luz Aquino, A. (1999): Molecular Phylogenetics and Conservation of *Tupinambis* (Sauria: Teiidae). – *Copeia*, 4: 894-905. [for *Tupinambis duseni*]
- Fritz, U. & Havaš, P. (2007): Checklist of Chelonians of the World. – *Vertebrate Zoology*, 57(2): 149-368. Dresden. ISSN 1864-5755 [without its appendix; for Testudines – with the exception of the retention of the following names *Mauremys iversoni*, *Mauremys pritchardi*, *Ocadia glyphistoma*, *Ocadia philippeni*, *Sacalia pseudocellata*]
- Glaw, F., Kosuch, J., Henkel, W. F., Sound, P. and Böhme, W. (2006): Genetic and morphological variation of the leaf-tailed gecko *Uroplatus fimbriatus* from Madagascar, with description of a new giant species. – *Salamandra*, 42: 129-144. [for *Uroplatus giganteus*]
- Glaw, F. & M. Vences (2007): *A field guide to the amphibians and reptiles of Madagascar*, third edition. Vences & Glaw Verlag, 496 pp. [for *Calumma ambreense*]
- Hallmann, G., Krüger, J. & Trautmann, G. (2008). Faszinierende Taggeckos. Die Gattung *Phelsuma*. 2. überarbeitete und erweiterte Auflage, 253 pp., Münster (Natur und Tier – Verlag). ISBN 978-3-86659-059-5. [for *Phelsuma* spp., however, with the retention of *Phelsuma ocellata*]
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FLORA

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The Checklist of CITES species (2005, 2007 and its updates) published by UNEP — WCMC may be used as an informal overview of the scientific names that were adopted by the Conference of the Parties for the animal species that are listed in the Annexes to Regulation (EC) No 338/97, and as an informal summary of information contained in the standard references that were adopted for CITES nomenclature.'

COMMISSION IMPLEMENTING REGULATION (EU) No 792/2012**of 23 August 2012****laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein⁽¹⁾, and in particular Article 19(1) thereof,

Whereas:

- (1) Provisions are required to implement Regulation (EC) No 338/97 and to ensure full compliance with the provisions of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), hereinafter 'the Convention'.
- (2) In order to ensure the uniform implementation of Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein⁽²⁾, it is necessary to lay down models to which permits, certificates and other documents foreseen in those Regulations must correspond.
- (3) At the 15th session of the Conference of the Parties to the Convention, held in Doha (Qatar) from 13 to 25 March 2010, a number of Resolutions were modified concerning, inter alia, the harmonisation in permits and certificates and amendments of source codes. It is therefore necessary to take those Resolutions into account and amend the models accordingly. Changes are also required in order to render those documents clearer for their users and national administrations.
- (4) Uniform conditions for the use of those forms therefore need to be defined through models, instructions and explanations, to be used in conjunction with Regulation (EC) No 865/2006.
- (5) Those uniform conditions should be adopted in accordance with the examination procedure provided for in Article 5 of Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by

Member States of the Commission's exercise of implementing powers⁽³⁾. It is therefore necessary that they are included in an Implementing Regulation that is distinct from Regulation (EC) No 865/2006.

- (6) Regulation (EC) No 865/2006 should therefore be amended accordingly.
- (7) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora,

HAS ADOPTED THIS REGULATION:

*Article 1***General provision**

The design and technical specifications with regard to forms for permits, certificates and other documents provided for in Regulation (EC) No 338/97 and Regulation (EC) No 865/2006 are described in this Regulation. The design and technical specifications are specified for the following documents:

- (1) import permits;
- (2) export permits;
- (3) re-export certificates;
- (4) personal ownership certificates;
- (5) sample collection certificates;
- (6) import notifications;
- (7) travelling exhibition certificates;
- (8) continuation sheets for personal ownership certificates and for travelling exhibition certificates;
- (9) certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97, and in Articles 8(3) and 9(2)(b) thereof;
- (10) labels referred to in Article 7(4) of Regulation (EC) No 338/97.

⁽¹⁾ OJ L 61, 3.3.1997, p. 1.

⁽²⁾ OJ L 166, 19.6.2006, p. 1.

⁽³⁾ OJ L 55, 28.2.2011, p. 13.

*Article 2***Forms**

1. The forms on which import permits, export permits, re-export certificates, personal ownership certificates and sample collection certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex I.

2. The forms on which import notifications are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex II. They may contain a serial number.

3. The forms on which travelling exhibition certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex III.

4. The forms on which continuation sheets for personal ownership certificates and for travelling exhibition certificates are drawn up shall conform to the model set out in Annex IV.

5. The forms on which the certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97 and in Articles 8(3) and 9(2)(b) thereof and applications for such certificates are drawn up shall conform to the model set out in Annex V to this Regulation, except as regards spaces reserved for national use.

Member States may, however, provide that, instead of the pre-printed text, boxes 18 and 19 are to contain only the relevant certification or authorisation, or both.

6. The form of the labels referred to in Article 7(4) of Regulation (EC) No 338/97 shall conform to the model set out in Annex VI to this Regulation.

*Article 3***Technical specifications with regard to forms**

1. The paper used for the forms referred to in Article 2 shall be free of mechanical pulp and dressed for writing purposes, and shall weigh at least 55 g/m².

2. The size of the forms referred to in Article 2(1) to (5) shall be 210 x 297 mm (A4) with a maximum tolerance as to length of 18 mm less and 8 mm more.

3. The colour of the paper used for the forms referred to in Article 2(1) shall be as follows:

(a) white for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;

(b) yellow for form 2, the copy for the holder;

(c) pale green for form 3, the copy for the exporting or re-exporting country in the case of an import permit, or the copy for return by customs to the issuing management authority in the case of an export permit or re-export certificate;

(d) pink for form 4, the copy for the issuing management authority;

(e) white for form 5, the application.

4. The colour of the paper used for the forms referred to in Article 2(2) shall be as follows:

(a) white for form 1, the original;

(b) yellow for form 2, the copy for the importer.

5. The colour of the paper used for the forms referred to in Article 2(3) and (5) shall be as follows:

(a) yellow for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;

(b) pink for form 2, the copy for the issuing management authority;

(c) white for form 3, the application.

6. The colour of the paper used for the continuation sheets and labels referred to in Article 2(4) and (6) respectively shall be white.

7. The forms referred to in Article 2 shall be printed and completed in one of the official Union languages as specified by the management authorities of each Member State. They shall, where necessary, contain a translation of their contents in one of the official working languages of the Convention.

8. Member States shall be responsible for the printing of the forms referred to in Article 2, which, in the case of the forms referred to in Article 2(1) to (5), may be part of a computerised permit/certificate issuing process.

Article 4

Regulation (EC) No 865/2006 is amended as follows:

- (1) Articles 2 and 3 are deleted;
- (2) Annexes I to VI are deleted.

Article 5

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 27 September 2012.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 23 August 2012.

For the Commission

The President

José Manuel BARROSO

ANNEX I

EUROPEAN UNION

ORIGINAL	1	1. Exporter/Re-exporter	PERMIT/CERTIFICATE ☐ IMPORT ☐ EXPORT ☐ RE-EXPORT ☐ OTHER:		No 2. Last day of validity:					
		3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora							
			4. Country of (re)-export							
			5. Country of import							
1		6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority							
		8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity					
			11. CITES Appendix	12. EU Annex	13. Source	14. Purpose				
			15. Country of origin							
			16. Permit No		17. Date of issue					
			18. Country of last re-export							
			19. Certificate No		20. Date of issue					
			21. Scientific name of species							
		22. Common name of species								
		23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)								
		24. The (re)-export documentation from the country of (re)-export ☐ has been surrendered to the issuing authority ☐ has to be surrendered to the border customs office of introduction 	25. The ☐ importation ☐ exportation ☐ re-exportation of the goods described above is hereby permitted. Signature and official stamp: Name of issuing official: Place and date of issue:							
		26. Bill of Lading / Air Waybill Number:								
		27. For customs use only		Signature and official stamp: Customs document Type: Number: Date:						
		<table border="1"> <tr> <td>Quantity / net mass (kg) actually imported or (re)-exported</td> <td>Number of animals dead on arrival</td> </tr> <tr> <td style="height: 40px;"></td> <td style="height: 40px;"></td> </tr> </table>	Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival						
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival									

Instructions and explanations

1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
5. To be left blank in the case of a personal ownership certificate.
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens ⁽¹⁾
 - O Pre-convention ⁽¹⁾
 - U Source unknown (must be justified)

⁽¹⁾ To be used only in conjunction with another source code.

14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
- B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens
 - H Hunting trophies
 - L Law enforcement/judicial/forensic
 - M Medical (including bio-medical research)
 - N Reintroduction or introduction into the wild
 - P Personal
 - Q Circuses and travelling exhibitions
 - S Scientific
 - T Commercial
 - Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

EUROPEAN UNION

COPY for the holder	2	1. Exporter/Re-exporter	PERMIT/CERTIFICATE ☐ IMPORT ☐ EXPORT ☐ RE-EXPORT ☐ OTHER:		No				
		3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora						
			4. Country of (re)-export						
			5. Country of import						
	2	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority						
		8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity				
			11. CITES Appendix	12. EU Annex	13. Source	14. Purpose			
			15. Country of origin						
			16. Permit No		17. Date of issue				
			18. Country of last re-export						
			19. Certificate No		20. Date of issue				
		21. Scientific name of species							
		22. Common name of species							
		23. Special conditions This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)							
		24. The (re)-export documentation from the country of (re)-export ☐ has been surrendered to the issuing authority ☐ has to be surrendered to the border customs office of introduction 	25. The ☐ importation ☐ exportation ☐ re-exportation of the goods described above is hereby permitted. Signature and official stamp: Name of issuing official: Place and date of issue:						
		26. Bill of Lading / Air Waybill Number:							
		27. For customs purposes only		Signature and official stamp:					
		<table border="1"> <tr> <td>Quantity / net mass (kg) actually imported or (re)-exported</td> <td>Number of animals dead on arrival</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:	
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival								

Instructions and explanations

1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
5. To be left blank in the case of a personal ownership certificate.
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens ⁽¹⁾
 - O Pre-convention ⁽¹⁾
 - U Source unknown (must be justified)

⁽¹⁾ To be used only in conjunction with another source code.

14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
- B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens
 - H Hunting trophies
 - L Law enforcement/judicial/forensic
 - M Medical (including bio-medical research)
 - N Reintroduction or introduction into the wild
 - P Personal
 - Q Circuses and travelling exhibitions
 - S Scientific
 - T Commercial
 - Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

EUROPEAN UNION

COPY for return by customs to the issuing authority *	3	1. Exporter/Re-exporter	PERMIT/CERTIFICATE ☐ IMPORT ☐ EXPORT ☐ RE -EXPORT ☐ OTHER:		No 2. Last day of validity:				
		3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora						
		4. Country of (re)-export	5. Country of import						
	3	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority						
* In the case of an import permit for specimens of CITES Appendix I species, this copy may be returned to the applicant for submission to the MA of the (re)-exporting country.		8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity				
			11. CITES Appendix	12. EU Annex	13. Source	14. Purpose			
			15. Country of origin						
			16. Permit No		17. Date of issue				
			18. Country of last re-export						
			19. Certificate No		20. Date of issue				
			21. Scientific name of species						
		22. Common name of species							
		23. Special conditions							
		24. The (re)-export documentation from the country of (re)-export ☐ has been surrendered to the issuing authority ☐ has to be surrendered to the border customs office of introduction 		25. The ☐ importation ☐ exportation ☐ re-exportation of the goods described above is hereby permitted. Signature and official stamp: Name of issuing official: Place and date of issue:					
	26. Bill of Lading / Air Waybill Number:								
	27. For customs purposes only		Signature and official stamp:						
	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Quantity / net mass (kg) actually imported or (re)-exported</td> <td style="width: 50%;">Number of animals dead on arrival</td> </tr> <tr> <td style="height: 30px;"></td> <td></td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:		
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival								

Instructions and explanations

1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
5. To be left blank in the case of a personal ownership certificate.
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens ⁽¹⁾
 - O Pre-convention ⁽¹⁾
 - U Source unknown (must be justified)

⁽¹⁾ To be used only in conjunction with another source code.

14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
- B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens
 - H Hunting trophies
 - L Law enforcement/judicial/forensic
 - M Medical (including bio-medical research)
 - N Reintroduction or introduction into the wild
 - P Personal
 - Q Circuses and travelling exhibitions
 - S Scientific
 - T Commercial
 - Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

EUROPEAN UNION

COPY for the issuing authority	4	1. Exporter/Re-exporter	PERMIT/CERTIFICATE ☐ IMPORT ☐ EXPORT ☐ RE-EXPORT ☐ OTHER:		No. 2. Last day of validity:					
		3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora							
			4. Country of (re)-export							
			5. Country of import							
4	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority								
	8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity						
		11. CITES Appendix	12. EU Annex	13. Source	14. Purpose					
		15. Country of origin								
		16. Permit No		17. Date of issue						
		18. Country of last re-export								
		19. Certificate No		20. Date of issue						
	21. Scientific name of species									
	22. Common name of species									
	23. Special conditions									
	This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)									
	24. The (re-)export documentation from the country of (re-)export ☐ has been surrendered to the issuing authority ☐ has to be surrendered to the border customs office of introduction 		25. The ☐ importation ☐ exportation ☐ re-exportation of the goods described above is hereby permitted. Signature and official stamp: Name of issuing official: Place and date of issue:							
	26. Bill of Lading / Air Waybill Number:									
	27. For customs purposes only		Signature and official stamp:							
	<table border="1"> <tr> <td>Quantity / net mass (kg) actually imported or (re)-exported</td> <td>Number of animals dead on arrival</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:			
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival									

EUROPEAN UNION

APPLICATION	5 1. Exporter/Re-exporter	PERMIT/CERTIFICATE ☐ IMPORT ☐ EXPORT ☐ RE-EXPORT ☐ OTHER:		
	3. Importer	Convention on International Trade in Endangered Species of Wild Fauna and Flora		
		4. Country of (re)-export		
		5. Country of import		
	5 6. Location at which live specimens of Annex A species will be kept	7. Issuing Management Authority		
8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity	
	11. CITES Appendix	12. EU Annex	13. Source	14. Purpose
	15. Country of origin			
	16. Permit No		17. Date of issue	
	18. Country of last re-export			
	19. Certificate No		20. Date of issue	
21. Scientific name of species				
22. Common name of species				
23. I hereby apply for the permit/certificate indicated above. Remarks (e.g. on purpose of introduction, details of accommodation for live specimens, etc.) I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a permit/certificate for the above specimens was not previously rejected. Signature _____ _____ Name of applicant _____ Place and date				

Instructions and explanations

1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. Not applicable.
3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
5. To be left blank in the case of a personal ownership certificate.
6. To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
8. Description must be as precise as possible and include a 3-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application for the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of application.
13. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens ⁽¹⁾
 - O Pre-convention ⁽¹⁾
 - U Source unknown (must be justified)
14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
 - B Breeding in captivity or artificial propagation
 - E Educational
 - G Botanical gardens

⁽¹⁾ To be used only in conjunction with another source code.

- H Hunting trophies
- L Law enforcement/judicial/forensic
- M Medical (including bio-medical research)
- N Reintroduction or introduction into the wild
- P Personal
- Q Circuses and travelling exhibitions
- S Scientific
- T Commercial
- Z Zoos

- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
23. Provide as many details as possible and justify any omissions to the information required above.
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ANNEX II

EUROPEAN UNION

ORIGINAL	1	1. Importer	IMPORT NOTIFICATION	No	
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein		
		2. Member State of import	3. Date of import		
		4. Country of origin	5. Country of (re)-export		
	A	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	B	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	C	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	D	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
9. Scientific name of species			10. CITES Appendix		
11. Common name of species			12. EU Annex		
E	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
F	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
	13. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country. Signature of importer or his authorised representative	14. Official stamp of border customs office:			

Instructions and explanations

1. Enter full name and address of importer or authorised representative.
4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
5. Only applies where the country from which the specimens are imported is not the country of origin.
6. Description must be as precise as possible.
9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
10. Enter III for species listed in Appendix III to CITES.
12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
13. The importer has to submit the signed original (form 1) and 'copy for the importer' (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped 'copy for the importer' (form 2) to the importer or his authorised representative.

EUROPEAN UNION

COPY for the importer	2	1. Importer	IMPORT NOTIFICATION		No
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein		
		2. Member State of import	3. Date of import		
		4. Country of origin	5. Country of (re)-export		
	A	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)		8. Quantity
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	B	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)		8. Quantity
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
C	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)		8. Quantity	
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
D	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)		8. Quantity	
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
E	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)		8. Quantity	
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
F	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)		8. Quantity	
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
		13. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country.		14. Official stamp of border customs office:	
		Signature of importer or his authorised representative			

Instructions and explanations

1. Enter full name and address of importer or authorised representative.
 4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
 5. Only applies where the country from which the specimens are imported is not the country of origin.
 6. Description must be as precise as possible.
 9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
 10. Enter III for species listed in Appendix III to CITES.
 12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
 13. The importer has to submit the signed original (form 1) and 'copy for the importer' (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
 14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped 'copy for the importer' (form 2) to the importer or his authorised representative.
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ANNEX III

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Original	
		1. Certificate No	2. Valid until
3. Owner of specimen(s) (name, permanent address and country of registration) Signature of owner		4. Issuing management authority	
5. Special conditions: a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form. b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority. c) This certificate is not valid unless accompanied by a continuation sheet. d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals. This certificate is valid only if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animal Regulations			
6. Country of import Various		7. Purpose of the transaction Q	8. Security stamp no
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by: Place Date Signature and official seal			
20. Additional conditions			
21. Customs endorsement (see Continuation sheet)			

Instructions and explanations

1. A unique number should be generated by the issuing management authority for the certificate.
2. The date of expiry of the document may not be more than three years after the date of issuance. Where the travelling exhibition originates from a third country the expiry date shall be no later than that indicated on the equivalent certificate from that country.
3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate. Absence of the signature of the owner renders the certificate invalid.
4. The name, address and country of the issuing management authority should already be pre-printed on the form.
5. This block has been pre-printed to indicate the validity of the certificate for multiple cross-border movements of the specimen with its exhibition for exhibition purposes only, allowing the specimens to be displayed to the public in accordance with Article 8(3) of Regulation (EC) No 338/97 and to clarify that the certificate is not to be collected but is to remain with the specimen/owner. This block also can be used to justify the omission of certain information.
6. This block has been pre-printed to indicate that cross-border movement is permitted to any country accepting this certificate as a matter of national law.
7. This block has been pre-printed with the code Q for circuses and travelling exhibitions.
8. Where appropriate, indicate the number of the security stamp affixed in block 19.
9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of issuance of the certificate.
13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of issuance of the certificate.
14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.

W Specimens taken from the wild

R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood

A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof

C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof

F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof

U Source unknown (must be justified)

O Pre-Convention (may be used in conjunction with any other code).

- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
17. This block must contain the exhibition registration number.
18. Enter the date of acquisition only for specimens which were acquired in or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.
19. To be completed by the official who issues the certificate. A certificate may only be issued by the management authority of the country where an exhibition is based and only when the owner of the exhibition has registered full details of the specimen with that management authority. In the case of an exhibition originating in a third country, a certificate may only be issued by the management authority of the country of first destination. The name of the issuing official must be written in full. The seal, signature and, where appropriate, security stamp number, should be clearly legible.
20. This block may be used to refer to national legislation or additional special conditions placed on the cross-border movement by the issuing management authority.
21. This block has been pre-printed to refer to the attached continuation sheet, which should indicate all cross-border movements.

Subject to point 5, upon expiration, this document must be returned to the issuing management authority.

The holder or his authorised representative shall surrender the original of this certificate (form 1) — and, where applicable, the travelling exhibition certificate issued by a third country — for verification purposes and submit the accompanying continuation sheet or (where the certificate is issued on the basis of an equivalent certificate from a third country) the two continuation sheets and copies thereof to a customs office designated in accordance with Article 12(1) of Regulation (EC) No 338/97. The customs office shall, after completing the continuation sheet or sheets, return the original of this certificate (form 1), the original certificate issued by a third country (where applicable) — and the continuation sheet or sheets — to the holder or to his authorised representative and forward an endorsed copy of the continuation sheet of the certificate issued by Member State's management authority to the relevant management authority in accordance with Article 45 of Regulation (EC) No 865/2006.

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Copy for the Issuing Authority	
		1. Certificate No	2. Valid until
3. Owner of specimen(s) (name, permanent address and country of registration) Signature of owner		4. Issuing Management Authority 	
5. Special conditions: a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form. b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority. c) This certificate is not valid unless accompanied by a continuation sheet. d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals. This certificate is valid only if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animal Regulations			
6. Country of import Various		7. Purpose of the transaction Q	
8. Security stamp no 			
9. Scientific name (genus and species) and common name of species 		10. Description of specimen/s, including identifying marks or numbers, age, sex 	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by: Place Date Signature and official seal			
20. Additional conditions			
21. Customs endorsement (see Continuation sheet)			

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Application	
3. Owner of specimen(s) (name, permanent address and country of registration) Signature of owner		4. Issuing Management Authority	
6. Country of import Various	7. Purpose of the transaction Q	8. Security stamp no	
9. Scientific name (genus and species) and common name of species	10. Description of specimen/s, including identifying marks or numbers, age, sex		
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. I hereby apply for the certificate indicated above. Remarks I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a certificate for the above specimens was not previously rejected. Signature Name of Applicant Place and date			
Live animals will be transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)			

Instructions and explanations

3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate (not of an agent). Absence of the signature of the owner renders the certificate invalid.
 8. Where appropriate, indicate the number of the security stamp affixed in block 19.
 9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
 10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
 11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
 12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of application.
 13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of application.
 14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - U Source unknown (must be justified)
 - O Pre-Convention (may be used in conjunction with any other code).
 - 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated. Where this is a third country (i.e. a non-EU country), box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
 17. This block must contain the exhibition registration number.
 18. Enter the date of acquisition only for specimens which were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.
 19. Provide as many details as possible and justify any omissions to the information required above.
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ANNEX IV

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA	TRAVELLING-EXHIBITION CERTIFICATE PERSONAL OWNERSHIP CERTIFICATE CONTINUATION SHEET
	Page _____ of _____
1. Original certificate No	4. Issuing Management Authority
8. Security stamp No	
3. Owner of specimen(s) (name, permanent address and country of registration)	
Customs office of import Date Signature Official stamp	Customs office of (re)export Date Signature Official stamp
Customs office of import Date Signature Official stamp	Customs office of (re)export Date Signature Official stamp
Customs office of import Date Signature Official stamp	Customs office of (re)export Date Signature Official stamp
Customs office of import Date Signature Official stamp	Customs office of (re)export Date Signature Official stamp
Customs office of import Date Signature Official stamp	Customs office of (re)export Date Signature Official stamp
Customs office of import Date Signature Official stamp	Customs office of (re)export Date Signature Official stamp

ANNEX V

EUROPEAN UNION

ORIGINAL	1	1. Holder	CERTIFICATE <i>Not for use outside the European Union</i>		No	
			☐ Certificate of legal acquisition ☐ Certificate for commercial activities ☐ Certificate for movement of live specimen			
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein			
		2. Authorized location for live specimens of Annex A species	3. Issuing Management Authority			
		4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)		6. Quantity	
	7. CITES Appendix		8. EU Annex	9. Source		
	10. Country of origin					
	11. Permit No		12. Date of issue			
1	16. Scientific name of species		13. Member State of import			
	17. Common name of species (if available)		14. Document No		15. Date of issue	
18. It is hereby certified that the specimens described above: a) ☐ were taken from the wild in accordance with the legislation in force in the issuing Member State b) ☐ are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) ☐ are captive born-and-bred or artificially propagated specimens d) ☐ were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97 e) ☐ were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82 f) ☐ were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES g) ☐ were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) No 3626/82 or of CITES became applicable in this territory						
19. This document is issued for the purpose of : a) ☐ confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of the species in question b) ☐ exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 c) ☐ exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 d) ☐ using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes e) ☐ authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in any certificate						
Certificate valid only for holder named in box 1 Yes ☐ No ☐						
20. Special conditions						
Name of issuing official Place and date Signature and stamp						

Instructions and explanations

1. Full name and address of the holder of the certificate, not of an agent.
2. Only to be completed in case the import permit for the specimens concerned prescribes the location at which they are to be kept, or where specimens that were taken from the wild in a Member State shall be required to be kept at an authorised address.

Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, from the location indicated shall then be subject to prior authorisation from the competent management authority (see box 19).
4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the certificate.
8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the certificate.
9. Use one of the following codes to indicate the source:

W Specimens taken from the wild

R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood

D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof

A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof

C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof

F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof

I Confiscated or seized specimens ⁽¹⁾

O Pre-convention ⁽¹⁾

U Source unknown (must be justified)
- 10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

⁽¹⁾ To be used only in conjunction with another source code.

EUROPEAN UNION

COPY for the issuing authority	2	1. Holder	CERTIFICATE <i>Not for use outside the European Union</i>			No	
			☐ Certificate of legal acquisition ☐ Certificate for commercial activities ☐ Certificate for movement of live specimen				
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 939/97 on the protection of species of wild fauna and flora by regulating trade therein				
		2. Authorised location for live specimens of Annex A species	3. Issuing Management Authority				
	2	4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)		6. Quantity		
		7. CITES Appendix		8. EU Annex		9. Source	
		10. Country of origin					
		11. Permit No		12. Permit No			
	16. Scientific name of species		13. Member State of import				
	17. Common name of species (if available)		14. Document No		15. Date of issue		
18. It is hereby certified that the specimens described above: a) ☐ were taken from the wild in accordance with the legislation in force in the issuing Member State b) ☐ are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) ☐ are captive born-and-bred or artificially propagated specimens d) ☐ were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97. e) ☐ were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82. f) ☐ were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES g) ☐ were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) No 3626/82 or of CITES became applicable in this territory							
19. This document is issued for the purpose of : a) ☐ confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of the species in question b) ☐ exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 c) ☐ exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 d) ☐ using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes e) ☐ authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in any certificate							
Certificate valid only for holder named in box 1 Yes ☐ No ☐							
20. Special conditions							
Name of issuing official		Place and date		Signature and stamp			

EUROPEAN UNION

APPLICATION	3	1. Applicant	CERTIFICATE <i>Not for use outside the European Union</i>		No	
			☐ Certificate of legal acquisition ☐ Certificate for commercial activities ☐ Certificate for movement of live specimen			
		Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 939/97 on the protection of species of wild fauna and flora by regulating trade therein				
		2. Authorised location for live specimens of Annex A species	3. Issuing Management Authority			
		4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)		6. Quantity	
			7. CITES Appendix	8. EU Annex		9. Source
			10. Country of origin			
			11. Permit No		12. Date of issue	
	3	16. Scientific name of species		13. Member State of import		
		17. Common name of species (if available)		14. Document No		15. Date of issue
18. I hereby certify that the specimens described above: a) ☐ were taken from the wild in accordance with the legislation in force in the issuing Member State b) ☐ are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) ☐ are captive born-and-bred or artificially propagated specimens d) ☐ were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97. e) ☐ were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82. f) ☐ were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES g) ☐ were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) No 3626/82 or of CITES became applicable in this territory						
19. I request a document for the purpose of : a) ☐ confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of the species in question b) ☐ exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 c) ☐ exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 d) ☐ using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes e) ☐ authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in any certificate						
20. Remarks I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a certificate for the above specimens was not previously rejected. Name of applicant Signature Place and date						

Instructions and explanations

1. Full name and address of the applicant for the certificate, not of an agent.
2. To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application.
8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date application.
9. Use one of the following codes to indicate the source:
 - W Specimens taken from the wild
 - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
 - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
 - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
 - I Confiscated or seized specimens ⁽¹⁾
 - O Pre-convention ⁽¹⁾
 - U Source unknown (must be justified)
- 10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
18. Provide as many details as possible and justify any omissions to the information required above.

⁽¹⁾ To be used only in conjunction with another source code.

ANNEX VI

**Convention on International
Trade in Endangered Species of
Wild Fauna and Flora**

Article VII(6)

SCIENTIFIC MATERIAL

1. Contents:

2. From (full name and address):

3. Registration No:

☐☐☐☐☐☐

4. To (full name and address):

5. Registration No:

☐☐☐☐☐☐

Label No:

This part to be returned to the management authority immediately after use

Registration No of sender

☐☐☐☐☐☐

Registration No of recipient

☐☐☐☐☐☐

Contents:

Label No:

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