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Legislation

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Acts whose titles are printed in light type are those relating to day-to-day management of agricultural matters, and are generally valid for a limited period.

The titles of all other acts are printed in bold type and preceded by an asterisk.

II

(Acts whose publication is not obligatory)

PARLIAMENT

**FINAL ADOPTION
of Supplementary and Amending Budget No 3 ⁽¹⁾ of the European Union
for the financial year 2001**

(2001/874/EC, ECSC, Euratom)

THE PRESIDENT OF THE EUROPEAN PARLIAMENT,

Having regard to the Treaty establishing the European Community, and in particular Article 272(4), third subparagraph, thereof,

Having regard to the Treaty establishing the European Coal and Steel Community, and in particular Article 78 thereof,

Having regard to the Treaty establishing the European Atomic Energy Community, and in particular Article 177 thereof,

Having regard to the Financial Regulation of 21 December 1977 applicable to the general budget of the European Communities, as last amended by Regulation (EC, ECSC, Euratom) No 762/2001 ⁽²⁾,

Having regard to the Interinstitutional Agreement of 6 May 1999 between the European Parliament, the Council and the Commission on budgetary discipline and improvement of the budgetary procedure ⁽³⁾,

Having regard to Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the European Communities' own resources ⁽⁴⁾,

⁽¹⁾ Merging draft supplementary and amending budgets Nos 3/2001 and 4/2001 adopted by the Council on 15 June 2001.

⁽²⁾ OJ L 111, 20.4.2001, p. 1.

⁽³⁾ OJ C 172, 18.6.1999, p. 1.

⁽⁴⁾ OJ L 293, 12.11.1994, p. 9.

Having regard to Council Decision 2000/597/EC, Euratom of 29 September 2000 on the system of the European Communities' own resources ⁽⁵⁾,

Having regard to the general budget of the European Communities for the financial year 2001 ⁽⁶⁾,

Having regard to preliminary draft supplementary and amending budgets Nos 3/2001 and 4/2001 of the European Union for the financial year 2001 submitted by the Commission,

Having regard to draft supplementary and amending budgets Nos 3/2001 and 4/2001 of the European Union for the financial year 2001 established by the Council on 15 June 2001,

Having regard to Rule 92 of and Annex IV to its Rules of Procedure,

Having regard to the debates and deliberations of the European Parliament of 4 and 5 July 2001, at the conclusion of which it approved supplementary and amending budgets Nos 3 and 4 of the European Union for the financial year 2001 in a single document incorporating amendments to the draft texts adopted by the Council,

Having regard to the resolution adopted by the European Parliament on 5 July 2001,

Having regard to the deliberations of the Council of 11 July 2001 who had not modified Parliament's amendments,

the procedure laid down in Article 272 of the Treaty establishing the European Community, Article 78 of the Treaty establishing the European Coal and Steel Community and Article 177 of the Treaty establishing the European Atomic Energy Community having thus been completed,

DECLARES:

Sole Article

Supplementary and amending budget No 3 of the European Union for the financial year 2001, merging draft supplementary and amending budgets Nos 3/2001 and 4/2001 in a single document, has been finally adopted.

Done at Strasbourg, 5 July 2001.

The President
Nicole FONTAINE

⁽⁵⁾ OJ L 253, 7.10.2000, p. 42.

⁽⁶⁾ OJ L 56, 26.2.1991, p. 1.

SUPPLEMENTARY AND AMENDING BUDGET No 3
OF THE EUROPEAN UNION FOR THE FINANCIAL YEAR 2001

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A. TOTAL REVENUE

Title	Heading	Budget 2001	Supplementary and amending budget No 3	New amount
1	Own resources	90 972 068 520	– 9 527 143 946	81 444 924 574
3	Surpluses available			
	— Surplus available from the preceding financial year	1 635 588 000	+ 9 977 143 946	11 612 731 946
	— Surplus own resources resulting from the transfer to the European Agricultural Guidance and Guarantee Fund Guarantee Section monetary reserve	p.m.	—	p.m.
	— Surplus of value added tax own resources from corresponding financial contributions and the additional resource	p.m.	—	p.m.
4	Miscellaneous Community taxes, levies and dues	562 525 101	—	562 525 101
5	Revenue accruing from the administrative operation of the institutions	62 044 000	—	62 044 000
6	Contributions to Community programmes, repayment of expenditure, revenue from services rendered against payment and contributions in connection with the European Economic Area	45 598 905	—	45 598 905
7	Interest on late payments and fines	p.m.	—	p.m.
8	Borrowing and lending operations	22 276 311	—	22 276 311
9	Miscellaneous revenue	5 114 000	—	5 114 000
GRAND TOTAL		93 305 214 837	+ 450 000 000	93 755 214 837

TITLE 1

OWN RESOURCES

CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(B) OF DECISION 94/728/EC, EURATOM

CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(C) OF DECISION 94/728/EC, EURATOM

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT PURSUANT TO ARTICLE 2(1)(D) AND THE FIRST SUBPARAGRAPH OF ARTICLE 6 OF DECISION 94/728/EC, EURATOM

Article Item	Heading	Budget 2001	Supplementary and amending budget No 3	New amount	
1 2 0	CHAPTER 1 2				
	<i>Customs duties and other duties referred to in Article 2(1)(b) of Decision 94/728/EC, Euratom</i>	13 657 500 000	1 490 000 000	15 147 500 000,—	
	CHAPTER 1 2 — TOTAL	13 657 500 000	1 490 000 000	15 147 500 000,—	
1 3 0	CHAPTER 1 3				
	<i>Own resources accruing from value added tax pursuant to Article 2(1)(c) of Decision 94/728/EC, Euratom</i>	33 467 186 127	– 2 775 744 423	30 691 441 704,—	
	CHAPTER 1 3 — TOTAL	33 467 186 127	– 2 775 744 423	30 691 441 704,—	
1 4 0	CHAPTER 1 4				
	<i>Own resources based on gross national product pursuant to Article 2(1)(d) and the first subparagraph of Article 6 of Decision 94/728/EC, Euratom</i>				
	1 4 0 0	Own resources based on gross national product pursuant to Article 2(1)(d) of Decision 94/728/EC, Euratom, with the exception of those corresponding to the European Agricultural Guidance and Guarantee Fund Guarantee Section monetary reserve, the reserve for loan guarantees and the reserve for emergency aid	42 329 462 393	– 8 092 399 523	34 237 062 870,—
	1 4 0 1	Own resources based on gross national product pursuant to Article 2(1)(d) and the first subparagraph of Article 6 of Decision 94/728/EC, Euratom, corresponding to the European Agricultural Guidance and Guarantee Fund Guarantee Section monetary reserve	500 000 000	—	500 000 000,—
	1 4 0 2	Own resources based on gross national product pursuant to Article 2(1)(d) of Decision 94/728/EC, Euratom, corresponding to the reserve for loans and loan guarantees	208 000 000	—	208 000 000,—

CHAPTER 14 — OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT PURSUANT TO ARTICLE 2(1)(D) AND THE FIRST SUBPARAGRAPH OF ARTICLE 6 OF DECISION 94/728/EC, EURATOM (cont'd)

CHAPTER 15 — CORRECTION OF BUDGETARY IMBALANCES

CHAPTER 19 — COSTS INCURRED BY THE MEMBER STATES IN THE COLLECTION OF OWN RESOURCES

[illegible]

TITLE 1

OWN RESOURCES

CHAPTER 1 2 — CUSTOMS DUTIES AND OTHER DUTIES REFERRED TO IN ARTICLE 2(1)(B) OF DECISION 94/728/EC, EURATOM

1 2 0 *Customs duties and other duties referred to in Article 2(1)(b) of Decision 94/728/EC, Euratom*

Budget 2001	Supplementary and amending budget No 3	New amount
13 657 500 000	+ 1 490 000 000	15 147 500 000

Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 9), and in particular Article 2(1)(b) thereof.

The assignment of customs duties as own resources to the financing of common expenditure is the logical consequence of the free movement of goods within the Community.

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	1 171 000 000	138 200 000	1 309 200 000
Denmark	292 800 000	19 200 000	312 000 000
Germany	3 178 000 000	132 900 000	3 310 900 000
Greece	195 200 000	17 100 000	212 300 000
Spain	845 400 000	120 900 000	966 300 000
France	1 318 900 000	163 700 000	1 482 600 000
Ireland	189 100 000	27 000 000	216 100 000
Italy	1 273 700 000	219 300 000	1 493 000 000
Luxembourg	23 100 000	4 400 000	27 500 000
Netherlands	1 607 500 000	131 400 000	1 738 900 000
Austria	234 900 000	27 900 000	262 800 000
Portugal	166 600 000	9 900 000	176 500 000
Finland	133 200 000	– 5 300 000	127 900 000
Sweden	370 600 000	37 000 000	407 600 000
United Kingdom	2 657 500 000	446 400 000	3 103 900 000
<i>Article 1 2 0 — Total</i>	13 657 500 000	1 490 000 000	15 147 500 000

CHAPTER 1 3 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(C) OF DECISION 94/728/EC, EURATOM

1 3 0 *Own resources accruing from value added tax pursuant to Article 2(1)(c) of Decision 94/728/EC, Euratom*

Budget 2001	Supplementary and amending budget No 3	New amount
33 467 186 127	– 2 775 744 423	30 691 441 704

Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 9), and in particular Article 2(1)(c) thereof.

CHAPTER 13 — OWN RESOURCES ACCRUING FROM VALUE ADDED TAX PURSUANT TO ARTICLE 2(1)(C) OF DECISION 94/728/EC, EURATOM (*cont'd*)

130 (*cont'd*)

If account is taken of the capped VAT bases and of the compensation arrangements for the United Kingdom, own resources accruing from value added tax are as follows at the uniform rate (0,74842 %).

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	860 439 164	– 32 350 730	828 088 434
Denmark	544 149 324	– 35 967 901	508 181 423
Germany	8 325 335 032	– 780 888 539	7 544 446 493
Greece	531 491 461	– 49 127 484	482 363 977
Spain	2 482 551 554	– 147 934 782	2 334 616 772
France	5 513 280 713	– 223 706 248	5 289 574 465
Ireland	386 828 834	649 519	387 478 353
Italy	3 692 074 928	– 234 473 361	3 457 601 567
Luxembourg	80 547 660	– 5 477 539	75 070 121
Netherlands	1 678 355 681	– 101 413 508	1 576 942 173
Austria	817 615 314	– 57 225 827	760 389 487
Portugal	460 693 711	– 22 508 131	438 185 580
Finland	447 349 775	– 29 242 768	418 107 007
Sweden	918 681 494	– 132 987 228	785 694 266
United Kingdom	6 727 791 482	– 923 089 896	5 804 701 586
<i>Article 130 — Total</i>	33 467 186 127	– 2 775 744 423	30 691 441 704

CHAPTER 14 — OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT PURSUANT TO ARTICLE 2(1)(D) AND THE FIRST SUBPARAGRAPH OF ARTICLE 6 OF DECISION 94/728/EC, EURATOM

140 *Own resources based on gross national product pursuant to Article 2(1)(d) and the first subparagraph of Article 6 of Decision 94/728/EC, Euratom*

1400 Own resources based on gross national product pursuant to Article 2(1)(d) of Decision 94/728/EC, Euratom, with the exception of those corresponding to the European Agricultural Guidance and Guarantee Fund Guarantee Section monetary reserve, the reserve for loan guarantees and the reserve for emergency aid

Budget 2001	Supplementary and amending budget No 3	New amount
42 329 462 393	– 8 092 399 523	34 237 062 870

Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 9), and in particular Article 2(1)(d) thereof.

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT PURSUANT TO ARTICLE 2(1)(D) AND THE FIRST SUBPARAGRAPH OF ARTICLE 6 OF DECISION 94/728/EC, EURATOM (*cont'd*)

1 4 0 (*cont'd*)

1 4 0 0 (*cont'd*)

The rate, excluding the EAGGF Guarantee Section monetary reserve, the loan guarantee reserve and the emergency aid reserve, to be applied to Member States' gross national product for this financial year is 0,3962 %.

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	1 233 813 093	– 195 767 720	1 038 045 373
Denmark	805 341 264	– 131 405 113	673 936 151
Germany	10 141 453 403	– 1 987 880 900	8 153 572 503
Greece	628 893 081	– 118 215 025	510 678 056
Spain	2 937 506 261	– 465 850 807	2 471 655 454
France	6 932 516 035	– 1 332 451 080	5 600 064 955
Ireland	457 719 446	– 47 496 665	410 222 781
Italy	5 648 263 925	– 933 178 239	4 715 085 686
Luxembourg	95 308 899	– 15 832 268	79 476 631
Netherlands	1 985 932 705	– 316 426 272	1 669 506 433
Austria	995 163 195	– 158 325 109	836 838 086
Portugal	545 120 869	– 81 214 420	463 906 449
Finland	625 033 032	– 95 104 447	529 928 585
Sweden	1 241 458 822	– 302 742 400	938 716 422
United Kingdom	8 055 938 363	– 1 910 509 058	6 145 429 305
Item 1 4 0 0 — Total	42 329 462 393	– 8 092 399 523	34 237 062 870

1 4 0 1

Own resources based on gross national product pursuant to Article 2(1)(d) and the first subparagraph of Article 6 of Decision 94/728/EC, Euratom, corresponding to the European Agricultural Guidance and Guarantee Fund Guarantee Section monetary reserve

Budget 2001	Supplementary and amending budget No 3	New amount
500 000 000	—	500 000 000

Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 9), and in particular Article 2(1)(d) and the first subparagraph of Article 6 thereof.

Council Regulation (EC) No 2040/2000 of 26 September 2000 on budgetary discipline (OJ L 244, 29.9.2000, p. 27).

The provisional amount is produced by applying a rate of 0,0058 % to Member States' gross national product.

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT PURSUANT TO ARTICLE 2(1)(D) AND THE FIRST SUBPARAGRAPH OF ARTICLE 6 OF DECISION 94/728/EC, EURATOM (cont'd)

1 4 0 (cont'd)

1 4 0 1 (cont'd)

The breakdown of payments is as follows:

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	14 573 928	585 745	15 159 673
Denmark	9 512 775	329 427	9 842 202
Germany	119 791 900	– 716 668	119 075 232
Greece	7 428 550	29 421	7 457 971
Spain	34 698 129	1 398 067	36 096 196
France	81 887 598	– 103 933	81 783 665
Ireland	5 406 630	584 287	5 990 917
Italy	66 717 879	2 141 499	68 859 378
Luxembourg	1 125 799	34 882	1 160 681
Netherlands	23 458 043	923 523	24 381 566
Austria	11 754 971	466 260	12 221 231
Portugal	6 439 024	335 892	6 774 916
Finland	7 382 955	356 152	7 739 107
Sweden	14 664 240	– 955 172	13 709 068
United Kingdom	95 157 579	– 5 409 382	89 748 197
Item 1 4 0 1 — Total	500 000 000	—	500 000 000

However, the amount actually to be paid will be limited to the amount of the transfer from Chapter B1-6 0 of the statement of expenditure of Section III 'Commission', intended for the monetary reserve.

1 4 0 2

Own resources based on gross national product pursuant to Article 2(1)(d) of Decision 94/728/EC, Euratom, corresponding to the reserve for loans and loan guarantees

Budget 2001	Supplementary and amending budget No 3	New amount
208 000 000	—	208 000 000

Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 9), and in particular Article 2(1)(d) thereof.

Council Regulation (EC, Euratom) No 2728/94 of 31 October 1994 established a Guarantee Fund for external action (OJ L 293, 12.11.1994, p. 1).

Council Regulation (EC, Euratom) No 2729/94 of 31 October 1994 amending Regulation (EEC, Euratom) No 1552/89 implementing Decision 88/376/EEC, Euratom on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 5).

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 94/728/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC) No 2040/2000 of 26 September 2000 on budgetary discipline (OJ L 244, 29.9.2000, p. 27).

CHAPTER 1 4 — OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT PURSUANT TO ARTICLE 2(1)(D) AND THE FIRST SUBPARAGRAPH OF ARTICLE 6 OF DECISION 94/728/EC, EURATOM (cont'd)

1 4 0 (cont'd)

1 4 0 2 (cont'd)

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	6 062 754	243 670	6 306 424
Denmark	3 957 314	137 042	4 094 356
Germany	49 833 430	– 298 133	49 535 297
Greece	3 090 277	12 239	3 102 516
Spain	14 434 422	581 595	15 016 017
France	34 065 241	– 43 236	34 022 005
Ireland	2 249 158	243 063	2 492 221
Italy	27 754 638	890 863	28 645 501
Luxembourg	468 332	14 511	482 843
Netherlands	9 758 546	384 186	10 142 732
Austria	4 890 068	193 964	5 084 032
Portugal	2 678 634	139 731	2 818 365
Finland	3 071 309	148 160	3 219 469
Sweden	6 100 324	– 397 352	5 702 972
United Kingdom	39 585 553	– 2 250 303	37 335 250
Item 1 4 0 2 — Total	208 000 000	—	208 000 000

1 4 0 3

Own resources based on gross national product pursuant to Article 2(1)(d) of Decision 94/728/EC, Euratom, corresponding to the emergency aid reserve

Budget 2001	Supplementary and amending budget No 3	New amount
208 000 000	—	208 000 000

Conclusions of the Edinburgh European Council of 11 and 12 December 1992 concerning the creation of a reserve for emergency aid.

Council Regulation (EC, Euratom) No 2729/94 of 31 October 1994 amending Regulation (EEC, Euratom) No 1552/89 implementing Decision 88/376/EEC, Euratom on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 5).

Council Regulation (ECSC, EC, Euratom) No 2730/94 of 31 October 1994 amending the Financial Regulation of 21 December 1977 applicable to the general budget of the European Communities (OJ L 293, 12.11.1994, p. 7).

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 94/728/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC) No 2040/2000 of 26 September 2000 on budgetary discipline (OJ L 244, 29.9.2000, p. 27).

CHAPTER 14 — OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT PURSUANT TO ARTICLE 2(1)(D) AND THE FIRST SUBPARAGRAPH OF ARTICLE 6 OF DECISION 94/728/EC, EURATOM (*cont'd*)

140 (*cont'd*)

1403 (*cont'd*)

When the Commission considers it necessary to draw on this reserve, it convenes a three-way meeting as soon as possible in order to obtain the agreement of the two arms of the budgetary authority on the need to use the reserve and on the amount required. The reserve is drawn on by means of a transfer to the budget headings concerned.

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	6 062 754	243 670	6 306 424
Denmark	3 957 314	137 042	4 094 356
Germany	49 833 430	– 298 133	49 535 297
Greece	3 090 277	12 239	3 102 516
Spain	14 434 422	581 595	15 016 017
France	34 065 241	– 43 236	34 022 005
Ireland	2 249 158	243 063	2 492 221
Italy	27 754 638	890 863	28 645 501
Luxembourg	468 332	14 511	482 843
Netherlands	9 758 546	384 186	10 142 732
Austria	4 890 068	193 964	5 084 032
Portugal	2 678 634	139 731	2 818 365
Finland	3 071 309	148 160	3 219 469
Sweden	6 100 324	– 397 352	5 702 972
United Kingdom	39 585 553	– 2 250 303	37 335 250
Item 1403 — Total	208 000 000	—	208 000 000

CHAPTER 15 — CORRECTION OF BUDGETARY IMBALANCES

1 5 0

Correction of budgetary imbalances granted to the United Kingdom in accordance with Articles 4 and 5 of Decision 94/728/EC, Euratom

Budget 2001	Supplementary and amending budget No 3	New amount
0	0	0

Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 9), and in particular Articles 4 and 5 thereof.

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	214 667 907	91 097 110	305 765 017
Denmark	140 119 216	58 756 804	198 876 020
Germany	1 031 787 709	388 119 520	1 419 907 229
Greece	109 419 459	41 787 318	151 206 777
Spain	511 089 018	215 725 371	726 814 389
France	1 206 170 300	456 556 664	1 662 726 964
Ireland	79 637 407	38 727 135	118 364 542
Italy	982 726 641	408 150 823	1 390 877 464
Luxembourg	16 582 546	6 747 632	23 330 178
Netherlands	345 527 228	144 462 547	489 989 775
Austria	173 145 837	73 718 558	246 864 395
Portugal	94 844 152	41 246 194	136 090 346
Finland	108 747 859	46 880 865	155 628 724
Sweden	215 998 168	63 778 194	279 776 362
United Kingdom	– 5 230 463 447	– 2 075 754 735	– 7 306 218 182
<i>Article 1 5 0 — Total</i>	0	0	0

CHAPTER 19 — COSTS INCURRED BY THE MEMBER STATES IN THE COLLECTION OF OWN RESOURCES

190

Costs incurred by the Member States in the collection of own resources

Budget 2001	Supplementary and amending budget No 3	New amount
– 1 584 380 000	– 149 000 000	– 1 733 380 000

Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources (OJ L 293, 12.11.1994, p. 9), and in particular Article 2(3) thereof.

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	– 126 940 000	– 13 820 000	– 140 760 000
Denmark	– 33 630 000	– 1 920 000	– 35 550 000
Germany	– 363 570 000	– 13 290 000	– 376 860 000
Greece	– 21 600 000	– 1 710 000	– 23 310 000
Spain	– 94 190 000	– 12 090 000	– 106 280 000
France	– 165 900 000	– 16 370 000	– 182 270 000
Ireland	– 20 120 000	– 2 700 000	– 22 820 000
Italy	– 146 000 000	– 21 930 000	– 167 930 000
Luxembourg	– 2 370 000	– 440 000	– 2 810 000
Netherlands	– 185 570 000	– 13 140 000	– 198 710 000
Austria	– 27 730 000	– 2 790 000	– 30 520 000
Portugal	– 21 240 000	– 990 000	– 22 230 000
Finland	– 14 740 000	530 000	– 14 210 000
Sweden	– 40 900 000	– 3 700 000	– 44 600 000
United Kingdom	– 319 880 000	– 44 640 000	– 364 520 000
<i>Article 190 — Total</i>	– 1 584 380 000	– 149 000 000	– 1 733 380 000

TITLE 3

SURPLUSES AVAILABLE

CHAPTER 30 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR

CHAPTER 35 — SURPLUS OF OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT RESULTING FROM THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

Article Item	Heading	Budget 2001	Supplementary and amending budget No 3	New amount
3 0 0	CHAPTER 3 0 <i>Surplus available from the preceding financial year</i>	1 635 588 000	+ 9 977 143 946	11 612 731 946,—
	CHAPTER 3 0 — TOTAL	1 635 588 000	+ 9 977 143 946	11 612 731 946,—
	CHAPTER 3 5			
	3 5 9 <i>Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of 1991 and subsequent financial years</i>			
	3 5 9 7 Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of 1997	p.m.	0	0,—
	Article 3 5 9 — Total	p.m.	0	0,—
	CHAPTER 3 5 — TOTAL	p.m.	0	0,—
	Title 3 — Total	1 635 588 000	+ 9 977 143 946	11 612 731 946,—
	GRAND TOTAL	93 305 214 837	+ 450 000 000	93 755 214 837

TITLE 3

SURPLUSES AVAILABLE

CHAPTER 3 0 — SURPLUS AVAILABLE FROM THE PRECEDING FINANCIAL YEAR

3 0 0

Surplus available from the preceding financial year

Budget 2001	Supplementary and amending budget No 3	New amount
1 635 588 000	+ 9 977 143 946	11 612 731 946

Financial Regulation of 21 December 1977 applicable to the general budget of the European Communities (OJ L 356, 31.12.1977, p. 1), as last amended by Regulation (EC, ECSC, Euratom) No 2673/1999 (OJ L 326, 18.12.1999, p. 1).

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 94/728/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Article 32 of the Financial Regulation states that the balance from each financial year, whether surplus or deficit, is entered as revenue expenditure in the budget of the subsequent financial year.

The relevant estimates of such revenue or expenditure are entered in the budget during the budget procedure and, where appropriate, in a letter of amendment submitted pursuant to Article 14 of the Financial Regulation. They are drawn up in accordance with the principles set out in Article 15 of Regulation (EC, Euratom) No 1150/2000.

After the closure of the accounts for each financial year, any discrepancy in relation to the estimates is entered in the budget for the following financial year through a supplementary and/or amending budget.

A deficit is entered in Chapter B0-3 0 of the statement of expenditure of Section III 'Commission'.

CHAPTER 3 5 — SURPLUS OF OWN RESOURCES BASED ON GROSS NATIONAL PRODUCT RESULTING FROM THE DEFINITIVE CALCULATION OF THE FINANCING OF THE CORRECTION OF BUDGETARY IMBALANCES FOR THE UNITED KINGDOM

3 5 9 *Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of 1991 and subsequent financial years*

3 5 9 7 Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of 1997

Budget 2001	Supplementary and amending budget No 3	New amount
p.m.	0	0

Result of the definitive calculation of the financing of the correction of budgetary imbalances for the United Kingdom in respect of 1997.

Member States	Budget 2001	Supplementary and amending budget No 3	New amount
Belgium	p.m.	– 1 800 169	– 1 800 169
Denmark	p.m.	– 4 163 981	– 4 163 981
Germany	p.m.	31 712 472	31 712 472
Greece	p.m.	– 4 383 695	– 4 383 695
Spain	p.m.	– 16 543 107	– 16 543 107
France	p.m.	2 163 935	2 163 935
Ireland	p.m.	– 2 384 326	– 2 384 326
Italy	p.m.	– 18 987 960	– 18 987 960
Luxembourg	p.m.	– 507 394	– 507 394
Netherlands	p.m.	– 8 989 490	– 8 989 490
Austria	p.m.	4 949 669	4 949 669
Portugal	p.m.	– 447 432	– 447 432
Finland	p.m.	– 2 887 140	– 2 887 140
Sweden	p.m.	1 040 181	1 040 181
United Kingdom	p.m.	21 228 437	21 228 437
Item 3 5 9 7 - Total	p.m.	0	0

B. FINANCING OF THE GENERAL BUDGET

Appropriations to be covered during the 2001 financial year pursuant to Article 1 of Council Decision 94/728/EC, Euratom of 31 October 1994 on the system of the Communities' own resources and Article 10 of the Treaty of 22 April 1970 amending certain budgetary provisions of the Treaties establishing the European Communities and of the Treaty establishing a single Council and a single Commission of the European Communities

Description	Amount
Expenditure	
<i>A. Section III 'Commission' (Part B)</i>	
1. EAGGF Guarantee Section (Subsection B1)	44 523 700 000
2. Structural operations, structural and cohesion expenditure, financial mechanism, other agricultural and regional operations, transport and fisheries (Subsection B2)	31 774 965 000
3. Training, youth, culture, audiovisual media, information and other social operations (Subsection B3)	786 350 000
4. Energy, Euratom nuclear safeguards and environment (Subsection B4)	193 300 000
5. Consumer protection, internal market, industry and trans-European networks (Subsection B5)	1 064 552 000
6. Research and technological development (Subsection B6)	3 610 000 000
7. External action (Subsection B7)	6 645 207 000
8. Common foreign and security policy (Subsection B8)	35 000 000
9. Guarantees, reserves and compensation (Subsection B0)	208 000 000
Section III Part B — Subtotal	88 841 074 000
<i>B. Section III 'Commission' (Part A)</i>	3 217 158 297
Section III — Subtotal	92 058 232 297
<i>C. Sections I, II, IV, V, VI, VII and VIII (other institutions)</i>	1 696 982 540
Total expenditure	93 755 214 837
Revenue	
Miscellaneous revenue (Titles 4 to 9)	697 558 317
Surplus available from the preceding financial year	11 612 731 946
Surplus of own resources accruing from VAT and own resources based on GNP relating to previous financial years	p.m.
Surplus of own resources accruing from the EAGGF monetary reserve	p.m.
Total revenue	12 310 290 263
Appropriations to be covered by the own resources referred to in Article 2 of Decision 94/728/EC, Euratom	81 444 924 574

Expenditure to be financed by the own resources referred to in Article 2 of Decision 94/728/EC, Euratom	81 444 924 574
Net amount (= 90 %) of customs duties, agricultural levies and sugar and isoglucose levies (see Table 7)	15 600 420 000
Amount remaining to be financed	65 844 504 574

TABLE 1

Breakdown of own resources accruing from VAT pursuant to Article 2(1)(c) of Decision 94/728/EC, Euratom

Member States	1 % of the non-capped VAT base	1 % of gross national product	Capping rate (in %)	1 % of gross national product multiplied by the capping rate
Belgium	1 106 451 000	2 620 170 000	50	1 310 085 000
Denmark	679 007 000	1 701 108 000	50	850 554 000
Germany	10 080 518 000	20 580 744 000	50	10 290 372 000
Greece ⁽¹⁾	741 912 000	1 289 022 000	50	644 511 000
Spain ⁽²⁾	3 605 980 000	6 238 800 000	50	3 119 400 000
France ⁽³⁾	7 206 420 000	14 135 338 000	50	7 067 669 000
Ireland ⁽⁴⁾	575 052 000	1 035 459 000	50	517 729 500
Italy	4 619 877 000	11 901 528 000	50	5 950 764 000
Luxembourg ⁽⁵⁾	127 129 000	200 610 000	50	100 305 000
Netherlands ⁽⁶⁾	2 127 735 000	4 214 065 000	50	2 107 032 500
Austria	1 015 995 000	2 112 295 000	50	1 056 147 500
Portugal ⁽⁷⁾	751 918 000	1 170 964 000	50	585 482 000
Finland	558 654 000	1 337 613 000	50	668 806 500
Sweden	1 049 806 000	2 369 450 000	50	1 184 725 000
United Kingdom ⁽⁸⁾	7 969 701 000	15 511 913 000	50	7 755 956 500
Total	42 216 155 000	86 419 079 000		43 209 539 500
⁽¹⁾ Member State with a capped VAT base. ⁽²⁾ Member State with a capped VAT base. ⁽³⁾ Member State with a capped VAT base. ⁽⁴⁾ Member State with a capped VAT base. ⁽⁵⁾ Member State with a capped VAT base. ⁽⁶⁾ Member State with a capped VAT base. ⁽⁷⁾ Member State with a capped VAT base. ⁽⁸⁾ Member State with a capped VAT base.				

Member States	1 % of the capped VAT base	Maximum VAT call-in rate (in %)	Uniform rate of VAT own resources (in %) ⁽¹⁾	VAT own resources at the uniform rate
Belgium	1 106 451 000	1,00	0,748418533	828 088 434
Denmark	679 007 000	1,00	0,748418533	508 181 423
Germany	10 080 518 000	1,00	0,748418533	7 544 446 493
Greece	644 511 000	1,00	0,748418533	482 363 977
Spain	3 119 400 000	1,00	0,748418533	2 334 616 772
France	7 067 669 000	1,00	0,748418533	5 289 574 465
Ireland	517 729 500	1,00	0,748418533	387 478 353
Italy	4 619 877 000	1,00	0,748418533	3 457 601 567
Luxembourg	100 305 000	1,00	0,748418533	75 070 121
Netherlands	2 107 032 500	1,00	0,748418533	1 576 942 173
Austria	1 015 995 000	1,00	0,748418533	760 389 487
Portugal	585 482 000	1,00	0,748418533	438 185 580
Finland	558 654 000	1,00	0,748418533	418 107 007
Sweden	1 049 806 000	1,00	0,748418533	785 694 266
United Kingdom	7 755 956 500	1,00	0,748418533	5 804 701 586
Total	41 008 393 500			30 691 441 704
⁽¹⁾ Calculation of uniform rate: maximum VAT rate less VAT rate frozen by United Kingdom correction, i.e. 1,00 % – 0,251581467023639 % = 0,748418532976361 % (see Table 6).				

Amount remaining to be financed by additional resource:

EUR 65 844 504 574 – EUR 30 691 441 704 = EUR 35 153 062 870.

TABLE 2

Determination of VAT own resources to be paid and the costs borne by the other Member States to finance the United Kingdom correction to be added to the additional resource in accordance with Article 5(2) of Decision 94/728/EC, Euratom

Member States	VAT own resources at uniform rate	United Kingdom correction	Total	Maximum VAT call-in rate (in %)	VAT at maximum call-in rate	Contribution to United Kingdom correction to be added to the additional resource	VAT own resources to be paid (memorandum item)
Belgium	828 088 434	305 765 017	1 133 853 451	1,00	1 106 451 000	27 402 451	1 106 451 000
Denmark	508 181 423	198 876 020	707 057 443	1,00	679 007 000	28 050 443	679 007 000
Germany	7 544 446 493	1 419 907 229	8 964 353 722	1,00	10 080 518 000		8 964 353 722
Greece	482 363 977	151 206 777	633 570 754	1,00	644 511 000		633 570 754
Spain	2 334 616 772	726 814 389	3 061 431 161	1,00	3 119 400 000		3 061 431 161
France	5 289 574 465	1 662 726 964	6 952 301 429	1,00	7 067 669 000		6 952 301 429
Ireland	387 478 353	118 364 542	505 842 895	1,00	517 729 500		505 842 895
Italy	3 457 601 567	1 390 877 464	4 848 479 031	1,00	4 619 877 000	228 602 031	4 619 877 000
Luxembourg	75 070 121	23 330 178	98 400 299	1,00	100 305 000		98 400 299
Netherlands	1 576 942 173	489 989 775	2 066 931 948	1,00	2 107 032 500		2 066 931 948
Austria	760 389 487	246 864 395	1 007 253 882	1,00	1 015 995 000		1 007 253 882
Portugal	438 185 580	136 090 346	574 275 926	1,00	585 482 000		574 275 926
Finland	418 107 007	155 628 724	573 735 731	1,00	558 654 000	15 081 731	558 654 000
Sweden	785 694 266	279 776 362	1 065 470 628	1,00	1 049 806 000	15 664 628	1 049 806 000
United Kingdom	5 804 701 586	- 7 306 218 182	- 1 501 516 596	1,00	7 755 956 500		- 1 501 516 596
Total	30 691 441 704	—	30 691 441 704		41 008 393 500	314 801 284	30 376 640 420

TABLE 3

Determination of uniform rate and breakdown of resources based on gross national product pursuant to Article 2(1)(d) of Decision 94/728/EC, Euratom

Member States	1 % of GNP	Uniform rate of 'additional base' own resources	'Additional base' own resources at uniform rate
Belgium	2 620 170 000	0,4067743 ⁽¹⁾	1 065 817 894
Denmark	1 701 108 000		691 937 065
Germany	20 580 744 000		8 371 718 329
Greece	1 289 022 000		524 341 059
Spain	6 238 800 000		2 537 783 684
France	14 135 338 000		5 749 892 630
Ireland	1 035 459 000		421 198 140
Italy	11 901 528 000		4 841 236 066
Luxembourg	200 610 000		81 602 998
Netherlands	4 214 065 000		1 714 173 463
Austria	2 112 295 000		859 227 381
Portugal	1 170 964 000		476 318 095
Finland	1 337 613 000		544 106 630
Sweden	2 369 450 000		963 831 434
United Kingdom	15 511 913 000		6 309 848 002
Total	86 419 079 000		35 153 062 870
⁽¹⁾ Calculation of rate: $(35\,153\,062\,870)/(86\,419\,079\,000) = 0,406774328965019\%$.			

Own resources ceiling (% of GNP): 1,27 % (Article 3(1) of Decision 94/728/EC, Euratom).

TABLE 4

Determination of the additional resource in accordance with Articles 2(1)(d) and 5(2) of Decision 94/728/EC, Euratom

Member States	Additional resource at uniform rate	Additional resource excluding reserves	Additional resource, financing of reserves	Contribution to United Kingdom correction not covered by VAT	Total additional resource to be paid (memorandum item)
Belgium	1 065 817 894	1 038 045 373	27 772 521	27 402 451	1 093 220 345
Denmark	691 967 065	673 936 151	18 030 914	28 050 443	720 017 508
Germany	8 371 718 329	8 153 572 503	218 145 826		8 371 718 329
Greece	524 341 059	510 678 056	13 663 003		524 341 059
Spain	2 537 783 684	2 471 655 454	66 128 230		2 537 783 684
France	5 749 892 630	5 600 064 955	149 827 675		5 749 892 630
Ireland	421 198 140	410 222 781	10 975 359		421 198 140
Italy	4 841 236 066	4 715 085 686	126 150 380	228 602 031	5 069 838 097
Luxembourg	81 602 998	79 476 631	2 126 367		81 602 998
Netherlands	1 714 173 463	1 669 506 433	44 667 030		1 714 173 463
Austria	859 227 381	836 838 086	22 389 295		859 227 381
Portugal	476 318 095	463 906 449	12 411 646		476 318 095
Finland	544 106 630	529 928 585	14 178 045	15 081 731	559 188 361
Sweden	963 831 434	938 716 422	25 115 012	15 664 628	979 496 062
United Kingdom	6 309 848 002	6 145 429 305	164 418 697		6 309 848 002
Total	35 153 062 870	34 237 062 870	916 000 000	314 801 284	35 467 864 154
Percentage of 1 % of GNP	0,4068	0,3962	0,0106		

Additional resource — Reserve financing

Member States	Monetary reserve	Reserve for loans and loan guarantees	Emergency aid reserve	Total of reserve financing
Belgium	15 159 673	6 306 424	6 306 424	27 772 521
Denmark	9 842 202	4 094 356	4 094 356	18 030 914
Germany	119 075 232	49 535 297	49 535 297	218 145 826
Greece	7 457 971	3 102 516	3 102 516	13 663 003
Spain	36 096 196	15 016 017	15 016 017	66 128 230
France	81 783 665	34 022 005	34 022 005	149 827 675
Ireland	5 990 917	2 492 221	2 492 221	10 975 359
Italy	68 859 378	28 645 501	28 645 501	126 150 380
Luxembourg	1 160 681	482 843	482 843	2 126 367
Netherlands	24 381 566	10 142 732	10 142 732	44 667 030
Austria	12 221 231	5 084 032	5 084 032	22 389 295
Portugal	6 774 916	2 818 365	2 818 365	12 411 646
Finland	7 739 107	3 219 469	3 219 469	14 178 045
Sweden	13 709 068	5 702 972	5 702 972	25 115 012
United Kingdom	89 748 197	37 335 250	37 335 250	164 418 697
Total	500 000 000	208 000 000	208 000 000	916 000 000
Percentage of 1 % of GNP	0,0058	0,0024	0,0024	0,0106

TABLE 5.1

Calculation of financing of United Kingdom correction for 1997 and 2000 amounting to – EUR 6 006 701 738
(in line with adjusted GNP scale for 2001) ⁽¹⁾

Member States	Percentage share of GNP base 2001	Percentage share excluding United Kingdom	Percentage share excluding United Kingdom and Germany	1/3 of Germany's share in 'Share excluding United Kingdom'	1/3 of Germany's share distributed between Member States other than United Kingdom and Germany	Financing scale	Financing scale applied to the correction
Belgium	3,03	3,70	5,21	– 9,67	0,50	4,20	252 216 888
Denmark	1,97	2,40	3,38		0,33	2,73	163 748 217
Germany	23,82	29,02	0,00		0,00	19,35	1 162 293 345
Greece	1,49	1,82	2,56		0,25	2,07	124 080 925
Spain	7,22	8,80	12,40		1,20	10,00	600 545 278
France	16,36	19,93	28,09		2,72	22,65	1 360 663 988
Ireland	1,20	1,46	2,06		0,20	1,66	99 673 016
Italy	13,77	16,78	23,65		2,29	19,07	1 145 638 014
Luxembourg	0,23	0,28	0,40		0,04	0,32	19 310 667
Netherlands	4,88	5,94	8,37		0,81	6,75	405 644 809
Austria	2,44	2,98	4,20		0,41	3,39	203 328 972
Portugal	1,35	1,65	2,33		0,23	1,88	112 716 692
Finland	1,55	1,89	2,66		0,26	2,14	128 758 282
Sweden	2,74	3,34	4,71		0,46	3,80	228 082 645
United Kingdom	17,95	0,00	0,00		0,00	0,00	—
Total	100,00	100,00	100,00	– 9,67	9,67	100,00	6 006 701 738

⁽¹⁾ The calculations are made to 15 decimal places.

TABLE 5.2

Calculation of financing of United Kingdom correction for 1999 amounting to – EUR 1 299 516 444
(in line with adjusted GNP scale for 2000) ⁽¹⁾

Member States	Percentage share of GNP base 2000	Percentage share excluding United Kingdom	Percentage share excluding United Kingdom and Germany	1/3 of Germany's share in 'Share excluding United Kingdom'	1/3 of Germany's share distributed between Member States other than United Kingdom and Germany	Financing scale	Financing scale applied to the correction
Belgium	2,95	3,61	5,14	– 9,91	0,51	4,12	53 548 129
Denmark	1,93	2,37	3,37		0,33	2,70	35 127 803
Germany	24,27	29,74	0,00		0,00	19,82	257 613 884
Greece	1,49	1,83	2,60		0,26	2,09	27 125 852
Spain	6,95	8,52	12,12		1,20	9,72	126 269 111
France	16,63	20,37	28,99		2,87	23,24	302 062 976
Ireland	1,03	1,26	1,79		0,18	1,44	18 691 526
Italy	13,50	16,54	23,54		2,33	18,87	245 239 450
Luxembourg	0,22	0,27	0,39		0,04	0,31	4 019 511
Netherlands	4,64	5,69	8,10		0,80	6,49	84 344 966
Austria	2,40	2,94	4,18		0,41	3,35	43 535 423
Portugal	1,29	1,58	2,24		0,22	1,80	23 373 654
Finland	1,48	1,81	2,58		0,26	2,07	26 870 442
Sweden	2,85	3,49	4,96		0,49	3,98	51 693 717
United Kingdom	18,37	0,00	0,00		0,00	0,00	—
Total	100,00	100,00	100,00	– 9,91	9,91	100,00	1 299 516 444

⁽¹⁾ The calculations are made to 15 decimal places.

TABLE 6 — Calculation of gross amount of United Kingdom correction

(pursuant to Article 2(4) of the Decision on the own resources system)

Member States	1 % of capped VAT base	Distribution of United Kingdom correction
Belgium	1 106 451 000	278 362 566
Denmark	679 007 000	170 825 577
Germany	10 080 518 000	1 476 592 806
Greece	644 511 000	162 147 023
Spain	3 119 400 000	784 783 228
France	7 067 669 000	1 778 094 535
Ireland	517 729 500	130 251 147
Italy	4 619 877 000	1 162 275 433
Luxembourg	100 305 000	25 234 879
Netherlands	2 107 032 500	530 090 327
Austria	1 015 995 000	255 605 513
Portugal	585 482 000	147 296 420
Finland	558 654 000	140 546 993
Sweden	1 049 806 000	264 111 734
United Kingdom	7 755 956 500	– 7 306 218 182
Total	41 008 393 500	—

Calculation of Member States shares*Germany:*

$$(10\,080\,518\,000) / (41\,008\,393\,500 - 7\,755\,956\,500) \times 7\,306\,218\,182 \times (2)/(3) = 1\,476\,592\,806$$

Other countries (for example Belgium):

$$(1\,106\,451\,000) / (41\,008\,393\,500 - 7\,755\,956\,500 - 10\,080\,518\,000) \times (7\,306\,218\,182 - 1\,476\,592\,806) / 1 = 278\,362\,566$$

VAT rate frozen by United Kingdom correction (for example Belgium):

$$(278\,362\,566) / (1\,106\,451\,000) = 0,251581467023639$$

Gross amount:

$$0,251581467 \times 41\,008\,393\,500 = 10\,316\,951\,797$$

TABLE 7

Summary of financing of expenditure

Member States	Net agricultural levies (90 %)	Net sugar and isoglucose levies (90 %)	Net customs duties (90 %)	Total net traditional own resources (90 %)	VAT own resources at uniform rate	GNP own resources not including reserves	GNP own resources, reserves	United Kingdom correction VAT and GNP own resources	Total financing
Belgium	34 110 000	54 450 000	1 178 280 000	1 266 840 000	828 088 434	1 038 045 373	27 772 521	305 765 017	3 466 511 345
Denmark	7 560 000	31 590 000	280 800 000	319 950 000	508 181 423	673 936 151	18 030 914	198 876 020	1 718 974 508
Germany	150 120 000	261 810 000	2 979 810 000	3 391 740 000	7 544 446 493	8 153 572 503	218 145 826	1 419 907 229	20 727 812 051
Greece	9 720 000	9 000 000	191 070 000	209 790 000	482 363 977	510 678 056	13 663 003	151 206 777	1 367 701 813
Spain	51 300 000	35 550 000	869 670 000	956 520 000	2 334 616 772	2 471 655 454	66 128 230	726 814 389	6 555 734 845
France	54 090 000	252 000 000	1 334 340 000	1 640 430 000	5 289 574 465	5 600 064 955	149 827 675	1 662 726 964	14 342 624 059
Ireland	1 800 000	9 090 000	194 490 000	205 380 000	387 478 353	410 222 781	10 975 359	118 364 542	1 132 421 035
Italy	72 450 000	95 220 000	1 343 700 000	1 511 370 000	3 457 601 567	4 715 085 686	126 150 380	1 390 877 464	11 201 085 097
Luxembourg	540 000	—	24 750 000	25 290 000	75 070 121	79 476 631	2 126 367	23 330 178	205 293 297
Netherlands	172 620 000	50 760 000	1 565 010 000	1 788 390 000	1 576 942 173	1 669 506 433	44 667 030	489 989 775	5 569 495 411
Austria	12 330 000	25 830 000	236 520 000	274 680 000	760 389 487	836 838 086	22 389 295	246 864 395	2 141 161 263
Portugal	38 790 000	2 430 000	158 850 000	200 070 000	438 185 580	463 906 449	12 411 646	136 090 346	1 250 664 021
Finland	6 390 000	6 390 000	115 110 000	127 890 000	418 107 007	529 928 585	14 178 045	155 628 724	1 245 732 361
Sweden	17 730 000	16 830 000	366 840 000	401 400 000	785 694 266	938 716 422	25 115 012	279 776 362	2 430 702 062
United Kingdom	432 450 000	54 720 000	2 793 510 000	3 280 680 000	5 804 701 586	6 145 429 305	164 418 697	-7 306 218 182	8 089 011 406
Total	1 062 000 000	905 670 000	13 632 750 000	15 600 420 000	30 691 441 704	34 237 062 870	916 000 000	—	81 444 924 574

C. STAFF

Authorised staff

Institution	Budget 2001		Budget + SAB 3/2001	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts
Parliament and European Ombudsman	3 543	630	3 543	630
Council	2 638	65	2 638	65
Commission:				
— administration	16 409	678	16 409	678
— research and technological development	3 704	—	3 731	—
— Office for Official Publications	522	—	522	—
— European Anti-fraud Office	173	127	173	127
— European Centre for the Development of Vocational Training	45	36	45	36
— European Foundation for the Improvement of Living and Working Conditions	85	—	85	—
Court of Justice	814	236	814	236
Court of Auditors	457	95	457	95
— Economic and Social Committee	502	17	502	18
— Committee of the Regions	217	19	217	20
Total	29 109	1 903	29 136	1 905

The distribution per category and grade for these members of staff must be kept within the limits shown in the tables on the following pages.

Section III — Commission

Category and grade	Research and technological development — Joint Research Centre					
	Budget 2001			Budget + SAB 3/2001		
	Scientific and technical ⁽¹⁾	Administrative ⁽²⁾	Total	Scientific and technical ⁽³⁾	Administrative ⁽⁴⁾	Total
A 1	1 ⁽⁵⁾	—	1	1 ⁽⁶⁾	—	1
A 2	10 ⁽⁷⁾	1	11	10 ⁽⁸⁾	1	11
A 3	38	8	46	38	8	46
A 4	177	13	190	176	13	189
A 5	150	8	158	150	8	158
A 6	167	3	170	167	3	170
A 7	137	2	139	137	2	139
A 8	13	1	14	13	1	14
Total	693	36	729	692	36	728
B 1	178	36	214	178	36	214
B 2	146	20	166	146	20	166
B 3	90	12	102	90	12	102
B 4	103	8	111	103	8	111
B 5	64	5	69	63	5	68
Total	581	81 ⁽⁹⁾	662	580	81 ⁽¹⁰⁾	661
C 1	233	147	380	233	147	380
C 2	56	31	87	56	31	87
C 3	47	24	71	47	24	71
C 4	29	22	51	29	22	51
C 5	29	32	61	29	31	60
Total	394	256	650	394	255	649
D 1	12	13	25	12	13	25
D 2	5	3	8	5	3	8
D 3	5	1	6	5	1	6
D 4	—	—	—	—	—	—
Total	22	17	39	22	17	39
Grand total	1 690	390	2 080 ⁽¹¹⁾	1 688	389	2 077 ⁽¹²⁾

⁽¹⁾ The following are regarded as occupying scientific or technical posts: all staff assigned to scientific or technical departments (including managerial staff).

⁽²⁾ The following are regarded as occupying administrative posts: all staff assigned to administrative, financial and supply departments of the establishments; all secretaries, whatever the department to which they have been assigned; and the small number of staff assigned to the scientific and technical departments and made available to the establishments.

⁽³⁾ The following are regarded as occupying scientific or technical posts: all staff assigned to scientific or technical departments (including managerial staff).

⁽⁴⁾ The following are regarded as occupying administrative posts: all staff assigned to administrative, financial and supply departments of the establishments; all secretaries, whatever the department to which they have been assigned; and the small number of staff assigned to the scientific and technical departments and made available to the establishments.

⁽⁵⁾ Of which one official benefiting from the advantages provided for in Article 93 of the Staff Regulations.

⁽⁶⁾ Of which one official benefiting from the advantages provided for in Article 93 of the Staff Regulations.

⁽⁷⁾ Of which one A 1 *ad personam*.

⁽⁸⁾ Of which one A 1 *ad personam*.

⁽⁹⁾ Of which 11 posts of senior secretarial assistant and secretarial assistant.

⁽¹⁰⁾ Of which 11 posts of senior secretarial assistant and secretarial assistant.

⁽¹¹⁾ Appropriations not used as a result of the partial occupation of certain posts (half-time working) may lead to the recruitment of further staff.

⁽¹²⁾ Appropriations not used as a result of the partial occupation of certain posts (half-time working) may lead to the recruitment of further staff.

Category and grade	Research and technological development — Indirect action					
	Permanent posts - Budget 2001			Permanent posts - Budget + SAB 3/2001		
	Scientific and technical ⁽¹⁾	Administrative ⁽²⁾	Total	Scientific and technical ⁽³⁾	Administrative ⁽⁴⁾	Total
A 1	1	—	1	1	—	1
A 2	17 ⁽⁵⁾	2	19	17 ⁽⁶⁾	2	19
A 3	72 ⁽⁷⁾	8	80	72 ⁽⁸⁾	8	80
A 4	281	29	310	282	29	311
A 5	250	27	277	253	27	280
A 6	135	18	153	140	18	158
A 7	79	4	83	82	8	90
A 8	9	2	11	9	6	15
Total	844	90	934	856	98	954
B 1	45	35	80	45	35	80
B 2	23	39	62	23	39	62
B 3	5	57	62	5	57	62
B 4	7	35	42	7	35	42
B 5	1	19	20	3	24	27
Total	81	185	266	83	190	273
C 1	—	90	90	—	90	90
C 2	—	93	93	—	93	93
C 3	—	109	109	—	109	109
C 4	—	87	87	—	87	87
C 5	—	45	45	—	48	48
Total	—	424	424	—	427	427
D 1	—	—	—	—	—	—
D 2	—	—	—	—	—	—
D 3	—	—	—	—	—	—
D 4	—	—	—	—	—	—
Total	—	—	—	—	—	—
Grand total	925 ⁽⁹⁾	699	1 624 ⁽¹⁰⁾	939 ⁽¹¹⁾	715	1 654 ⁽¹²⁾

⁽¹⁾ The following are regarded as occupying scientific or technical posts: all staff assigned to scientific or technical departments (including managerial staff).

⁽²⁾ The following are regarded as occupying administrative posts: all staff assigned to administrative and financial departments; all secretaries, whatever the departments to which they have been assigned; and the small number of staff assigned to scientific departments as administrative assistants.

⁽³⁾ The following are regarded as occupying scientific or technical posts: all staff assigned to scientific or technical departments (including managerial staff).

⁽⁴⁾ The following are regarded as occupying administrative posts: all staff assigned to administrative and financial departments; all secretaries, whatever the departments to which they have been assigned; and the small number of staff assigned to scientific departments as administrative assistants.

⁽⁵⁾ Of which one official benefiting 100 % from the advantages provided for in Article 93 of the Staff Regulations and two A 1 ad personam.

⁽⁶⁾ Of which one official benefiting 100 % from the advantages provided for in Article 93 of the Staff Regulations and two A 1 ad personam.

⁽⁷⁾ Of which one A 2 ad personam.

⁽⁸⁾ Of which one A 2 ad personam.

⁽⁹⁾ Of which two posts occupied by staff detached to national bodies pursuant to Article 6(c) of the Euratom Treaty.

⁽¹⁰⁾ Half-time working in certain posts may be offset by the recruitment of other staff within the limit of the number of posts released in each category.

⁽¹¹⁾ Of which two posts occupied by staff detached to national bodies pursuant to Article 6(c) of the Euratom Treaty.

⁽¹²⁾ Half-time working in certain posts may be offset by the recruitment of other staff within the limit of the number of posts released in each category.

European Anti-fraud Office (OLAF)

Category and grade						
	Budget 2001			Budget + SAB 3/2001		
	Permanent posts	Temporary posts	Total	Permanent posts	Temporary posts	Total
A 1	1	—	1	1	—	1
A 2	4	1	5	2 ⁽¹⁾	3 ⁽²⁾	5
A 3	4	5	9	5	4	9
A 4	11	17	28	11	17	28
A 5	12	17	29	12 ⁽³⁾	17 ⁽⁴⁾	29
A 6	6	6	12	8	—	8
A 7	15	15	30	20	20 ⁽⁵⁾	40
A 8	—	—	—	—	—	—
Total	53	61	114	59	61	120
B 1	8	18	26	9	18	27
B 2	10	21	31	6	—	6
B 3	27	27	54	22 ⁽⁶⁾	48	70
B 4	6	—	6	6	—	6
B 5	5	—	5	7	—	7
Total	56	66	122	50	66	116
C 1	13	—	13	6	—	6
C 2	12	—	12	12	—	12
C 3	17	—	17	15 ⁽⁷⁾	—	15
C 4	11	—	11	13	—	13
C 5	7	—	7	14	—	14
Total	60	—	60	60	—	60
D 1	1	—	1	1	—	1
D 2	1	—	1	1	—	1
D 3	2	—	2	2	—	2
D 4	—	—	—	—	—	—
Total	4	—	4	4	—	4
Grand total	173	127	300	173	127	300

⁽¹⁾ Including one post for the Director of the Secretariat of the Supervisory Committee.

⁽²⁾ For the Director, Intelligence and Operational Strategy; for the Director, Investigations and Operations; for a Chief Adviser, Cooperation in combating fraud in the acceding countries.

⁽³⁾ Including one post for the Secretariat of the Supervisory Committee.

⁽⁴⁾ Including one post for the Secretariat of the Supervisory Committee.

⁽⁵⁾ Including one post for the Secretariat of the Supervisory Committee.

⁽⁶⁾ Including one post for the Secretariat of the Supervisory Committee.

⁽⁷⁾ Including one post for the Secretariat of the Supervisory Committee.

Section VI — Economic and Social Committee

Category and grade	Budget 2001		SAB 3/2001		Budget + SAB 3/2001	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
Non-category	1	—	—	—	1	—
A 1	—	—	—	—	—	—
A 2	5	—	—	—	5	—
A 3	8	1	—	—	8	1
A 4	9	2	—	—	9	2
A 5	9	1	—	—	9	1
A 6	10	—	—	—	10	—
A 7	13	1	—	1	13	2
A 8	—	—	—	—	—	—
Total	54	5	—	1	54	6
LA 3	11	—	—	—	11	—
LA 4	30 ⁽¹⁾	—	—	—	30 ⁽²⁾	—
LA 5	29	—	—	—	29	—
LA 6	30	—	—	—	30	—
LA 7	42	—	—	—	42	—
LA 8	—	—	—	—	—	—
Total	142	—	—	—	142	—
B 1	11	1	—	—	11	1
B 2	15	1	—	—	15	1
B 3	15	2	—	—	15	2
B 4	15	—	—	—	15	—
B 5	9	1	—	—	9	1
Total	65	5	—	—	65	5
C 1	47 ⁽³⁾	—	—	—	47 ⁽⁴⁾	—
C 2	53	2	—	—	53	2
C 3	61	5	—	—	61	5
C 4	21	—	—	—	21	—
C 5	29	—	—	—	29	—
Total	211	7	—	—	211	7
D 1	7	—	—	—	7	—
D 2	10	—	—	—	10	—
D 3	12	—	—	—	12	—
D 4	—	—	—	—	—	—
Total	29	—	—	—	29	—
Grand total	502 ⁽⁵⁾ ⁽⁶⁾	17 ⁽⁷⁾ ⁽⁸⁾ ⁽⁹⁾	—	1	502 ⁽¹⁰⁾ ⁽¹¹⁾	18 ⁽¹²⁾ ⁽¹³⁾ ⁽¹⁴⁾

⁽¹⁾ Eight LA 4 posts will be abolished pursuant to Article 55(2) of the Financial Regulation.⁽²⁾ Eight LA 4 posts will be abolished pursuant to Article 55(2) of the Financial Regulation.⁽³⁾ Eight C1 posts will be abolished pursuant to Article 55(2) of the Financial Regulation.⁽⁴⁾ Eight C1 posts will be abolished pursuant to Article 55(2) of the Financial Regulation.⁽⁵⁾ The effect of filling certain posts with half-timers may be offset by recruiting additional staff, subject to the balance of posts thus released in each category.

- (⁶) The following upgradings are conditional: one A 7 to A 6, one LA 7 to LA 6, one C 2 to C 1 and one C 3 to C 2, and may only be unblocked after the presentation of a report on the new promotion policy. After the presentation of that report the budgetary authority will reconsider the upgradings and decide on the release of the necessary appropriations.
- (⁷) The effect of filling certain posts thus released in each category with half-timers may be offset by recruiting additional staff, subject to the balance of posts thus released in each category.
- (⁸) For the secretariat of the President and the group secretariats and the buildings unit (one A 7 and one B 5 authorised for 2000 and 2001).
- (⁹) The following four upgradings are conditional: one A 7 to A 6, one LA 7 to LA 6, one C 2 to C 1 and one C 3 to C 2, and may only be unblocked after the presentation of a report on the new promotion policy. After the presentation of that report the budgetary authority will reconsider the upgradings and decide whether or not to release the necessary appropriations.
- (¹⁰) The effect of filling certain posts thus released in each category with half-timers may be offset by recruiting additional staff, subject to the balance of posts thus released in each category.
- (¹¹) The following four upgradings are conditional: one A 7 to A 6, one LA 7 to LA 6, one C 2 to C 1 and one C 3 to C 2, and may only be unblocked after the presentation of a report on the new promotion policy. After the presentation of that report the budgetary authority will reconsider the upgradings and decide whether or not to release the necessary appropriations.
- (¹²) The effect of filling certain posts thus released in each category with half-timers may be offset by recruiting additional staff, subject to the balance of posts thus released in each category.
- (¹³) For the secretariat of the President and the group secretariats and the buildings unit (two A 7s and one B 5 authorised until 2003).
- (¹⁴) The following four upgradings are conditional: one A 7 to A 6, one LA 7 to LA 6, one C 2 to C 1 and one C 3 to C 2, and may only be unblocked after the presentation of a report on the new promotion policy. After the presentation of that report the budgetary authority will reconsider the upgradings and decide whether or not to release the necessary appropriations.

Section VII — Committee of the Regions

Category and grade	Budget 2001		SAB 3/2001		Budget + SAB 3/2001	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
Non-category	—	1	—	—	—	1
A 1	—	—	—	—	—	—
A 2	2	—	—	—	2	—
A 3	4	1	—	—	4	1
A 4	4	—	—	—	4	—
A 5	10	—	—	1	10	1
A 6	10	3	—	—	10	3
A 7	16	6	—	—	16	6
A 8	—	—	—	—	—	—
Total	46	10	—	1	46	11
LA 3	1	—	—	—	1	—
LA 4	5 ⁽¹⁾	—	—	—	5 ⁽²⁾	—
LA 5	17	—	—	—	17	—
LA 6	8	—	—	—	8	—
LA 7	27	—	—	—	27	—
LA 8	—	—	—	—	—	—
Total	58	—	—	—	58	—
B 1	1	—	—	—	1	—
B 2	5	—	—	—	5	—
B 3	4	1	—	—	4	1
B 4	4	—	—	—	4	—
B 5	11	2	—	—	11	2
Total	25	3	—	—	25	3
C 1	6 ⁽³⁾	—	—	—	6 ⁽⁴⁾	—
C 2	9	—	—	—	9	—
C 3	18	1	—	—	18	1
C 4	19	1	—	—	19	1
C 5	28	3	—	—	28	3
Total	80	5	—	—	80	5
D 1	2	—	—	—	2	—
D 2	1	—	—	—	1	—
D 3	5	—	—	—	5	—
D 4	—	—	—	—	—	—
Total	8	—	—	—	8	—
Grand total	217 ^{(5) (6) (7)}	19 ^{(8) (9) (10) (11)}	—	1	217 ^{(12) (13) (14)}	20 ^{(15) (16) (17) (18)}

⁽¹⁾ Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.

⁽²⁾ Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.

⁽³⁾ Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.

⁽⁴⁾ Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.

⁽⁵⁾ The effect of filling certain posts with half-timers may be offset by the hiring of other staff subject to the balance of posts thus released in each category.

⁽⁶⁾ The following upgradings are provisional: three A 7 to A 6, 3 A 7T to A 6T, one B 3 to B 2 and one C 5T to C 4T, and may only be unblocked after the presentation of a report on the new promotion policy. After the presentation of that report the budgetary authority will reconsider the upgradings and decide on the release of the necessary appropriations.

- (⁷) Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.
- (⁸) Including one A3, one A7, one B3 and one C3 as temporary posts assigned to the President's private office; and five A7s, three A6s, two B5s, three C5s and one C4 as temporary posts assigned to the political groups.
- (⁹) The effect of filling certain posts with half-timers may be offset by the hiring of other staff subject to the balance of posts thus released in each category.
- (¹⁰) The following upgradings are provisional: three A 7 to A 6, 3 A 7T to A 6T, one B 3 to B 2 and one C 5T to C 4T, and may only be unblocked after the presentation of a report on the new promotion policy. After the presentation of that report the budgetary authority will reconsider the upgradings and decide on the release of the necessary appropriations.
- (¹¹) Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.
- (¹²) The effects of filling certain posts with part-timers may be offset by recruiting additional staff, subject to the balance of posts thus released in each category.
- (¹³) The following upgradings: - three A7 to A6, three A7T to A6T, one B3 to B2 and one C5T to C4T - are provisional; they are conditional on the presentation of a report on the COR's long term staff and staff promotion policy. Once this report has been presented, the budgetary authority will review the upgradings and take a decision on the release of the requisite appropriations.
- (¹⁴) Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.
- (¹⁵) Including one A3, one A7, one B3 and one C3 as temporary posts assigned to the President's private office; and five A7s, three A6s, two B5s, three C5s and one C4 as temporary posts assigned to the political groups.
- (¹⁶) The effects of filling certain posts with part-timers may be offset by recruiting additional staff, subject to the balance of posts thus released in each category.
- (¹⁷) The following upgradings: - three A7 to A6, three A7T to A6T, one B3 to B2 and one C5T to C4T - are provisional; they are conditional on the presentation of a report on the COR's long term staff and staff promotion policy. Once this report has been presented, the budgetary authority will review the upgradings and take a decision on the release of the requisite appropriations.
- (¹⁸) Three LA4 and three C1 posts to be abolished in accordance with Article 55(2) of the Financial Regulation.

SECTION III

COMMISSION

COMMISSION

General summary

Part A

[illegible]

COMMISSION

General summary

Part B

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6	INDIRECT ACTION — SHARED-COST PROJECTS AND CONCERTED ACTION — FIFTH FRAMEWORK PROGRAMME (1998 TO 2002)						
B6-6 1	FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES						
B6-6 1 1	<i>Quality of life and management of living resources</i>						
B6-6 1 1 0	Quality of life and management of living resources: Administrative expenditure	31 674 000	31 674 000	308 000	308 000	31 982 000,—	31 982 000,—
B6-6 1 1 1	Quality of life and management of living resources: Operating expenditure	603 326 000	397 326 000	— 308 000	— 308 000	603 018 000,—	397 018 000,—
	<i>Article B6-6 1 1 — Total</i>	635 000 000	429 000 000	—	—	635 000 000,—	429 000 000,—
B6-6 1 2	<i>User-friendly information society</i>						
B6-6 1 2 0	User-friendly information society: Administrative expenditure	68 328 000	68 328 000	—	—	68 328 000,—	68 328 000,—
	<i>Article B6-6 1 2 — Total</i>	936 000 000	821 288 000	—	—	936 000 000,—	821 288 000,—
B6-6 1 3	<i>Competitive and sustainable growth</i>						
B6-6 1 3 0	Competitive and sustainable growth — Administrative expenditure	36 837 000	36 837 000	— 953 000	— 953 000	35 884 000,—	35 884 000,—
B6-6 1 3 1	Competitive and sustainable growth: Operating expenditure	665 763 000	436 163 000	953 000	953 000	666 716 000,—	437 116 000,—
	<i>Article B6-6 1 3 — Total</i>	702 600 000	473 000 000	—	—	702 600 000,—	473 000 000,—
B6-6 1 4	<i>Energy, environment and sustainable development (EC) — Environment and sustainable development</i>						
B6-6 1 4 0	Energy, environment and sustainable development (EC) — Environment and sustainable development: Administrative expenditure	18 141 000	18 141 000	— 1 257 000	— 1 257 000	16 884 000,—	16 884 000,—

COMMISSION

General summary

Part B (cont'd)

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6 1 4 1	Energy, environment and sustainable development (EC) — Environment and sustainable development: Operating expenditure	273 459 000	127 859 000	1 257 000	1 257 000	274 716 000,—	129 116 000,—
	<i>Article B6-6 1 4 — Total</i>	291 600 000	146 000 000	—	—	291 600 000,—	146 000 000,—
B6-6 1 5	Energy, environment and sustainable development (EC) — Energy						
B6-6 1 5 0	Energy, environment and sustainable development (EC) — Energy: Administrative expenditure	17 632 000	17 632 000	— 903 000	— 903 000	16 729 000,—	16 729 000,—
B6-6 1 5 1	Energy, environment and sustainable development (EC) — Energy: Operating expenditure	260 968 000	164 368 000	903 000	903 000	261 871 000,—	165 271 000,—
	<i>Article B6-6 1 5 — Total</i>	278 600 000	182 000 000	—	—	278 600 000,—	182 000 000,—
	CHAPTER B6-6 1 — TOTAL	2 843 800 000	2 051 288 000	—	—	2 843 800 000,—	2 051 288 000,—
B6-6 2	SECOND ACTIVITY: COOPERATION WITH THIRD COUNTRIES AND INTERNATIONAL ORGANISATIONS						
B6-6 2 1	Confirming the international role of Community research						
B6-6 2 1 0	Confirming the international role of Community research: Administrative expenditure	12 761 000	12 761 000	— 250 000	— 250 000	12 511 000,—	12 511 000,—
B6-6 2 1 1	Confirming the international role of Community research: Operating expenditure	123 139 000	77 239 000	250 000	250 000	123 389 000,—	77 489 000,—
	<i>Article B6-6 2 1 — Total</i>	135 900 000	90 000 000	—	—	135 900 000,—	90 000 000,—
	CHAPTER B6-6 2 — TOTAL	135 900 000	90 000 000	—	—	135 900 000,—	90 000 000,—

COMMISSION

General summary

Part B (cont'd)

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6 4	FOURTH ACTIVITY: TRAINING AND MOBILITY OF RESEARCHERS						
B6-6 4 1	Improving the human research potential and the socioeconomic knowledge base						
B6-6 4 1 0	Improving the human research potential and the socioeconomic knowledge base: Administrative expenditure	19 554 000	19 554 000	261 000	261 000	19 815 000,—	19 815 000,—
B6-6 4 1 1	Improving the human research potential and the socioeconomic knowledge base: Operating expenditure	305 746 000	235 446 000	— 261 000	— 261 000	305 485 000,—	235 185 000,—
	Article B6-6 4 1 — Total	325 300 000	255 000 000	—	—	325 300 000,—	255 000 000,—
	CHAPTER B6-6 4 — TOTAL	325 300 000	255 000 000	—	—	325 300 000,—	255 000 000,—
B6-6 5	EURATOM FRAMEWORK PROGRAMME						
B6-6 5 1	Nuclear energy (Euratom) — Nuclear fission						
B6-6 5 1 0	Nuclear energy (Euratom) — Nuclear fission: Administrative expenditure	5 833 000	5 833 000	— 280 000	— 280 000	5 553 000,—	5 553 000,—
B6-6 5 1 1	Nuclear energy (Euratom) — Nuclear fission: Operating expenditure	50 467 000	38 167 000	280 000	280 000	50 747 000,—	38 447 000,—
	Article B6-6 5 1 — Total	56 300 000	44 000 000	—	—	56 300 000,—	44 000 000,—
B6-6 5 2	Nuclear energy (Euratom) — Controlled thermonuclear fusion						
B6-6 5 2 0	Nuclear energy (Euratom) — Controlled thermonuclear fusion: Administrative expenditure	33 246 000	33 246 000	— 2 830 000	— 2 830 000	30 416 000,—	30 416 000,—

COMMISSION

General summary

Part B (cont'd)

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6 5 2 1	Nuclear energy (Euratom) — Controlled thermonuclear fusion: Operating expenditure	165 754 000	109 754 000	2 830 000	2 830 000	168 584 000,—	112 584 000,—
	<i>Article B6-6 5 2 — Total</i>	199 000 000	143 000 000	—	—	199 000 000,—	143 000 000,—
	CHAPTER B6-6 5 — TOTAL	255 300 000	187 000 000	—	—	255 300 000,—	187 000 000,—
	Title B6-6 — Total	3 670 300 000	2 659 488 000	—	—	3 670 300 000,—	2 659 488 000,—
	Undersection B6 — Total	3 920 000 000	3 610 000 000	—	—	3 920 000 000,—	3 610 000 000,—
B7-5	COOPERATION WITH PARTNER COUNTRIES IN EASTERN EUROPE AND CENTRAL ASIA AND THE WESTERN BALKAN COUNTRIES						
B7-5 4	COOPERATION WITH THE COUNTRIES OF THE WESTERN BALKANS						
B7-5 4 1	<i>Assistance for the countries of the western Balkans</i>	304 000 000	145 500 000	—	155 000 000	304 000 000,—	300 500 000,—
B7-5 4 6	<i>Aid for the reconstruction of Kosovo</i>	175 000 000	80 000 000	—	265 000 000	175 000 000,—	345 000 000,—
B7-5 4 8	<i>Macroeconomic assistance to the countries of the western Balkans</i>	80 000 000	40 000 000	—	30 000 000	80 000 000,—	70 000 000,—
	CHAPTER B7-5 4 — TOTAL	839 000 000	490 500 000	—	450 000 000	839 000 000,—	940 500 000,—
	Title B7-5 — Total	1 284 280 000	936 991 000	—	450 000 000	1 284 280 000,—	1 386 991 000,—

COMMISSION

General summary

Part B (cont'd)

[illegible]

COMMISSION
Part A

PART A

ADMINISTRATIVE APPROPRIATIONS

COMMISSION
Part A
(Administrative appropriations)

TITLE A-3**EXPENDITURE RESULTING FROM SPECIAL FUNCTIONS CARRIED OUT BY THE INSTITUTION****CHAPTER A-3 6 — EUROPEAN ANTI-FRAUD OFFICE (OLAF)****A-3 6 0*****Anti-fraud Office (OLAF)***

Budget 2001	Supplementary and amending budget No 3	New amount
34 491 000	– 3 800 000	30 691 000

Commission Decision 1999/352/EC, ECSC, Euratom of 28 April 1999 establishing the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p. 20), in particular Articles 4 and 6(3) thereof.

Regulation (EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p.1).

Council Regulation (Euratom) No 1074/1999 of 25 May 1999 concerning investigations conducted by the European Anti-fraud Office (OLAF) (OJ L 136, 31.5.1999, p.8), and in particular Article 11 thereof.

This appropriation is intended to cover expenditure relating to the Anti-fraud Office (OLAF), the objective of which is to combat fraud within an interinstitutional framework.

Revenue available for reuse is estimated at EUR 22 000.

COMMISSION

Part A
(Administrative appropriations)

TITLE A-10

OTHER EXPENDITURE

CHAPTER A-10 0 — PROVISIONAL APPROPRIATIONS

Budget 2001	Supplementary and amending budget No 3	New amount
5 100 000	+ 3 800 000	8 900 000

Financial Regulation of 21 December 1977 applicable to the general budget of the European Communities (OJ L 356, 31.12.1977, p. 1), as last amended by Regulation (EC, ECSC, Euratom) No 2673/1999 (OJ L 326, 18.12.1999, p. 1).

The appropriations entered in this chapter are purely provisional and may be used only after their transfer to other chapters in accordance with the procedure laid down for that purpose in the Financial Regulation.

The appropriations are broken down as follows:

1.	Item	A-3 0 3 2	Europe-Latin America Institute (IRELA)	900 000
2.	Item	A-3 0 4 0	European Union Migrants' Forum	400 000
3.	Article	A-3 4 2	Publications Office	300 000
4.	Article	A-3 6 0	European anti-fraud office (OLAF)	3 800 000
5.	Item	A-7 0 0 0	Auxiliary staff	3 500 000
				8 900 000

ANNEX III — EUROPEAN ANTI-FRAUD OFFICE

COMMISSION

Part A — Annex III

Part A — Annex III
(European anti-fraud office)

General summary

Article Item	Heading	Budget 2001	Supplementary and amending budget No 3	New amount
1	EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION			
1 1	STAFF IN ACTIVE EMPLOYMENT			
1 1 0	Officials and temporary staff holding a post provided for in the establishment plan			
1 1 0 0	Basic salaries	13 896 000	- 168 888	13 727 112,—
1 1 0 1	Family allowances	1 323 000	- 20 321	1 302 679,—
1 1 0 2	Expatriation and foreign residence allowances (including Article 97 of the ECSC Staff Regulations)	1 791 000	- 22 651	1 768 349,—
	Article 1 1 0 — Total	17 095 000	- 211 860	16 883 140,—
1 1 3	Insurance against sickness, accidents and occupational disease, unemployment insurance and maintenance of pension rights			
1 1 3 0	Insurance against sickness	477 000	- 5 742	471 258,—
1 1 3 1	Insurance against accidents and occupational diseases	110 000	- 1 326	108 674,—
	Article 1 1 3 — Total	611 000	- 7 068	603 932,—
1 1 9	Adjustments to the remuneration of officials and other staff			
1 1 9 1	Provisional appropriation	217 000	- 2 904	214 096,—
	Article 1 1 9 — Total	470 000	- 2 904	467 096,—
	CHAPTER 1 1 — TOTAL	21 425 000	- 221 832	21 203 168,—
	Title 1 — Total	23 379 000	- 221 832	23 157 168,—
	GRAND TOTAL	34 491 000	- 221 832	34 269 168,—

TITLE 1

EXPENDITURE RELATING TO PERSONS WORKING WITH THE INSTITUTION

CHAPTER 1 1 — STAFF IN ACTIVE EMPLOYMENT

1 1 0 *Officials and temporary staff holding a post provided for in the establishment plan***1 1 0 0** Basic salaries

Budget 2001	Supplementary and amending budget No 3	New amount
13 896 000	– 168 888	13 727 112

Staff Regulations of officials of the European Communities, and in particular Articles 62 and 66 thereof.

This appropriation is intended to cover the basic salaries of officials and temporary staff on the establishment plan.

1 1 0 1 Family allowances

Budget 2001	Supplementary and amending budget No 3	New amount
1 323 000	– 20 321	1 302 679

Staff Regulations of officials of the European Communities, and in particular Articles 62, 67 and 68a and Section 1 of Annex VII thereto.

This appropriation is intended to cover family allowances:

- household allowance,
- dependent child allowance,
- education allowance

of officials and temporary staff.

1 1 0 2 Expatriation and foreign residence allowances (including Article 97 of the ECSC Staff Regulations)

Budget 2001	Supplementary and amending budget No 3	New amount
1 791 000	– 22 651	1 768 349

Staff Regulations of officials of the European Communities, and in particular Articles 62 and 69 thereof and Article 4 of Annex VII thereto.

This appropriation is intended to cover the expatriation allowance and foreign residence allowance payable to officials and temporary staff.

COMMISSION

Part A — Annex III

(European anti-fraud office)

CHAPTER 1 1 — STAFF IN ACTIVE EMPLOYMENT (*cont'd*)**1 1 3 Insurance against sickness, accidents and occupational disease, unemployment insurance and maintenance of pension rights****1 1 3 0 Insurance against sickness**

Budget 2001	Supplementary and amending budget No 3	New amount
477 000	– 5 742	471 258

Staff Regulations of officials of the European Communities, and in particular Article 72 thereof.
This appropriation is intended to cover the institution's sickness insurance contributions.

1 1 3 1 Insurance against accidents and occupational diseases

Budget 2001	Supplementary and amending budget No 3	New amount
110 000	– 1 326	108 674

Staff Regulations of officials of the European Communities, and in particular Article 73 thereof and Article 15 of Annex VIII thereto.
This appropriation is intended to cover:

- the institution's contribution to insurance against accidents and occupational disease,
- supplementary expenditure incurred pursuant to the relevant provisions of the Staff Regulations.

1 1 9 Adjustments to the remuneration of officials and other staff**1 1 9 1 Provisional appropriation**

Budget 2001	Supplementary and amending budget No 3	New amount
217 000	– 2 904	214 096

Staff Regulations of officials of the European Communities, and in particular Article 65a thereof.

This appropriation is purely provisional and may not be used until it has been transferred to another article or item in this chapter in accordance with the procedure laid down in the Financial Regulation of 21 December 1977 applicable to the general budget of the European Communities (OJ L 356, 31.12.1977, p. 1), as last amended by Regulation (EC, ECSC, Euratom) No 2673/1999 (OJ L 326, 18.12.1999, p. 1).

It is intended to cover the cost of any adjustments to remunerations approved by the Council during the financial year.

COMMISSION

Part B

PART B

OPERATING APPROPRIATIONS

COMMISSION
Subsection B6

SUBSECTION B6

RESEARCH AND TECHNOLOGICAL DEVELOPMENT

COMMISSION

Subsection B6

(Research and technological development)

General summary

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6	INDIRECT ACTION — SHARED-COST PROJECTS AND CONCERTED ACTION — FIFTH FRAMEWORK PROGRAMME (1998 TO 2002)						
B6-6 1	FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES						
B6-6 1 1	Quality of life and management of living resources						
B6-6 1 1 0	Quality of life and management of living resources: Administrative expenditure	31 674 000	31 674 000	308 000	308 000	31 982 000,—	31 982 000,—
B6-6 1 1 1	Quality of life and management of living resources: Operating expenditure	603 326 000	397 326 000	— 308 000	— 308 000	603 018 000,—	397 018 000,—
	<i>Article B6-6 1 1 — Total</i>	635 000 000	429 000 000	—	—	635 000 000,—	429 000 000,—
B6-6 1 2	User-friendly information society						
B6-6 1 2 0	User-friendly information society: Administrative expenditure	68 328 000	68 328 000	—	—	68 328 000,—	68 328 000,—
	<i>Article B6-6 1 2 — Total</i>	936 000 000	821 288 000	—	—	936 000 000,—	821 288 000,—
B6-6 1 3	Competitive and sustainable growth						
B6-6 1 3 0	Competitive and sustainable growth — Administrative expenditure	36 837 000	36 837 000	— 953 000	— 953 000	35 884 000,—	35 884 000,—
B6-6 1 3 1	Competitive and sustainable growth: Operating expenditure	665 763 000	436 163 000	953 000	953 000	666 716 000,—	437 116 000,—
	<i>Article B6-6 1 3 — Total</i>	702 600 000	473 000 000	—	—	702 600 000,—	473 000 000,—
B6-6 1 4	Energy, environment and sustainable development (EC) — Environment and sustainable development						
B6-6 1 4 0	Energy, environment and sustainable development (EC) — Environment and sustainable development: Administrative expenditure	18 141 000	18 141 000	— 1 257 000	— 1 257 000	16 884 000,—	16 884 000,—

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Subsection B6
 (Research and technological development)

General summary (*cont'd*)

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6 1 4 1	Energy, environment and sustainable development (EC) — Environment and sustainable development: Operating expenditure	273 459 000	127 859 000	1 257 000	1 257 000	274 716 000,—	129 116 000,—
	<i>Article B6-6 1 4 — Total</i>	291 600 000	146 000 000	—	—	291 600 000,—	146 000 000,—
B6-6 1 5	Energy, environment and sustainable development (EC) — Energy						
B6-6 1 5 0	Energy, environment and sustainable development (EC) — Energy: Administrative expenditure	17 632 000	17 632 000	— 903 000	— 903 000	16 729 000,—	16 729 000,—
B6-6 1 5 1	Energy, environment and sustainable development (EC) — Energy: Operating expenditure	260 968 000	164 368 000	903 000	903 000	261 871 000,—	165 271 000,—
	<i>Article B6-6 1 5 — Total</i>	278 600 000	182 000 000	—	—	278 600 000,—	182 000 000,—
	CHAPTER B6-6 1 — TOTAL	2 843 800 000	2 051 288 000	—	—	2 843 800 000,—	2 051 288 000,—
B6-6 2	SECOND ACTIVITY: COOPERATION WITH THIRD COUNTRIES AND INTERNATIONAL ORGANISATIONS						
B6-6 2 1	Confirming the international role of Community research						
B6-6 2 1 0	Confirming the international role of Community research: Administrative expenditure	12 761 000	12 761 000	— 250 000	— 250 000	12 511 000,—	12 511 000,—
B6-6 2 1 1	Confirming the international role of Community research: Operating expenditure	123 139 000	77 239 000	250 000	250 000	123 389 000,—	77 489 000,—
	<i>Article B6-6 2 1 — Total</i>	135 900 000	90 000 000	—	—	135 900 000,—	90 000 000,—
	CHAPTER B6-6 2 — TOTAL	135 900 000	90 000 000	—	—	135 900 000,—	90 000 000,—
B6-6 4	FOURTH ACTIVITY: TRAINING AND MOBILITY OF RESEARCHERS						

COMMISSION

Subsection B6

(Research and technological development)

General summary (*cont'd*)

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6 4 1	Improving the human research potential and the socioeconomic knowledge base						
B6-6 4 1 0	Improving the human research potential and the socioeconomic knowledge base: Administrative expenditure	19 554 000	19 554 000	261 000	261 000	19 815 000,—	19 815 000,—
B6-6 4 1 1	Improving the human research potential and the socioeconomic knowledge base: Operating expenditure	305 746 000	235 446 000	— 261 000	— 261 000	305 485 000,—	235 185 000,—
	<i>Article B6-6 4 1 — Total</i>	325 300 000	255 000 000	—	—	325 300 000,—	255 000 000,—
	CHAPTER B6-6 4 — TOTAL	325 300 000	255 000 000	—	—	325 300 000,—	255 000 000,—
B6-6 5	EURATOM FRAMEWORK PROGRAMME						
B6-6 5 1	Nuclear energy (Euratom) — Nuclear fission						
B6-6 5 1 0	Nuclear energy (Euratom) — Nuclear fission: Administrative expenditure	5 833 000	5 833 000	— 280 000	— 280 000	5 553 000,—	5 553 000,—
B6-6 5 1 1	Nuclear energy (Euratom) — Nuclear fission: Operating expenditure	50 467 000	38 167 000	280 000	280 000	50 747 000,—	38 447 000,—
	<i>Article B6-6 5 1 — Total</i>	56 300 000	44 000 000	—	—	56 300 000,—	44 000 000,—
B6-6 5 2	Nuclear energy (Euratom) — Controlled thermonuclear fusion						
B6-6 5 2 0	Nuclear energy (Euratom) — Controlled thermonuclear fusion: Administrative expenditure	33 246 000	33 246 000	— 2 830 000	— 2 830 000	30 416 000,—	30 416 000,—

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Subsection B6
(Research and technological development)

General summary (cont'd)

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B6-6 5 2 1	Nuclear energy (Euratom) — Controlled thermonuclear fusion: Operating expenditure	165 754 000	109 754 000	2 830 000	2 830 000	168 584 000,—	112 584 000,—
	Article B6-6 5 2 — Total	199 000 000	143 000 000	—	—	199 000 000,—	143 000 000,—
	CHAPTER B6-6 5 — TOTAL	255 300 000	187 000 000	—	—	255 300 000,—	187 000 000,—
	Title B6-6 — Total	3 670 300 000	2 659 488 000	—	—	3 670 300 000,—	2 659 488 000,—
	Undersection B6 — Total	3 920 000 000	3 610 000 000	—	—	3 920 000 000,—	3 610 000 000,—

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Subsection B6

(Research and technological development)

TITLE B6-6

INDIRECT ACTION — SHARED-COST PROJECTS AND CONCERTED ACTION — FIFTH FRAMEWORK PROGRAMME (1998 TO 2002)

These remarks apply to all the budget headings in Title B6-6.

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/64/Euratom of 22 December 1998 concerning the fifth framework programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Decision No 182/1999/EC lays down the criteria for selecting the themes and objectives of community activities and provides that the European Community's Research and technological development (RTD) policy is directed towards strengthening the scientific and technological bases of Community industry and encouraging it to become more competitive at international level, while promoting all the research activities deemed necessary by virtue of other Chapters of the Treaty. It shall also contribute to promoting the quality of life of the Community's citizens and to the sustainable development of the Community as a whole, including the ecological aspects. Its implementation is based on the twin principles of scientific and technological excellence and relevance to the abovementioned objectives (Annex I(1) to Decision No 182/1999/EC).

All research activities conducted pursuant to the fifth framework programme shall be carried out in compliance with fundamental ethical principles, including animal welfare requirements (in accordance with Article 7 of Decision No 182/1999/EC). They will, where appropriate, include studies and research activities on relevant ethical and legal aspects, within a context of fundamental respect for human values. Community funding for research projects under this programme will be used exclusively for civil purposes, including research on the detection and clearance of landmines. Particular account will be taken of the need to encourage the participation of women in the fields of research and technological development. (Annex II(I)1 to Decision No 182/1999/EC).

The appropriations entered in the articles or items of this title are essentially intended to cover expenditure on contracts for the implementation of the programmes in question, in particular research contracts, contracts of association, study contracts, contracts for integrated projects, specific evaluations (proposals and projects), follow-up and evaluation of specific programmes and the framework programmes, coordination, indicators and cooperation, and contracts covering grants, subsidies and the training and mobility of scientists, participation in international agreements, Community participation in COST and Eureka projects and the provision of facilities and equipment.

They also cover measures to monitor and disseminate the results of the programmes, including measures under previous framework programmes.

At the end of each specific programme funded under this title, the Commission shall evaluate RTD output measures, efficiency, impact and effectiveness, as well as cost-effectiveness.

The Commission shall inform the budgetary authority regularly on the implementation of research programmes financed under this title.

The Commission undertakes to provide prior information to the budgetary authority about transfers of appropriations from the main heading to a specific article or vice versa.

Also entered against these articles and items are the costs of high-level scientific and technological meetings, conferences, workshops and seminars of European interest and the funding of high-level scientific and technological analyses and evaluations carried out to investigate new areas of research suitable for Community action, *inter alia*, in the context of the European research area.

These appropriations also cover the costs of the:

- general measures to increase the synergies between the action taken under the Structural Funds and the RTD activities (COM(93) 203 and COM(1998) 275 final),
- development of new methods to strengthen transnational partnerships,
- initiatives to be taken by the Commission in order to promote the coordination and mutual consistency of national policies and Community RTD policy,
- coordination of the RTD activities with other Community RTD policies and action taken by the Commission to explore fully the strategic links between RTD and the realities of the market.

Also entered against these articles and items are the costs of the action taken by the Commission to take account of the experience gained in non-member countries with support for RTD capacity in the less-favoured regions on their territory with a view to global comparisons.

Other action will focus, in particular, on concertation between the Member States and the national and European scientific bodies on the general and sectoral policies and measures taken at national level.

These appropriations also cover administrative expenditure, including expenditure on staff, whether covered by the Staff Regulations or not (in accordance with Articles 19 and 93 of the Financial Regulation), studies, meetings of experts, conferences, congresses, information, publications, administrative and technical operation, and certain other expenditure items relating to internal infrastructure and operations linked with the achievement of the objective of the measure of which they form an integral part, including the action and measures necessary for preparation and monitoring of the Community's RTD strategy.

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Subsection B6
(Research and technological development)

The indicative breakdown of appropriations by type of expenditure is derived from the table of equivalence set out in Annex I to this part of the statement of expenditure in this section (broken down by booking code).

The possibility of non-member countries or organisations in non-member countries taking part in European cooperation in the field of scientific and technical research (in particular COST) is envisaged in the case of some of these projects. Any financial contribution will be entered in Articles 6 0 1, 6 0 2 and 6 0 5 of the statement of revenue and may lead to additional appropriations being made available in accordance with Article 96(1) of the Financial Regulation of 21 December 1977 applicable to the general budget of the European Communities (OJ L 356, 31.12.1977, p. 1), as last amended by Regulation (EC, ECSC, Euratom) No 2673/1999 (OJ L 326, 18.12.1999, p. 1).

Any revenue from third parties sharing the cost of projects with the Community (firms from European Free Trade Association Member States, industrial consortia, etc.) entered in Article 6 0 4 of the statement of revenue may lead to additional appropriations being made available in accordance with Article 96(1) of the Financial Regulation.

Any revenue from the contribution from candidate countries for participation in the Community programmes, entered in Item 6 0 9 1 of the statement of revenue, may lead to additional appropriations being made available in accordance with Article 4 of the Financial Regulation.

Additional appropriations will be made available under Article B6-5 5 1.

Any revenue from charges for, *inter alia*, participation in seminars, conferences, etc. held by the Commission will be available for reuse in accordance with Article 27(2) of the Financial Regulation.

The budgetary authority notes the following statements made by the Commission when the fifth framework programme was adopted and published together with the Decision of the legislative authority OJ L 26, 1.2.1999, p. 32):

Statement by the Commission concerning Article 3(1)

'The Commission states that it will continue to apply all the relevant interinstitutional arrangements, including transmission to the European Parliament of draft measures and — notably in the case of research — the drafts of work programmes and any updating thereof as well as transmission to the European Parliament of the agendas of committee meetings and the result of votes.'

Statement by the Commission concerning Article 5(1)

'The Commission states that the annual monitoring reports will be promptly made available, in accordance with current practice, to the relevant programme committees and to the European Parliament's Committee on research, technological development and energy.'

Statement by the Commission concerning Article 5(2)

'The Commission comments will state whether and how the recommendations of the experts are being implemented.'

Statement by the Commission concerning Article 5(3)

'The Commission states that experts from the Commission including the JRC shall perform only an advisory role in this assessment process.'

Statement by the Commission concerning Article 5(4)

'Regular information on programme implementation will be ensured on the one hand via the programme committees and on the other hand via the European Committee on research, technological development and energy.'

Statement by the Commission concerning Article 5(4)

'The Commission states that, when informing the European Parliament and the Council of the overall progress of the implementation of the Framework Programme and the specific programmes, notably in the annual report established in compliance with Article 130p (new Article 173) of the Treaty, it will especially address all relevant aspects of SME participation in the programmes as well as their achievements.'

Statement by the Commission concerning Annex II(I)(3) — the Joint Research Centre

'The Commission confirms that the European Parliament may request the Commission to entrust research activities to the JRC, within the scope of its scientific and technological priorities.'

Statement by the Commission concerning Annex II(I)(3)

'If requested by Parliament, the Commission will give favourable consideration to carrying out research into the legal, financial and practical aspects of extending the RTD activities of the EC Treaty to processing methods and technologies for chemical and bacteriological substances.'

COMMISSION

Subsection B6

(Research and technological development)

CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES**B6-6 1 1 *Quality of life and management of living resources***

B6-6 1 1 0 Quality of life and management of living resources: Administrative expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
31 674 000	31 674 000	+ 308 000	+ 308 000	31 982 000	31 982 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/167/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on quality of life and management of living resources (1998 to 2002) (OJ L 64, 12.3.1999, p. 1).

The indicative breakdown of the administrative expenditure under Article B6-6 1 1 with a view to implementing the specific research programme 'Quality of life and management of living resources' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 69 %,
- missions and administration (including technical evaluation assistance) (codes 4 and 5 of the table of equivalence): 20 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 7 %,
- communication (code 8 of the table of equivalence): 4 %.

This appropriation is intended to cover staff expenditure in respect of 218 staff (117 A, 46 B and 55 C).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 601 262 in commitments,
- EUR 601 262 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

B6-6 1 1 1 Quality of life and management of living resources: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
603 326 000	397 326 000	– 308 000	– 308 000	603 018 000	397 018 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/167/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on quality of life and management of living resources (1998 to 2002) (OJ L 64, 12.3.1999, p. 1).

Improving the quality of life and health are major challenges which the Community plans to tackle. The specific objective of this programme is to help to increase knowledge and develop technologies in the field of the living world with a view to improving the quality of life and health. In this context, the need is to improve the quality of life of all Europe's citizens, taking into account the particular problems of certain sectors of the population such as the ageing and the disabled, in accordance with Annex II to Decision No 182/1999/EC.

CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (cont'd)

B6-6 1 1 (cont'd)

B6-6 1 1 1 (cont'd)

This programme covers the following activities in accordance with the following indicative breakdown:

Heading	Appropriations
Key action 'Food, nutrition and health'	13
Key action 'Control of infectious diseases'	12
Key action 'The cell factory'	17
Key action 'Environment and health'	6
Key action 'Sustainable management of agriculture, fisheries and forestry, and integrated development of rural areas, including mountain areas'	22
Key action 'The ageing population and disabilities'	7
Research and technological development activities of a generic nature	20
Support for research infrastructures	3
Total	100

In accordance with Annex II(II) to Decision No 182/1999/EC, First Activity: theme 1(b), fifth indent, note 1, funding is excluded for research work resulting in modification of the human genotype, through manipulation of germ cells or at a different stage of embryonic development, which might become part of the genotype, or for work in the area of human cloning and living-embryo research.

On average, 10 % of the expenditure must be earmarked for small and medium-sized enterprises.

This appropriation is intended to cover:

- indirect action:
 - shared-cost action: research and technological development (RTD) projects, demonstration projects, combined RTD and demonstration projects, support for access to research infrastructure, technology stimulation projects to encourage and facilitate SME participation in RTD activities ('cooperative research' projects and 'exploratory awards'),
 - training fellowships,
 - thematic networks,
 - concerted action,
 - accompanying measures,
- action for coordination with other specific programmes implementing this framework programme and with other measures (Eureka, COST, Phare, Tacis, European Investment Fund, the Structural Funds and the European Investment Bank).

COMMISSION

Subsection B6

(Research and technological development)

CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (*cont'd*)**B6-6 1 1** (*cont'd*)B6-6 1 1 1 (*cont'd*)

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	497 950 302	199 180 000	121 488 000	101 590 000	75 692 302	p.m.
Commitment appropriations made available again and/or carried over from 1999	1 106 000	442 000	277 000	221 000	166 000	p.m.
Appropriation for 2000	538 470 000	138 848 000	144 772 000	118 463 000	80 770 500	55 616 500
Appropriation for 2001	603 018 000		130 481 000	180 998 000	150 832 000	140 707 000
Total	1 640 544 302	338 470 000	397 018 000	401 272 000	307 460 802	196 323 500

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

— EUR 11 336 738 in commitments,

— EUR 7 463 938 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

B6-6 1 2 *User-friendly information society*

B6-6 1 2 0 User-friendly information society: Administrative expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
68 328 000	68 328 000	—	—	68 328 000	68 328 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/168/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on a user-friendly information society (1998 to 2002) (OJ L 64, 12.3.1999, p. 20).

The indicative breakdown of the administrative expenditure under Article B6-6 1 2 with a view to implementing the specific research programme 'User-friendly information society' is as follows:

— research and external staff (codes 2 and 3 of the table of equivalence): 80 %,

— missions and administration, including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 10,5 %,

CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (cont'd)

B6-6 1 2 (cont'd)

B6-6 1 2 0 (cont'd)

- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 8 %,
- communication (code 8 of the table of equivalence): 1,5 %.

This appropriation is intended to cover staff expenditure in respect of 528 staff (285 A, 80 B and 163 C).

The Commission will make every effort to achieve that at least 35 % of the staff is recruited on three-year non-renewable contracts. It will report before 1 October 2001 on the results of these efforts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 1 284 566 in commitments,
- EUR 1 284 566 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

B6-6 1 3 *Competitive and sustainable growth*

B6-6 1 3 0 Competitive and sustainable growth — Administrative expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
36 837 000	36 837 000	– 953 000	– 953 000	35 884 000	35 884 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/169/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on a user-friendly information society (1998 to 2002) (OJ L 64, 12.3.1999 p. 40).

The indicative breakdown of the administrative expenditure under Article B6-6 1 3 with a view to implementing the specific research programme 'Competitive and sustainable growth' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 62 %,
- missions and administration, including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 15 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 7 %,
- communication (code 8 of the table of equivalence): 16 %.

This appropriation is intended to cover staff expenditure in respect of 233 staff (132 A, 43 B and 58 C).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 674 619 in commitments,
- EUR 674 619 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

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Subsection B6

(Research and technological development)

CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (*cont'd*)**B6-6 1 3** (*cont'd*)

B6-6 1 3 1

Competitive and sustainable growth: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
665 763 000	436 163 000	+ 953 000	+ 953 000	666 716 000	437 116 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/169/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on competitive and sustainable growth (1998 to 2002) (OJ L 64, 12.3.1999, p. 40).

The objective is to produce and disseminate the knowledge and technologies needed to design and develop processes and produce 'clean', high-quality products that will be competitive on tomorrow's market, to help increase growth and create new jobs in the Community and to give firms the opportunity to make the necessary changes to their activities.

This goes hand in hand with the development of transport systems which are economic and safe and protect the environment and quality of life.

This programme covers the following activities in accordance with the following indicative breakdown:

Heading	Appropriations
Key action 'Innovative products, processes and organisation'	27
Key action 'Sustainable mobility and intermodality'	15
Key action 'Land transport and marine technologies'	7
Key action 'New perspectives for aeronautics'	26
Research and development activities of a generic nature	22
Support for research infrastructures	3
Total	100

On average, 10 % of the expenditure must be earmarked for small and medium-sized enterprises.

This appropriation is intended to cover:

— indirect action:

- shared-cost action: research and technological development (RTD) projects, demonstration projects, combined RTD and demonstration projects, support for access to research infrastructure, technology stimulation projects to encourage and facilitate SME participation in RTD activities ('cooperative research' projects and 'exploratory awards'),
- training fellowships,
- thematic networks,
- concerted action,
- accompanying measures in support of the programme and preparation of future activities,

— action for coordination with other specific programmes implementing this framework programme and with other measures (Eureka, COST, Phare, Tacis, European Investment Fund, the Structural Funds and the European Investment Bank).

CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (cont'd)

B6-6 1 3 (cont'd)

B6-6 1 3 1 (cont'd)

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	508 319 377 (¹)	203 327 751	127 079 844	101 663 875	76 247 907	p.m.
Commitment appropriations made available again and/or carried over from 1999						
Appropriation for 2000	617 900 000	132 572 249	173 012 000	135 938 000	92 685 000	83 692 751
Appropriation for 2001	666 716 000		137 024 156	199 728 900	166 440 750	163 522 194
Total	1 792 935 377	335 900 000	437 116 000	437 330 775	335 373 657	247 214 945

(¹) After deduction of EUR 70 000 000 in payment appropriations carried over.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 12 534 261 in commitments,
- EUR 8 217 781 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

B6-6 1 4 **Energy, environment and sustainable development (EC) — Environment and sustainable development**

B6-6 1 4 0 Energy, environment and sustainable development (EC) — Environment and sustainable development: Administrative expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 141 000	18 141 000	– 1 257 000	– 1 257 000	16 884 000	16 884 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).
Council Decision 1999/170/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on energy, environment and sustainable development (1998 to 2002) (OJ L 64, 12.3.1999, p. 58).

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CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (*cont'd*)**B6-6 1 4** (*cont'd*)B6-6 1 4 0 (*cont'd*)

The indicative breakdown of the administrative expenditure under Article B6-6 1 4 with a view to implementing the research programme 'Environment and sustainable development' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 61 %,
- missions and administration including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 27 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 6 %,
- communication (code 8 of the table of equivalence): 6 %.

This appropriation is intended to cover staff expenditure in respect of 108 staff (61 A, 14 B and 33 C).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 317 419 in commitments,
- EUR 317 419 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

B6-6 1 4 1

Energy, environment and sustainable development (EC) — Environment and sustainable development: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
273 459 000	127 859 000	+ 1 257 000	+ 1 257 000	274 716 000	129 116 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/170/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on energy, environment and sustainable development (1998 to 2002) (OJ L 64, 12.3.1999, p. 58).

The strategic goal is to contribute to sustainable development by focusing on key activities crucial for social well-being and economic competitiveness in Europe. Progress in environmental science and technology will improve our knowledge and quality of life; it will decouple economic growth, including resources utilisation, from environmental degradation and contribute in the short and longer term to European competitiveness and employment.

This programme covers the following activities in accordance with the following indicative breakdown of appropriations:

Heading	Appropriations (in %)
Key action 'Sustainable management and quality of water'	19
Key action 'Global change, climate and biodiversity'	26
Key action 'Sustainable marine ecosystems'	15
Key action 'The city of tomorrow and cultural heritage'	17
Research and technological development activities of a generic nature	13
Support for research infrastructures	10
Total	100

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CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (*cont'd*)

B6-6 1 4 (*cont'd*)

B6-6 1 4 1 (*cont'd*)

On average, 10 % of the expenditure must be earmarked for small and medium-sized enterprises.

This appropriation is intended to cover:

— indirect action:

- shared-cost action: research and technological development (RTD) projects, demonstration projects, combined RTD and demonstration projects, support for access to research infrastructure, technology stimulation projects to encourage and facilitate SME participation in RTD activities ('cooperative research' projects and 'exploratory awards'),
- training fellowships,
- thematic networks,
- concerted action,
- accompanying measures,

— action for coordination with other specific programmes implementing this framework programme and with other measures (including Eureka, Phare, Tacis, MEDA, the International Geosphere-Biosphere Programme, the World Climate Research Programme and the Ocean Drilling Programme).

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	180 367 983 ⁽¹⁾	72 147 000	45 092 000	39 681 000	23 447 983	p.m.
Commitment appropriations made available again and/or carried over from 1999						
Appropriation for 2000	235 077 000	76 930 000	17 101 000	58 769 000	51 717 000	30 560 000
Appropriation for 2001	274 716 000		66 923 000	43 718 000	68 365 000	95 710 000
Total	690 160 983	149 077 000	129 116 000	142 168 000	143 529 983	126 270 000
⁽¹⁾ After deduction of EUR 22 000 000 in payment appropriations carried over.						

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 5 164 661 in commitments,
- EUR 2 427 381 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

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CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (*cont'd*)**B6-6 1 5 *Energy, environment and sustainable development (EC) — Energy*****B6-6 1 5 0 Energy, environment and sustainable development (EC) — Energy: Administrative expenditure**

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 632 000	17 632 000	– 903 000	– 903 000	16 729 000	16 729 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/170/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on energy, environment and sustainable development (1998 to 2002) (OJ L 64, 12.3.1999, p. 58).

The indicative breakdown of the administrative expenditure under Article B6-6 1 5 with a view to implementing the research programme 'Energy and sustainable development' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 67 %,
- missions and administration, including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 22 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 6 %,
- communication (code 8 of the table of equivalence): 5 %.

This appropriation is intended to cover staff expenditure in respect of 101 staff (56 A, 21 B and 24 C).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 314 505 in commitments,
- EUR 314 505 in payments.

These amounts, given here for information, accruing from contributions from EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III of this part of the statement of expenditure of this section, which forms an integral part of the general budget.

B6-6 1 5 1 Energy, environment and sustainable development (EC) — Energy: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
260 968 000	164 368 000	+ 903 000	+ 903 000	261 871 000	165 271 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/170/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on energy, environment and sustainable development (1998 to 2002) (OJ L 64, 12.3.1999, p. 58).

The strategic goal is to contribute to sustainable development by focusing on key activities crucial to social well-being and economic competitiveness in Europe. Developing sustainable energy systems for Europe and contributing to a more sustainable development worldwide will lead to increased security and diversity of supply, improved industrial competitiveness and reduced environmental impact.

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CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (*cont'd*)

B6-6 1 5 (*cont'd*)

B6-6 1 5 1 (*cont'd*)

This programme covers the following activities in accordance with the following indicative breakdown:

Heading	Appropriations (%)
Key action 'Cleaner energy systems including renewables'	46
Key action 'Economic and efficient energy for a competitive Europe'	53
Research and technological development activities of a generic nature	1
Total	100

This breakdown will nevertheless ensure that renewables are granted at least 60 % of the total funds by speeding up the development of totally new technologies, especially those leading to the 'hydrogen economy', and that at least 70 % of that would go on demonstration projects, with a progress report on renewables being presented separately.

On average, 10 % of the expenditure must be earmarked for small and medium-sized enterprises.

This appropriation is intended to cover:

- indirect action:
 - shared-cost action: research and technological development (RTD) projects, demonstration projects, combined RTD and demonstration projects, technology stimulation projects to encourage and facilitate SME participation in RTD activities ('cooperative research' projects and 'exploratory awards'),
 - training fellowships,
 - thematic networks,
 - concerted action,
 - accompanying measures,
- action for coordination between the specific programmes implementing this framework programme and other measures (including Eureka, Phare, Tacis, MEDA, the International Geosphere-Biosphere Programme, the International Energy Agency and Organisations for the Promotion of Energy Technologies).

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	201 505 166	80 602 000	40 511 000	38 742 000	34 655 000	6 995 166
Commitment appropriations made available again and/or carried over from 1999	736 054	294 000	148 000	142 000	127 000	25 054
Appropriation for 2000	220 625 000	73 729 000	54 102 000	52 847 000	39 947 000	p.m.
Appropriation for 2001	261 871 000		70 510 000	56 776 000	65 683 000	68 902 000
Total	684 737 220	154 625 000	165 271 000	148 507 000	140 412 000	75 922 220

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CHAPTER B6-6 1 — FIRST ACTIVITY: RESEARCH, TECHNOLOGICAL DEVELOPMENT AND DEMONSTRATION PROGRAMMES (*cont'd*)**B6-6 1 5** (*cont'd*)B6-6 1 5 1 (*cont'd*)

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

— EUR 4 923 175 in commitments,

— EUR 3 107 095 in payments.

These amounts, given here for information, accruing from contributions from EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III of this part of the statement of expenditure of this section, which forms an integral part of the general budget.

CHAPTER B6-6 2 — SECOND ACTIVITY: COOPERATION WITH THIRD COUNTRIES AND INTERNATIONAL ORGANISATIONS**B6-6 2 1** *Confirming the international role of Community research*

B6-6 2 1 0 Confirming the international role of Community research: Administrative expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
12 761 000	12 761 000	– 250 000	– 250 000	12 511 000	12 511 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/171/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on confirming the international role of Community research (1998 to 2002) (OJ L 64, 12.3.1999, p. 78).

The indicative breakdown of the administrative expenditure under Article B6-6 2 1 with a view to implementing the specific research programme 'Confirming the international role of Community research' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 78 %,
- missions and administration, including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 12 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 5 %,
- communication (code 8 of the table of equivalence): 5 %.

This appropriation is intended to cover staff expenditure in respect of 79 staff (41 A, 12 B and 26 C).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

— EUR 235 207 in commitments,

— EUR 235 207 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

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CHAPTER B6-6 2 — SECOND ACTIVITY: COOPERATION WITH THIRD COUNTRIES AND INTERNATIONAL ORGANISATIONS (cont'd)

B6-6 2 1 (cont'd)

B6-6 2 1 1

Confirming the international role of Community research: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
123 139 000	77 239 000	+ 250 000	+ 250 000	123 389 000	77 489 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/171/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on confirming the international role of Community research (1998 to 2002) (OJ L 64, 12.3.1999, p. 78).

The general objectives of this international scientific cooperation are as follows; the main objectives of this horizontal theme are to promote scientific and technical cooperation internationally, to reinforce Community capacities in the fields of science and technology, generally to support scientific excellence within the wider international framework, and to contribute to the implementation of the Community's external policy, in particular with the accession of new members in mind.

Strategic objectives and approach of the programme:

- to promote scientific and technological cooperation between undertakings, organisations and researchers from third countries and from the Community,
- to facilitate access for research centres and undertakings established in the Community to scientific and technological knowledge available outside the Community and useful to the Community's interests,
- to enhance the position and role of Community research in the international scientific and technological arena and to promote a European scientific and technological culture,
- to prepare for the accession of new Member States,
- to help European research players acquire information and gain experience of research capacity, activity and priorities of industrialised third countries and 'emerging economy' countries.

International RTD cooperation will be pursued in two forms in the fifth framework programme: firstly, through this 'international cooperation' programme and secondly, through the other specific programmes.

This programme covers the following fields (specific activities under the 'international cooperation' programme):

- cooperation with certain categories of third country:
 - States in the pre-accession phase,
 - new Independent States and central and east European countries not in the pre-accession phase,
 - Mediterranean partner countries,
 - research for development,
 - emerging economy and industrialised non-EU countries,
- training of researchers,
- coordination:
 - of activities within the framework programme and with other Community programmes,
 - with COST, Eureka and international organisations,
 - with Member States.

This appropriation is intended to cover:

- indirect action:
 - shared-cost action: research and technological development (RTD) projects, demonstration projects, combined RTD and demonstration projects, support for access to research infrastructure, technology stimulation projects to encourage and facilitate SME participation in RTD activities ('cooperative research' projects and 'exploratory awards'),
 - training fellowships,
 - thematic networks,
 - concerted action,
 - accompanying measures,

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CHAPTER B6-6 2 — SECOND ACTIVITY: COOPERATION WITH THIRD COUNTRIES AND INTERNATIONAL ORGANISATIONS (cont'd)**B6-6 2 1** (cont'd)

B6-6 2 1 1 (cont'd)

— action for coordination with other specific programmes implementing this framework programme and with other measures (Eureka, COST, Phare, Tacis, European Investment Fund, the Structural Funds and the European Investment Bank).

The Council has entrusted the Commission with the task of administering and providing the secretariat for the European cooperation in the field of scientific and technical research (COST) in which the European Union does not participate as such. By nature, the resultant expenditure is intervention expenditure.

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	52 934 342 (¹)	21 174 000	13 234 000	10 587 000	7 939 342	p.m.
Commitment appropriations made available again and/or carried over from 1999						
Appropriation for 2000	103 956 000	31 782 000	29 108 000	22 870 000	15 593 000	4 603 000
Appropriation for 2001	123 389 000		35 147 000	36 942 000	30 785 000	20 515 000
Total	280 279 342	52 956 000	77 489 000	70 399 000	54 317 342	25 118 000

(¹) After deduction of EUR 4 300 000 in payment appropriations carried over.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

— EUR 2 319 713 in commitments,

— EUR 1 456 793 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

CHAPTER B6-6 4 — FOURTH ACTIVITY: TRAINING AND MOBILITY OF RESEARCHERS**B6-6 4 1 Improving the human research potential and the socioeconomic knowledge base**

B6-6 4 1 0 Improving the human research potential and the socioeconomic knowledge base: Administrative expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 554 000	19 554 000	+ 261 000	+ 261 000	19 815 000	19 815 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/173/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on improving the human research potential and the socioeconomic knowledge base (1998 to 2002) (OJ L 64, 12.3.1999, p. 105).

The indicative breakdown of the administrative expenditure under Article B6-6 4 1 with a view to implementing the specific research programme 'Improving the human research potential and the socioeconomic knowledge base' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 67 %,
- missions and administration, including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 16 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 9 %,
- communication (code 8 of the table of equivalence): 8 %.

This appropriation is intended to cover staff expenditure in respect of 125 staff (66 A, 20 B and 39 C).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 372 522 in commitments,
- EUR 372 522 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

B6-6 4 1 1 Improving the human research potential and the socioeconomic knowledge base: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
305 746 000	235 446 000	– 261 000	– 261 000	305 485 000	235 185 000

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Council Decision 1999/173/EC of 25 January 1999 adopting a specific programme for research, technological development and demonstration on improving the human research potential and the socioeconomic knowledge base (1998 to 2002) (OJ L 64, 12.3.1999, p. 105).

The general objectives of this programme, to be realised in concert with related activities elsewhere in the framework programme, are centred on two main areas of activity: to improve the human research potential and to strengthen the socioeconomic knowledge base. To this end, action will be undertaken:

- to develop the Community's human research potential,
- to enhance access to research infrastructure,

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CHAPTER B6-6 4 — FOURTH ACTIVITY: TRAINING AND MOBILITY OF RESEARCHERS (*cont'd*)**B6-6 4 1** (*cont'd*)B6-6 4 1 1 (*cont'd*)

- to help make the Community an attractive location for researchers and to promote European research in the international arena and to promote a European scientific and technological culture,
- to strengthen, through a specific key action, the socioeconomic knowledge base for a better understanding of key problems facing European society,
- to help develop scientific and technological policies and other Community policies.

This programme is structured as follows:

- action specific to the programme:
 - supporting training and mobility of researchers,
 - enhancing access to research infrastructure,
 - promoting scientific and technological excellence,
 - a key action on improving the socioeconomic knowledge base,
 - action to support the development of science and technology policies in Europe,
- interaction with other framework programme activities.

A major feature of this programme will consist of the coordination and support of relevant activities throughout the framework programme concerning, in particular, researcher training, support for research infrastructure and the overall socioeconomic dimension of Community research.

This appropriation is intended to cover:

- indirect RTD action:
 - shared-cost action: research and technological development (RTD) projects, demonstration projects, combined RTD and demonstration projects, support for access to research infrastructure, technology stimulation projects to encourage and facilitate SME participation in RTD activities ('cooperative research' projects and 'exploratory awards'),
 - fellowships,
 - research training networks,
 - thematic networks,
 - concerted action,
 - accompanying measures,
- action for coordination with other specific programmes implementing this framework programme and with other measures (Eureka, COST, Phare, Tacis, European Investment Fund, the Structural Funds and the European Investment Bank).

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CHAPTER B6-6 4 — FOURTH ACTIVITY: TRAINING AND MOBILITY OF RESEARCHERS (*cont'd*)

B6-6 4 1 (*cont'd*)

B6-6 4 1 1 (*cont'd*)

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	221 852 115 (¹)	88 741 000	43 463 000	44 370 000	33 278 115	12 000 000
Commitment appropriations made available again and/or carried over from 1999						
Appropriation for 2000	280 452 000	43 711 000	77 549 000	66 699 000	51 068 000	41 425 000
Appropriation for 2001	305 485 000		114 173 000	79 437 000	70 264 000	41 611 000
Total	807 789 115	132 452 000	235 185 000	190 506 000	154 610 115	95 036 000
(¹) After deduction of EUR 57 500 000 in payment appropriations carried over.						

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto:

- EUR 5 743 118 in commitments,
- EUR 4 421 478 in payments.

These amounts, given here for information, accruing from contributions from the EFTA States, entered against Article 6 3 0 of the statement of revenue, give rise to the opening of corresponding appropriations and to implementation under Annex III to Part B, which forms an integral part of the general budget.

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(Research and technological development)

CHAPTER B6-6 5 — EURATOM FRAMEWORK PROGRAMME

B6-6 5 1 Nuclear energy (Euratom) — Nuclear fission**B6-6 5 1 0 Nuclear energy (Euratom) — Nuclear fission: Administrative expenditure**

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 833 000	5 833 000	– 280 000	– 280 000	5 553 000	5 553 000

Former Item B6-6 5 1 1 (in part)

Council Decision 1999/64/Euratom of 22 December 1998 concerning the fifth framework programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 1999/175/Euratom of 25 January 1999 adopting a research and training programme (Euratom) in the field of nuclear energy (1998 to 2002) (OJ L 64, 12.3.1999, p. 142).

The indicative breakdown of the administrative expenditure under Article B6-6 5 1 with a view to implementing the specific research programme 'Nuclear research: nuclear fission safety' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 71 %,
- missions and administration, including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 21 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 5 %,
- communication (code 8 of the table of equivalence): 3 %.

This appropriation is intended to cover staff expenditure in respect of 36 staff (22 A, 6 B and 8 C). This appropriation is intended to cover staff expenditure in respect of 36 staff (22 A, 6 B and 8 C).

Agreements based on Article 6(c) of the Treaty establishing the European Atomic Energy Community have been concluded between Euratom and the following body:

Body	Agreement signed on	Duration	Staff involved
Commissariat à l'énergie atomique français (CEA)	1 December 1998	With effect from 1 January 1999	1 A

The Commission seconds to the abovementioned body one expert to conduct studies or research under the Phebus PF programme, as provided for in Article 3.2(c) of Agreement No 3428-88-07 TP ISP F. This secondment of one expert under this Agreement will not lead to any reimbursement of the corresponding expenditure.

B6-6 5 1 1 Nuclear energy (Euratom) — Nuclear fission: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
50 467 000	38 167 000	+ 280 000	+ 280 000	50 747 000	38 447 000

Former Item B6-6 5 1 1 (in part)

Council Decision 1999/64/Euratom of 22 December 1998 concerning the fifth framework programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

CHAPTER B6-6 5 — EURATOM FRAMEWORK PROGRAMME (cont'd)

B6-6 5 1 (cont'd)

B6-6 5 1 1 (cont'd)

Council Decision 1999/175/Euratom of 25 January 1999 adopting a research and training programme (Euratom) in the field of nuclear energy (1998 to 2002) (OJ L 64, 12.3.1999, p. 142).

The aim of this measure is to help exploit the full potential of nuclear energy, by making current technologies even safer and more economical. It has three distinct components:

- a key action on nuclear fission, the aims of which are to enhance the safety of Europe's nuclear facilities and the protection of workers and the public from radiation, to help solve waste management and disposal problems and to improve the competitiveness and social acceptability of Europe's nuclear industry,
- research and technological development activities of a generic nature, the aim of which is to consolidate and advance European knowledge and competence in several areas that are important for the safe and competitive use of nuclear fission and other industrial and medical uses of ionising radiation and for the management of natural sources of radiation,
- support for research infrastructure, to further integrate nuclear research within the European Union so that available resources can be used more effectively to the competitive advantage of European industry, and to ensure the continuing safe and socially acceptable exploitation of nuclear technologies.

This action will take the form of:

- indirect action on research and training
 - shared-cost action: research and technological development projects, demonstration projects, combined research, training and demonstration projects, support for access to research infrastructure,
 - fellowships,
 - thematic networks and research training networks,
 - concerted action,
 - accompanying measures,
- other action,
- action for coordination with other specific programmes implementing this framework programme and with other measures (Eureka, COST, Phare, Tacis, European Investment Fund, the Structural Funds and the European Investment Bank).

Radiation protection research must include wide-ranging research on genomic instability.

The European Union may not support any research with possible implications for nuclear weapons proliferation of any kind.

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	11 981 331	4 793 000	2 995 000	2 396 000	1 797 331	p.m.
Commitment appropriations made available again and/or carried over from 1999						
Appropriation for 2000	79 573 000	22 780 000	12 460 000	19 695 000	15 263 000	9 375 000
Appropriation for 2001	50 747 000		22 992 000		12 688 000	15 067 000
Total	142 301 331	27 573 000	38 447 000	22 091 000	29 748 331	24 442 000

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Subsection B6

(Research and technological development)

CHAPTER B6-6 5 — EURATOM FRAMEWORK PROGRAMME (*cont'd*)**B6-6 5 2 Nuclear energy (Euratom) — Controlled thermonuclear fusion**

B6-6 5 2 0 Nuclear energy (Euratom) — Controlled thermonuclear fusion: Administrative expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
33 246 000	33 246 000	– 2 830 000	– 2 830 000	30 416 000	30 416 000

Former Item B6-6 5 1 2 (in part)

Council Decision 1999/64/Euratom of 22 December 1998 concerning the fifth framework programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 1999/175/Euratom of 25 January 1999 adopting a research and training programme (Euratom) in the field of nuclear energy (1998 to 2002) (OJ L 64, 12.3.1999, p. 142).

The indicative breakdown of the administrative expenditure under Article B6-6 5 2 with a view to implementing the research programme 'Nuclear research: controlled thermonuclear fusion' is as follows:

- research and external staff (codes 2 and 3 of the table of equivalence): 89 %,
- missions and administration, including technical evaluation assistance (codes 4 and 5 of the table of equivalence): 8 %,
- infrastructure, movable property and data processing (codes 6 and 7 of the table of equivalence): 2 %,
- communication (code 8 of the table of equivalence): 1 %.

This appropriation is intended to cover staff expenditure in respect of 188 staff (153 A, 25 B and 10 C).

B6-6 5 2 1 Nuclear energy (Euratom) — Controlled thermonuclear fusion: Operating expenditure

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
165 754 000	109 754 000	+ 2 830 000	+ 2 830 000	168 584 000	112 584 000

Former Item B6-6 5 1 2 (in part)

Council Decision 1999/64/Euratom of 22 December 1998 concerning the fifth framework programme of the European Atomic Energy Community (Euratom) for research and training activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 34).

Council Decision 1999/175/Euratom of 25 January 1999 adopting a research and training programme (Euratom) in the field of nuclear energy (1998 to 2002) (OJ L 64, 12.3.1999, p. 142).

CHAPTER B6-6 5 — EURATOM FRAMEWORK PROGRAMME (cont'd)

B6-6 5 2 (cont'd)

B6-6 5 2 1 (cont'd)

The aim of this key action is to continue to establish the necessary basis for a decision on an experimental reactor and possible construction thereof, as well as the basic concepts and technologies in the longer term.

This will take the form of:

- indirect action on research and training:
 - shared-cost action: research and technological development projects, demonstration projects, combined research, training and demonstration projects, support for access to research infrastructure,
 - fellowships,
 - thematic networks,
 - concerted action,
 - accompanying measures,
- other action,
- action for coordination with other specific programmes implementing this framework programme and with other measures.

The European Union may not support any research with possible implications for nuclear weapons proliferation of any kind.

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	66 777 438	31 389 000	13 355 000	10 017 000	6 678 000	5 338 438
Commitment appropriations made available again and/or carried over from 1999						
Appropriation for 2000	154 550 000	81 161 000	36 400 000	27 300 000	7 600 000	2 089 000
Appropriation for 2001	165 584 000		62 829 000	33 151 000	24 863 000	47 741 000
Total	389 911 438	112 550 000	112 584 000	70 468 000	39 141 000	55 168 438

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Subsection B7

SUBSECTION B7

EXTERNAL ACTIONS

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Subsection B7
(External actions)

General summary

Title Chapter	Heading	Budget 2001		Supplementary and amending budget No 3		New amount	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
B7-5	COOPERATION WITH PARTNER COUNTRIES IN EASTERN EUROPE AND CENTRAL ASIA AND THE WESTERN BALKAN COUNTRIES						
B7-5 4	COOPERATION WITH THE COUNTRIES OF THE WESTERN BALKANS						
B7-5 4 1	<i>Assistance for the countries of the western Balkans</i>	304 000 000	145 500 000	—	155 000 000	304 000 000,—	300 500 000,—
B7-5 4 6	<i>Aid for the reconstruction of Kosovo</i>	175 000 000	80 000 000	—	265 000 000	175 000 000,—	345 000 000,—
B7-5 4 8	<i>Macroeconomic assistance to the countries of the western Balkans</i>	80 000 000	40 000 000	—	30 000 000	80 000 000,—	70 000 000,—
	CHAPTER B7-5 4 — TOTAL	839 000 000	490 500 000	—	450 000 000	839 000 000,—	940 500 000,—
	Title B7-5 — Total	1 284 280 000	936 991 000	—	450 000 000	1 284 280 000,—	1 386 991 000,—
B7-7	EUROPEAN INITIATIVE FOR DEMOCRACY AND HUMAN RIGHTS						
B7-7 0	EUROPEAN INITIATIVE FOR DEMOCRACY AND HUMAN RIGHTS						
B7-7 0 2 A	<i>Support for the democratisation process and strengthening of the rule of law — Expenditure on administrative management</i>	1 990 000	1 990 000	—	—	1 990 000,—	1 990 000,—
	CHAPTER B7-7 0 — TOTAL	102 000 000	81 800 000	—	—	102 000 000,—	81 800 000,—
	Title B7-7 — Total	102 000 000	81 800 000	—	—	102 000 000,—	81 800 000,—
	Undersection B7 — Total	8 158 940 000	6 082 441 000	—	450 000 000	8 158 940 000,—	6 532 441 000,—
	Part B - Total	92 060 532 000	88 391 074 000	—	450 000 000	92 060 532 000,—	88 841 074 000,—
	Part A - Total	3 217 158 297	3 217 158 297	—	—	3 217 158 297,—	3 217 158 297,—
	GRAND TOTAL	95 277 690 297	91 608 232 297	—	450 000 000	95 277 690 297,—	92 058 232 297,—

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TITLE B7-5

COOPERATION WITH PARTNER COUNTRIES IN EASTERN EUROPE AND CENTRAL ASIA AND THE WESTERN BALKAN COUNTRIES

CHAPTER B7-5 4 — COOPERATION WITH THE COUNTRIES OF THE WESTERN BALKANS

B7-5 4 1

Assistance for the countries of the western Balkans

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
304 000 000	145 500 000	—	+ 155 000 000	304 000 000	300 500 000

Former Articles B7-5 4 1 (in part) and B7-5 4 2

Council Regulation (EEC) No 3800/91 of 23 December 1991, amending Regulation (EEC) No 3906/89 in order to extend economic aid to include other countries in central and eastern Europe (OJ L 357, 28.12.1991, p. 10) (Albania).

Council Regulation (EC) No 1366/95 of 12 June 1995 amending Regulation (EEC) No 3906/89 in order to extend economic aid to Croatia (OJ L 133, 17.6.1995, p. 1).

Council Regulation (EC) No 463/96 of 11 March 1996 amending Regulation (EEC) No 3906/89 with a view to extending economic assistance to the Former Yugoslav Republic of Macedonia (OJ L 65, 15.3.1996, p. 3).

Council Regulation (EC) No 753/96 of 22 April 1996 amending Regulation (EEC) No 3906/89 with a view to extending economic aid to Bosnia and Herzegovina (OJ L 103, 26.4.1996, p. 5).

Council Decision 97/831/EC of 27 November 1997 concerning the conclusion of a cooperation agreement between the European Community and the Former Yugoslav Republic of Macedonia (OJ L 348, 18.12.1997, p. 1) (with Financial Protocol).

Commission Regulation (EC) No 2760/98 of 18 December 1998 concerning the implementation of a programme for cross-border cooperation in the framework of the Phare programme (OJ L 345, 19.12.1998, p. 49).

Council Decision 1999/311/EC of 29 April 1999 adopting the third phase of the trans-European cooperation scheme for higher education (Tempus III) (2000 to 2006) (OJ L 120, 8.5.1999, p. 30).

Council Regulation (EC) No 2454/1999 of 15 November 1999, amending Regulation (EC) No 1628/96 relating to aid for Bosnia and Herzegovina, Croatia, the Federal Republic of Yugoslavia and the Former Yugoslav Republic of Macedonia and setting up a European Agency for Reconstruction (OJ L 299, 20.11.1999, p. 1).

Council Decision 2000/460/EC of 17 July 2000 amending Decision 1999/311/EC adopting the third phase of the trans-European cooperation scheme for higher education (Tempus III) (2000 to 2006) (OJ L 183, 22.7.2000, p. 16).

Council Regulation (EC) No 2666/2000 of 5 December 2000 on assistance for Albania, Bosnia and Herzegovina, Croatia, the Former Yugoslav Republic of Macedonia and the Federal Republic of Yugoslavia and repealing Regulation (EC) No 1628/96 and amending Regulations (EEC) No 3906/89 and (EEC) No 1360/90 and Decisions 97/256/EC and 1999/311/EC (OJ L 306, 7.12.2000, p. 1).

Council Regulation (EC) No 2667/2000 of 5 December 2000 on the European Agency for Reconstruction (OJ L 306, 7.12.2000, p. 7).

This appropriation is intended to cover the financing of the assistance programme whose main aim is to support the participation of the beneficiary countries in the stabilisation and association process.

Such assistance will in particular be geared to:

- the reconstruction and stabilisation of the region, including the return of refugees and displaced persons,
- the establishment of an institutional and legislative framework to support democracy, the rule of law, human rights and minority rights, as well as civil liberties,

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CHAPTER B7-5 4 — COOPERATION WITH THE COUNTRIES OF THE WESTERN BALKANS (*cont'd*)

B7-5 4 1 (*cont'd*)

- the restoration of civil society and free media,
- the reform in view of a market-economy oriented to economic, social, civic and educational development,
- the development of closer ties between the beneficiary countries and regions and between them and the European Union and between them and the candidate countries.

This appropriation is also intended to finance the new policy on universities of the Government of the Former Yugoslav Republic of Macedonia, in particular as regards the establishment of a university at Tetovo.

In the event of the extension of the European Agency for Reconstruction's mandate to the regions covered by this article, the appropriation may be used to cover a grant to the Agency's budget for operational expenditure in respect of the regions concerned.

Irrespective of the beneficiary, no administrative expenditure against this article is authorised.

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	683 157 654 ⁽¹⁾	101 711 050	93 086 081	100 000 000	150 000 000	238 360 523
Commitment appropriations made available again and/or carried over from 1999	9 413 919	2 500 000	6 913 919			
Appropriation for 2000	216 630 000 ⁽²⁾	46 000 000	50 500 000	80 000 000	40 130 000	
Appropriation for 2001	329 000 000		105 000 000	100 000 000	100 000 000	24 000 000
Total	1 238 201 573	150 211 050 ⁽³⁾	255 500 000	280 000 000	290 130 000	262 360 523

(¹) After deduction of EUR 46 900 000 in payment appropriations carried over.
(²) Of which EUR 106 044 000 from the operating heading and EUR 2 379 600 from the administrative heading is entered in Chapter B0-4 0.
(³) Of which EUR 2 379 600 from the administrative heading is entered in Chapter B0-4 0.

B7-5 4 6

Aid for the reconstruction of Kosovo

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
175 000 000	80 000 000	—	+ 265 000 000	175 000 000	345 000 000

Council Decision 1999/311/EC of 29 April 1999 adopting the third phase of the trans-European cooperation scheme for higher education (Tempus III) (2000 to 2006) (OJ L 120, 8.5.1999, p. 30).

Council Regulation (EC) No 2454/1999 of 15 November 1999 amending Regulation (EC) No 1628/96 relating to aid for Bosnia and Herzegovina, Croatia, the Federal Republic of Yugoslavia and the former Yugoslav Republic of Macedonia and setting up a European Agency for Reconstruction (OJ L 299, 20.11.1999, p. 1).

CHAPTER B7-5 4 — COOPERATION WITH THE COUNTRIES OF THE WESTERN BALKANS (*cont'd*)**B7-5 4 6** (*cont'd*)

Council Decision 2000/460/EC of 17 July 2000 amending Decision 1999/311/EC adopting the third phase of the trans-European cooperation scheme for higher education (Tempus III) (2000 to 2006) (OJ L 183, 22.7.2000, p. 16).

Council Regulation (EC) No 2666/2000 of 5 December 2000 on assistance for Albania, Bosnia and Herzegovina, Croatia, the Former Yugoslav Republic of Macedonia and the Federal Republic of Yugoslavia and repealing Regulation (EC) No 1628/96 and amending Regulations (EEC) No 3906/89 and (EEC) No 1360/90 and Decisions 97/256/EC and 1999/311/EC (OJ L 306, 7.12.2000, p. 1).

Council Regulation (EC) No 2667/2000 of 5 December 2000 on the European Agency for Reconstruction (OJ L 306, 7.12.2000, p. 7).

This appropriation is intended to cover the funding of programmes for reconstruction, stabilisation and restoring civil society and free media in Kosovo so that it is possible, among other things, for refugees to return to their country. Furthermore, these programmes include back-up measures for the resettlement of refugees, microcredit, loan guarantees, economic revival at local level and projects to set up and help run the administrative and legal framework of the authorities, including local authorities.

These programmes form part of a global strategy for the European Union to contribute to stability in the region.

This appropriation is also intended to cover, in particular, the grant to the European Agency for Reconstruction's budget for the operational programmes entrusted to it and its operating expenditure. The latter will be funded by an amount equivalent to not more than 8 % of the overall multiannual budget fixed for the measure.

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding	77 391 535 (¹)	50 000 000	25 000 000	2 391 535		
Commitment appropriations made available again and/or carried over from 1999	30 000 000	15 000 000	15 000 000			
Appropriation for 2000	240 000 000	73 000 000	150 000 000	17 000 000		
Appropriation for 2001	175 000 000		155 000 000	20 000 000		
Total	522 391 535	138 000 000	345 000 000	39 391 535		

(¹) After deduction of EUR 20 000 000 in payment appropriations carried over.

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CHAPTER B7-5 4 — COOPERATION WITH THE COUNTRIES OF THE WESTERN BALKANS (cont'd)

B7-5 4 8 *Macroeconomic assistance to the countries of the western Balkans*

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
80 000 000	40 000 000	—	+ 30 000 000	80 000 000	70 000 000

Former Article B7-5 3 2

Council Decision 1999/325/EC of 10 May 1999 providing macrofinancial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 60).

Council Decision 1999/733/EC of 8 November 1999 providing macrofinancial assistance to the Former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31).

Council Decision 2000/140/EC of 14 February 2000 providing exceptional Community financial assistance to Kosovo (OJ L 47, 19.2.2000, p. 28).

Council Decision 2000/355/EC of 22 May 2000 providing exceptional financial assistance for Montenegro (OJ L 127, 27.5.2000, p. 56).

This exceptional assistance aims to ease external financial constraints on certain third countries experiencing macroeconomic difficulties characterised by serious budgetary imbalances and/or balance of payment deficits.

It is directly linked to the implementation by the recipient countries of macroeconomic stabilisation and structural adjustment measures. Community action generally complements that of the International Monetary Fund, coordinated with other bilateral donors.

The Commission shall inform the budgetary authority twice a year on the macroeconomic situation of the beneficiary countries.

The likely schedule of payments vis-à-vis commitments is as follows:

Commitments		Payments				
		2000	2001	2002	2003	Subsequent years
Pre-2000 commitments still outstanding						
Commitment appropriations made available again and/or carried over from 1999	25 000 000	15 000 000 ⁽¹⁾	10 000 000			
Appropriation for 2000	35 000 000	20 000 000	15 000 000			
Appropriation for 2001	80 000 000		15 000 000	65 000 000		
Total	140 000 000	35 000 000	40 000 000	65 000 000		

⁽¹⁾ Payment appropriations carried over.

TITLE B7-7

EUROPEAN INITIATIVE FOR DEMOCRACY AND HUMAN RIGHTS

CHAPTER B7-7 0 — EUROPEAN INITIATIVE FOR DEMOCRACY AND HUMAN RIGHTS

United Nations Convention on the Rights of the Child, 1989.

Council Regulation (EEC) No 3906/89 of 18 December 1989 on economic aid to the Republic of Hungary and the Polish People's Republic (OJ L 375, 23.12.1989, p. 11), as last amended by Regulation (EC) No 753/96 (OJ L 103, 26.4.1996, p. 5).

Council resolution of 28 November 1991 on human rights and democracy in the developing countries.

European Parliament resolution of 14 May 1992 on a European initiative for democracy (OJ C 150, 15.6.1992, p. 281).

Council resolution of 18 November 1992 on the implementation of the Council resolution of 28 November 1991 on human rights and democracy in the developing countries.

European Parliament resolution of 13 July 1993 on human rights, democracy and development (OJ C 255, 20.9.1993, p. 47).

European Parliament resolution of 18 May 1995 on Tibet (OJ C 151, 19.6.1995, p. 278).

European Parliament resolution of 11 October 1995 on the Mediterranean policy of the European Union with a view to the Barcelona Conference (OJ C 287, 30.10.1995, p. 121).

European Parliament resolution of 14 December 1995 on the Euro-Mediterranean Conference in Barcelona (OJ C 17, 22.1.1996, p. 178).

European Parliament resolution of 18 January 1996 on trafficking in human beings (OJ C 32, 5.2.1996, p. 88).

Council Regulation (EEC) No 1628/96 of 25 July 1996 relating to aid for Bosnia and Herzegovina, Croatia, the Federal Republic of Yugoslavia and the Former Yugoslav Republic of Macedonia (OJ L 204, 14.8.1996, p. 1), as last amended by Regulation (EC) No 851/98 (OJ L 122, 24.4.1998, p. 1).

European Parliament resolution of 19 September 1996 on the political situation in Turkey (OJ C 320, 28.10.1996, p. 187). Account will also be taken of the provisions of paragraph 5 of the resolution.

Council Regulation (EC) No 2258/96 of 22 November 1996 on rehabilitation and reconstruction operations in developing countries (OJ L 306, 28.11.1996, p. 1).

European Parliament resolution of 12 December 1996 on human rights throughout the world in 1995/1996 and the European Union's human rights policy (OJ C 20, 20.1.1997, p. 161).

European Parliament resolution of 10 April 1997 on the human rights situation in eastern Turkestan (Region of Xinjiang) (OJ C 132, 28.4.1997, p. 227).

European Parliament resolution of 12 June 1997 on the Commission communication on a long-term policy for China-Europe relations (OJ C 200, 30.6.1997, p. 158).

European Parliament resolution of 19 December 1997 on the Commission report on the implementation of measures intended to promote observance of human rights and democratic principles (for 1995) (OJ C 14, 19.1.1998, p. 399).

European Parliament resolution of 19 December 1997 on setting up a single coordinating structure within the European Commission responsible for human rights and democratisation (OJ C 14, 19.1.1998, p. 402), and in particular the operative paragraphs on a European network for human rights.

Council Regulation (EC) No 975/1999 of 29 April 1999 laying down the requirements for the implementation of development cooperation operations which contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms (OJ L 120, 8.5.1999, p. 1).

Council Regulation (EC) No 976/1999 of 29 April 1999 laying down the requirements for the implementation of Community operations, other than those of development cooperation, which, within the framework of Community cooperation policy, contribute to the general objective of developing and consolidating democracy and the rule of law and to that of respecting human rights and fundamental freedoms in third countries (OJ L 120, 8.5.1999, p. 8).

Council Regulation (Euratom, EC) No 99/2000 of 29 December 1999 concerning the provision of assistance to the partner States in eastern Europe and central Asia (OJ L 12, 18.1.2000, p. 1).

This appropriation is intended to cover projects to be undertaken in non-member countries and in the European Union in the form of grants to:

- promote Community and international initiatives aimed at abolishing the death penalty in all countries,
- train people who are called on to enforce human rights (judges, lawyers, civil servants, those responsible for the training of police and security forces, teachers, etc.),
- reinforce respect for the rights of the child,
- promote and protect women's rights, including action to combat trafficking in women,

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CHAPTER B7-7 0 — EUROPEAN INITIATIVE FOR DEMOCRACY AND HUMAN RIGHTS (*cont'd*)

- reinforce respect for ethnic minorities such as gypsies,
- reinforce respect for the human rights of disabled people,
- strengthen respect for the human rights of indigenous peoples worldwide,
- promote training with organisations specialising in the defence of human rights,
- support organisations which specialise in caring for refugees.

The appropriations in this chapter are also intended to finance the establishment and maintenance of rehabilitation services or centres for victims of torture and their families, as well as organisations offering concrete help to victims of human rights abuses or help to improve conditions in places where people are deprived of their liberty, in order to prevent torture or ill treatment.

The appropriations in this chapter are also intended to promote actions by non-governmental organisations to co-finance activities in keeping with the Declaration of the Rights of the Child, aiming at combating violence against children and the exploitation of child labour, to support schooling and to provide food and protection for street children.

They are also intended to develop a sectoral policy on the integration of the rights of children into European Union development programmes and to support NGO members of the European network of street children worldwide.

Each article in this chapter relating to the different world regions will cover the expenditure related to actions to promote and defend human rights and fundamental freedoms, support the democratisation processes and strengthen human rights and democratisation. The Commission must communicate each year the amounts allocated to each of these areas.

The appropriations entered in this chapter may also be used to finance non-governmental organisations and other organisations which provide legal advice or aid to persons or associations in need thereof.

They are also intended to cover a contribution to the European masters in human rights and democratisation, other than Item A-3 0 1 4, and to the European Foundation for Freedom of Expression.

They may also be used to contribute to the creation of the European network for human rights and democratisation, and to ensure that information on European Union policy and on European Union financed programmes/projects to promote human rights and democracy is collected, analysed, updated and circulated by means of the new electronic media, in particular the Internet.

In deciding on proposals for European Union financing, the Commission shall consider first and foremost the likely effectiveness of a project in promoting democratic principles and the respect of human rights. Priority will be given to projects by non-governmental organisations which have demonstrated their effectiveness and viability over the past few years, and to projects expected to have a multiplier effect within civil society, which is the main agent in the transition to democracy. Priority is accorded to projects offering concrete and direct aid. Projects concerning research and academic seminars of particular merit are taken into consideration in so far as possible.

The Commission may submit proposals for additional transfers to each article in this chapter in accordance with the political priorities and the amounts already used by NGOs, pursuant to Article 26(7) of the Financial Regulation.

The Commission will submit the annual work programme to the European Parliament for its opinion. The Commission will also submit to the European Parliament an interim report before September of each year on the state of implementation of this programme.

B7-7 0 2 A

Support for the democratisation process and strengthening of the rule of law — Expenditure on administrative management

Budget 2001		Supplementary and amending budget No 3		New amount	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 990 000	1 990 000	—	—	1 990 000	1 990 000

Former Article B7-7 0 0 A (in part); Former Item B7-7 0 2 0 A (in part); Former Articles B7-7 0 3 A (in part), B7-7 0 4 A (in part), B7-7 0 5 A (in part), B7-7 0 7 A (in part) and B7-7 0 9 A

This appropriation is intended to cover:

- expenditure on technical and administrative assistance which the Commission will delegate to an implementing agency governed by Community law. In this context, the appropriation in question may cover expenditure on temporary support staff (auxiliaries, detached national experts, staff from employment agencies), at headquarters, intended to take over the tasks conferred on technical assistance offices whose contracts expire on 31 December 2001 at the latest,

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CHAPTER B7-7 0 — EUROPEAN INITIATIVE FOR DEMOCRACY AND HUMAN RIGHTS (*cont'd*)

B7-7 0 2 A (*cont'd*)

- expenditure on temporary support staff at headquarters is limited to EUR 400 000, which corresponds to an estimated 5 man-years. That estimate is based on an annual unit cost per man-year of which 97 % is accounted for by remuneration for the staff concerned and 3 % by the cost of training, meetings, missions, IT and telecommunications relating to those staff members,;
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this article, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts.

The Commission undertakes to notify the budgetary authority of transfers of appropriations from the main heading to this article and vice versa.

This appropriation also covers administrative expenditure under Articles B7-7 0 4 and B7-7 0 9.

